

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 26, 2021**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,654	16.06%
Determined—No Claim	12	0.07%
Denied	2,693	16.30%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,416,249,866.92**

Amount of SIPC Coverage Committed: \$ **601,905,934.76**

(SIPC Committed of \$849,838,412.47 less \$247,932,477.71 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 19, 2021**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,654	16.06%
Determined—No Claim	12	0.07%
Denied	2,693	16.30%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,416,249,866.92**

Amount of SIPC Coverage Committed: \$ **601,905,934.76**

(SIPC Committed of \$849,838,412.47 less \$247,932,477.71 subrogation payment)

* Denotes—Claimants Without An Account

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SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 12, 2021**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,654	16.06%
Determined—No Claim	12	0.07%
Denied	2,693	16.30%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,416,249,866.92**

Amount of SIPC Coverage Committed: \$ **601,905,934.76**

(SIPC Committed of \$849,838,412.47 less \$247,932,477.71 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 5, 2021**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,654	16.06%
Determined—No Claim	12	0.07%
Denied	2,693	16.30%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,416,249,866.92**

Amount of SIPC Coverage Committed: \$ **601,905,934.76**

(SIPC Committed of \$849,838,412.47 less \$247,932,477.71 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 26, 2021**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,654	16.06%
Determined—No Claim	12	0.07%
Denied	2,693	16.30%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,416,249,866.92**

Amount of SIPC Coverage Committed: \$ **601,905,934.76**

(SIPC Committed of \$849,838,412.47 less \$247,932,477.71 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 19, 2021**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,654	16.06%
Determined—No Claim	12	0.07%
Denied	2,693	16.30%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,416,120,271.92**

Amount of SIPC Coverage Committed: \$ **612,336,697.96**

(SIPC Committed of \$849,838,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 12, 2021**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,654	16.06%
Determined—No Claim	12	0.07%
Denied	2,693	16.30%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,416,120,271.92**

Amount of SIPC Coverage Committed: \$ **612,336,697.96**

(SIPC Committed of \$849,838,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 5, 2021**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,654	16.06%
Determined—No Claim	12	0.07%
Denied	2,693	16.30%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,416,120,271.92**

Amount of SIPC Coverage Committed: \$ **612,336,697.96**

(SIPC Committed of \$849,838,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

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SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 29, 2021**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,654	16.06%
Determined—No Claim	12	0.07%
Denied	2,693	16.30%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,416,120,271.92**

Amount of SIPC Coverage Committed: \$ **612,336,697.96**

(SIPC Committed of \$849,838,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

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SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 22, 2021**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,654	16.06%
Determined—No Claim	12	0.07%
Denied	2,693	16.30%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,416,120,271.92**

Amount of SIPC Coverage Committed: \$ **612,336,697.96**

(SIPC Committed of \$849,838,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 15, 2021**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,654	16.06%
Determined—No Claim	12	0.07%
Denied	2,693	16.30%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,416,120,271.92**

Amount of SIPC Coverage Committed: \$ **612,336,697.96**

(SIPC Committed of \$849,838,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 8, 2021**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 1, 2021**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

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SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 25, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

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SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 18, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

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SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 11, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

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SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 4, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 27, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 20, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

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The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 13, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

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SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 6, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 30, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 23, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 16, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 9, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 2, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 25, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 18, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 11, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 4, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 28, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 21, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 14, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 7, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 7, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 31, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 24, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 17, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 10, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 3, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 26, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 19, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 12, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 5, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 29, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 22, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 15, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 8, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 1, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,653	16.06%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	11	.07%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,415,557,971.92**

Amount of SIPC Coverage Committed: \$ **611,836,697.96**

(SIPC Committed of \$849,338,412.47 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 24, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,651	16.05%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	13	.08%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,414,843,551.26**

Amount of SIPC Coverage Committed: \$ **611,184,277.30**

(SIPC Committed of \$848,685,991.81 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 17, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,651	16.05%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	13	.08%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,414,843,551.26**

Amount of SIPC Coverage Committed: \$ **611,184,277.30**

(SIPC Committed of \$848,685,991.81 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 10, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,651	16.05%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	13	.08%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,414,843,551.26**

Amount of SIPC Coverage Committed: \$ **611,184,277.30**

(SIPC Committed of \$848,685,991.81 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 3, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,651	16.05%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	13	.08%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,414,843,551.26**

Amount of SIPC Coverage Committed: \$ **611,184,277.30**

(SIPC Committed of \$848,685,991.81 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 27, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,646	16.02%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	18	.11%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,414,356,894.42**

Amount of SIPC Coverage Committed: \$ **610,697,620.46**

(SIPC Committed of \$848,199,334.97 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 20, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,646	16.02%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	18	.11%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,414,356,894.42**

Amount of SIPC Coverage Committed: \$ **610,697,620.46**

(SIPC Committed of \$848,199,334.97 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 13, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,646	16.02%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	18	.11%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,414,356,894.42**

Amount of SIPC Coverage Committed: \$ **610,697,620.46**

(SIPC Committed of \$848,199,334.97 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 6, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,646	16.02%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	18	.11%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,414,356,894.42**

Amount of SIPC Coverage Committed: \$ **610,697,620.46**

(SIPC Committed of \$848,199,334.97 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 28, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,646	16.02%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	18	.11%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,414,356,894.42**

Amount of SIPC Coverage Committed: \$ **610,697,620.46**

(SIPC Committed of \$848,199,334.97 less \$237,501,714.51 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 21, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,646	16.02%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	18	.11%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,414,356,894.42**

Amount of SIPC Coverage Committed: \$ **625,331,023.06**

(SIPC Committed of \$848,199,334.97 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 14, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,646	16.02%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	18	.11%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,414,356,894.42**

Amount of SIPC Coverage Committed: \$ **625,331,023.06**

(SIPC Committed of \$848,199,334.97 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 7, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,646	16.02%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	18	.11%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,414,356,894.42**

Amount of SIPC Coverage Committed: \$ **625,331,023.06**

(SIPC Committed of \$848,199,334.97 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 31, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,646	16.02%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	18	.11%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,414,356,894.42**

Amount of SIPC Coverage Committed: \$ **625,331,023.06**

(SIPC Committed of \$848,199,334.97 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 24, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,646	16.02%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	18	.11%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,414,356,894.42**

Amount of SIPC Coverage Committed: \$ **625,331,023.06**

(SIPC Committed of \$848,199,334.97 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 17, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,646	16.02%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	18	.11%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,414,356,894.42**

Amount of SIPC Coverage Committed: \$ **625,331,023.06**

(SIPC Committed of \$848,199,334.97 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 10, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,646	16.02%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	18	.11%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,414,356,894.42**

Amount of SIPC Coverage Committed: \$ **625,331,023.06**

(SIPC Committed of \$848,199,334.97 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 3, 2020**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,646	16.02%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	18	.11%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,414,356,894.42**

Amount of SIPC Coverage Committed: \$ **625,331,023.06**

(SIPC Committed of \$848,199,334.97 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 27, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,646	16.02%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	18	.11%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,414,356,894.42**

Amount of SIPC Coverage Committed: \$ **625,331,023.06**

(SIPC Committed of \$848,199,334.97 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 18, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,646	16.02%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	18	.11%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,414,356,894.42**

Amount of SIPC Coverage Committed: \$ **625,331,023.06**

(SIPC Committed of \$848,199,334.97 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 6, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,643	16.00%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	21	.13%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,307,107,125.42**

Amount of SIPC Coverage Committed: \$ **624,831,023.06**

(SIPC Committed of \$847,699,334.97 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 29, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,643	16.00%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	21	.13%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,307,107,125.42**

Amount of SIPC Coverage Committed: \$ **624,831,023.06**

(SIPC Committed of \$847,699,334.97 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 22, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,643	16.00%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	21	.13%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,307,107,125.42**

Amount of SIPC Coverage Committed: \$ **624,831,023.06**

(SIPC Committed of \$847,699,334.97 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 15, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,643	16.00%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	21	.13%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,307,107,125.42**

Amount of SIPC Coverage Committed: \$ **624,831,023.06**

(SIPC Committed of \$847,699,334.97 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 8, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,643	16.00%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	21	.13%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,307,107,125.42**

Amount of SIPC Coverage Committed: \$ **624,831,023.06**

(SIPC Committed of \$847,699,334.97 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 31, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,643	16.00%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	21	.13%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **19,307,107,125.42**

Amount of SIPC Coverage Committed: \$ **624,831,023.06**

(SIPC Committed of \$847,699,334.97 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 25, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,641	15.99%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	23	.14%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,647,359,030.90**

Amount of SIPC Coverage Committed: \$ **623,831,023.06**

(SIPC Committed of \$846,699,334.97 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 18, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,641	15.99%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	23	.14%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,647,359,030.90**

Amount of SIPC Coverage Committed: \$ **623,831,023.06**

(SIPC Committed of \$846,699,334.97 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 11, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,641	15.99%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	23	.14%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,647,359,030.90**

Amount of SIPC Coverage Committed: \$ **623,831,023.06**

(SIPC Committed of \$846,699,334.97 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 4, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,641	15.99%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	23	.14%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,647,359,030.90**

Amount of SIPC Coverage Committed: \$ **623,831,023.06**

(SIPC Committed of \$846,699,334.97 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 27, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,641	15.99%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	23	.14%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,647,359,030.90**

Amount of SIPC Coverage Committed: \$ **623,831,023.06**

(SIPC Committed of \$846,699,334.97 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 20, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,641	15.99%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	23	.14%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,647,359,030.90**

Amount of SIPC Coverage Committed: \$ **623,831,023.06**

(SIPC Committed of \$846,699,334.97 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 13, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,641	15.99%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	23	.14%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,647,359,030.90**

Amount of SIPC Coverage Committed: \$ **623,831,023.06**

(SIPC Committed of \$846,699,334.97 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 6, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,641	15.99%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	23	.14%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,647,359,030.90**

Amount of SIPC Coverage Committed: \$ **623,831,023.06**

(SIPC Committed of \$846,699,334.97 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 30, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,641	15.99%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	23	.14%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,647,359,030.90**

Amount of SIPC Coverage Committed: \$ **623,831,023.06**

(SIPC Committed of \$846,699,334.97 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 23, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,641	15.99%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	23	.14%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,647,359,030.90**

Amount of SIPC Coverage Committed: \$ **623,831,023.06**

(SIPC Committed of \$846,699,334.97 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 16, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,637	15.96%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	27	.16%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,645,918,194.99**

Amount of SIPC Coverage Committed: \$ **623,066,265.44**

(SIPC Committed of \$845,934,577.35 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 9, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,637	15.96%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	27	.16%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,645,918,194.99**

Amount of SIPC Coverage Committed: \$ **623,066,265.44**

(SIPC Committed of \$845,934,577.35 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 2, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,637	15.96%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	27	.16%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,645,918,194.99**

Amount of SIPC Coverage Committed: \$ **623,066,265.44**

(SIPC Committed of \$845,934,577.35 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 26, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,637	15.96%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	27	.16%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,645,918,194.99**

Amount of SIPC Coverage Committed: \$ **623,066,265.44**

(SIPC Committed of \$845,934,577.35 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 19, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,637	15.96%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	27	.16%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,645,918,194.99**

Amount of SIPC Coverage Committed: \$ **623,066,265.44**

(SIPC Committed of \$845,934,577.35 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 12, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,637	15.96%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	27	.16%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,645,918,194.99**

Amount of SIPC Coverage Committed: \$ **623,066,265.44**

(SIPC Committed of \$845,934,577.35 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 5, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,637	15.96%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	27	.16%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,645,918,194.99**

Amount of SIPC Coverage Committed: \$ **623,066,265.44**

(SIPC Committed of \$845,934,577.35 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 28, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,637	15.96%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	27	.16%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,645,918,194.99**

Amount of SIPC Coverage Committed: \$ **623,066,265.44**

(SIPC Committed of \$845,934,577.35 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 21, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,637	15.96%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	27	.16%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,645,918,194.99**

Amount of SIPC Coverage Committed: \$ **623,066,265.44**

(SIPC Committed of \$845,934,577.35 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 14, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,637	15.96%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	417	2.52%
Deemed Determined	27	.16%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,645,918,194.99**

Amount of SIPC Coverage Committed: \$ **623,066,265.44**

(SIPC Committed of \$845,934,577.35 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 7, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,637	15.96%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	416	2.52%
Deemed Determined	28	.17%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,645,918,194.99**

Amount of SIPC Coverage Committed: \$ **623,066,265.44**

(SIPC Committed of \$845,934,577.35 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 31, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,637	15.96%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	416	2.52%
Deemed Determined	28	.17%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,645,918,194.99**

Amount of SIPC Coverage Committed: \$ **623,066,265.44**

(SIPC Committed of \$845,934,577.35 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 24, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,637	15.96%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	416	2.52%
Deemed Determined	28	.17%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,645,918,194.99**

Amount of SIPC Coverage Committed: \$ **623,066,265.44**

(SIPC Committed of \$845,934,577.35 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 17, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,637	15.96%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	416	2.52%
Deemed Determined	28	.17%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,645,918,194.99**

Amount of SIPC Coverage Committed: \$ **623,066,265.44**

(SIPC Committed of \$845,934,577.35 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 10, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,637	15.96%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	416	2.52%
Deemed Determined	28	.17%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,645,918,194.99**

Amount of SIPC Coverage Committed: \$ **623,066,265.44**

(SIPC Committed of \$845,934,577.35 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 3, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,637	15.96%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	416	2.52%
Deemed Determined	28	.17%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,645,918,194.99**

Amount of SIPC Coverage Committed: \$ **623,066,265.44**

(SIPC Committed of \$845,934,577.35 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 26, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,637	15.96%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	416	2.52%
Deemed Determined	28	.17%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,645,918,194.99**

Amount of SIPC Coverage Committed: \$ **623,066,265.44**

(SIPC Committed of \$845,934,577.35 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 19, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,637	15.96%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	416	2.52%
Deemed Determined	28	.17%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,645,918,194.99**

Amount of SIPC Coverage Committed: \$ **623,066,265.44**

(SIPC Committed of \$845,934,577.35 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 12, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,635	15.95%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	416	2.52%
Deemed Determined	30	.18%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **622,294,265.44**

(SIPC Committed of \$845,162,577.35 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 5, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,635	15.95%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	416	2.52%
Deemed Determined	30	.18%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **622,294,265.44**

(SIPC Committed of \$845,162,577.35 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 29, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,635	15.95%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	416	2.52%
Deemed Determined	30	.18%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **622,294,265.44**

(SIPC Committed of \$845,162,577.35 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 22, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,635	15.95%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	416	2.52%
Deemed Determined	30	.18%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **622,294,265.44**

(SIPC Committed of \$845,162,577.35 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 15, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,635	15.95%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	416	2.52%
Deemed Determined	30	.18%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **622,294,265.44**

(SIPC Committed of \$845,162,577.35 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 8, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,635	15.95%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	416	2.52%
Deemed Determined	30	.18%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **622,294,265.44**

(SIPC Committed of \$845,162,577.35 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 1, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,635	15.95%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	416	2.52%
Deemed Determined	30	.18%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **622,294,265.44**

(SIPC Committed of \$845,162,577.35 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 22, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,635	15.95%
Determined—No Claim	12	0.07%
Denied	2,694	16.31%
Denied— CWAA*	10,734	64.97%
Withdrawn	416	2.52%
Deemed Determined	30	.18%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **622,294,265.44**

(SIPC Committed of \$845,162,577.35 less \$222,868,311.91 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 15, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,635	15.95%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied— CWAA*	10,734	64.97%
Withdrawn	414	2.51%
Deemed Determined	30	.18%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **640,211,859.53**

(SIPC Committed of \$845,162,577.35 less \$204,950,717.82 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 8, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,635	15.95%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied— CWAA*	10,734	64.97%
Withdrawn	414	2.51%
Deemed Determined	30	.18%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **640,211,859.53**

(SIPC Committed of \$845,162,577.35 less \$204,950,717.82 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 1, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,635	15.95%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied— CWAA*	10,734	64.97%
Withdrawn	414	2.51%
Deemed Determined	30	.18%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **640,211,859.53**

(SIPC Committed of \$845,162,577.35 less \$204,950,717.82 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 25, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,635	15.95%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied— CWAA*	10,734	64.97%
Withdrawn	414	2.51%
Deemed Determined	30	.18%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **640,211,859.53**

