

## **Exhibit 9**

0. \*

63,864.42 +

13.99 +

63,878.41 \*

63,878.41 ÷

83.875 =

761.59 \*

83.875 x

761. =

63,828.88 +I

63,828.88 x

0.025 =

1,595.72 \*

1,595.72 ÷

4. x

8. =

3,191.44 \*

3,191.44 +I

761. x

2.25 =

1,712.25 \*

1,712.25 x

0.03125 =

53.51 +I

67,073.83 ÷I

67,073.83 ÷

1,712.25 =

39.17 \*

39.25 x

1,712.25 =

67,205.81 -I

131.98-\*I

131.98-÷

0.125 =

1,055.84-\*

1,712.00 +

1,052.00 -

660.00 \*

MADTSS00401002

56 180.81

80

|                           |           |           |                |                  |
|---------------------------|-----------|-----------|----------------|------------------|
| 1/21 Rus Oil<br>2107.13   | 56 172.00 | 58 279.13 | 6 2 1/2 3/10   | <del>8.81</del>  |
| 3/13 INA                  | 58 268.25 | 61 181.80 | 8 2 1/2 5/9    | <del>19.69</del> |
| 5/5 Heins<br>2676.92      | 61 187.50 | 63 864.42 | 7 2 1/2 7/1    | <del>13.99</del> |
| 6/30 Petrol<br>3191.88    | 63 828.88 | 67 020.76 | 8 2 1/2 9/1    | <del>49.53</del> |
| 9/5 Amex<br>3350.40       | 67 008-   | 70 358.40 | 8 2 1/2 10/30  | <del>62.29</del> |
| 10/30 Rockwell<br>3520.66 | 70 404.75 | 73 925.41 | 8 2 1/2 12/31  | 15.94            |
| 12/31 Check<br>Check (P)  | 10 000-   | 5000-     | Tonison<br>" " |                  |

68 941.35

ICE - Actual Life  
 + Casualty 2 P/L

761 @  $83\frac{7}{8} =$   
 63828.88

1052  $39\frac{1}{8}$   $\frac{41159.50}{32.88}$   
 41126.62

660  $39\frac{1}{4} = 25905 -$   
 20.63  
 25884.37

7 = 9.77

|        |          |
|--------|----------|
| 6/23 B | 67020.76 |
| 6/25 S |          |

1712.25

8 -  $2\frac{1}{2}$   
 3191.88

9/1

0. \*

61,201.49 ÷  
27.5 =  
2,225.51 \*

27.5 ×  
2,225. =  
61,187.50 +I  
61,187.50 ×  
0.025 =  
1,529.69 \*

1,529.69 ÷  
4. ×  
7. =  
2,676.96 \*

2,676.96 +I  
2,225. ×  
0.75 =  
1,668.75 \*

1,668.75 ×  
0.03125 =  
52.15 +I  
63,916.61 ÷I  
63,916.61 ÷  
1,668.75 =  
38.30 \*

38.375 ×  
1,668.75 =  
64,038.28 -I  
121.67 -\*I

121.67 -÷  
0.125 =  
973.36 -\*

1,668.00 +  
973.00 -  
695.00 \*



**Bernard L. Madoff**  
**INVESTMENT SECURITIES**  
 Established 1960  
 110 Wall Street, New York, NY 10005

TELEPHONE (212) 825-3910  
 P & S DEPT. (212) 825-3916  
 TELETYPE (710) 581-3082  
 TELEX 235130  
 WATS (800) 221-2242

| ORIGINATOR NO.     |  | DELIVERED VIA | ACCOUNT NUMBER | D/R | TRANS. NO. | CODES       |     |                               | TRADE DATE | SETTLEMENT DATE |
|--------------------|--|---------------|----------------|-----|------------|-------------|-----|-------------------------------|------------|-----------------|
| 0646               |  |               |                |     |            | TR          | CAP | SETT                          | 4/28/80    | 5/5/80          |
| IDENTIFICATION NO. |  | CONTRA PARTY  |                |     |            | C.H. NUMBER |     | SPECIAL DELIVERY INSTRUCTIONS |            |                 |
|                    |  |               |                |     |            |             |     |                               |            |                 |

REDACTED

| WE  | QUANTITY | CUSIP NUMBER | SECURITY DESCRIPTION | NET AMOUNT |
|-----|----------|--------------|----------------------|------------|
| 682 | 2225     |              | HEINZ 1.70 CONV PFD  | 61187.50   |

  

| PRICE  | PRINCIPAL | COMMISSION | STATE TAX | INTEREST | SEC. FEE | MISC. |
|--------|-----------|------------|-----------|----------|----------|-------|
| 27 1/2 | 61187.500 |            |           |          |          |       |

