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Consolidated SIPA Liquidation of Bernard L. Madoff Investment
Securities LLC and Bernard L. Madoff*

**UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK**

SECURITIES INVESTOR PROTECTION
CORPORATION,

Plaintiff,

v.

BERNARD L. MADOFF INVESTMENT
SECURITIES LLC,

Defendant.

Adv. Pro. No. 08-01789 (BRL)

SIPA LIQUIDATION

(Substantively Consolidated)

In re:

BERNARD L. MADOFF,

Debtor.

IRVING H. PICARD, Trustee for the Liquidation of
Bernard L. Madoff Investment Securities LLC,

Plaintiff,

v.

PETER B. MADOFF, ESTATE OF MARK D.
MADOFF, ANDREW H. MADOFF, individually and
as Executor of the Estate of Mark D. Madoff, SUSAN
ELKIN, STEPHANIE S. MACK, DEBORAH
MADOFF, and SHANA D. MADOFF,

Defendants.

Adv. Pro. No. 09-1503 (BRL)

SECOND AMENDED COMPLAINT

Irving H. Picard (the “Trustee”), as trustee for the substantively consolidated liquidation of the business of Bernard L. Madoff Investment Securities LLC (“BLMIS”) under the Securities Investor Protection Act, 15 U.S.C. §§ 78aaa *et seq.* (“SIPA”),¹ and the estate of Bernard L. Madoff (“Madoff”), by and through his undersigned counsel, for his Second Amended Complaint against Peter B. Madoff, Andrew H. Madoff, individually, and as Executor of the Estate of Mark D. Madoff, the Estate of Mark D. Madoff, and Shana D. Madoff² (collectively, the “Family Defendants”), and Susan Elkin, Mark Madoff’s first wife, Stephanie S. Mack, Mark Madoff’s widow, and Deborah Madoff, Andrew Madoff’s wife (collectively, the “Spouse Defendants,” and, collectively with the Family Defendants, the “Family and Spouse Defendants”), states as follows:

NATURE OF PROCEEDING

1. This adversary proceeding is the next step in the Trustee’s continuing effort to recover Customer Property of BLMIS (as defined by SIPA § 78lll(4)) stolen as part of the massive Ponzi scheme perpetrated by Madoff. Through this action, the Trustee seeks a judgment pursuant to SIPA §§ 78fff(b), 78fff-1(a)-(b), and 78fff-2(c)(3), sections 105(a), 502(d), 510(c), 544, 547, 548(a), 550(a), and 551 of title 11 of the United States Code (the “Bankruptcy Code”), the New York Fraudulent Conveyance Act, N.Y. Debt. & Cred. §§ 270 *et seq.* (the “DCL”), New York Civil Practice Law and Rules (McKinney 2011) (the “CPLR”), and New York common law, against certain of Madoff’s family members, resulting from preferential payments,

¹ For convenience, references to provisions of SIPA will omit “15 U.S.C.”

² Certain transfers to Shana Madoff identified in this Second Amended Complaint were made at a time when her name was Shana Skoller. For ease of reference, all transfers to Defendant Shana Madoff (identified in Exhibits L-M, hereto) are described as being made to Shana Madoff, whether they were made to Shana Skoller or to Shana Madoff.

fraudulent transfers, fraudulent conveyances, and subsequent transfers they received; for damages caused by their breaches of fiduciary duties and other tortious conduct that facilitated Madoff's crimes; for an accounting and other equitable relief for their unjust enrichment at the expense of BLMIS customers; and for the imposition of a constructive trust upon property in their possession obtained, directly or indirectly, with Customer Property of BLMIS.

2. The Family Defendants were, and frequently held themselves out to be, business and securities regulatory compliance managers and principals of BLMIS. The Family Defendants' management responsibilities extended through trading operations, customer relationships, and legal and regulatory compliance. Yet the Family Defendants were completely derelict in these duties and responsibilities. As a result, they either failed to detect or failed to stop the fraud, thereby enabling and facilitating the Ponzi scheme at BLMIS. Simply put, if the Family Defendants had been doing their jobs—honestly and faithfully—the Madoff Ponzi scheme might never have succeeded, or continued for so long.

3. BLMIS was operated as if it were the family piggy bank. Each of the Family and Spouse Defendants received, directly or indirectly, huge sums of Customer Property from BLMIS to fund personal business ventures and personal expenses such as homes, cars, and boats. The Family and Spouse Defendants' misappropriation of BLMIS Customer Property ranged from the extraordinary (the use of BLMIS Customer Property to pay for multi-million dollar vacation homes) to the routine (the use of BLMIS Customer Property to pay their monthly credit card charges for restaurants, vacations, and clothing). The means of diverting Customer Property ranged from the simple (merely transferring money to the Family and Spouse Defendants' own personal bank accounts) to the complex (fabricating the purchases of securities on the Family Defendants' personal BLMIS investment advisory account statements and then cashing out those

positions). These transfers necessitate a judgment in favor of the Trustee for the benefit of BLMIS and its defrauded customers.

4. The Trustee's investigation of the obligations incurred by BLMIS, the transfers and subsequent transfers from BLMIS to or for the benefit of the Family and Spouse Defendants, and the extent to which the Family and Spouse Defendants benefited at the expense of BLMIS customers, is ongoing. Through that continuing investigation and discovery in this action, the Trustee may identify further transfers and obligations avoidable and/or recoverable and further amounts by which the Family and Spouse Defendants have been unjustly enriched. All of the factual allegations in this Second Amended Complaint are based upon information available to the Trustee at this time. The Trustee reserves the right, however, to supplement his allegations with respect to the amounts recoverable should further information become available.

5. The Trustee has identified and seeks to recover in this action for the benefit of the customers of BLMIS at least \$255,322,657 in avoidable and recoverable transfers comprising BLMIS Customer Property. This amount includes avoidable initial transfers to or for the benefit of the Family Defendants of: (a) \$145,206,356 during the six-year period prior to Madoff's arrest and the demise of BLMIS on December 11, 2008; (b) \$59,874,826 during the two-year period prior to December 11, 2008; and (c) \$8,691,152 during the one-year period prior to December 11, 2008. The Family Defendants also received subsequent transfers of at least \$8,981,500. The initial and subsequent transfers to each of the Family Defendants generally described above are set forth in detail in the following exhibits attached to this Second Amended Complaint: Exhibits C-D (Peter Madoff); Exhibits E-F (Mark Madoff); Exhibits I-J (Andrew Madoff); and Exhibits L-M (Shana Madoff).

6. The Trustee also seeks to recover at least \$54,548,463, and property interests of undetermined value, from Stephanie Mack and Deborah Madoff, by which they were unjustly enriched during the Statutory Period,³ and resulting from their respective marriages to Mark Madoff and Andrew Madoff.⁴ The Trustee further seeks to recover at least \$3,020,000, and property interests of undetermined value, in subsequent transfers from the Spouse Defendants, also resulting from their respective marriages to Mark Madoff and Andrew Madoff. These transfers to each of the Spouse Defendants, or by which they were unjustly enriched, are set forth in detail in the following exhibits attached to this Second Amended Complaint: Exhibit G (Susan Elkin); Exhibit H (Stephanie Mack); and Exhibit K (Deborah Madoff).

THE PARTIES

7. Defendant Peter B. Madoff is Madoff's brother and was BLMIS's Senior Managing Director and Chief Compliance Officer ("CCO"). Peter had worked at BLMIS since 1965. He is a graduate of the Fordham University Law School. Peter was an experienced investment professional and held a number of industry licenses, including Series 1 (general securities representative pre-dating Series 7), Series 4 (options principal), and Series 55 (equity trader). Peter was involved professionally as a leader in the securities industry and, as such, gave the appearance of being concerned about improvements in the industry for the benefit of the

³ On April 4, 2012, the Bankruptcy Court granted the Trustee leave to amend the Amended Complaint, in part, in order to bring unjust enrichment and constructive trust claims against Stephanie Mack and Deborah Madoff covering six years prior to December 23, 2011, when the Trustee sought leave to amend the Amended Complaint (the "Statutory Period"). The Bankruptcy Court also allowed the Trustee to add the Spouse Defendants in connection with subsequent transfer claims, and to add and clarify transfers against the Family Defendants. *Picard v. Madoff (In re Bernard L. Madoff Inv. Sec. LLC)*, No. 09-01503, 2012 WL 1124880, at *10 (Bankr. S.D.N.Y. Apr. 4, 2012) (Dkt. No. 106).

⁴ Peter Madoff's wife, Marion Madoff, who received payments from BLMIS for which no services were performed while a purported BLMIS "employee," also received, or benefited from, preferential payments, fraudulent transfers, and fraudulent conveyances from BLMIS. The Trustee commenced a separate adversary proceeding against Marion Madoff styled as *Picard v. Marion Madoff*, Adv. Pro. No. 10-04310 (BRL), and will amend the Complaint in that action (the "Marion Madoff Complaint") as necessary.

investing public. He was Director of the Securities Industry Financial Markets Association (“SIFMA”) and served as a member of the Board of Governors and Executive Committee of the National Stock Exchange. He also served as Vice Chairman of the Financial Industry Regulatory Authority (“FINRA”) Board of Governors, on the Executive Committee Board of Governors of NASDAQ, and as a Director of the National Securities Clearing Corporation.

8. Mark D. Madoff, now deceased, was Madoff’s son.⁵ He held the title of Co-Director of Trading at BLMIS. Mark also held the titles of Controller and Director at Madoff Securities International Ltd. (“MSIL”), a related British entity which helped Madoff and BLMIS create the false impression that BLMIS actively traded shares in its customers’ investment accounts. Mark had worked at BLMIS since 1986, upon graduation from the University of Michigan. Mark was an experienced investor and investment professional who directed many of BLMIS’s customer relations efforts and, at times, managed both BLMIS’s proprietary trading desk and its market-making operations. Mark also held a number of securities licenses with FINRA while working at BLMIS, including Series 7, 24, and 55. He was also involved professionally as a leader in the securities industry and, as such, gave the appearance of being concerned about improvements in the industry for the benefit of the investing public. He was Chairman of the FINRA Inter-Market Committee, Governor of the Securities Traders Association (“STA”), Co-Chair of the STA Trading Committee, a member of the FINRA Membership Committee and Mutual Fund Task Force, President of the STA of New York (“STANY”), Chairman of the FINRA Regulation District Ten Business Conduct Committee, and Chairman of the SIFMA NASDAQ Committee.

⁵ In addition to the Trustee’s claims in this action against Andrew Madoff individually, the Trustee’s claims against Mark Madoff are brought against both Andrew H. Madoff, as Executor for the Estate of Mark D. Madoff, and the Estate of Mark D. Madoff.

9. Upon information and belief, Defendant Susan Elkin (formerly Susan Madoff) was married to Mark Madoff from September 1989 until their divorce in 2000.⁶ During all relevant times, Susan Elkin was an insider of BLMIS.

10. Upon information and belief, Defendant Stephanie S. Mack (formerly Stephanie Madoff) was married to Mark Madoff from October 23, 2004 until Mark's death on December 11, 2010. During all relevant times, Stephanie Mack was an insider of BLMIS.

11. Defendant Andrew H. Madoff is also Madoff's son. He shared the title of Co-Director of Trading at BLMIS with his brother, Mark Madoff. He also held the titles of Controller and Director of MSIL. Andrew had worked at BLMIS since 1988, upon graduation from the University of Pennsylvania. Andrew was an experienced investor and investment professional who supervised trading at BLMIS, managed the trading floor, and directed many audit and compliance projects for BLMIS, including the confirmation and reporting of trades. He held a number of securities licenses with FINRA while working at BLMIS, including Series 4, 7, 24, and 55. Andrew was involved professionally as a leader in the securities industry and, as such, gave the appearance of an involved leader in the securities industry concerned about improvements in the industry for the benefit of the investing public. He was Chairman of the Trading, Trading Issues and Technology, and Decimalization and Market Data Committees and Subcommittees at SIFMA, and a member of the FINRA District Ten Committee and NASDAQ's Technology Advisory Committee.

⁶ As official marriage and divorce records are not publicly available, the dates of Mark's marriages to Susan Elkin and Stephanie Mack, and Andrew's marriage to Deborah Madoff, as described in this Second Amended Complaint, are approximated based upon documents available to the Trustee.

12. Upon information and belief, Defendants Deborah Madoff and Andrew Madoff were married on January 18, 1992. During all relevant times, Deborah Madoff was an insider of BLMIS.