(SIPC Committed of \$845,162,577.35 less \$204,950,717.82 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 18, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,633	15.94%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied— CWAA*	10,734	64.97%
Withdrawn	414	2.51%
Deemed Determined	32	.19%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 11, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,633	15.94%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied— CWAA*	10,734	64.97%
Withdrawn	414	2.51%
Deemed Determined	32	.19%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 4, 2019**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,633	15.94%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied— CWAA*	10,734	64.97%
Withdrawn	414	2.51%
Deemed Determined	32	.19%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 28, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,633	15.94%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied— CWAA*	10,734	64.97%
Withdrawn	414	2.51%
Deemed Determined	32	.19%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 21, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,633	15.94%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied— CWAA*	10,734	64.97%
Withdrawn	414	2.51%
Deemed Determined	32	.19%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 14, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,633	15.94%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied— CWAA*	10,734	64.97%
Withdrawn	414	2.51%
Deemed Determined	32	.19%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 7, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,633	15.94%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied— CWAA*	10,734	64.97%
Withdrawn	414	2.51%
Deemed Determined	32	.19%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 30, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,633	15.94%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied— CWAA*	10,734	64.97%
Withdrawn	414	2.51%
Deemed Determined	32	.19%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 23, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,633	15.94%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied— CWAA*	10,734	64.97%
Withdrawn	414	2.51%
Deemed Determined	32	.19%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 16, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,633	15.94%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,734	64.97%
Withdrawn	414	2.51%
Deemed Determined	32	.19%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 9, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,633	15.94%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,734	64.97%
Withdrawn	414	2.51%
Deemed Determined	32	.19%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

* Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 2, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,521	
Total Determined Claims	16,521	100.00%
Allowed	2,633	15.94%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,734	64.97%
Withdrawn	414	2.51%
Deemed Determined	32	.19%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 26, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,633	15.94%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	32	.19%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 19, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,633	15.94%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	32	.19%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 12, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,633	15.94%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	32	.19%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 5, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,633	15.94%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	32	.19%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 28, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,633	15.94%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	32	.19%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 21, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,633	15.94%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	32	.19%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 14, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,633	15.94%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	32	.19%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 7, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,633	15.94%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	32	.19%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 31, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,633	15.94%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	32	.19%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 24, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,633	15.94%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	32	.19%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 17, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,633	15.94%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	32	.19%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 10, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,633	15.94%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	32	.19%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 3, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,633	15.94%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	32	.19%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 27, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,633	15.94%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	32	.19%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 20, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,633	15.94%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	32	.19%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,643,896,491.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 13, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,632	15.93%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	33	.21%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,142,162,153.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 6, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,632	15.93%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	33	.21%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,142,162,153.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 29, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,632	15.93%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	33	.21%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,142,162,153.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 22, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,632	15.93%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	33	.21%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,142,162,153.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 15, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,632	15.93%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	33	.21%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,142,162,153.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 8, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,632	15.93%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	33	.21%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,142,162,153.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 1, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,632	15.93%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	33	.21%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,142,162,153.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 25, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,632	15.93%
Determined—CWAA*	12	0.07%
Denied	2,696	16.32%
Denied—Third Party	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	33	.21%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,142,162,153.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 18, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,632	15.93%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	33	.21%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,142,162,153.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 11, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,632	15.93%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	33	.21%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,142,162,153.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 4, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,632	15.93%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	33	.21%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,142,162,153.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 27, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,632	15.93%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	33	.21%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,142,162,153.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 20, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,632	15.93%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	33	.21%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,142,162,153.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 20, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,632	15.93%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—Third Party	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	33	.21%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **17,142,162,153.62**

Amount of SIPC Coverage Committed: \$ **639,967,156.16**

(SIPC Committed of \$844,917,873.98 less \$204,950,717.82 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 13, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,630	15.92%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	35	.21%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **16,903,919,703.62**

Amount of SIPC Coverage Committed: \$ **639,362,156.16**

(SIPC Committed of \$844,312,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 6, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,630	15.92%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	35	.21%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **16,903,919,703.62**

Amount of SIPC Coverage Committed: \$ **639,362,156.16**

(SIPC Committed of \$844,312,873.98 less \$204,950,717.82 subrogation payment)

*Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 30, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,630	15.92%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	35	.21%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **16,903,919,703.62**

Amount of SIPC Coverage Committed: \$ **639,362,156.16**

(SIPC Committed of \$844,312,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 23, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,630	15.92%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	35	.21%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **16,903,919,703.62**

Amount of SIPC Coverage Committed: \$ **639,362,156.16**

(SIPC Committed of \$844,312,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 16, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,630	15.92%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	35	.21%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **16,903,919,703.62**

Amount of SIPC Coverage Committed: \$ **639,362,156.16**

(SIPC Committed of \$844,312,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 9, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,630	15.92%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	35	.21%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **16,903,919,703.62**

Amount of SIPC Coverage Committed: \$ **639,362,156.16**

(SIPC Committed of \$844,312,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 2, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,630	15.92%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	35	.21%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **16,903,919,703.62**

Amount of SIPC Coverage Committed: \$ **639,362,156.16**

(SIPC Committed of \$844,312,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 23, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,630	15.92%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	35	.21%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **16,903,919,703.62**

Amount of SIPC Coverage Committed: \$ **639,362,156.16**

(SIPC Committed of \$844,312,873.98 less \$204,950,717.82 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 16, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,626	15.85%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	39	.28%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **16,891,632,482.62**

Amount of SIPC Coverage Committed: \$ **662,166,372.90**

(SIPC Committed of \$843,335,652.98 less \$181,169,280.08 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 9, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,626	15.85%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	39	.28%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **16,891,632,482.62**

Amount of SIPC Coverage Committed: \$ **662,166,372.90**

(SIPC Committed of \$843,335,652.98 less \$181,169,280.08 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 2, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,626	15.85%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	39	.28%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **16,891,632,482.62**

Amount of SIPC Coverage Committed: \$ **662,166,372.90**

(SIPC Committed of \$843,335,652.98 less \$181,169,280.08 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 26, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,626	15.85%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	39	.28%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **16,891,632,482.62**

Amount of SIPC Coverage Committed: \$ **662,166,372.90**

(SIPC Committed of \$843,335,652.98 less \$181,169,280.08 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 19, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,626	15.85%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	39	.28%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **16,891,632,482.62**

Amount of SIPC Coverage Committed: \$ **662,166,372.90**

(SIPC Committed of \$843,335,652.98 less \$181,169,280.08 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 12, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,625	15.89%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	40	.24%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **16,891,632,482.62**

Amount of SIPC Coverage Committed: \$ **661,681,732.90**

(SIPC Committed of \$842,850,652.98 less \$181,169,280.08 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 5, 2018**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,625	15.89%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—CWAA*	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	40	.24%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **16,891,632,482.62**

Amount of SIPC Coverage Committed: \$ **661,681,732.90**

(SIPC Committed of \$842,850,652.98 less \$181,169,280.08 subrogation payment)

*Denotes—Claimants Without An Account

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 29, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,625	15.89%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—Third Party	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	40	.24%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **16,891,632,482.62**

Amount of SIPC Coverage Committed: \$ **661,681,732.90**

(SIPC Committed of \$842,850,652.98 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 22, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,625	15.89%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—Third Party	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	40	.24%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **16,891,632,482.62**

Amount of SIPC Coverage Committed: \$ **661,681,732.90**

(SIPC Committed of \$842,850,652.98 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 15, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,625	15.89%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—Third Party	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	40	.24%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **16,891,632,482.62**

Amount of SIPC Coverage Committed: \$ **661,681,732.90**

(SIPC Committed of \$842,850,652.98 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 8, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,625	15.89%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—Third Party	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	40	.24%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **16,891,632,482.62**

Amount of SIPC Coverage Committed: \$ **661,681,732.90**

(SIPC Committed of \$842,850,652.98 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 1, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,625	15.89%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—Third Party	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	40	.24%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **16,891,632,482.62**

Amount of SIPC Coverage Committed: \$ **661,681,732.90**

(SIPC Committed of \$842,850,652.98 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 24, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,625	15.89%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—Third Party	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	40	.24%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	16,891,632,482.62
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Amount of SIPC Coverage Committed:	\$	661,681,732.90
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(SIPC Committed of \$842,850,652.98 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 17, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,625	15.89%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—Third Party	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	40	.24%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **16,891,632,482.62**

Amount of SIPC Coverage Committed: \$ **661,681,732.90**

(SIPC Committed of \$842,850,652.98 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 10, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,625	15.89%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—Third Party	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	40	.24%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	16,891,632,482.62
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Amount of SIPC Coverage Committed:	\$	661,681,732.90
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(SIPC Committed of \$842,850,652.98 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 3, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,625	15.89%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—Third Party	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	40	.24%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	16,891,632,482.62
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Amount of SIPC Coverage Committed:	\$	661,681,732.90
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(SIPC Committed of \$842,850,652.98 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 27, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,619	15.85%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—Third Party	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	46	.28%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,887,627,836.38**

Amount of SIPC Coverage Committed: \$ **659,786,631.37**

(SIPC Committed of \$840,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 20, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,619	15.85%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—Third Party	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	46	.28%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,887,627,836.38**

Amount of SIPC Coverage Committed: \$ **659,786,631.37**

(SIPC Committed of \$840,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 13, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,619	15.85%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—Third Party	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	46	.28%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,887,627,836.38**

Amount of SIPC Coverage Committed: \$ **659,786,631.37**

(SIPC Committed of \$840,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 6, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,619	15.85%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—Third Party	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	46	.28%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,887,627,836.38**

Amount of SIPC Coverage Committed: \$ **659,786,631.37**

(SIPC Committed of \$840,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 29, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,619	15.85%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—Third Party	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	46	.28%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,887,627,836.38**

Amount of SIPC Coverage Committed: \$ **659,786,631.37**

(SIPC Committed of \$840,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 22, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,619	15.85%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—Third Party	10,732	64.97%
Withdrawn	414	2.51%
Deemed Determined	46	.28%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,887,627,836.38**

Amount of SIPC Coverage Committed: \$ **659,786,631.37**

(SIPC Committed of \$840,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 15, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,619	15.85%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—Third Party	10,732	64.97%
Withdrawn	409	2.48%
Deemed Determined	51	.31%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	15,887,627,836.38
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Amount of SIPC Coverage Committed:	\$	659,786,631.37
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(SIPC Committed of \$840,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 8, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,619	15.85%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—Third Party	10,732	64.97%
Withdrawn	409	2.48%
Deemed Determined	51	.31%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	15,887,627,836.38
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Amount of SIPC Coverage Committed:	\$	659,786,631.37
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(SIPC Committed of \$840,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 1, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,619	15.85%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—Third Party	10,732	64.97%
Withdrawn	409	2.48%
Deemed Determined	51	.31%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,887,627,836.38**

Amount of SIPC Coverage Committed: \$ **659,786,631.37**

(SIPC Committed of \$840,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 25, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,619	15.85%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—Third Party	10,732	64.97%
Withdrawn	409	2.48%
Deemed Determined	51	.31%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,887,627,836.38**

Amount of SIPC Coverage Committed: \$ **659,786,631.37**

(SIPC Committed of \$840,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 18, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,619	15.85%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—Third Party	10,732	64.97%
Withdrawn	409	2.48%
Deemed Determined	51	.31%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,887,627,836.38**

Amount of SIPC Coverage Committed: \$ **659,786,631.37**

(SIPC Committed of \$840,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 11, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,619	15.85%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—Third Party	10,732	64.97%
Withdrawn	409	2.48%
Deemed Determined	51	.31%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	15,887,627,836.38
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Amount of SIPC Coverage Committed:	\$	659,786,631.37
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(SIPC Committed of \$840,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 4, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,619	15.85%
Determined—No Claim	12	0.07%
Denied	2,696	16.32%
Denied—Third Party	10,732	64.97%
Withdrawn	409	2.48%
Deemed Determined	51	.31%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	15,887,627,836.38
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Amount of SIPC Coverage Committed:	\$	659,786,631.37
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(SIPC Committed of \$840,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 28, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,612	15.81%
Determined—No Claim	12	0.07%
Denied	2,699	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	409	2.48%
Deemed Determined	55	.33%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,086,026,326.38**

Amount of SIPC Coverage Committed: \$ **658,786,631.37**

(SIPC Committed of \$839,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 21, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,612	15.81%
Determined—No Claim	12	0.07%
Denied	2,699	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	409	2.48%
Deemed Determined	55	.33%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,086,026,326.38**

Amount of SIPC Coverage Committed: \$ **658,786,631.37**

(SIPC Committed of \$839,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 14, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,612	15.81%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	408	2.47%
Deemed Determined	55	.33%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	15,086,026,326.38
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Amount of SIPC Coverage Committed:	\$	658,786,631.37
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(SIPC Committed of \$839,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 7, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,612	15.81%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	408	2.47%
Deemed Determined	55	.33%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,086,026,326.38**

Amount of SIPC Coverage Committed: \$ **658,786,631.37**

(SIPC Committed of \$839,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 30, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,612	15.81%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	408	2.47%
Deemed Determined	55	.33%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	15,086,026,326.38
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Amount of SIPC Coverage Committed:	\$	658,786,631.37
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(SIPC Committed of \$839,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 23, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,612	15.81%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	408	2.47%
Deemed Determined	55	.33%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	15,086,026,326.38
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Amount of SIPC Coverage Committed:	\$	658,786,631.37
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(SIPC Committed of \$839,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 16, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,612	15.81%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	408	2.47%
Deemed Determined	55	.33%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	15,086,026,326.38
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Amount of SIPC Coverage Committed:	\$	658,786,631.37
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(SIPC Committed of \$839,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 9, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,612	15.81%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	408	2.47%
Deemed Determined	55	.33%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,086,026,326.38**

Amount of SIPC Coverage Committed: \$ **658,786,631.37**

(SIPC Committed of \$839,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 2, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,612	15.81%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	408	2.47%
Deemed Determined	55	.33%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	15,086,026,326.38
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Amount of SIPC Coverage Committed:	\$	658,786,631.37
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(SIPC Committed of \$839,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 26, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,612	15.81%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	408	2.47%
Deemed Determined	55	.33%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	15,086,026,326.38
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Amount of SIPC Coverage Committed:	\$	658,786,631.37
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(SIPC Committed of \$839,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 19, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,612	15.81%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	408	2.47%
Deemed Determined	55	.33%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	15,086,026,326.38
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Amount of SIPC Coverage Committed:	\$	658,786,631.37
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(SIPC Committed of \$839,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 12, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,612	15.81%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	408	2.47%
Deemed Determined	55	.33%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	15,086,026,326.38
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Amount of SIPC Coverage Committed:	\$	658,786,631.37
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(SIPC Committed of \$839,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 6, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,612	15.81%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	56	.34%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,086,026,326.38**

Amount of SIPC Coverage Committed: \$ **658,786,631.37**

(SIPC Committed of \$839,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 28, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,612	15.81%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	56	.34%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,086,026,326.38**

Amount of SIPC Coverage Committed: \$ **658,786,631.37**

(SIPC Committed of \$839,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 21, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,612	15.81%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	56	.34%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,086,026,326.38**

Amount of SIPC Coverage Committed: \$ **658,786,631.37**

(SIPC Committed of \$839,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 14, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,612	15.81%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	56	.34%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,086,026,326.38**

Amount of SIPC Coverage Committed: \$ **658,786,631.37**

(SIPC Committed of \$839,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 7, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,612	15.81%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	56	.34%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,086,026,326.38**

Amount of SIPC Coverage Committed: \$ **658,786,631.37**

(SIPC Committed of \$839,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 31, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,612	15.81%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	56	.34%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	15,086,026,326.38
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Amount of SIPC Coverage Committed:	\$	658,786,631.37
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(SIPC Committed of \$839,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 24, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,612	15.81%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	56	.34%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,086,026,326.38**

Amount of SIPC Coverage Committed: \$ **658,786,631.37**

(SIPC Committed of \$839,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 17, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,612	15.81%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	56	.34%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,086,026,326.38**

Amount of SIPC Coverage Committed: \$ **658,786,631.37**

(SIPC Committed of \$839,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 10, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,612	15.81%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	56	.34%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	15,086,026,326.38
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Amount of SIPC Coverage Committed:	\$	658,786,631.37
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(SIPC Committed of \$839,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 3, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,612	15.81%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	56	.34%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,086,026,326.38**

Amount of SIPC Coverage Committed: \$ **658,786,631.37**

(SIPC Committed of \$839,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 24, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,612	15.81%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	56	.34%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,086,026,326.38**

Amount of SIPC Coverage Committed: \$ **658,786,631.37**

(SIPC Committed of \$839,955,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 17, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,611	15.81%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	57	.35%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,086,006,326.38**

