

EXHIBIT B

SIPC v. BLMIS

08-01789 (CGM)

ACTIVE GOOD FAITH CASES¹

| APN | DEFENDANT(S) | CASE STATUS |
|------------------|--|--|
| 10-04352 | RAR Entrepreneurial Fund LTD, et al. | Appeal pending in Second Circuit ² |
| 10-04362 | Sage Associates, et al. | Appeal pending in Second Circuit ² |
| 10-04400 | Sage Realty, et al. | Appeal pending in Second Circuit ² |
| 10-04468 | Ken-Wen Family Limited Partnership, et al. | Appeal pending in S.D.N.Y. ³ |
| 10-04667 | David Gross, et al. | |
| 10-04669 | Zieses Investment Partnership, et al. | Summary Judgment Motion pending in S.D.N.Y. ³ |
| 10-04709 | Andrew M. Goodman | Motion to Withdraw the Reference pending in S.D.N.Y. ³ |
| 10-05079 | Estate of James M. Goodman, et al. | Motion to Withdraw the Reference pending in S.D.N.Y. ³ |
| 10-05083 | The S. James Coppersmith Charitable Remainder Unitrust, et al. | |
| 10-05380 | Srione, LLC, an Idaho limited liability company, et al. | Stipulation for Entry of Judgment entered January 3, 2023 |
| 10-05394 | Glantz | Defendant's Chapter 7 Bankruptcy pending in the U.S. Bankruptcy Court, Northern District of California, Case No. 20-30749 (DM) |
| TOTAL: 11 | | |

¹Two cases were settled and the parties entered into settlement agreements during the Report Period. See *Picard v. Sharon Knee*, Adv. Pro. No. 10-04986 and *Picard v. Fairfield Pagma Associates, L.P., et al.*, Adv. Pro. No. 10-05169. The Bankruptcy Court has closed both of these matters.

² See *RAR Entrepreneurial Fund, Ltd. v. Picard*, 22-3006 (2d. Cir.); *Malcolm H. Sage v. Picard*, 22-1107 (2d Cir.); *Malcolm H. Sage v. Picard*, 22-1110 (2d Cir.).

³See *Picard v. Kenneth W. Brown*, No. 22-cv-03882 (VEC) (S.D.N.Y.); *Picard v. Zieses Investment Partnership, et al.*, No. 20-cv-02872 (VSB) (S.D.N.Y.); *Picard v. Goodman*, No. 20-cv-04767 (MKV) (S.D.N.Y.); *Picard v. Estate of James M. Goodman*, No. 21-cv-01763 (ER) (S.D.N.Y.)