COMPARISON DUPLICATE

MEMBERS

NASD NSCC SIAC DTC SIPC



**Bernard L. Madoff**  
**INVESTMENT SECURITIES**  
 Established 1980  
 110 Wall Street, New York, NY 10005

TELEPHONE (212) 825-3910  
 P & S DEPT. (212) 825-3916  
 TELETYPE (710) 581-3082  
 TELEX 235130  
 WATS (800) 221-2242

|                    |               |                |     | CODES      |             |     |                               |            |                 |
|--------------------|---------------|----------------|-----|------------|-------------|-----|-------------------------------|------------|-----------------|
| ORIGINATOR NO.     | DELIVERED VIA | ACCOUNT NUMBER | D/R | TRANS. NO. | TR          | CAP | SETT                          | TRADE DATE | SETTLEMENT DATE |
| 0646               |               |                |     |            |             |     |                               | 4/30/80    | 5/7/80          |
| IDENTIFICATION NO. |               | CONTRA PARTY   |     |            | C.H. NUMBER |     | SPECIAL DELIVERY INSTRUCTIONS |            |                 |
|                    |               |                |     |            |             |     |                               |            |                 |

REDACTED

| WE  | QUANTITY | CUSIP NUMBER | SECURITY DESCRIPTION | NET AMOUNT |
|-----|----------|--------------|----------------------|------------|
| BOI | 973      |              | HEINZ                | 37126.84   |

  

| PRICE  | PRINCIPAL | COMMISSION | STATE TAX | INTEREST | SEC. FEE | MISC. |
|--------|-----------|------------|-----------|----------|----------|-------|
| 38 1/4 | 37217.25  |            | 30.41     |          |          |       |

COMPARISON DUPLICATE

MEMBERS

NASD NSCC SIAC DTC SIPC



**Bernard L. Madoff**  
**INVESTMENT SECURITIES**  
 Established 1960  
 110 Wall Street, New York, NY 10005

TELEPHONE (212) 825-3910  
 P & S DEPT. (212) 825-3916  
 TELETYPE (710) 581-3082  
 TELEX 235130  
 WATS (800) 221-2242

| ORIGINATOR NO.     |  | DELIVERED VIA | ACCOUNT NUMBER | D/R | TRANS. NO. | CODES       |  |                               | TRADE DATE | SETTLEMENT DATE |
|--------------------|--|---------------|----------------|-----|------------|-------------|--|-------------------------------|------------|-----------------|
| 0646               |  |               |                |     |            |             |  |                               | 4/30/80    | 5/7/80          |
| IDENTIFICATION NO. |  | CONTRA PARTY  |                |     |            | C.H. NUMBER |  | SPECIAL DELIVERY INSTRUCTIONS |            |                 |
|                    |  |               |                |     |            |             |  |                               |            |                 |

REDACTED

| WE      | QUANTITY | CUSIP NUMBER | SECURITY DESCRIPTION | NET AMOUNT |
|---------|----------|--------------|----------------------|------------|
| BOF 605 |          |              | HEINZ                | 26642.91   |

  

| PRICE  | PRINCIPAL | COMMISSION | STATE TAX | INTEREST | SEC. FEE | MISC. |
|--------|-----------|------------|-----------|----------|----------|-------|
| 38 3/8 | 26670.63  |            | 21.72     |          |          |       |

COMPARISON DUPLICATE

MEMBERS

NASD NSCC SIAC DTC SIPC





Bernard L. Madoff

09-01239-1gb Doc 430-13 Filed 01/26/26 Entered 01/26/26 21:12:12  
INVESTMENT SECURITIES

Established 1960  
110 Wall Street, New York, NY 10005

Exhibit 12

TELEPHONE (212) 825-3910  
FOS DEPT 9212 825-3916  
TELETYPE (710) 581-3082  
TELEX 235130  
WATS (800) 221-2242

WE HAVE THIS DAY Credited Your  
ACCOUNT WITH THE FOLLOWING:

NEW YORK, 5/7/80

FRACTIONAL SHARES HEINZ 28.67

CLIENT'S ACCOUNT NUMBER

IRWIN & CAROLE LIPKIN

ICE - Heinz

2225  $27\frac{1}{2}$  61,187.50

973  $38\frac{1}{4}$   $\frac{37217.25}{3041}$   

---

37186.84

695  $38\frac{3}{8} = 26670.63$   
21.72

---

26648.91

7 = 28,67



# Bernard L. Madoff

INVESTMENT SECURITIES

Established 1960

110 Wall Street, New York, NY 10005

09-01239-Igb Doc 430-13 Filed 01/26/26 Entered 01/26/26 21:12:30 Ex. 9 Pg 11 of 12