Amount of SIPC Coverage Committed: \$ **658,766,631.37**

(SIPC Committed of \$839,935,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 10, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,611	15.81%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	57	.35%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,086,006,326.38**

Amount of SIPC Coverage Committed: \$ **658,766,631.37**

(SIPC Committed of \$839,935,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 2, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,611	15.81%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	57	.35%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,086,006,326.38**

Amount of SIPC Coverage Committed: \$ **658,766,631.37**

(SIPC Committed of \$839,935,911.45 less \$181,169,280.08 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 27, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,611	15.81%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	57	.35%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,086,006,326.38**

Amount of SIPC Coverage Committed: \$ **669,102,083.87**

(SIPC Committed of \$839,935,911.45 less \$170,833,827.58 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 20, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,609	15.79%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	59	.36%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,085,766,326.38**

Amount of SIPC Coverage Committed: \$ **668,862,083.87**

(SIPC Committed of \$839,695,911.45 less \$170,833,827.58 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 13, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,609	15.79%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	59	.36%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,085,766,326.38**

Amount of SIPC Coverage Committed: \$ **668,862,083.87**

(SIPC Committed of \$839,695,911.45 less \$170,833,827.58 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 6, 2017**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,609	15.79%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	59	.36%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,085,766,326.38**

Amount of SIPC Coverage Committed: \$ **668,862,083.87**

(SIPC Committed of \$839,695,911.45 less \$170,833,827.58 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 30, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,609	15.79%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	59	.36%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	15,085,766,326.38
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Amount of SIPC Coverage Committed:	\$	668,862,083.87
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(SIPC Committed of \$839,695,911.45 less \$170,833,827.58 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 23, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,608	15.79%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	60	.36%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,085,701,117.96**

Amount of SIPC Coverage Committed: \$ **668,796,875.45**

(SIPC Committed of \$839,630,703.03 less \$170,833,827.58 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 16, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,608	15.79%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	60	.36%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,085,701,117.96**

Amount of SIPC Coverage Committed: \$ **668,796,875.45**

(SIPC Committed of \$839,630,703.03 less \$170,833,827.58 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 9, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,608	15.79%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	60	.36%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	15,085,701,117.96
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Amount of SIPC Coverage Committed:	\$	668,796,875.45
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(SIPC Committed of \$839,630,703.03 less \$170,833,827.58 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 2, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,080,002,679.37**

Amount of SIPC Coverage Committed: \$ **665,799,246.05**

(SIPC Committed of \$836,633,073.63 less \$190,247,308.61 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 25, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,080,002,679.37**

Amount of SIPC Coverage Committed: \$ **665,799,246.05**

(SIPC Committed of \$836,633,073.63 less \$190,247,308.61 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 18, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	15,080,002,679.37
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Amount of SIPC Coverage Committed:	\$	665,799,246.05
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(SIPC Committed of \$836,633,073.63 less \$190,247,308.61 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 11, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,080,002,679.37**

Amount of SIPC Coverage Committed: \$ **665,799,246.05**

(SIPC Committed of \$836,633,073.63 less \$190,247,308.61 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 4, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,080,002,679.37**

Amount of SIPC Coverage Committed: \$ **665,799,246.05**

(SIPC Committed of \$836,633,073.63 less \$190,247,308.61 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 28, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	15,080,002,679.37
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Amount of SIPC Coverage Committed:	\$	665,799,246.05
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(SIPC Committed of \$836,633,073.63 less \$190,247,308.61 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 21, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,080,002,679.37**

Amount of SIPC Coverage Committed: \$ **665,799,246.05**

(SIPC Committed of \$836,633,073.63 less \$190,247,308.61 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 14, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,080,002,679.37**

Amount of SIPC Coverage Committed: \$ **665,799,246.05**

(SIPC Committed of \$836,633,073.63 less \$190,247,308.61 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 7, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	15,080,002,679.37
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Amount of SIPC Coverage Committed:	\$	665,799,246.05
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(SIPC Committed of \$836,633,073.63 less \$190,247,308.61 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 30, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,080,002,679.37**

Amount of SIPC Coverage Committed: \$ **665,799,246.05**

(SIPC Committed of \$836,633,073.63 less \$190,247,308.61 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 23, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	15,080,002,679.37
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Amount of SIPC Coverage Committed:	\$	665,799,246.05
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(SIPC Committed of \$836,633,073.63 less \$190,247,308.61 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 16, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,080,002,679.37**

Amount of SIPC Coverage Committed: \$ **665,799,246.05**

(SIPC Committed of \$836,633,073.63 less \$190,247,308.61 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 9, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,080,002,679.37**

Amount of SIPC Coverage Committed: \$ **665,799,246.05**

(SIPC Committed of \$836,633,073.63 less \$190,247,308.61 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 2, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,080,002,679.37**

Amount of SIPC Coverage Committed: \$ **665,799,246.05**

(SIPC Committed of \$836,633,073.63 less \$190,247,308.61 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 26, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,080,002,679.37**

Amount of SIPC Coverage Committed: \$ **665,799,246.05**

(SIPC Committed of \$836,633,073.63 less \$190,247,308.61 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 19, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,080,002,679.37**

Amount of SIPC Coverage Committed: \$ **665,799,246.05**

(SIPC Committed of \$836,633,073.63 less \$190,247,308.61 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 12, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	15,080,002,679.37
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Amount of SIPC Coverage Committed:	\$	665,799,246.05
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(SIPC Committed of \$836,633,073.63 less \$190,247,308.61 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 5, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,080,002,679.37**

Amount of SIPC Coverage Committed: \$ **665,799,246.05**

(SIPC Committed of \$836,633,073.63 less \$190,247,308.61 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 29, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,080,002,679.37**

Amount of SIPC Coverage Committed: \$ **665,799,246.05**

(SIPC Committed of \$836,633,073.63 less \$190,247,308.61 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 22, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	15,080,002,679.37
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Amount of SIPC Coverage Committed:	\$	665,799,246.05
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(SIPC Committed of \$836,633,073.63 less \$190,247,308.61 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 15, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,080,002,679.37**

Amount of SIPC Coverage Committed: \$ **665,799,246.05**

(SIPC Committed of \$836,633,073.63 less \$190,247,308.61 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 8, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,080,002,679.37**

Amount of SIPC Coverage Committed: \$ **665,799,246.05**

(SIPC Committed of \$836,633,073.63 less \$190,247,308.61 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 1, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,080,002,679.37**

Amount of SIPC Coverage Committed: \$ **665,799,246.05**

(SIPC Committed of \$836,633,073.63 less \$190,247,308.61 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 24, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,080,002,679.37**

Amount of SIPC Coverage Committed: \$ **673,108,575.97**

(SIPC Committed of \$836,633,073.63 less \$163,524,497.66 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 17, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,080,002,679.37**

Amount of SIPC Coverage Committed: \$ **673,108,575.97**

(SIPC Committed of \$836,633,073.63 less \$163,524,497.66 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 10, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,080,002,679.37**

Amount of SIPC Coverage Committed: \$ **673,108,575.97**

(SIPC Committed of \$836,633,073.63 less \$163,524,497.66 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 3, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,080,002,679.37**

Amount of SIPC Coverage Committed: \$ **673,108,575.97**

(SIPC Committed of \$836,633,073.63 less \$163,524,497.66 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 27, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,080,002,679.37**

Amount of SIPC Coverage Committed: \$ **673,108,575.97**

(SIPC Committed of \$836,633,073.63 less \$163,524,497.66 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 20, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,080,002,679.37**

Amount of SIPC Coverage Committed: \$ **673,108,575.97**

(SIPC Committed of \$836,633,073.63 less \$163,524,497.66 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 13, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,080,002,679.37**

Amount of SIPC Coverage Committed: \$ **673,108,575.97**

(SIPC Committed of \$836,633,073.63 less \$163,524,497.66 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 6, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	15,080,002,679.37
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Amount of SIPC Coverage Committed:	\$	673,108,575.97
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(SIPC Committed of \$836,633,073.63 less \$163,524,497.66 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 29, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,597	15.72%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	71	.43%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,080,002,679.37**

Amount of SIPC Coverage Committed: \$ **673,108,575.97**

(SIPC Committed of \$836,633,073.63 less \$163,524,497.66 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 22, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,594	15.70%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	74	.45%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	15,079,891,831.76
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Amount of SIPC Coverage Committed:	\$	672,997,728.32
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(SIPC Committed of \$836,522,225.98 less \$163,524,497.66 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 15, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,594	15.70%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	74	.45%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,079,891,831.76**

Amount of SIPC Coverage Committed: \$ **672,997,728.32**

(SIPC Committed of \$836,522,225.98 less \$163,524,497.66 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 8, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,594	15.70%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	74	.45%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,079,891,831.76**

Amount of SIPC Coverage Committed: \$ **672,997,728.32**

(SIPC Committed of \$836,522,225.98 less \$163,524,497.66 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 1, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,594	15.70%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	74	.45%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,079,891,831.76**

Amount of SIPC Coverage Committed: \$ **672,997,728.32**

(SIPC Committed of \$836,522,225.98 less \$163,524,497.66 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 25, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,594	15.70%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	74	.45%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,079,891,831.76**

Amount of SIPC Coverage Committed: \$ **672,997,728.32**

(SIPC Committed of \$836,522,225.98 less \$163,524,497.66 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 18, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,594	15.70%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	74	.45%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,079,891,831.76**

Amount of SIPC Coverage Committed: \$ **672,997,728.32**

(SIPC Committed of \$836,522,225.98 less \$163,524,497.66 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 11, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,594	15.70%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	74	.45%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,079,891,831.76**

Amount of SIPC Coverage Committed: \$ **672,997,728.32**

(SIPC Committed of \$836,522,225.98 less \$163,524,497.66 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 4, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,594	15.70%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	74	.45%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,079,891,831.76**

Amount of SIPC Coverage Committed: \$ **672,997,728.32**

(SIPC Committed of \$836,522,225.98 less \$163,524,497.66 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 26, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,594	15.70%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	407	2.46%
Deemed Determined	74	.45%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	15,079,891,831.76
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Amount of SIPC Coverage Committed:	\$	672,997,728.32
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(SIPC Committed of \$836,522,225.98 less \$163,524,497.66 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 19, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,585	15.65%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	406	2.46%
Deemed Determined	84	.51%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,072,628,363.25**

Amount of SIPC Coverage Committed: \$ **671,219,662.50**

(SIPC Committed of \$834,744,160.16 less \$163,524,497.66 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 12, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,585	15.65%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	406	2.46%
Deemed Determined	84	.51%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,072,628,363.25**

Amount of SIPC Coverage Committed: \$ **671,219,662.50**

(SIPC Committed of \$834,744,160.16 less \$163,524,497.66 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 5, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,585	15.65%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	406	2.46%
Deemed Determined	84	.51%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,072,628,363.25**

Amount of SIPC Coverage Committed: \$ **671,219,662.50**

(SIPC Committed of \$834,744,160.16 less \$163,524,497.66 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 29, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,584	15.64%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	402	2.43%
Deemed Determined	89	.54%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,077,312,373.24**

Amount of SIPC Coverage Committed: \$ **708,913,527.32**

(SIPC Committed of \$834,244,160.16 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 22, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,584	15.64%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	402	2.43%
Deemed Determined	89	.54%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,077,312,373.24**

Amount of SIPC Coverage Committed: \$ **708,913,527.32**

(SIPC Committed of \$834,244,160.16 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 15, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,584	15.64%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	402	2.43%
Deemed Determined	89	.54%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **15,077,312,373.24**

Amount of SIPC Coverage Committed: \$ **708,913,527.32**

(SIPC Committed of \$834,244,160.16 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 8, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,584	15.64%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	402	2.43%
Deemed Determined	89	.54%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	15,077,312,373.24
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Amount of SIPC Coverage Committed:	\$	708,913,527.32
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(SIPC Committed of \$834,244,160.16 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 1, 2016**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,579	15.61%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	402	2.43%
Deemed Determined	94	.57%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	14,890,408,899.00
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Amount of SIPC Coverage Committed:	\$	707,913,527.32
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(SIPC Committed of \$833,244,160.16 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 25, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,579	15.61%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	402	2.43%
Deemed Determined	94	.57%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	14,890,408,899.00
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Amount of SIPC Coverage Committed:	\$	707,913,527.32
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(SIPC Committed of \$833,244,160.16 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 18, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,579	15.61%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	402	2.43%
Deemed Determined	94	.57%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	14,890,408,899.00
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Amount of SIPC Coverage Committed:	\$	707,913,527.32
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(SIPC Committed of \$833,244,160.16 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 11, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,579	15.61%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	402	2.43%
Deemed Determined	94	.57%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **14,890,408,899.00**

Amount of SIPC Coverage Committed: \$ **707,913,527.32**

(SIPC Committed of \$833,244,160.16 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 4, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,579	15.61%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	402	2.43%
Deemed Determined	94	.57%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	14,890,408,899.00
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Amount of SIPC Coverage Committed:	\$	707,913,527.32
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(SIPC Committed of \$833,244,160.16 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 27, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,573	15.58%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	402	2.43%
Deemed Determined	100	.61%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	14,870,073,492.93
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Amount of SIPC Coverage Committed:	\$	704,913,527.32
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(SIPC Committed of \$830,244,160.16 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 20, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,573	15.58%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	402	2.43%
Deemed Determined	100	.61%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **14,870,073,492.93**

Amount of SIPC Coverage Committed: \$ **704,913,527.32**

(SIPC Committed of \$830,244,160.16 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 13, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,564	15.52%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	402	2.43%
Deemed Determined	109	.67%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **14,861,870,989.70**

Amount of SIPC Coverage Committed: \$ **702,359,856.84**

(SIPC Committed of \$827,690,489.68 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 6, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,564	15.52%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	402	2.43%
Deemed Determined	109	.67%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	14,861,870,989.70
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Amount of SIPC Coverage Committed:	\$	702,359,856.84
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(SIPC Committed of \$827,690,489.68 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 30, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,564	15.52%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	402	2.43%
Deemed Determined	109	.67%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **14,861,870,989.70**

Amount of SIPC Coverage Committed: \$ **702,359,856.84**

(SIPC Committed of \$827,690,489.68 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 23, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,564	15.52%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	402	2.43%
Deemed Determined	109	.67%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **14,861,870,989.70**

Amount of SIPC Coverage Committed: \$ **702,359,856.84**

(SIPC Committed of \$827,690,489.68 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 16, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,563	15.52%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	402	2.43%
Deemed Determined	110	.67%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **14,858,420,989.70**

Amount of SIPC Coverage Committed: \$ **701,859,856.84**

(SIPC Committed of \$827,190,489.68 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 9, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,563	15.52%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	402	2.43%
Deemed Determined	110	.67%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	14,858,420,989.70
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Amount of SIPC Coverage Committed:	\$	701,859,856.84
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(SIPC Committed of \$827,190,489.68 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 2, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,563	15.52%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,732	64.97%
Withdrawn	402	2.43%
Deemed Determined	110	.67%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	14,858,420,989.70
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Amount of SIPC Coverage Committed:	\$	701,859,856.84
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(SIPC Committed of \$827,190,489.68 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 25, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,563	15.52%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,065	60.93%
Withdrawn	1,069	6.47%
Deemed Determined	110	.67%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **14,858,420,989.70**

Amount of SIPC Coverage Committed: \$ **701,859,856.84**

(SIPC Committed of \$827,190,489.68 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 18, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,560	15.50%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,065	60.93%
Withdrawn	1,069	6.47%
Deemed Determined	113	.68%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **14,845,795,194.04**

Amount of SIPC Coverage Committed: \$ **700,359,856.84**

(SIPC Committed of \$825,690,489.68 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 11, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,560	15.50%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,065	60.93%
Withdrawn	1,069	6.47%
Deemed Determined	113	.68%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **14,845,795,194.04**

Amount of SIPC Coverage Committed: \$ **700,359,856.84**

(SIPC Committed of \$825,690,489.68 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 4, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,560	15.50%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,065	60.93%
Withdrawn	1,069	6.47%
Deemed Determined	113	.68%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	14,845,795,194.04
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Amount of SIPC Coverage Committed:	\$	700,359,856.84
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(SIPC Committed of \$825,690,489.68 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 28, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,560	15.50%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,065	60.93%
Withdrawn	1,069	6.47%
Deemed Determined	113	.68%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **14,845,795,194.04**

Amount of SIPC Coverage Committed: \$ **700,359,856.84**

(SIPC Committed of \$825,690,489.68 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 21, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,560	15.50%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,065	60.93%
Withdrawn	1,069	6.47%
Deemed Determined	113	.68%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **14,845,795,194.04**