13. Defendant Shana D. Madoff is Madoff's niece and Defendant Peter Madoff's daughter. Shana had worked at BLMIS since 1995, upon graduation from Fordham University Law School. At various times, Shana held herself out as Compliance Counsel, in-house Counsel, and Compliance Director of BLMIS. Shana was an experienced investment professional who, along with her father, Peter Madoff, and her uncle, Bernard Madoff, was responsible for overseeing all compliance-related activities at BLMIS. Shana was a member of the SIFMA Compliance and Legal Division Executive Committee, the FINRA Consultative Committee, STANY, the NASD's Market Regulation Committee, the SIFMA Self-Regulatory and SRO Committee, and the SIFMA Continuing Education Committee.

JURISDICTION AND VENUE

14. This is an adversary proceeding brought in this Court, in which the main underlying SIPA case, Adv. Pro. No. 08-01789 (BRL) (the "SIPA Proceeding"), is pending. The SIPA Proceeding was originally brought in the United States District Court for the Southern District of New York (the "District Court") as *Securities Investor Protection Corporation v. Bernard L. Madoff Investment Securities LLC* and, with the consent of the Securities and Exchange Commission (the "SEC") under SIPA § 78eee(a)(4)(A), was combined with the SEC's action styled *Securities Exchange Commission vs. Bernard L. Madoff Investment Securities LLC et al.*, No. 08-CV-10791 (the "District Court Proceeding"). This Court has jurisdiction over the SIPA Proceeding under 28 U.S.C. § 1334(b) and SIPA § 78eee(b)(2)(A) and (b)(4).

15. This is a core proceeding pursuant to 28 U.S.C. § 157(b)(2)(A), (B), (F), (H), and (O).

16. Venue in this district is proper under 28 U.S.C. § 1409.

GENERAL BACKGROUND, THE TRUSTEE, AND STANDING

17. On December 11, 2008 (the “Filing Date”), Madoff was arrested by federal agents for violations of the criminal securities laws, including, *inter alia*, securities fraud, investment adviser fraud, and mail and wire fraud. Contemporaneously, the SEC filed a complaint in the District Court which commenced the District Court Proceeding against Madoff and BLMIS. The District Court Proceeding remains pending in the District Court. The SEC complaint alleged that Madoff and BLMIS engaged in fraud through the investment adviser activities of BLMIS.

18. On December 12, 2008, Judge Louis L. Stanton of the District Court entered an order that appointed Lee S. Richards, Esq. as receiver for the assets of BLMIS (the “Receiver”).

19. On December 15, 2008, pursuant to SIPA § 78eee(a)(4)(A), the SEC consented to a combination of its own action with an application of Securities Investor Protection Corporation (“SIPC”). Thereafter, pursuant to SIPA § 78eee(a)(4)(B), SIPC filed an application in the District Court alleging, *inter alia*, that BLMIS was not able to meet its obligations to securities customers as they came due and, accordingly, its customers needed the protections afforded by SIPA.

20. Also on December 15, 2008, Judge Stanton granted SIPC’s application and entered an order pursuant to SIPA (the “Protective Decree”), which, in pertinent part:

- a. appointed the Trustee for the liquidation of the business of BLMIS pursuant to SIPA § 78eee(b)(3);
- b. appointed Baker & Hostetler LLP as counsel to the Trustee pursuant to SIPA § 78eee(b)(3); and
- c. removed the case to this Bankruptcy Court pursuant to SIPA § 78eee(b)(4).

By this Protective Decree, the Receiver was removed as Receiver for BLMIS.

21. By orders dated December 23, 2008 and February 4, 2009, respectively, the Bankruptcy Court approved the Trustee's bond and found that the Trustee was a disinterested person. Accordingly, the Trustee is duly qualified to serve and act on behalf of the estate of BLMIS. In addition, the bankruptcy estate of Madoff, individually, was substantively consolidated into the estate of BLMIS by order of the Bankruptcy Court dated June 9, 2009.

22. At a plea hearing on March 12, 2009, Madoff pleaded guilty to an 11-count criminal information filed against him by the United States Attorney's Office for the Southern District of New York. At the plea hearing, Madoff admitted that he "operated a Ponzi scheme through the investment advisory side of [BLMIS]" and acknowledged that "[a]s I engaged in my fraud, I knew what I was doing [was] wrong, indeed criminal." (Plea Allocution of Bernard L. Madoff at 23, *United States v. Madoff*, No. 09-CR-213 (DC) (S.D.N.Y. Mar. 12, 2009) (Dkt. No. 57).) Instead of being used for the investments Madoff promised his clients, investors' funds were principally deposited into BLMIS's account at JPMorgan Chase & Co., Account No. xxxxxxxxxxxx1703 (the "703 Account"). (*Id.* at 24.) On June 29, 2009, Madoff was sentenced to a prison term of 150 years.

23. On August 11, 2009, a former BLMIS employee, Frank DiPascali, Jr. pleaded guilty to participating in and conspiring to perpetuate the Ponzi scheme. At a plea hearing on August 11, 2009, DiPascali pleaded guilty to a ten-count criminal information. (Plea Allocution of Frank DiPascali at 18-20, *United States v. DiPascali*, No. 09-CR-764 (RJS) (S.D.N.Y. Aug. 11, 2009) (Dkt. No. 11).) Among other things, DiPascali admitted that the Ponzi scheme had been ongoing since at least the 1980's. (*Id.* at 46.)

24. On November 21, 2011, David Kugel, a former BLMIS trader and manager, pleaded guilty to a six-count criminal information, charging him with, among other things, participating in a conspiracy to commit securities fraud and to falsify BLMIS's books and records. (Plea Allocution of David Kugel at 14-16, *United States v. Kugel*, Case No. 10-CR-228 (LTS) (S.D.N.Y. Nov. 21, 2011) (Dkt. No. 188).) Kugel admitted to helping create false, backdated trades beginning from the early 1970s to BLMIS's collapse in December 2008 by providing "historical trade information . . . first to Annette Bongiorno, and later to Joanne Crupi, and others which enabled them to create fake trades that, when included on the account statements and trade confirmations of Investment Advisory clients, gave the appearance of profitable trading when in fact no trading had actually occurred." (*Id.* at 32.)

25. On December 19, 2011, Enrica Cotellessa-Pitz, the former BLMIS Controller who primarily worked with the firm's proprietary trading and market-making businesses, pleaded guilty to a four-count criminal information, charging her with, among other things, participating in a conspiracy to falsify the books and records of BLMIS and to make false filings with the SEC. (Plea Allocution of Enrica Cotellessa-Pitz at 14, *United States v. Cotellessa-Pitz*, No. S5-CR-0228 (LTS) (S.D.N.Y. Dec. 19, 2011).) Pitz admitted to perpetuating the fraud by making inaccurate accounting entries in BLMIS's books and records and filing false documents reflecting these false entries with regulators and others from the period 1999 through December 2008. (*Id.* at 29.) These inaccurate entries included improperly booking transfers from BLMIS's investment advisory business (the "IA Business") to the accounts of the proprietary trading and market-making businesses, which "falsely inflated the revenue, increased the profits, and hid the losses of the Proprietary Trading and Market Making businesses and at the same time did not accurately report the financial condition of BLMIS as a whole." (*Id.* at 31-32.)

26. The Trustee has the job of recovering and paying out Customer Property to BLMIS's customers, assessing claims, and liquidating any other assets of BLMIS for the benefit of the estate and its creditors. The Trustee is in the process of marshaling BLMIS's assets and the liquidation of BLMIS's assets is well underway. The assets recovered, however, will not be sufficient to reimburse the customers of BLMIS for the billions of dollars that they invested with BLMIS over the years. Consequently, the Trustee must use his authority under SIPA and the Bankruptcy Code to pursue recovery from, among others, the Family and Spouse Defendants who received or benefited from money which belonged to BLMIS and its defrauded customers. Absent this and other recovery actions, the Trustee will be unable to satisfy the claims described in subparagraphs (A) through (D) of SIPA § 78fff-2(c)(1).

27. Pursuant to SIPA § 78fff-1(a), the Trustee has the general powers of a bankruptcy trustee in a case under the Bankruptcy Code (in addition to the powers granted by SIPA pursuant to SIPA § 78fff(b)). Chapters 1, 3, 5, and Subchapters I and II of Chapter 7 of the Bankruptcy Code are applicable to this case to the extent consistent with SIPA.

28. Pursuant to SIPA §§ 78fff(b) and 78lll(7)(B), the Filing Date is deemed to be the date of the filing of the petition and commencement of a case under the Bankruptcy Code.

29. The Trustee has standing to bring these claims pursuant to SIPA § 78fff-1 and the Bankruptcy Code, including sections 323(b) and 704(a)(1), because, among other reasons:

- (a) BLMIS incurred losses as a result of the claims set forth herein;
- (b) the Trustee is a bailee of customer funds entrusted to BLMIS for investment purposes;
- (c) the Family and Spouse Defendants received Customer Property;

(d) SIPC has not reimbursed, and will not fully reimburse, the customers for their losses;

(e) the Trustee is the assignee of claims paid, and to be paid, to customers of BLMIS who have allowed claims in the liquidation proceeding. To the extent the Trustee has received express assignments of certain BLMIS customer claims, which they could have asserted, the Trustee stands in the shoes of persons who have suffered injury-in-fact, and a distinct and palpable loss for which the Trustee is entitled to seek monetary damages;

(f) SIPC has expressly conferred upon the Trustee enforcement of its rights of subrogation with respect to payments it has made and is making to customers of BLMIS from SIPC funds; and

(g) the Trustee has the power and authority to avoid obligations, and avoid and recover transfers, pursuant to sections 105(a), 544, 547, 548, 550(a), and 551 of the Bankruptcy Code, applicable state law including the DCL, and applicable provisions of SIPA, including sections 78fff(b), 78fff-1(a)-(b), and 78fff-2(c)(3).

THE FRAUDULENT PONZI SCHEME

30. BLMIS is a New York limited liability company that was wholly owned by Madoff. It operated from its principal place of business in the “Lipstick Building” at 885 Third Avenue, in Manhattan. Madoff, as founder, chairman, and chief executive officer, ran BLMIS together with the Family Defendants. BLMIS was registered with the SEC as a securities broker-dealer under section 15(b) of the Securities Exchange Act of 1934 (15 U.S.C. § 78o(b)). By virtue of that registration, BLMIS is a member of SIPC.

31. BLMIS was composed of three business units: a market-making business, a proprietary trading desk, and an investment advisory business. The proceeds of the Ponzi

scheme were used to prop up all of the business units—none of which would have been profitable absent the fraudulent scheme from at least fiscal year 2000 forward.

32. For years, the IA Business purported to utilize a “split-strike conversion” strategy to generate enormous and steady financial returns for its customers. In truth, though, BLMIS never implemented this strategy, or any other. At his plea hearing, Madoff admitted that BLMIS never in fact purchased any of the securities it claimed to have purchased for customer accounts. The Trustee’s investigation establishes that, to the extent that records are available, BLMIS never carried out an actual IA business; it simply deposited the customers’ investment funds in a bank account and used the money to pay other customers’ redemptions. The deceptive scheme worked as planned until, inevitably, customers’ requests for redemptions overwhelmed the flow of new investments and caused the collapse of the Ponzi scheme in December 2008.

33. During the operation of the Ponzi scheme, when customers requested and received distributions of the purported “profits” in their accounts, they were paid with the money invested by other customers. When customers redeemed or closed their accounts, they were paid amounts consistent with the fictitious customer statements they had been receiving, fostering the deception that their investments, and the corresponding profits and distributions received, were legitimate. Because BLMIS was no more than a Ponzi scheme, however, the money those customers withdrew was simply the money invested by other customers.

34. Instead of using Customer Property for investment in the split-strike conversion strategy, BLMIS used those funds to continue operations and pay redemption proceeds to or on behalf of other investors and to make other improper transfers. Thus, due to the siphoning and diversion of new investments to pay requests for payments or redemptions from other account holders, BLMIS did not have the funds to pay customers on account of their new investments.

BLMIS was able to stay afloat only by using new principal invested by customers to pay requests for payments and redemptions. At all times relevant hereto, the liabilities of BLMIS were greater than its assets. BLMIS was insolvent in that: (i) its assets were worth less than the value of its liabilities; (ii) it could not meet its obligations as they came due; and (iii) at the time of the transfers identified in this Second Amended Complaint, BLMIS was left with insufficient capital.

**BLMIS’S REQUIRED COMPLIANCE AND
SUPERVISORY PROCEDURES SHOULD HAVE PREVENTED THE FRAUD**

35. The Family Defendants each held senior management positions at BLMIS entailing legal compliance and/or supervisory responsibilities. BLMIS’s compliance and supervisory policies (and the laws and regulations mandating those policies) were supposed to ensure that suspicious and irregular activity would be caught, reported, and stopped. Instead, important compliance and supervisory roles were given to the Family Defendants who, rather than approach their jobs with appropriate professional diligence, failed to properly and faithfully carry out their duties and responsibilities. Those policies included BLMIS’s “Internal Risk Management Controls” in which BLMIS stated that:

Bernard L. Madoff Investment Securities LLC (“Madoff”) takes very seriously its responsibility to maintain a stringent risk management supervisory system. Risk management includes the continuous identification, management, measurement and oversight of Madoff’s various business risks. . . . Senior management . . . [is]. . . directly involved [in oversight through an] intricate system of supervision, review, and communication between Madoff’s senior management, supervisory, trading, operations and systems personnel.

