

**EXHIBIT B**

**SIPC v. BLMIS**

Case No. 08-01789 (CGM)

**ACTIVE GOOD FAITH CASES<sup>1</sup>**

<b>APN</b>	<b>DEFENDANT(S)</b>	<b>CASE STATUS</b>
10-04352	RAR Entrepreneurial Fund LTD, et al.	Case pending in S.D.N.Y. Jury Verdict in Favor of Trustee (Outside Report Period) <sup>2</sup>
10-04362	Sage Associates, et al.	Case pending in S.D.N.Y. Judgment in Favor of Trustee (Outside Report Period). <sup>2</sup>
10-04394	Frederic Z. Konigsberg, et al.	Parties engaged in mediation.
10-04400	Sage Realty, et al.	Case pending in S.D.N.Y. Judgment in Favor of Trustee (Outside Report Period). <sup>2</sup>
10-04438	Estate of Seymour Epstein, et al.	Appeal withdrawn in S.D.N.Y. (Outside Report Period) <sup>3</sup>
10-04468	Ken-Wen Family Limited Partnership, et al.	Judgment entered in favor of Trustee
10-04492	Stuart Leventhal 2001 Irrevocable Trust, et al.	
10-04503	Judd Robbins	Defendant's Chapter 7 Bankruptcy pending in the U.S. Bankruptcy Court, District of Idaho, <i>In re: Judd Robbins</i> , Case No. 21-20284-NGH
10-04538	James B. Pinto Revocable Trust U/A dtd 12/1/03, et al.	

<sup>1</sup> Ten cases were settled and the parties entered into settlement agreements during the Report Period. See *Picard v. Robert E. Ferber*, Adv. Pro. No. 10-04562; *Picard v. Patrice Auld, et al.*, Adv. Pro. No. 10-04341; *Picard v. Bernard Marden Profit Sharing Plan, et al.*, Adv. Pro. No. 10-05168; *Picard v. S&L Partnership, a New York partnership, et al.*, Adv. Pro. No. 10-04702; *Picard v. James P. Marden, et al.*, Adv. Pro. No. 10-04341; *Picard v. Charlotte M. Marden*, Adv. Pro. No. 10-05118; *Picard v. Marden Family Limited Partnership, et al.*, Adv. Pro. No. 10-04348; *Picard v. The Lawrence J. Ryan and Theresa R. Ryan Revocable Living Trust, et al.*, Adv. Pro. No. 10-05124; *Picard v. Samdia Family L.P., a Delaware Limited Partnership, et al.*, Adv. Pro. No. 10-04750; *Picard v. Lanx BM Investments, LLC, et al.*, Adv. Pro. No. 10-04384. The Bankruptcy Court has closed all of these matters.

<sup>2</sup> See *Picard v. Sage Associates*, No. 20-cv-10057 (AJN) (S.D.N.Y.); *Picard v. Sage Realty*, No. 20-cv-10109 (AJN) (S.D.N.Y.); *Picard v. RAR Entrepreneurial Fund LTD*, No. 20-cv-01029 (JMF) (S.D.N.Y.); *Picard v. Zieses Investment Partnership*, No. 20-cv-02872 (VSB) (S.D.N.Y.).

<sup>3</sup> See *Picard v. Estate of Seymour Epstein*, No. 21-cv-02334 (CM) (S.D.N.Y.); *Picard v. The Gerald and Barbara Keller Family Trust*, No. 21-cv-08678 (JPO) (S.D.N.Y.).

APN	DEFENDANT(S)	CASE STATUS
10-04539	The Gerald and Barbara Keller Family Trust, et al.	Appeal pending in S.D.N.Y. <sup>3</sup>
10-04570	Jacob M. Dick Rev Living Trust DTD 4/6/01, et al.	Summary Judgment motion pending before this Court
10-04610	The Whitman Partnership, et al.	Defendants' Chapter 7 Bankruptcy pending in the U.S. Bankruptcy Court, Middle District of Florida, <i>In re: Bernard Whitman and Judith Whitman</i> , Case No. 8:21-bk-03867-CPM
10-04631	151797 Canada Inc., Judith Pencer, Samuel Pencer, et al.	
10-04667	David Gross, et al.	
10-04669	Zieses Investment Partnership, et al.	Case pending in S.D.N.Y. <sup>2</sup>
10-04709	Andrew M. Goodman	Motion to Withdraw the Reference pending in S.D.N.Y. <sup>4</sup>
10-04752	Kuntzman Family LLC, et al.	
10-04762	James M. Goodman	Summary Judgment motion pending before this Court
10-04889	Estate of Robert Shervyn Savin, et al.	
10-04986	Sharon Knee	
10-05079	Estate of James M. Goodman, et al.	Motion to Withdraw the Reference pending in S.D.N.Y. <b>Error! Bookmark not defined.</b>
10-05083	The S. James Coppersmith Charitable Remainder Unitrust, et al.	
10-05128	JABA Associates LP, et al.	Appeal pending in Second Circuit <sup>5</sup>
10-05144	Estate of Bernard J. Kessel, et al.	Parties engaged in mediation
10-05169	Fairfield Pagma Associates, et al.	Judgment in favor of Trustee
10-05312	Doron Tavlin Trust U/A 2/4/91, et al.	
10-05380	Srione, LLC, an Idaho limited liability company, et al.	
10-05394	Glantz	Defendant's Chapter 7 Bankruptcy pending in the U.S. Bankruptcy Court, Northern District of California, Case No. 20-30749 (DM)
<b>Total: 28</b>		

<sup>4</sup> See *Picard v. Goodman*, No. 20-cv-04767 (MKV) (S.D.N.Y.); *Picard v. Estate of James M. Goodman*, No. 21-cv-01763 (ER) (S.D.N.Y.).

<sup>5</sup> See *JABA Associates LP v. Picard*, 21-872 (2d Cir.).