Amount of SIPC Coverage Committed: \$ **700,359,856.84**

(SIPC Committed of \$825,690,489.68 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 14, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,560	15.50%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,065	60.93%
Withdrawn	1,069	6.47%
Deemed Determined	113	.68%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **14,845,795,194.04**

Amount of SIPC Coverage Committed: \$ **700,359,856.84**

(SIPC Committed of \$825,690,489.68 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 7, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,559	15.49%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,126	61.30%
Withdrawn	1,008	6.10%
Deemed Determined	114	.69%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **14,459,618,374.67**

Amount of SIPC Coverage Committed: \$ **700,204,517.50**

(SIPC Committed of \$825,535,150.34 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 31, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,559	15.49%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,126	61.30%
Withdrawn	1,008	6.10%
Deemed Determined	114	.69%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **14,459,618,374.67**

Amount of SIPC Coverage Committed: \$ **700,204,517.50**

(SIPC Committed of \$825,535,150.34 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 24, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,559	15.49%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,126	61.30%
Withdrawn	1,008	6.10%
Deemed Determined	114	.69%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **14,459,618,374.67**

Amount of SIPC Coverage Committed: \$ **700,204,517.50**

(SIPC Committed of \$825,535,150.34 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 17, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,559	15.49%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,126	61.30%
Withdrawn	1,008	6.10%
Deemed Determined	114	.69%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **14,459,618,374.67**

Amount of SIPC Coverage Committed: \$ **700,204,517.50**

(SIPC Committed of \$825,535,150.34 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 10, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,557	15.48%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,144	61.41%
Withdrawn	990	5.99%
Deemed Determined	116	.70%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **14,091,721,539.45**

Amount of SIPC Coverage Committed: \$ **700,204,517.50**

(SIPC Committed of \$825,535,150.34 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 3, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,557	15.48%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,144	61.41%
Withdrawn	990	5.99%
Deemed Determined	116	.70%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **14,091,721,539.45**

Amount of SIPC Coverage Committed: \$ **700,204,517.50**

(SIPC Committed of \$825,535,150.34 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 26, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,557	15.48%
Determined—No Claim	12	0.07%
Denied	2,700	16.34%
Denied—Third Party	10,144	61.41%
Withdrawn	990	5.99%
Deemed Determined	116	.70%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **14,091,721,539.45**

Amount of SIPC Coverage Committed: \$ **700,204,517.50**

(SIPC Committed of \$825,535,150.34 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 19, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,557	15.48%
Determined—No Claim	12	0.07%
Denied	2,699	16.34%
Denied—Third Party	10,184	62.65%
Withdrawn	950	5.75%
Deemed Determined	117	.71%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **14,091,721,539.45**

Amount of SIPC Coverage Committed: \$ **700,204,517.50**

(SIPC Committed of \$825,535,150.34 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 12, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,557	15.48%
Determined—No Claim	12	0.07%
Denied	2,699	16.34%
Denied—Third Party	10,184	62.65%
Withdrawn	950	5.75%
Deemed Determined	117	.71%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **14,091,721,539.45**

Amount of SIPC Coverage Committed: \$ **700,204,517.50**

(SIPC Committed of \$825,535,150.34 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 5, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,557	15.48%
Determined—No Claim	12	0.07%
Denied	2,699	16.34%
Denied—Third Party	10,184	62.65%
Withdrawn	950	5.75%
Deemed Determined	117	.71%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **14,091,721,539.45**

Amount of SIPC Coverage Committed: \$ **700,204,517.50**

(SIPC Committed of \$825,535,150.34 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 29, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,557	15.48%
Determined—No Claim	12	0.07%
Denied	2,699	16.34%
Denied—Third Party	10,184	62.65%
Withdrawn	950	5.75%
Deemed Determined	117	.71%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **14,091,721,539.45**

Amount of SIPC Coverage Committed: \$ **700,204,517.50**

(SIPC Committed of \$825,535,150.34 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 22, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,557	15.48%
Determined—No Claim	12	0.07%
Denied	2,699	16.34%
Denied—Third Party	10,184	62.65%
Withdrawn	950	5.75%
Deemed Determined	117	.71%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **14,091,721,539.45**

Amount of SIPC Coverage Committed: \$ **700,204,517.50**

(SIPC Committed of \$825,535,150.34 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 15, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,556	15.47%
Determined—No Claim	12	0.07%
Denied	2,699	16.34%
Denied—Third Party	10,266	62.15%
Withdrawn	868	5.25%
Deemed Determined	118	.71%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **14,091,235,668.92**

Amount of SIPC Coverage Committed: \$ **699,718,646.98**

(SIPC Committed of \$825,049,279.82 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 8, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,556	15.47%
Determined—No Claim	12	0.07%
Denied	2,699	16.34%
Denied—Third Party	10,266	62.15%
Withdrawn	868	5.25%
Deemed Determined	118	.71%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **14,091,235,668.92**

Amount of SIPC Coverage Committed: \$ **699,718,646.98**

(SIPC Committed of \$825,049,279.82 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 1, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,555	15.47%
Determined—No Claim	12	0.07%
Denied	2,699	16.34%
Denied—Third Party	10,266	62.15%
Withdrawn	868	5.25%
Deemed Determined	119	.72%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **13,568,395,668.92**

Amount of SIPC Coverage Committed: \$ **699,218,646.98**

(SIPC Committed of \$824,549,279.82 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 24, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,552	15.45%
Determined—No Claim	12	0.07%
Denied	2,699	16.34%
Denied—Third Party	10,266	62.15%
Withdrawn	868	5.25%
Deemed Determined	122	.74%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **13,568,096,668.92**

Amount of SIPC Coverage Committed: \$ **698,919,646.98**

(SIPC Committed of \$824,250,279.82 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 17, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,552	15.45%
Determined—No Claim	12	0.07%
Denied	2,699	16.34%
Denied—Third Party	10,266	62.15%
Withdrawn	868	5.25%
Deemed Determined	122	.74%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **13,568,096,668.92**

Amount of SIPC Coverage Committed: \$ **698,919,646.98**

(SIPC Committed of \$824,250,279.82 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 10, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,552	15.45%
Determined—No Claim	12	0.07%
Denied	2,699	16.34%
Denied—Third Party	10,424	63.10%
Withdrawn	710	4.30%
Deemed Determined	122	.74%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **13,568,096,668.92**

Amount of SIPC Coverage Committed: \$ **698,919,646.98**

(SIPC Committed of \$824,250,279.82 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 3, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,551	15.44%
Determined—No Claim	12	0.07%
Denied	2,699	16.34%
Denied—Third Party	10,424	63.10%
Withdrawn	710	4.30%
Deemed Determined	123	.74%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **13,338,056,668.92**

Amount of SIPC Coverage Committed: \$ **698,419,646.98**

(SIPC Committed of \$823,750,279.82 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 27, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,551	15.44%
Determined—No Claim	12	0.07%
Denied	2,699	16.34%
Denied—Third Party	10,424	63.10%
Withdrawn	710	4.30%
Deemed Determined	123	.74%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **13,338,056,668.92**

Amount of SIPC Coverage Committed: \$ **698,419,646.98**

(SIPC Committed of \$823,750,279.82 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 20, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,551	15.44%
Determined—No Claim	12	0.07%
Denied	2,699	16.34%
Denied—Third Party	10,424	63.10%
Withdrawn	710	4.30%
Deemed Determined	123	.74%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **13,338,056,668.92**

Amount of SIPC Coverage Committed: \$ **698,419,646.98**

(SIPC Committed of \$823,750,279.82 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 13, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,551	15.44%
Determined—No Claim	12	0.07%
Denied	2,699	16.34%
Denied—Third Party	10,424	63.10%
Withdrawn	710	4.30%
Deemed Determined	123	.74%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **13,338,056,668.92**

Amount of SIPC Coverage Committed: \$ **698,419,646.98**

(SIPC Committed of \$823,750,279.82 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 6, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,551	15.44%
Determined—No Claim	12	0.07%
Denied	2,699	16.34%
Denied—Third Party	10,424	63.10%
Withdrawn	710	4.30%
Deemed Determined	123	.74%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **13,338,030,217.72**

Amount of SIPC Coverage Committed: \$ **698,393,195.78**

(SIPC Committed of \$823,723,828.62 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 27, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,551	15.44%
Determined—No Claim	12	0.07%
Denied	2,699	16.34%
Denied—Third Party	10,424	63.10%
Withdrawn	710	4.30%
Deemed Determined	123	.74%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **13,338,030,217.72**

Amount of SIPC Coverage Committed: \$ **698,393,195.78**

(SIPC Committed of \$823,723,828.62 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 20, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,551	15.44%
Determined—No Claim	12	0.07%
Denied	2,699	16.34%
Denied—Third Party	10,424	63.10%
Withdrawn	710	4.30%
Deemed Determined	123	.74%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **13,338,030,217.72**

Amount of SIPC Coverage Committed: \$ **698,393,195.78**

(SIPC Committed of \$823,723,828.62 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 13, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,551	15.44%
Determined—No Claim	12	0.07%
Denied	2,699	16.34%
Denied—Third Party	10,424	63.10%
Withdrawn	710	4.30%
Deemed Determined	123	.74%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **13,338,030,217.72**

Amount of SIPC Coverage Committed: \$ **698,393,195.78**

(SIPC Committed of \$823,723,828.62 less \$125,330,632.84 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 6, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,551	15.44%
Determined—No Claim	12	0.07%
Denied	2,699	16.34%
Denied—Third Party	10,424	63.10%
Withdrawn	710	4.30%
Deemed Determined	123	.74%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **13,338,030,217.72**

Amount of SIPC Coverage Committed: \$ **709,619,449.50**

(SIPC Committed of \$823,723,828.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 30, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,551	15.44%
Determined—No Claim	12	0.07%
Denied	2,699	16.34%
Denied—Third Party	10,424	63.10%
Withdrawn	710	4.30%
Deemed Determined	123	.74%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **13,338,030,217.72**

Amount of SIPC Coverage Committed: \$ **709,619,449.50**

(SIPC Committed of \$823,723,828.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 23, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,551	15.44%
Determined—No Claim	12	0.07%
Denied	2,699	16.34%
Denied—Third Party	10,424	63.10%
Withdrawn	710	4.30%
Deemed Determined	123	.74%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **13,338,030,217.72**

Amount of SIPC Coverage Committed: \$ **709,619,449.50**

(SIPC Committed of \$823,723,828.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 16, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,547	15.42%
Determined—No Claim	12	0.07%
Denied	2,701	16.35%
Denied—Third Party	10,424	63.10%
Withdrawn	709	4.29%
Deemed Determined	126	.76%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **13,332,978,163.99**

Amount of SIPC Coverage Committed: \$ **708,440,449.50**

(SIPC Committed of \$822,544,828.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 9, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,547	15.42%
Determined—No Claim	12	0.07%
Denied	2,701	16.35%
Denied—Third Party	10,424	63.10%
Withdrawn	709	4.29%
Deemed Determined	126	.76%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **13,332,978,163.99**

Amount of SIPC Coverage Committed: \$ **708,440,449.50**

(SIPC Committed of \$822,544,828.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 2, 2015**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,547	15.42%
Determined—No Claim	12	0.07%
Denied	2,701	16.35%
Denied—Third Party	10,424	63.10%
Withdrawn	709	4.29%
Deemed Determined	126	.76%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **13,332,978,163.99**

Amount of SIPC Coverage Committed: \$ **708,440,449.50**

(SIPC Committed of \$822,544,828.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 26, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,547	15.42%
Determined—No Claim	12	0.07%
Denied	2,701	16.35%
Denied—Third Party	10,424	63.10%
Withdrawn	709	4.29%
Deemed Determined	126	.76%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **13,332,978,163.99**

Amount of SIPC Coverage Committed: \$ **708,440,449.50**

(SIPC Committed of \$822,544,828.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 19, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,528	15.30%
Determined—No Claim	12	0.07%
Denied	2,701	16.35%
Denied—Third Party	10,424	63.10%
Withdrawn	709	4.29%
Deemed Determined	145	.88%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,424,272,259.75**

Amount of SIPC Coverage Committed: \$ **702,142,994.50**

(SIPC Committed of \$816,247,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 12, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,528	15.30%
Determined—No Claim	12	0.07%
Denied	2,701	16.35%
Denied—Third Party	10,424	63.10%
Withdrawn	709	4.29%
Deemed Determined	145	.88%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,424,272,259.75**

Amount of SIPC Coverage Committed: \$ **702,142,994.50**

(SIPC Committed of \$816,247,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 5, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,528	15.30%
Determined—No Claim	12	0.07%
Denied	2,701	16.35%
Denied—Third Party	10,424	63.10%
Withdrawn	709	4.29%
Deemed Determined	145	.88%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,424,272,259.75**

Amount of SIPC Coverage Committed: \$ **702,142,994.50**

(SIPC Committed of \$816,247,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 28, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,528	15.30%
Determined—No Claim	12	0.07%
Denied	2,701	16.35%
Denied—Third Party	10,424	63.10%
Withdrawn	709	4.29%
Deemed Determined	145	.88%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,424,272,259.75**

Amount of SIPC Coverage Committed: \$ **702,142,994.50**

(SIPC Committed of \$816,247,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 21, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,528	15.30%
Determined—No Claim	12	0.07%
Denied	2,701	16.35%
Denied—Third Party	10,424	63.10%
Withdrawn	709	4.29%
Deemed Determined	145	.88%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,424,272,259.75**

Amount of SIPC Coverage Committed: \$ **702,142,994.50**

(SIPC Committed of \$816,247,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 14, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,524	15.28%
Determined—No Claim	12	0.07%
Denied	2,701	16.35%
Denied—Third Party	10,732	64.97%
Withdrawn	401	2.43%
Deemed Determined	149	.90%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,422,858,869.74**

Amount of SIPC Coverage Committed: \$ **701,142,994.50**

(SIPC Committed of \$815,247,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 7, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,524	15.28%
Determined—No Claim	12	0.07%
Denied	2,701	16.35%
Denied—Third Party	10,732	64.97%
Withdrawn	401	2.43%
Deemed Determined	149	.90%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,422,858,869.74**

Amount of SIPC Coverage Committed: \$ **701,142,994.50**

(SIPC Committed of \$815,247,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 31, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,524	15.28%
Determined—No Claim	12	0.07%
Denied	2,701	16.35%
Denied—Third Party	10,732	64.97%
Withdrawn	401	2.43%
Deemed Determined	149	.90%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,422,858,869.74**

Amount of SIPC Coverage Committed: \$ **701,142,994.50**

(SIPC Committed of \$815,247,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 24, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,524	15.28%
Determined—No Claim	12	0.07%
Denied	2,701	16.35%
Denied—Third Party	10,732	64.97%
Withdrawn	401	2.43%
Deemed Determined	149	.90%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,422,858,869.74**

Amount of SIPC Coverage Committed: \$ **701,142,994.50**

(SIPC Committed of \$815,247,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 17, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,524	15.28%
Determined—No Claim	12	0.07%
Denied	2,701	16.35%
Denied—Third Party	10,732	64.97%
Withdrawn	401	2.43%
Deemed Determined	149	.90%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,422,858,869.74**

Amount of SIPC Coverage Committed: \$ **701,142,994.50**

(SIPC Committed of \$815,247,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 10, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,522	15.27%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,732	64.97%
Withdrawn	398	2.41%
Deemed Determined	151	.91%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,419,425,297.74**

Amount of SIPC Coverage Committed: \$ **700,142,994.50**

(SIPC Committed of \$814,247,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 3, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,522	15.27%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,732	64.97%
Withdrawn	398	2.41%
Deemed Determined	151	.91%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,419,425,297.74**

Amount of SIPC Coverage Committed: \$ **700,142,994.50**

(SIPC Committed of \$814,247,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 26, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,522	15.27%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,732	64.97%
Withdrawn	398	2.41%
Deemed Determined	151	.91%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,419,425,297.74**

Amount of SIPC Coverage Committed: \$ **700,142,994.50**

(SIPC Committed of \$814,247,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 19, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,522	15.27%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,732	64.97%
Withdrawn	398	2.41%
Deemed Determined	151	.91%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,419,425,297.74**

Amount of SIPC Coverage Committed: \$ **700,142,994.50**

(SIPC Committed of \$814,247,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 12, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,521	15.26%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,736	64.99%
Withdrawn	395	2.39%
Deemed Determined	151	.91%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,419,425,297.74**