36. Not one of these assertions, however, was true. Senior management—the Family Defendants—did not do their jobs. Otherwise, the Ponzi scheme might have been detected and stopped many years ago.

37. In that same document, BLMIS claimed that it “has established and maintains . . . internal controls to ensure the integrity of the firm’s written supervisory and operational procedures.” As part of that supervision, “Senior Management” (which included each of the Family Defendants) was to communicate with “department managers on a daily basis to ensure proper procedures are being followed.” BLMIS’s Internal Audit Committee was also to conduct internal audits on a monthly or quarterly basis. Upon information and belief, none of this consistently occurred with the regularity required.

38. BLMIS did not register with the SEC as an Investment Adviser until August 2006. Due to the large number of its advisory customers, BLMIS should have registered as an Investment Adviser many years before that time. Even after its long-delayed registration, meaningful and accurate internal supervision and regulatory compliance never took place within the IA Business. For example, in or about January 2008, BLMIS filed a Form ADV for Investment Adviser Registration with the SEC. In the application, BLMIS represented that it had 23 customer accounts and assets under management of approximately \$17.1 billion. In fact, in January 2008, BLMIS had over 4,900 open customer accounts with a purported value of approximately \$68 billion under management (based on customer account statements reflecting fictitious profits based on trades which, in fact, never took place). Upon information and belief, defendants Peter and Shana Madoff assisted in the completion of this filing with the SEC.

39. The Investment Advisory Manual for the IA Business required responsibilities and layers of oversight which were never fulfilled or implemented. Instead, BLMIS’s compliance documents existed only to provide the appearance of compliance efforts, rather than to provide actual compliance with federal securities laws. For example, the September 2006 Compliance Manual stated that:

- BLMIS and its personnel are committed to serving the interests of its clients with the utmost professionalism and integrity.
- BLMIS and its personnel have a duty to disclose to Clients all material facts that may affect the services that BLMIS provides to the Clients.
- BLMIS and its personnel may not confer a benefit on one client to the disadvantage of another client, but rather must treat all clients equitably.
- BLMIS's records are maintained in a manner that provides for an accurate record of all financial transactions in conformity with generally accepted accounting principles. No false or deceptive entries may be made and all entries must contain an appropriate description of the underlying transaction. All reports, vouchers, bills, invoices, payroll and service records and other essential data must be accurate, honest and timely and should provide an accurate and complete representation of the facts.
- For each security in which either [sic] Client has a current position on any particular day, BLMIS must be able to promptly tell the SEC which Client owns the security and the current amount or interest of the Client in that security.

40. The September 2006 Compliance Manual placed responsibility on the shoulders of the Family Defendants, who each held senior management and supervisory positions at

BLMIS:

- BLMIS's supervisory personnel are Bernard L. Madoff and Peter B. Madoff. Please note, however, that any person with the requisite degree of responsibility, ability, or authority to affect the conduct of the employee whose behavior is at issue may be deemed a 'supervisor.' A person's actual authority and responsibility, not merely title and status, determine whether that person is a 'supervisor.'

41. The September 2006 Compliance Manual required supervisory personnel to:

- Monitor (or delegate and supervise the monitoring of) the activities of BLMIS personnel to ensure that the policies and procedures in this manual are being followed;
- Respond to 'red flags' (such as indications that material nonpublic information has been inappropriately communicated or that insider

trading has occurred) and reasonably correct problems that may arise;

- Any aberrational activity should prompt close attention. Supervisors should be skeptical of any failure to adhere to BLMIS policies and procedures. Once red flags have been detected, a supervisor has an affirmative duty to investigate any problem and follow up to ensure that the problem has been corrected.

Each of the Family Defendants turned two blind eyes to these duties.

42. These duties and responsibilities were not new to BLMIS due to its 2006 registration as an Investment Adviser. A 1998 Compliance Manual required BLMIS to follow “a policy of high ethical standards and strictly adhere to the dictates of sound investment principles and policies.” The policies enumerated in the 1998 Compliance Manual were “designed to serve the interests of our customers and ensure compliance with all applicable securities regulations” and reminded all registered representatives, including Peter, Mark, and Andrew, that they had “a professional obligation to conduct your business affairs in a moral, ethical and legal manner.”

43. The 1998 Compliance Manual designated Madoff as the principal to supervise BLMIS’s registered personnel. Those supervisory duties were not exclusive to him, however, both as set forth in the Manual and based upon the duties FINRA-registered principals undertake when they assume such a position. In Madoff’s absence, the Manual provided that Peter Madoff had that principal responsibility and, in his absence, “Mark D. Madoff shall be responsible for carrying on the Firm’s policy.” Later manuals say that Mark and/or Andrew Madoff are in charge in Madoff’s absence.

44. In addition, the 1998 Compliance Manual required weekly compliance meetings by BLMIS’s principals with the registered representatives to discuss compliance matters. There is no evidence of these meetings having been regularly held.

Peter Madoff's Compliance Responsibilities

45. Defendant Peter Madoff's duties and responsibilities were well-defined by law. Yet he failed miserably to meet them, to the financial detriment of the thousands of BLMIS customers victimized by the Ponzi scheme.

46. SEC Rule 206(4)-7 of the Advisers Act, adopted in September 2003, requires each registered adviser to designate a CCO to administer its compliance policies and procedures. The CCO is to be a person competent and knowledgeable regarding the Advisers Act—sufficiently senior and empowered with full responsibility and authority to develop and enforce these policies and procedures. Defendant Peter Madoff accepted this designation when the firm became registered as an investment adviser in 2006.

47. FINRA Rule 3130, first adopted in 2004, also required BLMIS, as a broker-dealer, to designate a principal of the firm as a CCO, who would meet at least annually with the CEO to discuss BLMIS's compliance efforts and to identify and address significant compliance problems and plans for emerging business areas. Peter Madoff was also CCO of BLMIS's broker-dealer business, but he did not carry out this responsibility with any degree of diligence or integrity.

48. The supplementary materials to FINRA Rule 3130 describe the CCO's "unique and integral role" as an "indispensable party" to the firm's compliance certification. These materials explain that the CCO should have expertise in the process of gaining an understanding of the products, services, or line functions that need to be the subject of written compliance policies. The CCO is responsible for oversight of the line managers who are responsible for the execution of compliance policies and for developing programs to test compliance with the firm's policies and procedures.

49. BLMIS's own internal compliance policies also clearly set forth Peter Madoff's duties and responsibilities, yet those policies, too, were ignored routinely. Rule 206(4)-7 of the Investment Advisers Act of 1940 requires that registered investment advisers perform an annual review. In a 2007 report of its Annual Review, BLMIS stated the following:

- The firm's Chief Compliance Officer ("CCO"), Peter Madoff, performs the Adviser's annual review. The CCO is qualified to perform such review based upon his knowledge of the Investment Adviser's Act of 1940 . . . and of Madoff's advisory business.
- The CCO . . . reviewed the Adviser's business model in relation to the current written policies and procedures. Since Madoff's advisory business remained the same, the CCO found that the written policies and procedures were adequate.
- Since [BLMIS]'s business model remained unchanged, the CCO found the initial risk identification effective and the risk inventory comprehensive.
- The 2007 annual review assessed whether the firm had effectively implemented the Adviser's written policies and procedures as described in the [Compliance] Manual. The review demonstrated that the firm's written policies and procedures are effectively utilized in the firm.
- The CCO found that the implementation of the compliance procedures reflected good principles of management and control. The firm's existing compliance procedures were effective and no additional compliance procedures. . . are warranted.
- The CCO qualitatively tested the compliance procedures. It was demonstrated to the CCO that the reviews are reasonably designed to detect violations of the Investment Adviser Rules and federal securities laws applicable to the Adviser's business.

50. Peter was also given specific responsibilities in BLMIS's September 2006 Compliance Manual which, upon information and belief, he regularly ignored. For example:

- BLMIS has designated Peter Madoff as the Chief Compliance Officer ("Compliance Officer") to administer BLMIS's compliance policies and procedures in connection with its investment advisory business.

- All trading and operations personnel should alert the Compliance Officer immediately if there is any unusual activity in any account that BLMIS manages. This includes but is not limited to wire transfers, security transfers to unknown/unusual counterparties, transactions in securities away from the perceived market price, or any general unusual activity. Any such matter will be investigated immediately and with the utmost discretion.
- In order to verify compliance with [BLMIS's allocation and trade aggregation] policies and procedures, the Compliance Officer will conduct periodic reviews of allocation records in order to verify that order allocations are being made in accordance with the aforementioned allocation procedures. The Compliance Officer will also periodically compare the amount of designations made prior to the entry of an order with the actual allocations made.

Peter Madoff also failed to carry out these duties and responsibilities.

Shana Madoff's Compliance Responsibilities

51. Defendant Shana Madoff, the niece of Madoff and the daughter of Defendant Peter Madoff, was, as previously noted, in-house Counsel and Compliance Director at BLMIS. As Compliance Director, Shana was—or should have been, had she discharged her duties loyally, diligently, and carefully—aware that the IA Business did not, in fact, purchase or sell any securities with the billions of dollars held in customer accounts. Like her father Peter, Shana was responsible for monitoring BLMIS's operations and ensuring its compliance with the federal securities laws and regulations and corresponding FINRA rules and regulations. Shana assisted her father, for example, in drafting the annual review of the IA Business's compliance program. It would seem impossible for her to carry out her compliance duties, year in and year out, without questioning or considering whether BLMIS's IA Business was a fraud.

52. Although Shana enjoyed the title of Compliance Director, it is obvious that she did not do her job. Shana was the sole custodian for most of BLMIS's compliance documents

and regulatory materials, yet she ignored every red flag of the massive fraud taking place right in front of her.

53. In her role, she plainly understood and clearly communicated that there was no subdivision or segmentation of the compliance function as between the IA Business and BLMIS's market-making and proprietary trading functions. For example, Shana wrote a memo to her cousin, Mark Madoff, on which she copied her father, Defendant Peter Madoff, in which she definitively declared: "The Compliance Departments' [sic] monitoring and oversight of compliance issues extends to all areas of the firm's business."

54. The Trustee has not located or identified any evidence of meaningful compliance or supervisory activities relating to the IA Business, however. Had Peter, as the CCO, or Shana, as Compliance Counsel, done their jobs properly, the fraud might have been revealed years earlier. Either they failed completely to carry out their required supervisory/compliance roles, or they knew about the fraud but covered it up.

The Sons' Supervisory Responsibilities

55. Defendant Andrew Madoff and his brother, Mark, were each FINRA-registered securities principals of BLMIS. As such, they had supervisory responsibilities to ensure compliance with BLMIS's policies and procedures as well as with federal securities laws. The Trustee has not located or identified any evidence of meaningful and consistent supervision by either of them, though. Had they made even the slightest attempt to fulfill their supervisory responsibilities, they would have been aware that no actual securities transactions were taking place within the IA Business for the accounts of the customers of BLMIS. Either they failed completely to carry out their supervisory roles, or they did so and, as a result, knew about the fraud but covered it up.

56. Mark and Andrew Madoff were, like their uncle Peter Madoff, general securities principals at BLMIS pursuant to the FINRA Series 24 examination. The exam is designed to ensure that a principal of a broker-dealer has the requisite knowledge and competence, and serves to emphasize the principal's obligations to supervise the operations of a broker-dealer and its employees. Registered principals are responsible to ensure, among other things: (i) firm compliance; (ii) that regulatory requirements are met; and (iii) the establishment, maintenance, and implementation of proper supervisory procedures. In these obligations, Mark and Andrew Madoff (like their uncle Peter) failed the customers who opened accounts and entrusted their money to BLMIS.

57. Although Mark and Andrew had important roles in BLMIS's market-making business and at its proprietary trading desk, they each at times played roles in the IA Business as well. Upon information and belief, from time to time, Mark and Andrew had direct, investment-related contacts and communications with investors in the IA Business.

Supervisory And Compliance Failures Relating To MSIL

58. Among the charges to which Bernard Madoff pleaded guilty were two counts of money laundering. Frank DiPascali, another BLMIS employee, also pleaded guilty to money laundering charges. These charges arose from the transfer of monies between BLMIS and MSIL, which appeared on the books and records of both firms. Pursuant to the BLMIS Supervisory Compliance Procedures Manual, dated March 2007, BLMIS maintained an Anti-Money Laundering compliance program which prohibited the very transactions to which Madoff and DiPascali pleaded guilty. The supervisory manual also required periodic review of large or suspicious transactions to uncover potentially illegal activities of this kind. Here, too, even a

minimal level of diligence by the Family Defendants in carrying out their professional obligations might have protected BLMIS and its customers from the continuing fraud.

