

As of January 11, 2010, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims: 11,671

Allowed Claims: 1,705

Denied Claims: 9,966

Amount of Allowed Claims: \$ 4,805,116,654.87

Amount of SIPC Coverage Committed: \$ 578,519,846.01

Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection \$ 4,226,596,808.86