Amount of SIPC Coverage Committed: \$ **699,642,994.50**

(SIPC Committed of \$813,747,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 5, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,521	15.26%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,736	64.99%
Withdrawn	395	2.39%
Deemed Determined	151	.91%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,419,425,297.74**

Amount of SIPC Coverage Committed: \$ **699,642,994.50**

(SIPC Committed of \$813,747,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 29, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,521	15.26%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,736	64.99%
Withdrawn	395	2.39%
Deemed Determined	151	.91%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,419,425,297.74**

Amount of SIPC Coverage Committed: \$ **699,642,994.50**

(SIPC Committed of \$813,747,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 22, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,521	15.26%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,736	64.99%
Withdrawn	395	2.39%
Deemed Determined	151	.91%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,419,425,297.74**

Amount of SIPC Coverage Committed: \$ **699,642,994.50**

(SIPC Committed of \$813,747,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 15, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,521	15.26%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,736	64.99%
Withdrawn	395	2.39%
Deemed Determined	151	.91%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,419,425,297.74**

Amount of SIPC Coverage Committed: \$ **699,642,994.50**

(SIPC Committed of \$813,747,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 8, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,521	15.26%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,736	64.99%
Withdrawn	395	2.39%
Deemed Determined	151	.91%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,419,425,297.74**

Amount of SIPC Coverage Committed: \$ **699,642,994.50**

(SIPC Committed of \$813,747,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 1, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,521	15.26%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,736	64.99%
Withdrawn	395	2.39%
Deemed Determined	151	.91%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,419,425,297.74**

Amount of SIPC Coverage Committed: \$ **699,642,994.50**

(SIPC Committed of \$813,747,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 25, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,521	15.26%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,921	66.11%
Withdrawn	210	1.27%
Deemed Determined	151	.91%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,419,425,297.74**

Amount of SIPC Coverage Committed: \$ **699,642,994.50**

(SIPC Committed of \$813,747,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 18, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,518	15.24%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,921	66.11%
Withdrawn	210	1.27%
Deemed Determined	154	.93%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,404,794,997.74**

Amount of SIPC Coverage Committed: \$ **698,142,994.50**

(SIPC Committed of \$812,247,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 4, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,518	15.24%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,921	66.11%
Withdrawn	210	1.27%
Deemed Determined	154	.93%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,404,794,997.74**

Amount of SIPC Coverage Committed: \$ **698,142,994.50**

(SIPC Committed of \$812,247,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 27, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,518	15.24%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,921	66.11%
Withdrawn	210	1.27%
Deemed Determined	154	.93%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,404,794,997.74**

Amount of SIPC Coverage Committed: \$ **698,142,994.50**

(SIPC Committed of \$812,247,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 20, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,518	15.24%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,921	66.11%
Withdrawn	210	1.27%
Deemed Determined	154	.93%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,404,794,997.74**

Amount of SIPC Coverage Committed: \$ **698,142,994.50**

(SIPC Committed of \$812,247,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 13, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,518	15.24%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,921	66.11%
Withdrawn	210	1.27%
Deemed Determined	154	.93%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,404,794,997.74**

Amount of SIPC Coverage Committed: \$ **698,142,994.50**

(SIPC Committed of \$812,247,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 6, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,518	15.24%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,921	66.11%
Withdrawn	210	1.27%
Deemed Determined	154	.93%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,404,794,997.74**

Amount of SIPC Coverage Committed: \$ **698,142,994.50**

(SIPC Committed of \$812,247,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 7, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,518	15.24%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,921	66.11%
Withdrawn	210	1.27%
Deemed Determined	154	.93%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,404,794,997.74**

Amount of SIPC Coverage Committed: \$ **698,142,994.50**

(SIPC Committed of \$812,247,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 30, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,518	15.24%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,921	66.11%
Withdrawn	210	1.27%
Deemed Determined	154	.93%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,404,794,997.74**

Amount of SIPC Coverage Committed: \$ **698,142,994.50**

(SIPC Committed of \$812,247,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 23, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,518	15.24%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,921	66.11%
Withdrawn	210	1.27%
Deemed Determined	154	.93%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,404,794,997.74**

Amount of SIPC Coverage Committed: \$ **698,142,994.50**

(SIPC Committed of \$812,247,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 16, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,518	15.24%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,921	66.11%
Withdrawn	210	1.27%
Deemed Determined	154	.93%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,404,794,997.74**

Amount of SIPC Coverage Committed: \$ **698,142,994.50**

(SIPC Committed of \$812,247,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 9, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,518	15.24%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,921	66.11%
Withdrawn	210	1.27%
Deemed Determined	154	.93%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,404,794,997.74**

Amount of SIPC Coverage Committed: \$ **698,142,994.50**

(SIPC Committed of \$812,247,373.62 less \$114,104,379.12 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 2, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,518	15.24%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,921	66.11%
Withdrawn	210	1.27%
Deemed Determined	154	.93%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,404,794,997.74**

Amount of SIPC Coverage Committed: \$ **709,442,361.39**

(SIPC Committed of \$812,247,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 25, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,517	15.24%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,921	66.11%
Withdrawn	210	1.27%
Deemed Determined	155	.94%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,401,863,497.74**

Amount of SIPC Coverage Committed: \$ **708,942,361.39**

(SIPC Committed of \$811,747,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 18, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,517	15.24%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,921	66.11%
Withdrawn	210	1.27%
Deemed Determined	155	.94%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,401,863,497.74**

Amount of SIPC Coverage Committed: \$ **708,942,361.39**

(SIPC Committed of \$811,747,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 11, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,517	15.24%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,921	66.11%
Withdrawn	210	1.27%
Deemed Determined	155	.94%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,401,863,497.74**

Amount of SIPC Coverage Committed: \$ **708,942,361.39**

(SIPC Committed of \$811,747,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 4, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,517	15.24%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,921	66.11%
Withdrawn	210	1.27%
Deemed Determined	155	.94%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,401,863,497.74**

Amount of SIPC Coverage Committed: \$ **708,942,361.39**

(SIPC Committed of \$811,747,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 28, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,517	15.24%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,921	66.11%
Withdrawn	210	1.27%
Deemed Determined	155	.94%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,401,863,497.74**

Amount of SIPC Coverage Committed: \$ **708,942,361.39**

(SIPC Committed of \$811,747,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 21, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,517	15.24%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,921	66.11%
Withdrawn	210	1.27%
Deemed Determined	155	.94%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,401,863,497.74**

Amount of SIPC Coverage Committed: \$ **708,942,361.39**

(SIPC Committed of \$811,747,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 14, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,517	15.24%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,921	66.11%
Withdrawn	210	1.27%
Deemed Determined	155	.94%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,401,863,497.74**

Amount of SIPC Coverage Committed: \$ **708,942,361.39**

(SIPC Committed of \$811,747,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 7, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,517	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	155	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,401,863,497.74**

Amount of SIPC Coverage Committed: \$ **708,942,361.39**

(SIPC Committed of \$811,747,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 28, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,517	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	155	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,401,863,497.74**

Amount of SIPC Coverage Committed: \$ **708,942,361.39**

(SIPC Committed of \$811,747,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 21, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,517	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	155	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,401,863,497.74**

Amount of SIPC Coverage Committed: \$ **708,942,361.39**

(SIPC Committed of \$811,747,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 14, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,517	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	155	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,401,863,497.74**

Amount of SIPC Coverage Committed: \$ **708,942,361.39**

(SIPC Committed of \$811,747,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 7, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,517	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	155	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,401,863,497.74**

Amount of SIPC Coverage Committed: \$ **708,942,361.39**

(SIPC Committed of \$811,747,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 31, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,517	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	155	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,401,863,497.74**

Amount of SIPC Coverage Committed: \$ **708,942,361.39**

(SIPC Committed of \$811,747,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 24, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,517	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	155	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,401,863,497.74**

Amount of SIPC Coverage Committed: \$ **708,942,361.39**

(SIPC Committed of \$811,747,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 17, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,517	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	155	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,401,863,497.74**

Amount of SIPC Coverage Committed: \$ **708,942,361.39**

(SIPC Committed of \$811,747,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 10, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,517	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	155	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,401,863,497.74**

Amount of SIPC Coverage Committed: \$ **708,942,361.39**

(SIPC Committed of \$811,747,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 3, 2014**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,517	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	155	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,401,863,497.74**

Amount of SIPC Coverage Committed: \$ **708,942,361.39**

(SIPC Committed of \$811,747,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 27, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,517	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	155	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,401,863,497.74**

Amount of SIPC Coverage Committed: \$ **708,942,361.39**

(SIPC Committed of \$811,747,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 20, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,517	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	155	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,401,863,497.74**

Amount of SIPC Coverage Committed: \$ **708,942,361.39**

(SIPC Committed of \$811,747,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 13, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,517	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	155	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,401,863,497.74**

Amount of SIPC Coverage Committed: \$ **708,942,361.39**

(SIPC Committed of \$811,747,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 6, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,517	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	155	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,401,863,497.74**

Amount of SIPC Coverage Committed: \$ **708,942,361.39**

(SIPC Committed of \$811,747,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 29, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,516	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	156	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,389,773,497.75**

Amount of SIPC Coverage Committed: \$ **708,442,361.39**

(SIPC Committed of \$811,247,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 22, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,516	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	156	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,389,773,497.75**

Amount of SIPC Coverage Committed: \$ **708,442,361.39**

(SIPC Committed of \$811,247,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 15, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,515	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	157	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,389,613,497.74**

Amount of SIPC Coverage Committed: \$ **708,372,361.39**

(SIPC Committed of \$811,177,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 8, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,513	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	159	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,388,328,497.74**

Amount of SIPC Coverage Committed: \$ **707,372,361.39**

(SIPC Committed of \$810,177,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 1, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,513	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	159	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	11,388,328,497.74
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Amount of SIPC Coverage Committed:	\$	707,372,361.39
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(SIPC Committed of \$810,177,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 25, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,513	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	159	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,388,328,497.74**

Amount of SIPC Coverage Committed: \$ **707,372,361.39**

(SIPC Committed of \$810,177,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 18, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,513	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	159	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,388,328,497.74**

Amount of SIPC Coverage Committed: \$ **707,372,361.39**

(SIPC Committed of \$810,177,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 11, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,513	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	159	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,388,328,497.74**

Amount of SIPC Coverage Committed: \$ **707,372,361.39**

(SIPC Committed of \$810,177,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 4, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,512	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	160	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,388,328,497.74**

Amount of SIPC Coverage Committed: \$ **707,372,361.39**

(SIPC Committed of \$810,177,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 27, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,512	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	160	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,111,641,497.74**

Amount of SIPC Coverage Committed: \$ **706,872,361.39**

(SIPC Committed of \$809,677,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 20, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,512	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	160	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,111,641,497.74**

Amount of SIPC Coverage Committed: \$ **706,872,361.39**

(SIPC Committed of \$809,677,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 13, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,508	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	164	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,101,356,391.05**

Amount of SIPC Coverage Committed: \$ **705,872,361.39**

(SIPC Committed of \$808,677,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 6, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,508	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	164	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,101,356,391.05**

Amount of SIPC Coverage Committed: \$ **705,872,361.39**

(SIPC Committed of \$808,677,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 30, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,508	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	164	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,101,356,391.05**

Amount of SIPC Coverage Committed: \$ **705,872,361.39**

(SIPC Committed of \$808,677,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 23, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,508	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	164	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,101,356,391.05**

Amount of SIPC Coverage Committed: \$ **705,872,361.39**

(SIPC Committed of \$808,677,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 16, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,508	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	164	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,101,356,391.05**

Amount of SIPC Coverage Committed: \$ **705,872,361.39**

(SIPC Committed of \$808,677,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 9, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,508	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	164	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,086,356,391.05**

Amount of SIPC Coverage Committed: \$ **705,872,361.39**

(SIPC Committed of \$808,677,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 2, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,508	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	164	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,086,356,391.05**

Amount of SIPC Coverage Committed: \$ **705,872,361.39**

(SIPC Committed of \$808,677,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 26, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	100.00%
Allowed	2,508	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	164	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,086,356,391.05**

Amount of SIPC Coverage Committed: \$ **705,872,361.39**

(SIPC Committed of \$808,677,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 19, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	98.95%
Allowed	2,508	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	164	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,086,281,767.56**

Amount of SIPC Coverage Committed: \$ **705,872,361.39**

(SIPC Committed of \$808,677,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 12, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	98.95%
Allowed	2,508	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	164	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,086,281,767.56**

Amount of SIPC Coverage Committed: \$ **705,872,361.39**

(SIPC Committed of \$808,677,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 5, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	98.95%
Allowed	2,508	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	164	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,086,281,767.56**

Amount of SIPC Coverage Committed: \$ **705,872,361.39**

(SIPC Committed of \$808,677,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 28, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	98.95%
Allowed	2,508	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	164	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,086,281,767.56**

Amount of SIPC Coverage Committed: \$ **705,872,361.39**

(SIPC Committed of \$808,677,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 21, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	98.95%
Allowed	2,503	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	169	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,081,428,861.21**

Amount of SIPC Coverage Committed: \$ **705,372,361.39**

(SIPC Committed of \$808,177,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 14, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	98.95%
Allowed	2,503	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	169	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,081,428,861.21**

Amount of SIPC Coverage Committed: \$ **705,372,361.39**

(SIPC Committed of \$808,177,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 8, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	98.95%
Allowed	2,503	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	169	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,081,428,861.21**

Amount of SIPC Coverage Committed: \$ **705,372,361.39**

(SIPC Committed of \$808,177,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 31, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	98.95%
Allowed	2,503	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,921	66.11%
Withdrawn	209	1.27%
Deemed Determined	169	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,081,428,861.21**

Amount of SIPC Coverage Committed: \$ **705,372,361.39**

(SIPC Committed of \$808,177,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 24, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	98.95%
Allowed	2,503	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	169	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,081,428,861.21**

Amount of SIPC Coverage Committed: \$ **705,372,361.39**

(SIPC Committed of \$808,177,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 17, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	98.95%
Allowed	2,503	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	169	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,081,428,861.21**

Amount of SIPC Coverage Committed: \$ **705,372,361.39**

(SIPC Committed of \$808,177,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 10, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	98.95%
Allowed	2,502	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	170	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,075,964,967.25**

Amount of SIPC Coverage Committed: \$ **704,872,361.39**

(SIPC Committed of \$807,677,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 3, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	98.95%
Allowed	2,501	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	170	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims: \$ **11,075,964,967.25**

Amount of SIPC Coverage Committed: \$ **704,872,361.39**

(SIPC Committed of \$807,677,373.62 less \$102,805,012.23 subrogation payment)

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 26, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	98.95%
Allowed	2,501	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	171	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	11,072,023,697.25
Amount of SIPC Coverage Committed:	\$	807,177,373.62

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 19, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	98.95%
Allowed	2,501	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	171	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	11,072,023,697.25
Amount of SIPC Coverage Committed:	\$	807,177,373.62

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 12, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	98.95%
Allowed	2,501	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	171	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	11,072,023,697.25
Amount of SIPC Coverage Committed:	\$	807,177,373.62

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 5, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	98.95%
Allowed	2,501	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	171	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	11,072,023,697.25
Amount of SIPC Coverage Committed:	\$	807,177,373.62

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 22, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	98.95%
Allowed	2,501	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	171	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	11,071,902,349.94
Amount of SIPC Coverage Committed:	\$	807,177,373.62

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 15, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,519	98.95%
Allowed	2,499	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	173	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	11,045,380,349.94
Amount of SIPC Coverage Committed:	\$	806,677,373.62

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 08, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,346	98.95%
Allowed	2,499	15.13%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	173	1.05%
Remaining to Determine	-	0.00%

Amount of Allowed Claims:	\$	11,045,380,349.94
Amount of SIPC Coverage Committed:	\$	806,677,373.62

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 01, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,499	15.10%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	173	1.07%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	11,045,380,349.94
Amount of SIPC Coverage Committed:	\$	806,677,373.62

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 22, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,499	15.10%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	173	1.07%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	11,045,380,349.94
Amount of SIPC Coverage Committed:	\$	806,677,373.62

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 15, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,499	15.10%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	173	1.07%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	11,045,380,349.94
Amount of SIPC Coverage Committed:	\$	806,677,373.62

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 1, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,495	15.10%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	177	1.07%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,969,668,089.39
Amount of SIPC Coverage Committed:	\$	804,677,373.62

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 25, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,495	15.10%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	177	1.07%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,969,668,089.39
Amount of SIPC Coverage Committed:	\$	804,677,373.62

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 18, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,495	15.10%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	177	1.07%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,969,668,089.39
Amount of SIPC Coverage Committed:	\$	804,677,373.62