59. MSIL also maintained its own Compliance Manual which, upon information and belief, was last updated in March 2003. Andrew, Peter, and Mark were all Directors of MSIL and were designated as “Approved Persons” of MSIL by British regulatory authorities. The MSIL manual set forth “Statements of Principle for Approved Persons.” These include acting with “due skill, care and diligence in carrying out his controlled function,” and taking “reasonable steps to ensure that the business of the firm for which he is responsible. . . is organized so that it can be controlled effectively.” The Trustee has been able to find little written documentation evidencing that the required periodic supervisory review was regularly conducted on an on-going basis by Peter, Andrew, or Mark to ensure compliance with law. Again, their failures to discharge their duties enabled and facilitated the Ponzi scheme.

The Misuse Of IA Funds Permeated All Of BLMIS’s Business Units

60. The money laundering through MSIL was not limited to the IA Business. The purportedly “legitimate” proprietary trading and market-making businesses at BLMIS were involved as well. All of the Family Defendants knew or should have known that the majority of the total revenue purportedly earned by the market-making and proprietary trading businesses, in the aggregate, for at least fiscal years 2000 through 2008, was composed of income “generated” simply by transfers from the IA Business. In fiscal years 2007 and 2008, these amounts were reported as “commission” income. This commission income itself, however, was derived from purported trades which, in fact, never took place and was a term used to disguise the laundering of money from the IA Business. These “commissions” were fraudulently transferred from the IA Business to the market-making and proprietary trading businesses, often through MSIL in transactions which had no legitimate business purpose. These transactions gave the false and

misleading appearance that the market-making and proprietary trading businesses were generating significant profits.

61. In fiscal year 2007, approximately \$174 million was transferred from the IA Business to an MSIL bank account. During this time period, approximately \$103 million was transferred back to bank accounts controlled by BLMIS where it was displayed on financial statements prepared for the market-making and proprietary trading businesses as commission income.

62. In fiscal year 2008, approximately \$90 million was transferred from the IA Business to an MSIL bank account. During this time period, approximately \$87 million was transferred back to bank accounts controlled by BLMIS where it was displayed on financial statements prepared for the market-making and proprietary trading businesses as commission income.

63. Based upon financial statements prepared by BLMIS for fiscal year 2007, this re-directed commission income represented approximately 60% of the total revenues reported by the market-making and proprietary trading businesses. For fiscal year 2008, this re-directed commission income represented more than 70% of the total revenues reported by the market-making and proprietary trading businesses.

64. The financial statements prepared by BLMIS for fiscal years 2000 through 2008 falsely indicated that the market-making and proprietary trading businesses generated tens of millions of dollars in net income. In reality, however, the market-making and proprietary trading businesses would have generated millions of dollars in *losses* had they not been supported by the fraudulent transfer of Customer Property from the IA Business.

65. As directors, supervisors, and compliance officers of the market-making and proprietary trading businesses, the Family Defendants either knew, should have known, or deliberately disregarded that the primary source of revenue for the market-making and proprietary trading businesses was, in fact, nothing more than money re-directed from the IA Business. Had they faithfully carried out their compliance, supervisory, and managerial responsibilities, they would have realized that the market-making and proprietary trading businesses were, in fact, incurring millions of dollars in losses and could not afford the exorbitant salaries, bonuses, and other compensation paid to the Family Defendants and others, and, in many cases unjustly, benefiting and enriching the Spouse Defendants.

66. By virtue of their senior management positions at BLMIS, the Family Defendants also knew, should have known, or deliberately disregarded that there was no trading conducted by the IA Business either at MSIL or at BLMIS which would have generated such commission income. The Family Defendants did not ask any questions or otherwise seek to verify the legitimacy of the hundreds of millions of dollars which, in this manner, were effectively laundered from the IA Business. The Family Defendants ignored these and other obvious red flags in order to continue to enrich themselves and their families, including at times, the Spouse Defendants, at the expense of the customers of BLMIS.

THE PONZI SCHEME BENEFITED THE FAMILY AND SPOUSE DEFENDANTS

67. The Madoff Ponzi scheme massively enriched the Family and Spouse Defendants. Each received, directly or indirectly, substantial transfers of Customer Property from BLMIS which properly belonged to the company and, ultimately, its customers. The transfers received by, or for the benefit of, each of the Family and Spouse Defendants, as discovered by the Trustee's investigation, are identified in Exhibits C-M, hereto. Although many of these transfers were effected through paperwork that was falsified, backdated, or fabricated to make them look

as if they were based on real business activities, in fact, these transfers came from the investment funds of customers for whom BLMIS was supposed to act as an honest investment adviser and fiduciary.

68. In addition, the Family Defendants “invested” in BLMIS’s IA Business. Each held numerous IA accounts in their own names and in the names of their immediate family members. Unlike BLMIS’s other customers, however, their actual principal investments were often minimal and, in certain cases, nonexistent. They each removed substantial sums from their IA accounts in excess of the principal they invested, making them “net winners” subject to the avoidance claims asserted in this and other proceedings.

69. Like many of BLMIS’s IA customers, the Family Defendants each received or viewed monthly account statements purporting to describe the performance of their investments. Unlike many of the ordinary IA Business customers who were duped by their account statements, however, the Family Defendants were—or should have been—aware at all times that the profits described in their IA account statements were a fiction. Each of them held senior managerial and supervisory or compliance roles at BLMIS and were experienced investors and securities professionals with regulatory FINRA licenses. Perhaps most obviously, as Co-Directors of Trading, Andrew and Mark were—or should have been—aware that no one was effecting trades within the IA Business, either in New York or in London, as claimed by Madoff, and/or that the IA Business’s purported trades were not attained by the proprietary trading and market-making traders reporting to them who dealt with actual markets, and thus there was a material inconsistency that required investigation.

70. The Family Defendants also breached their fiduciary duties to BLMIS by diverting Customer Property to invest in outside business ventures for their own personal

enrichment. These obvious breaches of fiduciary duties were also violations of the terms of BLMIS's cynically titled Code of Ethics and Trading Policy prohibiting employees from engaging "in any business other than the employee's employment with BLMIS" absent the prior written consent of the Compliance Officer (*i.e.* Defendant Peter Madoff). This approval was also required pursuant to BLMIS's various compliance manuals. On information and belief, such consent was never obtained—and certainly not consistently with duties of loyalty and care owed to BLMIS. The Family Defendants, all employed by BLMIS, spent considerable time and energy diverting customers' funds and using them in a wide array of outside business ventures, ranging from real estate partnerships to hair salons, for their own personal benefit.

71. The Family Defendants used their IA accounts to funnel BLMIS Customer Property to themselves and their family members, as described in greater detail below. They each withdrew millions more than they invested into those accounts.

72. The Family Defendants failed to sufficiently perform any of the regulatory, supervisory, or compliance roles required of senior managers in a securities firm. They each received huge sums of money from BLMIS without any legitimate business purpose. None of them could have had a good-faith basis to believe either that they were entitled to the money or that it was the result of benefit or value they conferred to BLMIS. They each failed to note or report any of the blatant irregularities and improbable gains reflected in their own personal IA accounts and in BLMIS's business practices and operations.

Peter Madoff

73. The Trustee has identified at least \$90,390,500 transferred by BLMIS to Defendant Peter Madoff, or to entities for his benefit, which is avoidable and recoverable through this action. The transfers that compose that total are identified on Exhibit D, hereto.

Between 1993 and 2008, Peter was paid a total of \$36,245,000 in salary and bonuses. In addition, he received over \$3.8 million paid either directly to him or to vendors on his behalf as a “draw” during that same period. Available bank records reflect that these purported cash “draws” came from BLMIS’s bank accounts. Peter also directly received, and/or benefited from, over \$1.5 million in salary from his wife Marion Madoff’s “no-show” job with BLMIS.⁷

74. Peter maintained two IA accounts with BLMIS. All the available records reviewed by the Trustee show that Peter invested only \$32,146 into his accounts—including a grand total of only *fourteen dollars* after December 1995—yet he redeemed \$16,252,004. It was—or at the very least, should have been—obvious to Peter that the gains reflected in his IA account statements did not reflect actual securities transactions or market conditions during that time.

75. In fact, the Trustee has been unable to verify that any money was ever invested into one of Peter Madoff’s IA accounts—account number 1M0174. Notwithstanding the lack of actual investment, the March 2002 account statement—for the first time—reflected approximately \$15.4 million worth of Microsoft stock being “purchased” in or about December 2000 and “sold” in January 2002, generating a purported gain of \$8,752,620. These trades were a complete fabrication. Less than two months later, on or about May 17, 2002, Peter Madoff redeemed nearly \$6 million from this account, which included the gains from the fabricated Microsoft transactions. The purchase and sale of Microsoft shares reflected on Peter Madoff’s account statements were a complete fiction. They never occurred. They simply appeared on his account statements to justify his withdrawal of nearly \$6 million of other people’s money.

⁷ These purported salary payments for services Marion Madoff did not perform are described in the Marion Madoff Complaint, the factual allegations of which are incorporated by reference as if fully set forth herein.

76. Defendant Peter Madoff continued to withdraw sums of money far exceeding the invented gains of the fabricated Microsoft transaction. From April 2003 through May 2005, he withdrew an *additional* \$6.9 million from the same account.

77. Peter's IA account was again manipulated in September 2005 to create millions of dollars in false gains. The September 2005 statement showed—again for the first time—the purchase of 125,000 shares of Apple Computer (“Apple”) stock in January 2004, more than a year and a half earlier. The statement then reflected, on September 19, 2005, the settlement of a stock split in Apple stock on March 2, 2005, and a corresponding credit to Peter's account of an additional 125,000 shares. Finally, the September 2005 statement showed that Peter had “sold” his shares immediately after the split, in March 2005. Despite the earlier dates of these purported trades, the first evidence of this “purchase” of Apple stock does not appear until September 2005.

78. The account statement purports to show a gain from these transactions of \$8,117,500. From September 2005 through April 2006, Peter redeemed \$3,235,000 from this account, an amount that captured a great deal of the proceeds from the fictitious and backdated transactions in Apple stock. The Apple stock split and sale was designed to create the appearance of profitable trades in Peter's customer account when, in fact, none had occurred. Instead, these transactions served only to justify his redemption of substantial sums of other people's money.

79. In addition to these withdrawals from his “investment” accounts, Peter Madoff received, or benefited from, \$3,057,109 in withdrawals from IA accounts numbered 1M0007 and 1M0103, which were ostensibly maintained in the name of his wife, Marion Madoff. Upon information and belief, Peter Madoff directed the flow of money in and out of these accounts and

otherwise controlled the accounts. The withdrawals from these accounts to or for the benefit of Peter Madoff constituted other people's money.

80. Consistent with his level of financial experience and sophistication and his role as CCO, defendant Peter Madoff knew, or should have known, that the amounts withdrawn from his accounts were the product of fictitious and backdated trading activity and that the benefit he received was derived from purported transactions grounded in fraud and deception. He ignored obvious red flags that the profits reflected in account statements could not have been earned legitimately, to the detriment of BLMIS and its other customers. These fabricated profits, however, were only a fraction of the monies Peter was able to misappropriate from BLMIS.

