

As of January 18, 2010, the SIPA Trustee provides the following information regarding customer claims:

Determined Claims: 11,698

Allowed Claims: 1,728

Denied Claims: 9,970

Amount of Allowed Claims: \$ 4,842,833,991.82

Amount of SIPC Coverage Committed: \$ 585,751,260.99

Amount by Which Allowed Claims Exceed Statutory Limits of SIPC Protection \$ 4,257,092,730.83