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 11, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,495	15.10%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	177	1.07%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,969,668,089.39
Amount of SIPC Coverage Committed:	\$	804,677,373.62

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 04, 2013**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,495	15.10%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	177	1.07%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,969,668,089.39
Amount of SIPC Coverage Committed:	\$	804,677,373.62

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 28, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,495	15.10%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	177	1.07%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,969,668,089.39
Amount of SIPC Coverage Committed:	\$	804,677,373.62

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 21, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,495	15.10%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	177	1.07%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,969,668,089.39
Amount of SIPC Coverage Committed:	\$	804,677,373.62

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 14, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,493	15.09%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	179	1.08%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,804,767,347.39
Amount of SIPC Coverage Committed:	\$	803,766,373.62

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 7, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,493	15.09%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	179	1.08%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,804,767,347.39
Amount of SIPC Coverage Committed:	\$	803,766,373.62

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 30, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,493	15.09%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	179	1.08%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,804,767,347.39
Amount of SIPC Coverage Committed:	\$	803,677,373.62

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 23, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,493	15.09%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	179	1.08%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,653,221,327.39
Amount of SIPC Coverage Committed:	\$	803,677,373.62

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 16, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,493	15.09%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	179	1.08%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,653,221,327.39
Amount of SIPC Coverage Committed:	\$	803,677,373.62

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 9, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,493	15.09%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	179	1.08%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,653,221,327.39
Amount of SIPC Coverage Committed:	\$	803,677,373.62

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 2, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,493	15.09%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	179	1.08%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,653,221,327.39
Amount of SIPC Coverage Committed:	\$	803,677,373.62

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 26, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,493	15.09%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	179	1.08%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,653,221,327.39
Amount of SIPC Coverage Committed:	\$	803,677,373.62

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 19, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,493	15.09%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	179	1.08%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,653,221,327.39
Amount of SIPC Coverage Committed:	\$	803,677,373.62

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 12, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,493	15.09%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
Deemed Determined	179	1.08%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,653,221,327.39
Amount of SIPC Coverage Committed:	\$	803,677,373.62

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 5, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,493	15.09%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
In Litigation¹	179	1.39%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,653,221,347.39
Amount of SIPC Coverage Committed:	\$	803,677,373.62

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 28, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,493	15.09%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
In Litigation¹	179	1.39%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,653,221,347.39
Amount of SIPC Coverage Committed:	\$	803,677,373.62

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 21, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,443	14.79%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
In Litigation¹	229	1.39%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,474,513,691.41
Amount of SIPC Coverage Committed:	\$	803,677,373.62

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 14, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,443	14.79%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
In Litigation¹	229	1.39%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,474,513,691.41
Amount of SIPC Coverage Committed:	\$	803.177,373.62

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 7, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,443	14.70%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
In Litigation¹	229	1.48%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,747,513,691.41
Amount of SIPC Coverage Committed:	\$	803,177,373.62

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 31, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,518	99.99%
Allowed	2,442	14.70%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,977	66.45%
Withdrawn	153	0.93%
In Litigation¹	230	1.48%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,474,435,340.87
Amount of SIPC Coverage Committed:	\$	803,099,023.08

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 24, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,442	14.70%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	231	1.48%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,474,435,340.87
Amount of SIPC Coverage Committed:	\$	803,099,023.08

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 17, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,436	14.70%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	237	1.48%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,473,496,345.87
Amount of SIPC Coverage Committed:	\$	802,277,028.08

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 10, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,436	14.70%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	237	1.48%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,473,496,345.87
Amount of SIPC Coverage Committed:	\$	802,277,028.08

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 3, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,436	14.70%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	237	1.48%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,473,496,345.87
Amount of SIPC Coverage Committed:	\$	802,277,028.08

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 27, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,436	14.70%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	237	1.48%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,473,496,345.87
Amount of SIPC Coverage Committed:	\$	802,277,028.08

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 20, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,436	14.70%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	237	1.48%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,473,496,345.87
Amount of SIPC Coverage Committed:	\$	802,277,028.08

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 13, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,436	14.70%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	237	1.48%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,473,496,345.87
Amount of SIPC Coverage Committed:	\$	802,277,028.08

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 06, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,436	14.70%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	237	1.48%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,473,496,345.87
Amount of SIPC Coverage Committed:	\$	802,277,028.08

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 29, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,436	14.70%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	237	1.48%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,473,496,345.87
Amount of SIPC Coverage Committed:	\$	802,277,028.08

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 22, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,434	14.70%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	239	1.48%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,460,958,685.22
Amount of SIPC Coverage Committed:	\$	801,277,028.08

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 15, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,434	14.70%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	239	1.48%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,460,958,685.22
Amount of SIPC Coverage Committed:	\$	801,277,028.08

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 8, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,432	14.72%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	241	1.46%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,441,831,841.22
Amount of SIPC Coverage Committed:	\$	800,777,028.08

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 1, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,432	14.72%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	241	1.46%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,441,831,841.22
Amount of SIPC Coverage Committed:	\$	800,777,028.08

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 25, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,430	14.70%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	243	1.48%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,376,564,541.53
Amount of SIPC Coverage Committed:	\$	800,277,028.08

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 18, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,430	14.70%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	243	1.48%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,376,564,541.53
Amount of SIPC Coverage Committed:	\$	800,277,028.08

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 11, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,430	14.70%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	243	1.48%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,376,564,541.53
Amount of SIPC Coverage Committed:	\$	800,277,028.08

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 04, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,430	14.70%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	243	1.48%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,376,564,541.53
Amount of SIPC Coverage Committed:	\$	800,277,028.08

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 27, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,430	14.70%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	243	1.48%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,376,564,541.53
Amount of SIPC Coverage Committed:	\$	800,277,028.08

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 20, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,430	14.70%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	243	1.48%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,376,564,541.53
Amount of SIPC Coverage Committed:	\$	800,277,028.08

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 13, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,430	14.70%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	243	1.48%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,372,691,567.56
Amount of SIPC Coverage Committed:	\$	800,277,028.08

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 6, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,430	14.70%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	243	1.48%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,372,691,567.56
Amount of SIPC Coverage Committed:	\$	800,277,028.08

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 30, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,430	14.70%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	243	1.48%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,379,211,173.51
Amount of SIPC Coverage Committed:	\$	800,277,028.08

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 23, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,428	14.70%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	245	1.48%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,299,082,480.94
Amount of SIPC Coverage Committed:	\$	798,885,347.19

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 16, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,428	14.70%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	245	1.48%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,300,580,376.12
Amount of SIPC Coverage Committed:	\$	798,885,347.19

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 09, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,428	14.70%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	245	1.48%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,300,580,376.12
Amount of SIPC Coverage Committed:	\$	798,885,347.19

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 02, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,426	14.69%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	247	1.50%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,298,561,610.12
Amount of SIPC Coverage Committed:	\$	798,385,347.19

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 24, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,426	14.69%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	247	1.50%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,293,561,610.12
Amount of SIPC Coverage Committed:	\$	798,385,347.19

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 17, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,426	14.69%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	247	1.50%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,293,561,610.12
Amount of SIPC Coverage Committed:	\$	798,385,347.19

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 10, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,426	14.69%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	247	1.50%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,293,561,610.12
Amount of SIPC Coverage Committed:	\$	798,385,347.19

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 3, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,426	14.69%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	247	1.50%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,293,561,610.12
Amount of SIPC Coverage Committed:	\$	798,385,347.19

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 27, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,426	14.69%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	247	1.50%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,293,561,610.12
Amount of SIPC Coverage Committed:	\$	798,385,347.19

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 20, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,426	14.69%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	247	1.50%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,293,561,610.12
Amount of SIPC Coverage Committed:	\$	798,385,347.19

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 13, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,426	14.69%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	247	1.50%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,293,561,610.12
Amount of SIPC Coverage Committed:	\$	798,385,347.19

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 6, 2012**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,425	14.68%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	248	1.50%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,288,141,610.12
Amount of SIPC Coverage Committed:	\$	797,785,347.19

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 30, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,425	14.68%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	248	1.50%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,288,141,610.12
Amount of SIPC Coverage Committed:	\$	797,785,347.19

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 23, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,425	14.68%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	248	1.50%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,288,141,610.12
Amount of SIPC Coverage Committed:	\$	797,785,347.19

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 16, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,425	14.68%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	248	1.50%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,288,141,610.12
Amount of SIPC Coverage Committed:	\$	797,785,347.19
Distributions from the Fund of Customer Property	\$	325,464,748.64

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 9, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,425	14.68%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	248	1.50%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,288,141,610.12
Amount of SIPC Coverage Committed:	\$	797,785,347.19
Distributions from the Fund of Customer Property ²	\$	325,464,748.64

¹ Deemed Determined by the Trustee pending litigation.

² A small number of checks are pending distribution and await the completion of certain documentation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 2, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,425	14.68%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	248	1.50%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,288,141,610.12
Amount of SIPC Coverage Committed:	\$	797,785,347.19
Distributions from the Fund of Customer Property ²	\$	325,464,748.64

¹ Deemed Determined by the Trustee pending litigation.

² A small number of checks are pending distribution and await the completion of certain documentation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 25, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,425	14.68%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	248	1.50%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,288,141,610.12
Amount of SIPC Coverage Committed:	\$	797,785,347.19
Distributions from the Fund of Customer Property ²	\$	325,464,748.64

¹ Deemed Determined by the Trustee pending litigation.

² A small number of checks are pending distribution and await the completion of certain documentation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 18, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,425	14.68%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	248	1.50%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,288,141,610.12
Amount of SIPC Coverage Committed:	\$	797,785,347.19
Distributions from the Fund of Customer Property ²	\$	325,464,748.64

¹ Deemed Determined by the Trustee pending litigation.

² A small number of checks are pending distribution and await the completion of certain documentation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 11, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,425	14.68%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	248	1.50%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,288,141,610.12
Amount of SIPC Coverage Committed:	\$	797,785,347.19
Distributions from the Fund of Customer Property ²	\$	325,464,748.64

¹ Deemed Determined by the Trustee pending litigation.

² A small number of checks are pending distribution and await the completion of certain documentation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 04, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,425	14.68%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	248	1.50%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	7,288,141,610.12
Amount of SIPC Coverage Committed:	\$	797,785,347.19
Distributions from the Fund of Customer Property ²	\$	325,464,748.64

¹ Deemed Determined by the Trustee pending litigation.

² A small number of checks are pending distribution and await the completion of certain documentation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 28, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,517	99.99%
Allowed	2,425	14.68%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	248	1.50%
Remaining to Determine	2	0.01%

Amount of Allowed Claims:	\$	7,288,141,610.12
Amount of SIPC Coverage Committed:	\$	797,785,347.19
Distributions from the Fund of Customer Property ²	\$	325,464,748.64

¹ Deemed Determined by the Trustee pending litigation.

² A small number of checks are pending distribution and await the completion of certain documentation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 21, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,519	
Total Determined Claims	16,516	99.98%
Allowed	2,425	14.68%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.44%
Withdrawn	153	0.93%
In Litigation¹	247	1.50%
Remaining to Determine	3	.02%

Amount of Allowed Claims:	\$	7,288,141,610.12
Amount of SIPC Coverage Committed:	\$	797,785,347.19
Distributions from the Fund of Customer Property ²	\$	311,895,266.23

¹ Deemed Determined by the Trustee pending litigation.

² A small number of checks are pending distribution and await the completion of certain documentation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 14, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,516	99.99%
Allowed	2,422	14.66%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.45%
Withdrawn	153	0.93%
In Litigation¹	250	1.51%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	6,992,281,062.30
Amount of SIPC Coverage Committed:	\$	796,785,347.19
Distributions from the Fund of Customer Property ²	\$	311,853,848.23

¹ Deemed Determined by the Trustee pending litigation.

² A small number of checks are pending distribution and await the completion of certain documentation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 07, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,516	99.99%
Allowed	2,422	14.66%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.45%
Withdrawn	153	0.93%
In Litigation¹	250	1.51%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	6,992,281,062.30
Amount of SIPC Coverage Committed:	\$	796,785,347.19
Distributions from the Fund of Customer Property ²	\$	311,853,848.23

¹ Deemed Determined by the Trustee pending litigation.

² A small number of checks are pending distribution and await the completion of certain documentation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 30, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,516	99.99%
Allowed	2,419	14.64%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.45%
Withdrawn	153	0.93%
In Litigation¹	253	1.53%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	6,969,791,062.30
Amount of SIPC Coverage Committed:	\$	794,890,347.19
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,165,900,715.11

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 23, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,516	99.99%
Allowed	2,419	14.64%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.45%
Withdrawn	153	0.93%
In Litigation¹	253	1.53%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	6,962,291,062.30
Amount of SIPC Coverage Committed:	\$	794,990,347.19
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,167,300,715.11

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 16, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,516	99.99%
Allowed	2,419	14.64%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.45%
Withdrawn	153	0.93%
In Litigation¹	253	1.53%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	6,961,691,021.70
Amount of SIPC Coverage Committed:	\$	794,890,347.19
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,166,800,674.51

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 09, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,516	99.99%
Allowed	2,419	14.64%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.45%
Withdrawn	153	0.93%
In Litigation¹	253	1.53%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	6,961,691,021.70
Amount of SIPC Coverage Committed:	\$	794,890,347.19
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,166,800,674.51

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 02, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,516	99.99%
Allowed	2,419	14.64%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.45%
Withdrawn	153	0.93%
In Litigation¹	253	1.53%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$ 6,961,691,021.70
Amount of SIPC Coverage Committed:	\$ 794,890,347.19
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$ 6,166,800,674.51

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 26, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,516	99.99%
Allowed	2,419	14.64%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.45%
Withdrawn	153	0.93%
In Litigation¹	253	1.53%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	6,961,691,021.70
Amount of SIPC Coverage Committed:	\$	794,890,347.19
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,166,800,674.51

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 19, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,516	99.99%
Allowed	2,419	14.64%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.45%
Withdrawn	153	0.93%
In Litigation¹	253	1.53%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	6,961,691,021.70
Amount of SIPC Coverage Committed:	\$	794,890,347.19
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,166,800,674.51

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 12, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,516	99.99%
Allowed	2,419	14.64%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.45%
Withdrawn	153	0.93%
In Litigation¹	253	1.53%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	6,961,691,021.70
Amount of SIPC Coverage Committed:	\$	794,890,347.19
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,166,800,674.51

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 05, 2011**, the Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,516	99.99%
Allowed	2,419	14.64%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.45%
Withdrawn	153	0.93%
In Litigation¹	253	1.53%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	6,961,691,021.70
Amount of SIPC Coverage Committed:	\$	794,890,347.19
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,166,800,674.51

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 29, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,516	99.99%
Allowed	2,419	14.64%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.45%
Withdrawn	153	0.93%
In Litigation¹	253	1.53%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	6,883,691,021.70
Amount of SIPC Coverage Committed:	\$	794,890,347.19
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,166,800,674.51

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 22, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,516	99.99%
Allowed	2,419	14.64%
Determined—No Claim	12	0.07%
Denied	2,703	16.36%
Denied—Third Party	10,976	66.45%
Withdrawn	153	0.93%
In Litigation¹	253	1.53%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	6,883,691,021.70
Amount of SIPC Coverage Committed:	\$	794,890,347.19
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,088,800,674.51

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 15, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,516	99.99%
Allowed	2,414	14.61%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,976	66.45%
Withdrawn	151	0.91%
In Litigation¹	258	1.56%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	6,883,691,021.70
Amount of SIPC Coverage Committed:	\$	794,890,347.19
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,088,800,674.51

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 08, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,516	99.99%
Allowed	2,414	14.61%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,976	66.45%
Withdrawn	151	0.91%
In Litigation¹	259	1.57%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	6,883,691,021.70
Amount of SIPC Coverage Committed:	\$	794,890,347.19
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,088,800,674.51

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 01, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,516	99.99%
Allowed	2,414	14.61%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,976	66.45%
Withdrawn	151	0.91%
In Litigation¹	259	1.57%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	6,883,691,021.70
Amount of SIPC Coverage Committed:	\$	794,890,347.19
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,088,800,674.51

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 24, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,516	99.99%
Allowed	2,414	14.61%
Determined—No Claim	12	0.07%
Denied	2,704	16.37%
Denied—Third Party	10,976	66.45%
Withdrawn	151	0.91%
In Litigation¹	259	1.57%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	6,883,691,021.70
Amount of SIPC Coverage Committed:	\$	794,890,347.19
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,088,800,674.51