81. In addition, Peter—and entities he owned and controlled—improperly received over \$29 million in fraudulently diverted funds from BLMIS and related entities which belonged to BLMIS and, ultimately, to its defrauded customers. Those transfers to Peter, or to others for his benefit, for which BLMIS received no corresponding benefit or value, include the following:

- On December 12, 2007, Madoff “loaned” Peter \$9,000,000 at a low interest rate of 4.13%. Although the note in connection with the loan was payable to Madoff, personally, the money for the loan came from the 703 Account. Despite the favorable terms, the Trustee has not discovered any evidence that interest or principal has been paid on this loan;
- On April 14 and June 2, 2004, \$450,000 and \$4,000,000, respectively, was wired from the 703 Account to Peter in connection with the purchase of an apartment located at 975 Park Avenue, Apt. 6B, New York, NY 10028;
- On May 31, 2002, BLMIS transferred \$714,401 in the form of a fraudulent transfer from Marion Madoff's IA account number 1M0103 to HSBC Mortgage Corp. to pay off the mortgage on Peter's home located at 34 Pheasant Run, Old Westbury, NY 11568;
- On May 1, 2001, Peter's sister-in-law, Ruth Madoff, “loaned” him \$4.2 million in connection with the purchase of a home located at 200 NW Algoma Road, Palm Beach, FL 33480. That amount, plus

an additional \$44,649, was transferred in three separate installments: \$10,000 on March 27, 2001; \$365,200 on April 18, 2001; and \$3,869,449 on April 30, 2001. Despite being “loaned” by Ruth Madoff, the money was wired directly to Peter’s real estate agents and lawyers from various BLMIS operating accounts;

- Between 1996 and 2008, BLMIS paid \$1,016,623 (in 38 separate payments) from its accounts at the Bank of New York to the Peter B. Madoff Life Insurance Trust, funding a life insurance policy which named his family members as beneficiaries;
- Peter was also an investor in four limited partnerships operated by Sterling American Property, Inc. (the “Sterling Partnerships”). Between January 18, 2000 and April 11, 2006, the Sterling Partnerships received twelve payments totaling at least \$896,744 from BLMIS for Peter’s benefit;
- Peter held a 1% ownership stake in Madoff Brokerage Trading and Technology, LLC, which was financed by a \$35,000 payment on January 11, 2001 from a BLMIS operating account at the Bank of New York;
- Peter also held a 1% ownership stake in Madoff Technologies, LLC. On October 31, 2000, his portion of a capital call by that entity—\$54,915—was paid by a transfer from one of BLMIS’s operating accounts;
- On December 18, 1998, Peter’s \$5 million ownership interest in MSIL was funded by a transfer from BLMIS.
- MSIL paid approximately \$274,563 out of its operating account (in four separate payments) to “Robert S. Fountain trading as The Aston Workshop” for Peter’s benefit for the purchase and restoration of Peter’s Aston Martin automobile;³
- On July 20, 1995, BLMIS transferred \$140,000 to Peter for his purchase of a Ferrari automobile;
- Between 2002 and 2008, BLMIS funds were used to pay for \$744,962 charged to Peter’s American Express cards for personal expenses such as wine and luxury clothing;

³ As of the filing of this Second Amended Complaint, the Trustee has received \$225,000 in partial satisfaction of these recoverable transfers.

- Peter also benefited from the BLMIS funds used to pay for \$54,853 in personal expenses charged to his wife Marion Madoff's American Express cards.

82. In addition to benefiting himself, Peter Madoff engaged in activities that diverted BLMIS money for the benefit of his family members. For example, on March 21, 2005, \$5 million was wired from the 703 Account to an HSBC bank account held by or for the benefit of Peter as a withdrawal on his IA account number 1M0174. On March 24, 2005, Peter transferred \$2.5 million of this amount (in two installments) to or for the benefit of his daughter, Shana Madoff. In addition, on March 28, 2008, a wire transfer in the amount of \$300,000 was sent from a BLMIS account to an HSBC bank account held by or for the benefit of Peter. On the same day, \$280,000 was sent from this account to Shana Madoff. This payment appears to have been used as a down payment on Shana Madoff's home in East Hampton. On May 8, 2008, another wire transfer in the amount of \$2,800,000 was sent from a BLMIS account to an HSBC bank account held by or for the benefit of Peter. The following day, May 9, 2008, \$2,619,000, which appears to have been the balance due at closing on Shana Madoff's home, was transferred from this account to a law firm handling the sale. BLMIS received no corresponding benefit for the \$8,100,000 transferred to Peter (and the \$5,399,000 of these funds subsequently transferred to or for the benefit of Shana Madoff) via the foregoing transactions.

Mark Madoff, Susan Elkin, And Stephanie Mack

83. The Trustee has identified at least \$81,256,109 transferred from BLMIS to Mark Madoff, or to entities and individuals for his benefit, which is avoidable and recoverable through this action. Also, Mark's former wife, Susan Elkin, directly or indirectly received, or benefited from, at least \$2,395,000 in subsequent transfers, resulting from her marriage to Mark. Further, Mark's widow, Stephanie Mack, was unjustly enriched by her direct or indirect receipt of, or

benefit from, transfers totaling at least \$27,457,202, and property interests of undetermined value, during the Statutory Period and resulting from her marriage to Mark. Stephanie Mack also received subsequent transfers of property interests of undetermined value, resulting from her marriage to Mark. The transfers that compose these totals are identified on Exhibits F-H, hereto. Mark Madoff, Susan Elkin, and Stephanie Mack lived high-end lifestyles with homes in Manhattan, Nantucket, and Greenwich, Connecticut. BLMIS funds paid for all aspects of Mark's lavish lifestyle, from the purchases of his high-end homes to the mattress and box spring he slept on, to the television in his home gym, and to the outdoor shower in his home. In addition, BLMIS provided Mark Madoff with astronomical compensation—between 1993 and 2008, he was paid \$33,554,960, including bonuses of \$4.8 million in 2006 and over \$9 million in 2007—years when the market-making and proprietary trading business units would have reported significant losses had they not been artificially propped up by funds from the IA Business.

84. Beyond this amount, in a proof of claim filed with the Trustee's claims agent, Mark had sought an *additional* \$44,815,520 in purported deferred compensation. However, the Trustee has found no credible evidence of a deferred compensation plan or that Mark was ever entitled to any deferred compensation. In any event, Mark is not entitled to any compensation from BLMIS due to, among other things, his breach of fiduciary duty to the firm.

85. Among other accounts, Mark maintained seven customer accounts with the IA Business for himself and his family members. The Trustee has identified documents purporting to show that Mark invested a total of \$381,393 into those accounts. Nonetheless, Mark redeemed \$18,729,227 from these investment accounts prior to December 2008. It was—or at

the very least, should have been—obvious to Mark that the massive gains reflected in his customer account statements did not reflect actual securities transactions or market conditions.

86. One of these accounts—1M0142—was purportedly opened in July 1998. Although the Trustee has not found any record of money invested into that account, Mark redeemed over \$14.6 million from that account alone.

87. Mark Madoff received millions of dollars as a result of fictitious and backdated transactions in Mark’s IA account. In July 1998, almost immediately after the account was opened, an account statement purports to show that thousands of shares of Dell Computer Corporation (“Dell”) stock had been purchased in January 1997, more than *eighteen months before the account was opened*. These purchases appeared on the statement even though the account was never funded with cash or securities. Nonetheless, the July 1998 account statement reflects, on July 21, 1998, two stock splits in Dell: one settling on July 30, 1997, and another settling on March 11, 1998. Both of these splits took place after the shares were purportedly purchased, but before the account was opened. On the same day the stock splits are reflected, an entry purports to show that the Dell shares were “sold,” generating a “gain” of \$1,985,000. Three days later, on or about July 24, 1998, Mark redeemed \$1,956,205 from this account.

88. As of a few years later, no money or securities had been invested in this account. Nevertheless, the March 2002 account statement reflects that \$9.9 million worth of stock in Microsoft had been “purchased” over a year earlier, in December 2000, and then “sold” in or about January 2002, creating a tidy “profit” of \$5,628,860. Despite the earlier dates of these purported trades, the first evidence of this “purchase” of Microsoft stock does not appear until March 2002. On or about April 3, 2002, a substantial portion of the purported profits of these

fictitious transactions—\$5,331,853—was transferred from Mark’s IA account to an outside account owned and/or controlled by him.

89. Similarly, in April 2004, despite having no money or securities invested in his account, an account statement shows that more than 2.9 million shares of stock in Lucent Technologies had been “purchased” in March 2003 and “sold” in April 2004 for a “gain” of over \$7.5 million. Again, although these trades purportedly occurred in 2003, they do not appear in account statements until April 2004. At least \$7.3 million of the proceeds of these fictitious trades were transferred from Mark’s IA account (number 1M0142) to outside bank and brokerage accounts owned and/or controlled by him.

90. Mark also established a customer account in July 1998 in the name of the “Children of Mark D. Madoff” with account number 1M0143. The account named Mark’s brother, Andrew Madoff, as trustee. Upon information and belief, all investment decisions concerning this account were made by Defendant Andrew Madoff and his brother Mark. The Trustee has been unable to verify that any money was ever invested into this account, and upon information and belief, no money was, in fact, invested into this account.

91. In July 1998, the fraudulent transactions in Dell stock described above were repeated in the investment account held in trust for Mark Madoff’s children identified above. As in his own investment accounts, falsified purchases, splits, and sales of Dell stock purported to take place in 1997 and 1998, generating fictitious gains of \$1,985,000. Just a few days after the alleged sale, on or about July 24, 1998, Mark redeemed \$1,956,205 from this account. This financial chicanery enabled Mark Madoff to receive money from BLMIS and its customers by imagined and falsely documented profitable transactions that never took place.

92. Consistent with his level of financial experience and sophistication and his supervisory position at BLMIS, Mark Madoff knew, or should have known, that the amounts withdrawn from his and his children's accounts were the product of fictitious and backdated trading activity, and that the profits received were derived from transactions that never took place. Mark Madoff ignored the obvious red flags that the profits reflected in these account statements were the product of fraud and deception, to the detriment of BLMIS and its other customers.

93. In fact, however, as set forth in part below, these purportedly massive profits were only a fraction of the money Mark and his former spouses were able to misappropriate from BLMIS:

- In May and June of 2008, \$6,645,000 was transferred from the 703 Account directly to Mark Madoff and Stephanie Mack's real estate attorney for their purchase of a home located at 51 Wanoma Way, Nantucket, MA 02554 (the "51 Wanoma Way Property"). Although this transfer took the form of a purported "loan" from Madoff to Mark Madoff and Stephanie Mack with a 3.2% interest rate, the Trustee has found no evidence that this loan was ever serviced or that any amount was repaid to BLMIS;
- In June 2005, Mark's mother, Ruth Madoff, purported to loan him at least \$5,556,589 in connection with his purchase of an apartment located at 583 Broadway, Apt. 4M, New York, NY 10021 (the "583 Broadway Property"). Those funds, however, originated from the 703 Account. The Trustee has found no evidence that this loan was ever serviced or that any amount was repaid to BLMIS;
- Only a year earlier, in March 2004, Mark's mother had purported to loan him at least \$2,925,000 to purchase another Manhattan apartment located at 457-461 West Broadway, Unit 4N, New York, NY 10012 (the "457-461 West Broadway Property"). Once again, however, the money originated from the 703 Account, and the "loan" was never serviced or repaid. On or around January 19, 2005, Mark subsequently and gratuitously transferred an ownership interest in this apartment to Stephanie Mack;

- On February 21, 2001, BLMIS sent four checks from its operating account totaling \$1,232,680 to various entities for Mark's purchase of an apartment located at 164 East 72nd Street, #4B, New York, NY 10021. Not surprisingly, Mark received these funds under a purported \$1.3 million "loan" from Madoff that was never repaid;
- In March 2000, Mark purchased a \$2,242,500 home located at 21 Cherry Valley Road, Greenwich, CT 06831. This purchase was funded by transfers from BLMIS's operating account and Madoff's personal account at Bank of New York directly to Mark's law firm handling the transaction. Again, some of these payments were documented as a purported "loan" from his mother, however the Trustee has found no evidence that such a loan was ever serviced or the amounts repaid to BLMIS;
- Upon information and belief, BLMIS or Madoff funded another purchase of a home by Mark Madoff, located at 411 Stanwich Rd., Greenwich, CT, 06830. Mark entered into two loan agreements, one with Madoff on December 2, 1994 for \$600,000, and one with Ruth Madoff on December 3, 1994 for \$1 million, to fund this home purchase. At least one of these "loans" was purportedly restructured and substituted by another loan document in favor of Ruth Madoff in the amount of \$1.1 million dated March 25, 2000;
- BLMIS, from May 31, 1997 to November 30, 1997, transferred \$42,475 to Edrich Fine Arts for the purchase of artwork for one of Mark Madoff's residences;
- BLMIS, from March 15, 2000 to December 14, 2001, transferred \$860,098 to interior decorators Vincent Wolf Associates, John Colello, and Kinese Barnes Fine Art for the benefit of Mark Madoff;
- Mark held a 22.275% ownership stake in Madoff Brokerage Trading and Technology, LLC, which was financed by a January 11, 2001 payment of \$779,625 from one of BLMIS's operating accounts;
- Mark's \$5 million ownership interest in MSIL was funded by a December 18, 1998 transfer from BLMIS.
- BLMIS, on January 28, 2004, transferred \$24,939 to Mark for the purchase of a Volkswagen automobile;
- BLMIS funds were used to pay for \$792,816 in personal expenses charged to Mark's American Express card between 2002 and 2008 for the benefit of Mark and Stephanie Mack.

94. Upon Mark Madoff's death, his interests in the 51 Wanoma Way and 583 Broadway Properties were purportedly transferred to Stephanie Mack (the "51 Wanoma Way and 583 Broadway Transfers"). The transfers of the funds to purchase the 51 Wanoma Way and 583 Broadway Properties were fraudulent, and thus avoidable and recoverable as against Andrew Madoff and the Estate of Mark Madoff. The subsequent transfers to Stephanie Mack upon Mark's death of the properties for no value were fraudulent, and thus are also recoverable from Stephanie Mack through this action. Stephanie Mack did not provide any value for these transfers and was unjustly enriched. Moreover, at the time of the 51 Wanoma Way and 583 Broadway Transfers, Stephanie Mack was on notice of the avoidability and recoverability of the transfers used to purchase these properties because of the pendency of this adversary proceeding.