TELEPHONE (212) 825-3910  
P & S DEPT. (212) 825-3916  
TELETYPE (710) 581-3082  
WATS (800) 221-2242

PERIOD ENDING  
12/31/80

PAGE

YOUR ACCOUNT NUMBER

YOUR TAX PAYER IDENTIFICATION NUMBER

REDACTED

| DATE | BOUGHT<br>RECEIVED OR LONG | SOLD<br>DELIVERED OR SHORT | TRN | DESCRIPTION              | PRICE OR SYMBOL | AMOUNT DEBITED<br>TO YOUR ACCOUNT | AMOUNT CREDITED<br>TO YOUR ACCOUNT |
|------|----------------------------|----------------------------|-----|--------------------------|-----------------|-----------------------------------|------------------------------------|
|      |                            |                            |     | BALANCE FORWARDED        |                 |                                   | 56,180.81                          |
| 121  | 1200                       |                            |     | RESERVE OIL & GAS        |                 |                                   |                                    |
|      |                            |                            |     | \$1.75 PFD               | 46 1/2          | 56,172.00                         |                                    |
| 122  |                            | 653                        |     | RESERVE OIL & GAS COM    | 33              |                                   | 21,528.59                          |
| 122  |                            | 1110                       |     | RESERVE OIL & GAS COM    | 33 1/8          |                                   | 36,734.06                          |
| 122  |                            | F                          |     | RESERVE OIL & GAS COM    |                 |                                   | 16.46                              |
| 310  |                            | 714                        |     | INA CORP                 | 29 7/8          |                                   | 21,308.44                          |
| 320  |                            | 1330                       |     | INA CORP                 | 30              |                                   | 39,858.44                          |
| 320  |                            | F                          |     | INA CORP                 |                 |                                   | 14.92                              |
| 323  | 2726                       |                            |     | INA CORP \$1.90 PFD      | 21 3/8          | 58,268.25                         |                                    |
| 505  | 2225                       |                            |     | HEINZ \$1.70 PFD         | 27 1/2          | 61,187.50                         |                                    |
| 507  |                            | 973                        |     | HEINZ COM                | 38 1/4          |                                   | 37,186.84                          |
| 507  |                            | 695                        |     | HEINZ COM                | 38 3/8          |                                   | 26,648.91                          |
| 507  |                            | F                          |     | HEINZ COM                |                 |                                   | 26.67                              |
| 630  | 761                        |                            |     | AETNA LIFE 82 PFD        | 83 7/8          | 63,828.88                         |                                    |
| 702  |                            | 1052                       |     | AETNA LIFE COM.          | 39 1/8          |                                   | 41,126.62                          |
| 702  |                            | 600                        |     | AETNA LIFE COM.          | 39 1/4          |                                   | 25,884.37                          |
| 702  |                            | F                          |     | AETNA LIFE COM.          |                 |                                   | 9.77                               |
| 903  |                            | 521                        |     | AMAX INC.                | 51 1/4          |                                   | 26,684.97                          |
| 903  |                            | 850                        |     | AMAX INC.                | 51 3/8          |                                   | 43,642.19                          |
| 903  |                            | F                          |     | AMAX INC.                |                 |                                   | 31.24                              |
| 903  | 1047                       |                            |     | AMAX INC \$3. PFD        | 64              | 67,008.00                         |                                    |
| 1028 |                            | 1816                       |     | ROCKWELL INTL            | 34 1/8          |                                   | 61,934.68                          |
| 1028 |                            | 350                        |     | ROCKWELL INTL            | 34 1/4          |                                   | 11,980.50                          |
| 1028 |                            | F                          |     | ROCKWELL INTL            |                 |                                   | 10.23                              |
| 1030 | 2497                       |                            |     | ROCKWELL INTL \$1.80 PFD | 20 1/4          | 70,604.95                         |                                    |
| 1231 |                            |                            |     | CHECK                    |                 | 10,000.00                         |                                    |
|      |                            |                            |     | CHECK                    |                 |                                   | 5,000.00                           |
|      |                            |                            |     | Balance                  |                 |                                   | 68,941.35                          |

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES.

MADTSS00401023

|                    |                                      |
|--------------------|--------------------------------------|
| HJ Henry 1.70      | 3 <sup>rd</sup> (P. 1)               |
|                    | TP = .75 C                           |
| 4/9/79 - 5/18 D    | 1P = 1.5                             |
| 8/13/79 - 10/1 D   | 9/29 - 11/16                         |
| 12/1/79 - 1/22 D   | 12/4/81 - 1/25/82                    |
| 12/19/80 - 4/8 D   | 2/3/82 - 4/2/82                      |
| 5/7/80 - 7/2       | 6/30/82 - 9/7/82                     |
| 8/7/80 - 10/3      | 9/20/82 - 11/24/82                   |
| 11/27/80 - 1/20/81 | <del>4/2/84</del> - 4/24/84 - 7/3/84 |
| 3/4/81 - 5/5       | 8/8/84 - 10/11/84                    |
| 7/30/81 - 9/16     |                                      |