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 17, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,516	99.99%
Allowed	2,414	14.61%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,976	66.45%
Withdrawn	150	0.91%
In Litigation¹	259	1.57%
Remaining to Determine	2	0.01%

Amount of Allowed Claims:	\$	6,883,691,021.70
Amount of SIPC Coverage Committed:	\$	794,890,347.19
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,088,800,674.51

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 10, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,516	99.99%
Allowed	2,414	14.61%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,976	66.45%
Withdrawn	150	0.91%
In Litigation¹	259	1.57%
Remaining to Determine	2	.01%

Amount of Allowed Claims:	\$	6,883,691,021.70
Amount of SIPC Coverage Committed:	\$	794,890,347.19
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,088,800,674.51

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 03, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,514	99.98%
Allowed	2,414	14.61%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,976	66.45%
Withdrawn	150	0.91%
In Litigation¹	257	1.56%
Remaining to Determine	4	.02%

Amount of Allowed Claims:	\$ 6,883,691,021.70
Amount of SIPC Coverage Committed:	\$ 794,890,347.19
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$ 6,088,800,674.51

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 27, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,514	99.98%
Allowed	2,414	14.61%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,976	66.45%
Withdrawn	150	0.91%
In Litigation¹	257	1.56%
Remaining to Determine	4	.02%

Amount of Allowed Claims:	\$	6,883,691,021.70
Amount of SIPC Coverage Committed:	\$	794,890,347.19
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,088,800,674.51

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 20, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,513	99.97%
Allowed	2,414	14.61%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,976	66.45%
Withdrawn	150	0.91%
In Litigation¹	256	1.55%
Remaining to Determine	5	.03%

Amount of Allowed Claims:	\$	6,883,791,021.70
Amount of SIPC Coverage Committed:	\$	794,890,347.19
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,088,900,674.51

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 13, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,513	99.97%
Allowed	2,414	14.61%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,976	66.45%
Withdrawn	150	0.91%
In Litigation¹	256	1.55%
Remaining to Determine	5	.03%

Amount of Allowed Claims:	\$	6,883,791,021.70
Amount of SIPC Coverage Committed:	\$	794,890,347.19
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,088,900,674.51

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 06, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,513	99.97%
Allowed	2,414	14.61%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,976	66.45%
Withdrawn	150	0.91%
In Litigation¹	256	1.55%
Remaining to Determine	5	.03%

Amount of Allowed Claims:	\$	6,883,791,021.70
Amount of SIPC Coverage Committed:	\$	794,890,347.19
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,088,900,674.51

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 29, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,513	99.97%
Allowed	2,414	14.61%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,976	66.45%
Withdrawn	150	0.91%
In Litigation¹	256	1.55%
Remaining to Determine	5	.03%

Amount of Allowed Claims:	\$	6,883,791,021.70
Amount of SIPC Coverage Committed:	\$	794,890,347.19
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,088,900,674.51

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 22, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,270	98.50%
Allowed	2,412	14.60%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,733	64.98%
Withdrawn	150	0.91%
In Litigation¹	258	1.56%
Remaining to Determine	248	1.50%

Amount of Allowed Claims:	\$	6,867,737,392.71
Amount of SIPC Coverage Committed:	\$	793,990,347.19
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,073,747,045.52

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 15, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,269	98.49%
Allowed	2,412	14.60%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,733	64.98%
Withdrawn	149	0.90%
In Litigation¹	258	1.56%
Remaining to Determine	249	1.51%

Amount of Allowed Claims:	\$	6,867,737,392.71
Amount of SIPC Coverage Committed:	\$	793,990,347.19
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,073,747,045.52

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 08, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,269	98.49%
Allowed	2,410	14.59%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,733	64.98%
Withdrawn	149	0.90%
In Litigation¹	260	1.57%
Remaining to Determine	249	1.51%

Amount of Allowed Claims:	\$	6,862,576,916.86
Amount of SIPC Coverage Committed:	\$	793,149,690.14
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,069,427,226.72

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 25, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,268	98.49%
Allowed	2,409	14.58%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,733	64.98%
Withdrawn	149	0.90%
In Litigation¹	260	1.57%
Remaining to Determine	250	1.51%

Amount of Allowed Claims:	\$	6,858,576,916.86
Amount of SIPC Coverage Committed:	\$	793,149,690.14
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,065,427,226.72

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 18, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,269	98.49%
Allowed	2,409	14.58%
Determined—No Claim	12	0.07%
Denied	2,705	16.38%
Denied—Third Party	10,733	64.98%
Withdrawn	149	0.90%
In Litigation¹	261	1.58%
Remaining to Determine	249	1.51%

Amount of Allowed Claims:	\$	6,858,576,916.86
Amount of SIPC Coverage Committed:	\$	793,149,690.14
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,065,427,226.72

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 11, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,269	98.49%
Allowed	2,408	14.58%
Determined—No Claim	12	0.07%
Denied	2,738	16.58%
Denied—Third Party	10,733	64.98%
Withdrawn	116	0.70%
In Litigation¹	262	1.59%
Remaining to Determine	249	1.51%

Amount of Allowed Claims:	\$	6,858,431,916.86
Amount of SIPC Coverage Committed:	\$	793,404,690.14
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,065,027,226.72

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 04, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,269	98.49%
Allowed	2,408	14.58%
Determined—No Claim	12	0.07%
Denied	2,738	16.58%
Denied—Third Party	10,733	64.98%
Withdrawn	116	0.70%
In Litigation¹	262	1.59%
Remaining to Determine	249	1.51%

Amount of Allowed Claims:	\$	6,858,031,916.86
Amount of SIPC Coverage Committed:	\$	793,404,690.14
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,064,627,226.72

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 25, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,267	98.48%
Allowed	2,404	14.55%
Determined—No Claim	12	0.07%
Denied	2,740	16.59%
Denied—Third Party	10,731	64.97%
Withdrawn	116	0.70%
In Litigation¹	264	1.60%
Remaining to Determine	251	1.52%

Amount of Allowed Claims:	\$	6,841,961,916.86
Amount of SIPC Coverage Committed:	\$	791,649,690.14
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,050,312,226.72

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 18, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,267	98.48%
Allowed	2,403	14.55%
Determined—No Claim	12	0.07%
Denied	2,740	16.59%
Denied—Third Party	10,731	64.97%
Withdrawn	116	0.70%
In Litigation¹	265	1.60%
Remaining to Determine	251	1.52%

Amount of Allowed Claims:	\$	6,854,549,449.81
Amount of SIPC Coverage Committed:	\$	791,149,690.14
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,063,339,759.67

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 11, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,266	98.47%
Allowed	2,402	14.54%
Determined—No Claim	12	0.07%
Denied	2,740	16.59%
Denied—Third Party	10,731	64.97%
Withdrawn	116	0.70%
In Litigation¹	265	1.60%
Remaining to Determine	252	1.53%

Amount of Allowed Claims:	\$	6,860,790,250.53
Amount of SIPC Coverage Committed:	\$	791,149,690.14
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,069,640,560.39

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 04, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,263	98.46%
Allowed	2,401	14.54%
Determined—No Claim	12	0.07%
Denied	2,740	16.59%
Denied—Third Party	10,731	64.97%
Withdrawn	116	0.70%
In Litigation¹	263	1.59%
Remaining to Determine	255	1.54%

Amount of Allowed Claims:	\$	6,860,290,250.53
Amount of SIPC Coverage Committed:	\$	790,649,690.14
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,069,640,560.39

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 28, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,518	
Total Determined Claims	16,263	98.46%
Allowed	2,401	14.54%
Determined—No Claim	12	0.07%
Denied	2,740	16.59%
Denied—Third Party	10,731	64.97%
Withdrawn	116	0.70%
In Litigation¹	263	1.59%
Remaining to Determine	255	1.54%

Amount of Allowed Claims:	\$	6,860,290,250.53
Amount of SIPC Coverage Committed:	\$	790,649,690.14
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	6,069,640,560.39

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 21, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,444	
Total Determined Claims	16,184	98.42%
Allowed	2,398	14.58%
Determined—No Claim	12	0.07%
Denied	2,737	16.64%
Denied—Third Party	10,657	64.81%
Withdrawn	116	0.71%
In Litigation¹	264	1.61%
Remaining to Determine	260	1.58%

Amount of Allowed Claims:	\$	6,111,850,589.81
Amount of SIPC Coverage Committed:	\$	788,904,690.14
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	5,322,945,899.67

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 14, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,444	
Total Determined Claims	16,181	98.40%
Allowed	2,393	14.55%
Determined—No Claim	12	0.07%
Denied	2,737	16.64%
Denied—Third Party	10,657	64.81%
Withdrawn	116	0.71%
In Litigation¹	266	1.62%
Remaining to Determine	263	1.60%

Amount of Allowed Claims:	\$	6,031,168,804.90
Amount of SIPC Coverage Committed:	\$	787,293,290.17
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	5,243,875,514.73

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 07, 2011**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,444	
Total Determined Claims	16,137	98.13%
Allowed	2,372	14.42%
Determined—No Claim	12	0.07%
Denied	2,736	16.64%
Denied—Third Party	10,657	64.81%
Withdrawn	99	0.60%
In Litigation¹	261	1.59%
Remaining to Determine	307	1.87%

Amount of Allowed Claims:	\$	5,960,950,616.21
Amount of SIPC Coverage Committed:	\$	783,193,242.27
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	5,177,757,373.94

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 31, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,444	
Total Determined Claims	16,137	98.13%
Allowed	2,372	14.42%
Determined—No Claim	12	0.07%
Denied	2,736	16.64%
Denied—Third Party	10,657	64.81%
Withdrawn	99	0.60%
In Litigation¹	261	1.59%
Remaining to Determine	307	1.87%

Amount of Allowed Claims:	\$	5,945,907,686.77
Amount of SIPC Coverage Committed:	\$	771,716,577.05
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	5,174,191,109.72

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 24, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,444	
Total Determined Claims	16,131	98.10%
Allowed	2,368	14.40%
Determined—No Claim	12	0.07%
Denied	2,736	16.64%
Denied—Third Party	10,657	64.81%
Withdrawn	99	0.60%
In Litigation¹	259	1.58%
Remaining to Determine	313	1.90%

Amount of Allowed Claims:	\$	5,937,333,478.75
Amount of SIPC Coverage Committed:	\$	770,691,577.05
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	5,166,641,901.70

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 17, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,440	
Total Determined Claims	16,070	97.75%
Allowed	2,365	14.39%
Determined—No Claim	12	0.07%
Denied	2,753	16.75%
Denied—Third Party	10,653	64.80%
Withdrawn	78	0.47%
In Litigation¹	209	1.27%
Remaining to Determine	370	2.25%

Amount of Allowed Claims:	\$	5,902,280,289.96
Amount of SIPC Coverage Committed:	\$	769,191,577.05
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	5,133,088,712.91

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 10, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,394	
Total Determined Claims	15,751	96.08%
Allowed	2,363	14.41%
Determined—No Claim	12	0.07%
Denied	2,753	16.79%
Denied—Third Party	10,436	63.66%
Withdrawn	78	0.48%
In Litigation¹	109	0.66%
Remaining to Determine	643	3.92%

Amount of Allowed Claims:	\$	5,883,780,834.64
Amount of SIPC Coverage Committed:	\$	768,191,577.05
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	5,115,589,257.59

¹ Deemed Determined by the Trustee pending litigation.

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 03, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,394	
Total Determined Claims	15,491	94.49%
Allowed	2,355	14.37%
Determined—No Claim	11	0.07%
Denied	2,755	16.80%
Denied—Third Party	10,299	62.82%
Withdrawn	71	0.43%
Remaining to Determine	903	5.51%

Amount of Allowed Claims:	\$	5,864,229,943.58
Amount of SIPC Coverage Committed:	\$	766,589,993.76
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	5,097,639,949.82

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 26, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,394	
Total Determined Claims	15,110	92.17%
Allowed	2,309	14.08%
Determined—No Claim	10	0.06%
Denied	2,755	16.80%
Denied—Third Party	9,965	60.78%
Withdrawn	71	0.43%
Remaining to Determine	1,284	7.83%

Amount of Allowed Claims:	\$	5,826,590,270.32
Amount of SIPC Coverage Committed:	\$	750,827,849.27
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	5,075,762,421.05

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 19, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,394	
Total Determined Claims	15,105	92.14%
Allowed	2,305	14.06%
Determined—No Claim	10	0.06%
Denied	2,754	16.80%
Denied—Third Party	9,965	60.78%
Withdrawn	71	0.43%
Remaining to Determine	1,289	7.86%

Amount of Allowed Claims:	\$	5,758,631,738.00
Amount of SIPC Coverage Committed:	\$	748,793,202.05
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	5,009,838,535.95

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 12, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Total Claims	16,394	
Total Determined Claims	14,769	90.09%
Allowed	2,291	13.97%
Determined—No Claim	10	0.06%
Denied	2,752	16.79%
Denied—Claimants Without An Account	9,645	58.83%
Withdrawn	71	0.43%
Remaining to Determine	1,625	9.91%

Amount of Allowed Claims:	\$	5,739,853,405.38
Amount of SIPC Coverage Committed:	\$	743,928,341.68
Amount by which Allowed Claims Exceed Statutory Limits of SIPC Protection:	\$	4,995,925,063.70

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 05, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	14,522
Allowed Claims:	2,296
Denied Claims:	12,226

Amount of Allowed Claims:	\$	5,692,704,047.72
Amount of SIPC Coverage Committed:	\$	742,395,691.56
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,950,308,356.16

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of October 29, **2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	14,052
Allowed Claims:	2,292
Denied Claims:	11,760

Amount of Allowed Claims:	\$	5,691,269,238.02
Amount of SIPC Coverage Committed:	\$	741,201,987.66
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,950,067,250.36

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 22, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	14,030
Allowed Claims:	2,280
Denied Claims:	11,750

Amount of Allowed Claims:	\$	5,679,748,308.37
Amount of SIPC Coverage Committed:	\$	738,338,572.79
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,941,409,735.58

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 15, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	13,485
Allowed Claims:	2,265
Denied Claims:	11,220

Amount of Allowed Claims:	\$	5,676,330,224.21
Amount of SIPC Coverage Committed:	\$	735,756,885.82
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,940,573,338.39

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 08, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	13,442
Allowed Claims:	2,253
Denied Claims:	11,189

Amount of Allowed Claims:	\$	5,669,639,574.08
Amount of SIPC Coverage Committed:	\$	732,646,915.31
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,936,992,658.77

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 01, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	13,411
Allowed Claims:	2,240
Denied Claims:	11,171

Amount of Allowed Claims:	\$	5,666,936,224.08
Amount of SIPC Coverage Committed:	\$	730,916,523.47
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,936,019,700.61

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 24, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	13,387
Allowed Claims:	2,222
Denied Claims:	11,165

Amount of Allowed Claims:	\$	5,645,743,257.18
Amount of SIPC Coverage Committed:	\$	726,227,765.96
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,919,515,491.22

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 17, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	13,379
Allowed Claims:	2,219
Denied Claims:	11,160

Amount of Allowed Claims:	\$	5,636,894,189.36
Amount of SIPC Coverage Committed:	\$	725,543,698.14
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,911,350,491.22

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 10, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	13,339
Allowed Claims:	2,212
Denied Claims:	11,127

Amount of Allowed Claims:	\$	5,629,208,302.09
Amount of SIPC Coverage Committed:	\$	722,970,275.94
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,906,238,026.15

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 03, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	13,331
Allowed Claims:	2,212
Denied Claims:	11,119

Amount of Allowed Claims:	\$	5,629,208,302.09
Amount of SIPC Coverage Committed:	\$	722,970,275.94
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,906,238,026.15

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 27, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	13,319
Allowed Claims:	2,204
Denied Claims:	11,115

Amount of Allowed Claims:	\$	5,603,114,289.25
Amount of SIPC Coverage Committed:	\$	719,912,591.21
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,883,201,698.04

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 20, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	13,299
Allowed Claims:	2,197
Denied Claims:	11,102

Amount of Allowed Claims:	\$	5,598,570,395.78
Amount of SIPC Coverage Committed:	\$	717,187,063.55
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,881,383,332.23

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 13, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	13,286
Allowed Claims:	2,188
Denied Claims:	11,098

Amount of Allowed Claims:	\$	5,578,441,408.93
Amount of SIPC Coverage Committed:	\$	715,602,063.55
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,862,839,345.38

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **August 06, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	13,266
Allowed Claims:	2,176
Denied Claims:	11,090

Amount of Allowed Claims:	\$	5,576,293,791.43
Amount of SIPC Coverage Committed:	\$	713,537,947.41
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,862,755,844.02