95. The Trustee filed a Notice of Claim in the probate of the Estate of Mark D. Madoff, File No. 2011-39, currently pending in Surrogate's Court, New York County.

Andrew And Deborah Madoff

96. Like Mark, Susan Elkin, and Stephanie Mack, Andrew and Deborah Madoff also lived high-end lifestyles paid for with the investment funds entrusted to BLMIS by its IA customers. The Trustee has discovered at least \$73,807,007 transferred from BLMIS to Andrew Madoff, or to entities and individuals for his benefit, which is avoidable and recoverable through this action. Also, Deborah Madoff was unjustly enriched by the direct or indirect receipt of, or benefit from, transfers in the amount of at least \$27,091,261 resulting from her marriage to Andrew during the Statutory Period, and received or benefited from subsequent transfers of at least \$625,000 also resulting from her marriage to Andrew. The transfers that compose these totals are identified on Exhibits J-K, hereto. Between 1993 and 2008, Andrew was paid \$34,217,845 in salary and bonus. His compensation included bonuses of over \$4.8 million in

2006, and over \$9 million in 2007, alone—years when the market-making and proprietary trading business units would have reported significant losses had they not been artificially propped up by funds from the IA Business. Beyond this amount, in a proof of claim filed with this Court, Andrew seeks an *additional* \$40,624,525 in purported deferred compensation.

Although the Trustee has discovered self-serving documents, created by Andrew, stating that he is owed over \$9.5 million in deferred compensation as of March 2008, the Trustee has found no credible evidence of a deferred compensation plan or that Andrew was ever entitled to any deferred compensation. In any case, Andrew is not entitled to any compensation from BLMIS due to, among other things, his breach of fiduciary duty to the firm. Furthermore, in documents filed in connection with Andrew's divorce proceeding with Deborah Madoff, he disclosed that his unpaid deferred compensation was only \$52,173.

97. Among other accounts, Andrew maintained seven IA accounts in his name, including two IA accounts held jointly with Deborah Madoff. The Trustee's investigation shows that Andrew invested only \$569,006 into those accounts, yet he redeemed \$17,175,854 from the accounts prior to December 2008. It was—or at the very least, should have been—obvious to Andrew that the gains reflected in his customer account statements did not reflect actual securities transactions or market conditions.

98. Most, but not all, of Andrew's IA account gains were withdrawn from customer account number 1M0140. Although the Trustee has not found that *any* money was invested into this account, Andrew redeemed over \$14.6 million between 1998 and May 2004.

99. The purported profits generated by this customer account were the result of brazenly fabricated transactions. In July 1998, almost immediately after the account was opened, the account statement purported to show that thousands of shares of Dell stock had been

purchased in January 1997, more than *eighteen months before the account was opened*. These purchases occurred even though the account was never funded with cash or securities. In July 1998, the account statements reflected, on July 21, 1998, two stock splits: one settling on July 30, 1997, and another on March 11, 1998, after the shares were purportedly purchased, but before the account was purportedly opened. On the same day the stock splits are reflected, an entry on the account statement shows that the Dell shares were purportedly “sold,” generating a gain of \$1,985,000. Three days later, on or about July 24, 1998, Andrew redeemed \$1,956,205 from this account.

100. As of a few years later, Andrew had still not invested money or securities into this account. Yet, in March 2002, his account statement suddenly reflects that \$9.9 million worth of stock in Microsoft had been “purchased” over a year earlier in December 2000 and “sold” in or about January 2002, at a tidy profit of \$5,628,860. Despite the earlier dates of these purported trades, the first evidence of this “purchase” of Microsoft stock does not appear until March 2002. On or about April 3, 2002, a substantial portion of the purported profits of these fictitious transactions—\$5,331,853—was transferred from Andrew’s IA account to an account at Fidelity.

101. Similarly, in April 2004, again despite not having invested money or securities into his account, an account statement purported to show that more than 2.9 million shares of stock in Lucent Technologies had been “purchased” in March 2003 and “sold” in April 2004 for a gain of over \$7.5 million. Although the first of these transactions purportedly took place in 2003, the first evidence of this “purchase” of Lucent stock does not appear on an account statement until April 2004. At least \$7.3 million of the proceeds of these fictitious trades were transferred to bank and brokerage accounts controlled by Andrew.

102. Another account, number 1M0141, was opened in July 1998 in the name of the “Children of Andrew H. Madoff.” The account named Andrew’s brother, Mark Madoff, as trustee. Upon information and belief, all investment decisions concerning this account were made by Defendant Andrew Madoff and his brother, Mark. The Trustee has been unable to find evidence that any money was ever invested into this account.

103. In July 1998, fraudulent transactions in Dell stock identical to those described above appeared in statements for this account. Falsified purchases, splits, and sales of Dell stock purporting to take place in 1997 and 1998, before the account was opened, generated fictitious gains of \$1,985,000. Just a few days after the alleged sale, on or about July 24, 1998, Andrew redeemed \$1,956,205 from this account. This financial chicanery enabled Andrew to improperly receive completely fictitious profits created by imagined and falsely documented profitable transactions in his children’s IA accounts that never took place.

104. Consistent with his level of financial experience and sophistication and his supervisory position at BLMIS, Defendant Andrew Madoff knew or should have known that the amounts withdrawn from his and his children’s IA accounts were the product of fictitious and backdated trading activity and that the redemptions were the product of transactions grounded in fraud and deception. Defendant Andrew Madoff ignored obvious red flags that the profits reflected in his account statements could not have been earned legitimately, to the detriment of BLMIS and its other customers.

105. In addition to his sizeable compensation and the fabricated returns on his IA accounts, the Trustee has been able to identify, among others listed in Exhibits J-K, hereto, the following transfers to or for the benefit of Andrew and Deborah Madoff for which BLMIS received no corresponding benefit or value:

- In 2008, Madoff purported to “loan” Andrew at least \$4,485,000 for the purchase of an apartment located at 433 E. 74th Street, Apt. 5E, New York, NY 10021. That money, however, was wired directly to Andrew’s real estate agents and lawyers from the 703 Account. The Trustee has not discovered any evidence that this “loan” was ever serviced or repaid. On information and belief, Deborah Madoff also unjustly benefited from this fraudulent transfer;
- On November 25, 2003, Ruth Madoff purported to “loan” Andrew \$6.8 million to purchase another apartment located at 10 Gracie Square, Apt. 10G, New York, NY 10028. That money, however, was transferred from the 703 Account to a bank account held by Andrew, and the Trustee has not found any evidence that this “loan” was ever serviced or repaid;
- On information and belief, Andrew’s purchase of a house located at 57 Tomac Avenue, Old Greenwich, CT 06870 was funded by a transfer of \$100,000 on October 17, 1996 from Andrew’s IA account (account number 1M0073) and, upon information and belief, a \$300,000 transfer from BLMIS or Madoff on October 21, 1996, in the form of a “loan” from Ruth Madoff to Andrew;
- On April 17, 1995, Madoff made three payments totaling \$1,488,000 to third parties for the purchase of a coop for Andrew located at 131 E. 66th Street, Unit 6/7A, New York, NY 11234. These transfers were, for the most part, the subject of two other purported “loans” from Madoff to Andrew totaling \$1,440,000;
- Andrew held a 22.275% ownership stake in Madoff Brokerage Trading and Technology, LLC, which was financed by a January 11, 2001 payment of \$779,625 from one of BLMIS’s operating accounts;
- Andrew’s \$300,000 interest in a business called Blow Styling Salon, LLC was funded with transfers from the 703 Account in May and September of 2008;
- Andrew’s \$5 million ownership interest in MSIL was funded by a December 18, 1998 transfer from BLMIS;
- In 2003, the \$12,000 down payment on Andrew’s boat was paid with a check issued by one of the BLMIS operating accounts;
- In 2002, BLMIS paid \$68,900 to Beacon Point Marine in Connecticut for Andrew’s benefit;

- In 2001 and 2002, BLMIS funds were used to pay \$75,000 to “Lock and Hackle,” a fly fishing and hunting membership club in Miami, Florida on Andrew’s behalf;
- Between 2002 and 2008, BLMIS funds were used to pay for \$810,419 in personal expenses charged to Andrew’s American Express card such as clothes, boat rentals, and vacation travel for him and his wife and daughters. In addition, BLMIS funds were used to pay for \$15,501 in charges to Deborah Madoff’s American Express card.

Shana Madoff

106. The Trustee has thus far identified at least \$15,268,040 transferred from BLMIS to Shana Madoff, or to third parties for her benefit, which are avoidable and recoverable through this action. The transfers that compose these totals are identified on Exhibit M, hereto.

107. Shana Madoff was well-compensated for her work as BLMIS’s Compliance Director. Between 1993 and 2008, she was paid \$4,601,488 in salary and bonus.

108. Shana maintained five accounts with BLMIS’s IA Business for the benefit of herself and certain of her family members. The Trustee has learned that Shana invested \$1,306,960 into those accounts, and she redeemed \$1,666,436 prior to December 2008. Those redemptions are subject to avoidance and recovery, and should be returned for the benefit of those BLMIS customers who were not so fortunate.

109. In addition to these investment account redemptions, Shana, and entities in which she held an interest, improperly received transfers and/or the benefit of over \$9 million in funds fraudulently diverted from BLMIS which belonged, ultimately, to its defrauded customers. The Trustee has identified, among others listed in Exhibit M, hereto, the following distributions to Shana Madoff, for which BLMIS received no corresponding benefit or value:

- On March 28 and May 9, 2008, a total of \$2,899,000, originating from the 703 Account, was transferred to a law firm on Shana’s

behalf and used to purchase her home at 8 Barclay Court, East Hampton, NY 11937;

- Shana held a 22.275% interest in Madoff Technologies, LLC. On October 31, 2000, a capital call to that entity attributable to Shana's ownership stake was satisfied by a \$1,223,237 transfer from BLMIS's operating account;
- On or about July 2, 2007, Shana purchased a one-third stake in Madoff Energy Holdings, LLC for \$2,370,000. \$1,700,000 of that amount, however, was taken from BLMIS's operating account and recorded as a purported draw against her father, Peter Madoff's, compensation;
- In September and October of 2000, transfers totaling \$30,000 were transferred from BLMIS's operating account at the Bank of New York to Shana's interior decorator;
- Between 2002 and 2004, BLMIS paid \$241,958 to the Glenwood Management Corporation for rent on Shana's apartment;
- From March 1996 to December 2008, Shana received \$12,561 in "vendor" payments from BLMIS;
- Between 2002 and 2008, BLMIS funds were used to pay for \$374,860 in personal expenses charged to Shana's American Express card such as clothing, cosmetics, and personal travel for her and her family.

**NATURE OF THE CAUSES OF ACTION AGAINST THE FAMILY AND SPOUSE
DEFENDANTS**

110. At all times relevant hereto, BLMIS was insolvent in that: (i) its liabilities exceeded the value of its assets by billions of dollars; (ii) it could not meet its obligations as they came due; and/or (iii) at the time of the transfers to or for the benefit of the Family and Spouse Defendants described herein, BLMIS was left with insufficient capital.

111. This adversary proceeding is being brought to avoid obligations and to avoid and recover transfers made to or for the benefit of the Family and Spouse Defendants, and to recover money by which the Family and Spouse Defendants have been unjustly enriched, so that this

customer property can be equitably distributed among the customers of BLMIS in accordance with the provisions of SIPA.

112. The Trustee also seeks an accounting of all monies received by the Family Defendants during their employment at BLMIS, and received by Stephanie Mack and Deborah Madoff during the Statutory Period, and a constructive trust imposed as a result of the past unjust enrichment of—and to prevent any further unjust enrichment by—the Family Defendants and Stephanie Mack and Deborah Madoff on all assets they acquired directly or indirectly from BLMIS. The Trustee specifically looks to place many of the properties described herein, which were purchased for the Family Defendants and Stephanie Mack and Deborah Madoff with BLMIS funds, in a constructive trust, to prevent their transfer, sale, or other dissipation during the pendency of this action. The accounting and constructive trust are necessary in this case in light of the size of the Ponzi scheme and the amount of money improperly transferred to the Family and Spouse Defendants to finance their personal lives and personal investments.

113. Without regard to the extent to which they knew of Madoff's fraudulent scheme, each of the Family and Spouse Defendants knew or should have known that they were not entitled to these distributions of "free" company money. The Family Defendants were intimately involved with the "family business" and were close relatives of the mastermind of the Ponzi scheme. Together with the Spouse Defendants, they sometimes traveled together, vacationed together, and spent many holidays together. The Family Defendants all had senior positions at BLMIS. Among other things, and at the very least, the Family Defendants were on notice of the following indicia of irregularity and fraud, and either failed to make sufficient inquiry or knew of the fraud, ignored it, and profited from it:

(a) Mark Madoff and Defendants Peter, Shana, and Andrew Madoff were integrally involved in BLMIS's proprietary trading and market-making operations. Each of them held numerous IA accounts and received monthly IA customer statements. They all were, or should have been, aware of the radically different performance of the IA Business as compared to the performance of the proprietary trading and market-making businesses, which traded in the same markets at the same time.

(b) Financial industry press reports, including a May 27, 2001 article in Barron's entitled "Don't Ask, Don't Tell: Bernie Madoff is so secretive, he even asks investors to keep mum," and a May 2001 article in MAR/Hedge, a newsletter widely-read by hedge fund industry professionals, entitled "Madoff Tops Charts; Skeptics Ask How," raised serious questions about the legitimacy of BLMIS and Madoff. Those articles also questioned BLMIS's ability to achieve the returns purportedly attributable to Madoff's split-strike conversion strategy. Defendant Andrew Madoff and his brother, Mark, read those articles and are alleged to have denied the charges to BLMIS employees.

(c) BLMIS did not provide most of its customers with electronic real-time online access to their accounts, which certainly by the year 2000 was customary in the industry. BLMIS also utilized outmoded technology, such as paper trading confirmations. This outdated practice stood in sharp contrast to Madoff's stated goal of being in the forefront of trading technology and his early use of computer-based trading. The use of paper confirmations helped facilitate the Ponzi scheme by making it easier to alter trading records than if modern automated methods had been used. These and other outdated practices, such as the blanket prohibition on email communication by employees of the IA Business, should have been red flags to Peter, Andrew, Mark, and Shana based on their positions and corresponding responsibilities.

(d) Defendant Andrew Madoff and his brother, Mark, supervised trading at the company's proprietary trading and market-making operations. They were, therefore, aware at all times—or, at the very least, should have been aware—of the trading volume and price ranges of the stocks traded by BLMIS. They knew or should have known that the profits and executions described in customers' account statements, including their own, did not correspond to actual market conditions.

(e) BLMIS functioned as both investment adviser to its customers and custodian of their securities. This arrangement eliminated another frequently utilized check and balance in investment management by excluding segregation of duties between the custodian of securities and the investment adviser. This conflict heightened the potential for fraud and lack of transparency at BLMIS and was another red flag which required heightened scrutiny by the Family Defendants.

(f) BLMIS produced returns that were too good to be true, showing consistent profitability in both good times and bad that was simply not credible. Because of their senior supervisory positions, the Family Defendants should have recognized, for example, that the double digit returns their own IA accounts purported to show were not possible. In fact, other skilled hedge fund managers who attempted to employ the split-strike conversion strategy purportedly used by BLMIS consistently failed to generate anything close to its reported results.

(g) Although BLMIS would have had to execute massive options trades to implement the split-strike conversion strategy, its purported trading failed to have the expected impact such volume would have had on the options market indices. Indeed, there were not enough put option contracts available to place hedges in the size which BLMIS claimed to be implementing. Actual implementation of the purported split-strike conversion strategy based on

the amount of advisory monies purportedly available for investment would have overwhelmed the options market. It certainly would have caused significant changes to market indices. The absence of these market impacts should have been noticed by the Family Defendants.

(h) BLMIS's customer statements reflected a consistent ability to trade securities near their monthly highs and lows to generate consistent and unusual profits (or, if requested by investors to generate losses, to do the opposite). No investment professional could have reasonably believed that this could have been accomplished legitimately for so long.

(i) BLMIS, which reputedly ran the world's largest hedge fund, had its annual audits prepared by Friehling & Horowitz, an accounting firm of three employees, one of whom was semi-retired, with offices located in a strip mall. The Family Defendants, some of whom used Friehling & Horowitz to prepare their own tax returns, could not have reasonably believed it possible for any such firm to have competently audited an entity the size of BLMIS.

(j) BLMIS purported to convert all of the holdings for its customers' accounts to cash or United States treasury securities immediately before each quarter ended. This strategy had no practical benefit and could not have been effective in producing the fictitious consistent returns for these accounts, given the vagaries and cycles in the stock and options markets.

PROOFS OF CLAIM

114. In July of 2009, Mark Madoff filed the following non-customer general creditor proofs of claim asserting a claim for purported deferred compensation that allegedly had accrued in his purported deferred compensation account, unpaid salary, bonuses, and investments in MSIL:

- a. Claim Nos. 100328 and 100428 in the amount of \$53,848,721.06. Of that amount, \$10,950 was sought to be treated as an allowed unsecured priority claim under section 507(a)(4)(A) of the Bankruptcy Code;⁴

Copies of these proofs of claim are attached hereto as Exhibit N.

115. In July of 2009, Andrew Madoff filed the following non-customer general creditor proofs of claim asserting a claim for purported deferred compensation that allegedly had accrued in his purported deferred compensation account, unpaid salary, bonuses, and investments in MSIL:

- a. Claim Nos. 100329 and 100427 in the amount of \$45,690,776.95, and of that amount, \$10,950 was sought to be treated as an allowed unsecured priority claim under section 507(a)(4)(A) of the Bankruptcy Code.⁵

Copies of these proofs of claim are attached hereto as Exhibit N.

116. In addition, the Family Defendants filed customer claims with the Trustee (the "Customer Claims"). A chart setting forth information contained in the Customer Claims is attached hereto as Exhibit O. Exhibit O lists the following information for each Customer Claim: (i) the BLMIS account number to which the Customer Claim refers; (ii) the name of the BLMIS account and Customer Claim holder; (iii) the date the Customer Claim was filed; (iv) the Claim Number designated by the Trustee for each Customer Claim; (v) the status of each Customer Claim, including whether the claim was objected to; and (vi) the date an objection, if any, was filed with respect to the Trustee's determination of each Customer Claim.

⁴ Claim No. 100428 is identical to and duplicative of Claim No. 100328.

⁵ Claim No. 100427 is identical to and duplicative of Claim No. 100329.

117. On December 23, 2008, the Court entered an Order on the Application for Entry of an Order Approving Form and Manner of Publication and Mailing of Notices, Specifying Procedures for Filing, Determination and Adjudication of Claims, and Providing Other Relief (the “Claims Procedures Order”, Dkt. No. 12). The Claims Procedures Order includes a process for determination and allowance of claims under which the Trustee has been operating. The Trustee intends to resolve the Customer Claims and any related objections to the Trustee’s determination of such claims through a separate hearing as contemplated by the Claims Procedures Order.

118. The Trustee seeks disallowance of the Customer and Non-Customer Claims (collectively, the “Family Defendant Claims”) of the Family Defendants under Bankruptcy Code section 502(d), and also seeks to equitably subordinate the Family Defendants’ claims under Bankruptcy Code section 510(c).

THE OBLIGATIONS

119. To the extent BLMIS or Madoff incurred obligations to the Family Defendants in connection with any IA account documents or any statements or representations made by BLMIS or Madoff, included any claimed deferred compensation, such obligations (collectively, the “Obligations”) are avoidable under sections 105(a), 544(b), and 548(a) of the Bankruptcy Code, applicable provisions of sections 273-279 of the DCL, and applicable provisions of SIPA, including sections 78fff(b) and 78fff-1(a)-(b). BLMIS or Madoff incurred the Obligations as an integral part and in furtherance of BLMIS’s Ponzi scheme.

120. To the extent BLMIS or Madoff incurred the Obligations to the Family Defendants, such Obligations were incurred with actual intent to hinder, delay, or defraud then existing and/or future creditors.

121. To the extent BLMIS or Madoff incurred the Obligations, such Obligations were incurred when BLMIS was insolvent, had unreasonably small capital, and/or was unable to pay its debts as they matured. BLMIS was a massive Ponzi scheme, which, as a matter of law, was insolvent from its inception and, therefore, never capable of fulfilling its obligations to its creditors.

THE TRANSFERS

122. Prior to the Filing Date, BLMIS made transfers to or for the benefit of the Family Defendants totaling at least \$251,740,157 (the “Family Defendant Total Initial Transfers”). A table setting forth the Family Defendants’ Total Initial Transfers is attached hereto as Exhibit A. The Family Defendants’ Total Initial Transfers are avoidable and recoverable under sections 544, 547, 548(a), 550(a), and 551 of the Bankruptcy Code, applicable provisions of SIPA, particularly SIPA § 78fff-2(c)(3), sections 203(g) and 213(8) of the CPLR, and applicable provisions of DCL §§ 273-279. The Family Defendants’ Total Initial Transfers are also avoidable to the extent they were made on account of the avoidable Obligations.

123. Of the Family Defendants’ Total Initial Transfers constituting IA account withdrawals, \$51,739,785 constitutes fictitious profits comprised of other people’s money received by the Family Defendants between the opening dates of their IA accounts and the Filing Date; \$2,083,736 constitutes principal received by the Family Defendants during the same period. These withdrawals are detailed in Exhibits D, F, J, and M, hereto.

124. On the Filing Date, the facts supporting this action could, for the first time with reasonable diligence, have been discovered by certain unsecured creditors of BLMIS, including defrauded BLMIS IA customers. Therefore, of the Family Defendants’ Total Initial Transfers, the Trustee seeks to set aside and recover at least \$106,533,800 in transfers which took place earlier than the Family Defendants’ Six-Year Transfers identified below. These transfers can be

set aside and are recoverable under sections 544, 550(a), and 551 of the Bankruptcy Code, applicable provisions of SIPA, particularly SIPA § 78fff-2(c)(3), sections 203(g) and 213(8) of the CPLR, and section 276 of the DCL.

125. Of the Family Defendants' Total Initial Transfers, at least \$145,206,356, as identified on Exhibit A, hereto, was made to or for the benefit of the Family Defendants during the six years prior to the Filing Date (the "Family Defendants' Six-Year Transfers"). The Family Defendants' Six-Year Transfers are avoidable and recoverable under sections 544, 550(a), and 551 of the Bankruptcy Code, applicable provisions of SIPA, particularly SIPA § 78fff-2(c)(3), and applicable provisions of DCL §§ 273-279. The Family Defendants' Six-Year Transfers are also avoidable to the extent they were made on account of the avoidable Obligations.

126. Of the Family Defendants' Six-Year Transfers, at least \$59,874,826, as identified on Exhibit A, hereto, was made to or for the benefit of the Family Defendants during the two years prior to the Filing Date (the "Family Defendants' Two-Year Transfers"). The Family Defendants' Two-Year Transfers are additionally avoidable and recoverable under sections 548(a)(1), 550(a), and 551 of the Bankruptcy Code and applicable provisions of SIPA, particularly SIPA § 78fff-2(c)(3). The Family Defendants' Two-Year Transfers are also avoidable to the extent they were made on account of the avoidable Obligations.

127. Of the Family Defendants' Two-Year Transfers, at least \$8,691,152, as identified on Exhibit A, hereto, was made to or for the benefit of the Family Defendants in the one year period prior to the Filing Date (the "Family Defendants' Preference Period Transfers"). The Family Defendants' Preference Period Transfers are additionally recoverable as avoidable preference payments under sections 547, 550(a), and 551 of the Bankruptcy Code and applicable provisions of SIPA, including SIPA § 78fff-2(c)(3).

128. Of the transfers identified in this Second Amended Complaint, Stephanie Mack and Deborah Madoff were unjustly enriched by at least \$54,548,463 in transfers, and interests in property of undetermined value, they received or benefited from, during the Statutory Period and resulting from their marriages to Mark and Andrew Madoff (the “Statutory Period Transfers”), as set forth in Exhibit B, hereto. The Trustee seeks to recover the Statutory Period Transfers from Stephanie Mack and Deborah Madoff because in equity and good conscience they should not benefit from property unlawfully converted from BLMIS customers.

129. To the extent that any of the recovery counts may be inconsistent with each other, they are to be treated as being pleaded in the alternative.

130. The Trustee’s investigation is ongoing and the Trustee reserves the right to: (i) supplement the information regarding the Obligations and the Family Defendants’ Total Initial Transfers, each Family Defendants’ initial transfers and, where applicable, their subsequent transfers, the Statutory Period Transfers, and any additional transfers; and (ii) seek the avoidance and recovery of such additional transfers.

THE SUBSEQUENT TRANSFERS

131. Subsequent transfers were made to or for the benefit of the Family and Spouse Defendants totaling \$8,981,500 and property interests of undetermined value (the “Subsequent Transfers”) that are recoverable under section 550(a) of the Bankruptcy Code and applicable provisions of SIPA, particularly SIPA § 78fff-2(c)(3). The Subsequent Transfers are identified on Exhibits A-B, hereto, and described in the below paragraphs.