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 30, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	13,189
Allowed Claims:	2,175
Denied Claims:	11,014

Amount of Allowed Claims:	\$	5,556,299,243.18
Amount of SIPC Coverage Committed:	\$	713,037,947.41
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,843,261,295.77

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 23, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	13,165
Allowed Claims:	2,169
Denied Claims:	10,996

Amount of Allowed Claims:	\$	5,547,246,683.65
Amount of SIPC Coverage Committed:	\$	710,729,387.88
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,836,517,295.77

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 16, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	13,131
Allowed Claims:	2,159
Denied Claims:	10,972

Amount of Allowed Claims:	\$	5,534,139,344.37
Amount of SIPC Coverage Committed:	\$	706,994,114.60
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,827,145,229.77

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 09, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	13,112
Allowed Claims:	2,153
Denied Claims:	10,959

Amount of Allowed Claims:	\$	5,530,836,371.10
Amount of SIPC Coverage Committed:	\$	705,517,783.00
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,825,318,588.10

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 02, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	13,079
Allowed Claims:	2,146
Denied Claims:	10,933

Amount of Allowed Claims:	\$	5,520,603,501.42
Amount of SIPC Coverage Committed:	\$	703,021,556.52
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,817,581,944.90

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 25, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	13,041
Allowed Claims:	2,145
Denied Claims:	10,896

Amount of Allowed Claims:	\$	5,520,459,637.10
Amount of SIPC Coverage Committed:	\$	702,877,692.20
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,817,581,944.90

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 18, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	12,922
Allowed Claims:	2,135
Denied Claims:	10,787

Amount of Allowed Claims:	\$	5,510,782,682.50
Amount of SIPC Coverage Committed:	\$	700,864,901.96
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,809,917,780.54

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 11, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	12,889
Allowed Claims:	2,115
Denied Claims:	10,774

Amount of Allowed Claims:	\$	5,485,010,438.84
Amount of SIPC Coverage Committed:	\$	695,978,906.11
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,789,031,532.73

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **June 04, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	12,828
Allowed Claims:	2,102
Denied Claims:	10,726

Amount of Allowed Claims:	\$	5,467,479,520.47
Amount of SIPC Coverage Committed:	\$	692,725,771.67
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,774,753,748.80

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 28, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	12,750
Allowed Claims:	2,098
Denied Claims:	10,652

Amount of Allowed Claims:	\$	5,461,626,230.75
Amount of SIPC Coverage Committed:	\$	691,225,771.67
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,770,400,459.08

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 21, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	12,698
Allowed Claims:	2,085
Denied Claims:	10,613

Amount of Allowed Claims:	\$	5,450,143,039.20
Amount of SIPC Coverage Committed:	\$	689,134,117.01
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,761,008,922.19

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 14, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	12,599
Allowed Claims:	2,076
Denied Claims:	10,523

Amount of Allowed Claims:	\$	5,438,624,174.98
Amount of SIPC Coverage Committed:	\$	688,095,635.20
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,750,528,539.78

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **May 07, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	12,462
Allowed Claims:	2,070
Denied Claims:	10,392

Amount of Allowed Claims:	\$	5,417,140,569.60
Amount of SIPC Coverage Committed:	\$	686,192,975.11
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,730,947,594.49

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 30, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	12,453
Allowed Claims:	2,061
Denied Claims:	10,392

Amount of Allowed Claims:	\$	5,393,337,936.89
Amount of SIPC Coverage Committed:	\$	682,175,917.11
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,711,162,019.78

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 23, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	12,371
Allowed Claims:	2,059
Denied Claims:	10,312

Amount of Allowed Claims:	\$	5,393,118,470.11
Amount of SIPC Coverage Committed:	\$	681,956,450.44
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,711,162,019.67

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 16, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	12,319
Allowed Claims:	2,045
Denied Claims:	10,274

Amount of Allowed Claims:	\$	5,386,033,635.85
Amount of SIPC Coverage Committed:	\$	679,418,098.38
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,706,615,537.47

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 09, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	12,282
Allowed Claims:	2,028
Denied Claims:	10,254

Amount of Allowed Claims:	\$	5,355,770,381.72
Amount of SIPC Coverage Committed:	\$	673,347,674.04
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,682,422,707.68

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **April 02, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	12,252
Allowed Claims:	2,013
Denied Claims:	10,239

Amount of Allowed Claims:	\$	5,312,005,773.13
Amount of SIPC Coverage Committed:	\$	669,102,200.32
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,642,903,572.81

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 31, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	12,249
Allowed Claims:	2,011
Denied Claims:	10,238

Amount of Allowed Claims:	\$	5,310,849,986.29
Amount of SIPC Coverage Committed:	\$	668,102,200.32
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,642,747,785.97

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 26, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	12,242
Allowed Claims:	2,011
Denied Claims:	10,231

Amount of Allowed Claims:	\$	5,310,849,986.29
Amount of SIPC Coverage Committed:	\$	668,102,200.32
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,642,747,785.97

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 19, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	12,223
Allowed Claims:	1,999
Denied Claims:	10,224

Amount of Allowed Claims:	\$	5,287,492,638.74
Amount of SIPC Coverage Committed:	\$	664,181,100.49
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,623,311,538.25

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 11, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	12,187
Allowed Claims:	1,974
Denied Claims:	10,213

Amount of Allowed Claims:	\$	5,253,709,160.71
Amount of SIPC Coverage Committed:	\$	658,673,189.49
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,595,035,971.22

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 05, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	12,074
Allowed Claims:	1,950
Denied Claims:	10,124

Amount of Allowed Claims:	\$	5,239,938,754.48
Amount of SIPC Coverage Committed:	\$	654,071,395.53
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,585,867,358.95

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **March 01, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	12,052
Allowed Claims:	1,941
Denied Claims:	10,111

Amount of Allowed Claims:	\$	5,210,371,737.74
Amount of SIPC Coverage Committed:	\$	650,824,586.95
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,559,547,150.79

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 26, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	12,047
Allowed Claims:	1,936
Denied Claims:	10,111

Amount of Allowed Claims:	\$	5,207,038,018.86
Amount of SIPC Coverage Committed:	\$	649,643,586.95
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,557,394,431.91

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 19, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	12,030
Allowed Claims:	1,929
Denied Claims:	10,101

Amount of Allowed Claims:	\$	5,194,254,565.60
Amount of SIPC Coverage Committed:	\$	646,386,338.74
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,547,868,226.86

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 16, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	11,941
Allowed Claims:	1,918
Denied Claims:	10,023

Amount of Allowed Claims:	\$	5,182,508,151.63
Amount of SIPC Coverage Committed:	\$	644,192,734.35
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,538,315,417.28

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 12, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	11,917
Allowed Claims:	1,912
Denied Claims:	10,005

Amount of Allowed Claims:	\$	5,175,873,330.13
Amount of SIPC Coverage Committed:	\$	641,763,772.85
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,534,109,557.28

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 09, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	11,913
Allowed Claims:	1,908
Denied Claims:	10,005

Amount of Allowed Claims:	\$	5,124,485,426.14
Amount of SIPC Coverage Committed:	\$	639,763,772.85
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,484,721,653.29

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 04, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	11,900
Allowed Claims:	1,893
Denied Claims:	10,007

Amount of Allowed Claims:	\$	5,103,813,981.71
Amount of SIPC Coverage Committed:	\$	635,241,107.59
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,468,572,874.12

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **February 02, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	11,888
Allowed Claims:	1,888
Denied Claims:	10,000

Amount of Allowed Claims:	\$	5,099,332,951.98
Amount of SIPC Coverage Committed:	\$	633,329,200.03
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,466,003,751.95

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 29, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	11,861
Allowed Claims:	1,871
Denied Claims:	9,990

Amount of Allowed Claims:	\$	5,083,965,565.65
Amount of SIPC Coverage Committed:	\$	629,050,567.96
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,454,914,997.69

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 25, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	11,749
Allowed Claims:	1,776
Denied Claims:	9,973

Amount of Allowed Claims:	\$	4,960,251,940.53
Amount of SIPC Coverage Committed:	\$	600,949,329.03
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,359,302,611.50

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 21, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	11,732
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Allowed Claims:	1,762
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Denied Claims:	9,970
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Amount of Allowed Claims:	\$	4,905,033,643.83
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Amount of SIPC Coverage Committed:	\$	596,686,133.54
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Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,308,347,510.29
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The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 18, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	11,698
Allowed Claims:	1,728
Denied Claims:	9,970

Amount of Allowed Claims:	\$	4,842,833,991.82
Amount of SIPC Coverage Committed:	\$	585,751,260.99
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,257,092,730.83

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 14, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	11,686
Allowed Claims:	1,719
Denied Claims:	9,967

Amount of Allowed Claims:	\$	4,828,233,822.04
Amount of SIPC Coverage Committed:	\$	582,475,836.44
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,245,757,985.60

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 11, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	11,671
Allowed Claims:	1,705
Denied Claims:	9,966

Amount of Allowed Claims:	\$	4,805,116,654.87
Amount of SIPC Coverage Committed:	\$	578,519,846.01
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,226,596,808.86

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **January 07, 2010**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	11,652
Allowed Claims:	1,690
Denied Claims:	9,962

Amount of Allowed Claims:	\$	4,789,209,435.48
Amount of SIPC Coverage Committed:	\$	574,480,076.22
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,214,429,359.26

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 30, 2009**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	11,614
Allowed Claims:	1,676
Denied Claims:	9,938

Amount of Allowed Claims:	\$	4,754,724,431.41
Amount of SIPC Coverage Committed:	\$	569,356,524.74
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,185,367,906.67

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 23, 2009**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	11,610
Allowed Claims:	1,672
Denied Claims:	9,938

Amount of Allowed Claims:	\$	4,730,704,331.41
Amount of SIPC Coverage Committed:	\$	567,432,062.59
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,163,272,268.82

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 21, 2009**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	11,604
Allowed Claims:	1,666
Denied Claims:	9,938

Amount of Allowed Claims:	\$	4,727,347,428.56
Amount of SIPC Coverage Committed:	\$	565,857,744.38
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,161,489,684.18

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 17, 2009**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	11,595
Allowed Claims:	1,658
Denied Claims:	9,937

Amount of Allowed Claims:	\$	4,711,234,443.40
Amount of SIPC Coverage Committed:	\$	563,923,819.85
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,147,310,623.55

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 14, 2009**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	11,568
Allowed Claims:	1,652
Denied Claims:	9,916

Amount of Allowed Claims:	\$	4,710,068,889.81
Amount of SIPC Coverage Committed:	\$	563,341,269.77
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,146,727,620.04

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 08, 2009**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	11,563
Allowed Claims:	1,647
Denied Claims:	9,916

Amount of Allowed Claims:	\$	4,692,268,913.48
Amount of SIPC Coverage Committed:	\$	561,341,269.77
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,130,927,643.71

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **December 03, 2009**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	3,062
Allowed Claims:	1,641
Denied Claims:	1,421

Amount of Allowed Claims:	\$	4,684,258,491.73
Amount of SIPC Coverage Committed:	\$	559,259,965.53
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,124,998,526.20

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 30, 2009**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	3,044
Allowed Claims:	1,637
Denied Claims:	1,407

Amount of Allowed Claims:	\$	4,676,117,762.63
Amount of SIPC Coverage Committed:	\$	557,506,174.08
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,118,611,588.55

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 23, 2009**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	3,028
Allowed Claims:	1,632
Denied Claims:	1,396

Amount of Allowed Claims:	\$	4,675,351,490.06
Amount of SIPC Coverage Committed:	\$	556,749,901.51
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,118,601,588.55

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 19, 2009**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	3,021
Allowed Claims:	1,626
Denied Claims:	1,395

Amount of Allowed Claims:	\$	4,672,030,364.53
Amount of SIPC Coverage Committed:	\$	554,790,758.86
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	4,117,239,605.67

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 16, 2009**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	2.926
Allowed Claims:	1,603
Denied Claims:	1,323

Amount of Allowed Claims:	\$	4,499,994,674.23
Amount of SIPC Coverage Committed:	\$	548,924,675.02
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	3,951,070,017.21

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 12, 2009**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	2,913
Allowed Claims:	1,590
Denied Claims:	1,323

Amount of Allowed Claims:	\$	4,469,358,756.78
Amount of SIPC Coverage Committed:	\$	545,610,157.02
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	3.923,748,599.76

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 09, 2009**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	2,879
Allowed Claims:	1,568
Denied Claims:	1,311

Amount of Allowed Claims:	\$	4,447,224,455.97
Amount of SIPC Coverage Committed:	\$	537,899,884.98
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	3,909,324,570.99

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **November 06, 2009**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	2,875
Allowed Claims:	1,564
Denied Claims:	1,311

Amount of Allowed Claims:	\$	4,443,335,043.32
Amount of SIPC Coverage Committed:	\$	536,189,466.60
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	3.907,145,576.72

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 29, 2009**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	2,871
Allowed Claims:	1,562
Denied Claims:	1,309

Amount of Allowed Claims:	\$	4,438,101,443.32
Amount of SIPC Coverage Committed:	\$	535,422,866.60
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	3.902,678,576.72

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 27, 2009**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	2,861
Allowed Claims:	1,558
Denied Claims:	1,303

Amount of Allowed Claims:	\$	4,436,428,689.94
Amount of SIPC Coverage Committed:	\$	534,250,113.22
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	3.902,178,576.72

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 26, 2009**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	2,845
Allowed Claims:	1,542
Denied Claims:	1,303

Amount of Allowed Claims:	\$	4,409,271,713.26
Amount of SIPC Coverage Committed:	\$	528,743,379.57
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	3,880,528,333.69

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 22, 2009**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	2,767
Allowed Claims:	1,464
Denied Claims:	1,303

Amount of Allowed Claims:	\$	4,277,186,568.68
Amount of SIPC Coverage Committed:	\$	504,664,715.92
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	3,772,521,852.76

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 15, 2009**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	1,542
Allowed Claims:	1,410
Denied Claims:	132

Amount of Allowed Claims:	\$	4,163,620,626.78
Amount of SIPC Coverage Committed:	\$	487,064,966.79
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	3,676,555,659.99

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 12, 2009**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	1,536
Allowed Claims:	1,404
Denied Claims:	132

Amount of Allowed Claims:	\$	4,158,671,907.72
Amount of SIPC Coverage Committed:	\$	484,973,804.48
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	3,673,698,103.24

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 08, 2009**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	1,524
Allowed Claims:	1,392
Denied Claims:	132

Amount of Allowed Claims:	\$	4,113,783,842.14
Amount of SIPC Coverage Committed:	\$	481,091,097.83
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	3,632,692,744.31

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **October 06, 2009**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	1,512
Allowed Claims:	1,382
Denied Claims:	130

Amount of Allowed Claims:	\$	4,093,460,445.60
Amount of SIPC Coverage Committed:	\$	477,935,447.75
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	3,615,524,997.85

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 29, 2009**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	1,477
Allowed Claims:	1,347
Denied Claims:	130

Amount of Allowed Claims:	\$	4,030,806,115.49
Amount of SIPC Coverage Committed:	\$	465,054,174.52
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	3,565,751,940.97

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 25, 2009**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	1,456
Allowed Claims:	1,326
Denied Claims:	130

Amount of Allowed Claims:	\$	4,002,335,051.52
Amount of SIPC Coverage Committed:	\$	457,568,054.66
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	3,544,766,996.86

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 18, 2009**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	1,393
Allowed Claims:	1,263
Denied Claims:	130

Amount of Allowed Claims:	\$	3,894,822,203.44
Amount of SIPC Coverage Committed:	\$	438,316,229.04
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	3,456,505,974.40

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 17, 2009**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	1,371
Allowed Claims:	1,241
Denied Claims:	130

Amount of Allowed Claims:	\$	3,878,964,992.17
Amount of SIPC Coverage Committed:	\$	432,780,045.79
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	3,446,184,946.68

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **September 11, 2009**, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims:	1,325
Allowed Claims:	1,198
Denied Claims:	127

Amount of Allowed Claims:	\$	3,815,061,985.64
Amount of SIPC Coverage Committed:	\$	420,167,546.96
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	3,394,894,438.68

The Madoff Recovery Initiative

SUBSTANTIVELY CONSOLIDATED SIPA LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC & BERNARD L. MADOFF

As of **July 09, 2009**, the SIPA Trustee provides the following information regarding customer claims:

Allowed Claims:		561
Amount of Allowed Claims:	\$	3,011,827,543.79
Amount of SIPC Coverage Committed:	\$	237,762,308.77
Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection	\$	2,774,065,235.02