132. The Trustee has filed an action against Ruth Madoff to avoid and recover initial transfers from BLMIS, styled as *Picard v. Ruth Madoff*, Adv. Pro. No. 09-1391 (BRL) (the “Ruth Madoff Complaint”). The Trustee incorporates by reference the allegations in the Ruth Madoff Complaint as if fully set forth herein.

133. BLMIS made transfers to Ruth Madoff, which are avoidable, should be avoided, and are recoverable under sections 544, 547, 548, 550, and 551 of the Bankruptcy Code, application provisions of sections 273-279 of the DCL, CPLR §§ 203(g) and 213(8), and applicable provisions of SIPA, particularly SIPA § 78fff-2(c)(3) (the “Ruth Madoff Initial Transfers”). Based on the Trustee’s investigation, and to the extent not avoidable as initial transfers for the benefit of Mark Madoff and Susan Elkin, on or about March 22, 2000, approximately \$2,020,000 of the Ruth Madoff Initial Transfers was subsequently transferred by Ruth Madoff to a law firm handling the purchase of a home for the benefit of Mark Madoff and Susan Elkin (the “Ruth Madoff Subsequent Transfer”).⁸ The Ruth Madoff Subsequent Transfer is identified on Exhibits F-G, hereto.

134. The Trustee in this action seeks to avoid and recover initial transfers made by BLMIS to Peter Madoff. The Trustee has also filed an action against Marion Madoff to avoid and recover initial transfers from BLMIS (see the Marion Madoff Complaint referenced above). BLMIS made transfers to Peter and Marion Madoff, which are avoidable, should be avoided, and are recoverable under sections 544, 547, 548, 550, and 551 of the Bankruptcy Code, applicable provisions of sections 273-279 of the DCL, and applicable provisions of SIPA, particularly SIPA § 78fff-2(c)(3) (the “Peter and Marion Madoff Initial Transfers”). Based on the Trustee’s investigation, \$2.5 million of the Peter and Marion Madoff Initial Transfers was subsequently transferred by Peter and Marion Madoff to and for the benefit of Shana Madoff on March 24, 2005. In addition, on or about March 28, 2008, approximately \$280,000 of the Peter and Marion Madoff Initial Transfers was subsequently transferred by Peter and Marion Madoff to Shana Madoff. Finally, on or about May 9, 2008, approximately \$2,619,000 of the Peter and Marion

⁸ The Trustee will amend the Ruth Madoff Complaint as necessary.

Madoff Initial Transfers was subsequently transferred by Peter and Marion Madoff to a law firm for the purchase of a home for Shana Madoff (collectively, the “Peter and Marion Madoff Subsequent Transfers”). The Peter and Marion Madoff Subsequent Transfers are identified on Exhibit M, hereto, at pp. 25-26.

135. The Trustee in this action also seeks to avoid and recover from the Estate of Mark D. Madoff, and Andrew Madoff in his capacity as Executor of the Estate of Mark D. Madoff, initial transfers made by BLMIS in connection with the purchase of the 457-461 West Broadway, 51 Wanoma Way, and 583 Broadway Properties (the “Mark Madoff Initial Transfers”). Based on the Trustee’s investigation, on or around January 19, 2005, an interest in the 457-461 West Broadway Property was gratuitously transferred by Mark Madoff to Stephanie Mack, and should be recoverable as a subsequent transfer to Stephanie Mack. In addition, Mark’s interests in the 51 Wanoma Way and 583 Broadway Properties should be recoverable as subsequent transfers to Stephanie Mack upon Mark’s death (collectively, the “Mark Madoff Subsequent Transfers”). The Mark Madoff Subsequent Transfers are identified on Exhibit H, hereto.

136. Finally, upon information and belief, MSIL’s operations were funded by avoidable and recoverable transfers from BLMIS and Madoff (the “MSIL Initial Transfers”). Based on the Trustee’s investigation, from February 3, 2000 to July 16, 2001, \$312,500 of the MSIL Initial Transfers were transferred by MSIL as “dividend” payments to Peter Madoff. Over the same time period, “dividend” payments in the amount of \$625,000 were made by MSIL to each of Andrew and Mark Madoff and to the benefit of their spouses at the time (collectively, the “MSIL Subsequent Transfers”). The MSIL Subsequent Transfers are identified on Exhibits D, F-G, and J-K, hereto.

137. The Ruth Madoff, Peter and Marion Madoff, Mark Madoff, and MSIL Initial Transfers are avoidable and were subsequently transferred to, or for the benefit of, the Family and Spouse Defendants, and are recoverable from them, pursuant to section 550 of the Bankruptcy Code.

138. The Trustee's investigation is ongoing and the Trustee reserves the right to: (i) supplement the information on the Ruth Madoff, Peter and Marion Madoff, Mark Madoff, and MSIL Initial Transfers and the Subsequent Transfers, and any additional transfers; and (ii) seek recovery of such additional transfers.

FIRST CAUSE OF ACTION
PREFERENTIAL TRANSFERS - 11 U.S.C. §§ 547(b), 550, AND 551

139. The Trustee incorporates by reference the allegations contained in the previous paragraphs of this Second Amended Complaint as if fully rewritten herein.

140. At the time of each of the Family Defendants' Preference Period Transfers, the Family Defendants were each "creditors" of BLMIS within the meaning of section 101(10) of the Bankruptcy Code and pursuant to SIPA § 78fff-2(c)(3).

141. At the time of each of the Family Defendants' Preference Period Transfers, the Family Defendants were each "insiders" of BLMIS within the meaning of section 101(31) of the Bankruptcy Code.

142. Each of the Family Defendants' Preference Period Transfers constitutes a transfer of an interest of BLMIS in property within the meaning of section 101(54) of the Bankruptcy Code and pursuant to SIPA § 78fff-2(c)(3).

143. Each of the Family Defendants' Preference Period Transfers was to, or for the benefit of, a Family Defendant.

144. Each of the Family Defendants' Preference Period Transfers was made for or on account of an antecedent debt owed by BLMIS to the Family Defendants before such transfer was made.

145. Each of the Family Defendants' Preference Period Transfers were made while BLMIS was insolvent.

146. Each of the Family Defendants' Preference Period Transfers were made during the preference period under section 547(b)(4) of the Bankruptcy Code.

147. Each of the Family Defendants' Preference Period Transfers enabled the Family Defendants to receive more than they would receive if: (i) this case was a case under chapter 7 of the Bankruptcy Code; (ii) the Family Defendants' Preference Period Transfers had not been made; and (iii) each Family Defendant received payment of such debt to the extent provided by the provisions of the Bankruptcy Code.

148. Each of the Family Defendants' Preference Period Transfers constitutes a preferential transfer avoidable by the Trustee pursuant to section 547(b) of the Bankruptcy Code and recoverable from the applicable Family Defendant pursuant to section 550(a).

149. As a result of the foregoing, the Trustee is entitled to a judgment pursuant to sections 547(b), 550, and 551 of the Bankruptcy Code: (i) avoiding and preserving the Family Defendants' Preference Period Transfers; (ii) directing that the Family Defendants' Preference Period Transfers be set aside; and (iii) recovering the Family Defendants' Preference Period Transfers, or the value thereof, from the Family Defendants for the benefit of the estate of BLMIS.

SECOND CAUSE OF ACTION
FRAUDULENT TRANSFERS AND OBLIGATIONS -
11 U.S.C. §§ 548(a)(1)(A), 550, AND 551

150. The Trustee incorporates by reference the allegations contained in the previous paragraphs of this Second Amended Complaint as if fully rewritten herein.

151. The Family Defendants' Two-Year Transfers were made and the Obligations were incurred on or within two-years before the Filing Date.

152. The Family Defendants' Two-Year Transfers and the Obligations were made and incurred by BLMIS with the actual intent to hinder, delay, and defraud some or all of BLMIS's then-existing or future creditors.

153. The Family Defendants' Two-Year Transfers constitute fraudulent transfers avoidable by the Trustee pursuant to section 548(a)(1)(A) of the Bankruptcy Code and recoverable from the Family Defendants pursuant to section 550(a) of the Bankruptcy Code and SIPA § 78fff-2(c)(3).

154. The Obligations are avoidable by the Trustee pursuant to section 548(a)(1)(A) of the Bankruptcy Code and applicable provisions of SIPA including sections 78fff(b) and 78fff-1(a)-(b).

155. As a result of the foregoing, pursuant to sections 548(a)(1)(A), 550(a), and 551 of the Bankruptcy Code and SIPA §§ 78fff(b), 78fff-1(a)-(b), and 78fff-2(c)(3), the Trustee is entitled to a judgment: (i) avoiding and preserving the Family Defendants' Two-Year Transfers; (ii) avoiding the Obligations; (iii) directing that the Family Defendants' Two-Year Transfers and the Obligations be set aside; and (iv) recovering the Family Defendants' Two-Year Transfers, or the value thereof, from the Family Defendants for the benefit of the estate of BLMIS.

THIRD CAUSE OF ACTION
FRAUDULENT TRANSFERS AND OBLIGATIONS -
11 U.S.C. §§ 548(a)(1)(B), 550, AND 551

156. The Trustee incorporates by reference the allegations contained in the previous paragraphs of this Second Amended Complaint as if fully rewritten herein.

157. The Family Defendants' Two-Year Transfers were made and the Obligations were incurred on or within two years before the Filing Date.

158. BLMIS received less than a reasonably equivalent value in exchange for each of the Family Defendants' Two-Year Transfers and the Obligations.

159. At the time of each of the Family Defendants' Two-Year Transfers and the Obligations, BLMIS was insolvent, or became insolvent, as a result of the Family Defendants' Two-Year Transfers in question.

160. At the time of each of the Family Defendants' Two-Year Transfers and at all times relevant to the Obligations, BLMIS was engaged in a business or a transaction, or was about to engage in business or a transaction, for which any property remaining with BLMIS was an unreasonably small capital.

161. At the time of each of the Family Defendants' Two-Year Transfers, and at all times relevant to the Obligations, BLMIS intended to incur, or believed that it would incur, debts that would be beyond BLMIS's ability to pay as such debts matured.

162. Those Family Defendants' Two-Year Transfers identified on Exhibits D, F, J, M, hereto, as "Compensation" paid to, or for the benefit of, the Family Defendants, were not made by BLMIS in the ordinary course of business.

163. The Family Defendants' Two-Year Transfers constitute fraudulent transfers avoidable by the Trustee pursuant to section 548(a)(1)(B) of the Bankruptcy Code and

recoverable from the Family Defendants pursuant to section 550(a) of the Bankruptcy Code and SIPA § 78fff-2(c)(3).

164. The Obligations are avoidable by the Trustee pursuant to section 548(a)(1)(B) of the Bankruptcy Code and applicable provisions of SIPA including sections 78fff(b) and 78fff-1(a)-(b).

165. As a result of the foregoing, pursuant to sections 548(a)(1)(B), 550(a), and 551 of the Bankruptcy Code and SIPA §§ 78fff(b), 78fff-1(a)-(b), and 78fff-2(c)(3), the Trustee is entitled to a judgment: (i) avoiding and preserving the Family Defendants' Two-Year Transfers; (ii) avoiding the Obligations; (iii) directing that the Family Defendants' Two-Year Transfers and Obligations be set aside; and (iv) recovering the Family Defendants' Two-Year Transfers, or the value thereof, from the Family Defendants for the benefit of the estate of BLMIS.

FOURTH CAUSE OF ACTION
FRAUDULENT TRANSFERS AND OBLIGATIONS - NEW YORK DEBTOR AND
CREDITOR LAW §§ 276, 276-a, 278, AND/OR 279,
AND 11 U.S.C. §§ 544, 550(a), AND 551

166. The Trustee incorporates by reference the allegations contained in the previous paragraphs of this Second Amended Complaint as if fully rewritten herein.

167. At all times relevant to the Family Defendants' Six-Year Transfers and Obligations, there have been one or more creditors who have held and still hold matured or unmatured unsecured claims against BLMIS that were and are allowable under section 502 of the Bankruptcy Code or that were and are not allowable only under section 502(e).

168. The Family Defendants' Six-Year Transfers and the Obligations were made and incurred by BLMIS with the actual intent to hinder, delay, or defraud the creditors of BLMIS. BLMIS made the Family Defendants' Six-Year Transfers and incurred the Obligations to, or for the benefit of, the Family Defendants in furtherance of a fraudulent investment scheme.

169. The Family Defendants received the Six-Year Transfers with the actual intent to hinder, delay, or defraud the creditors of BLMIS.

170. As a result of the foregoing, pursuant to sections 276, 276-a, 278 and/or 279 of the DCL, sections 544, 550(a), and 551 of the Bankruptcy Code, and SIPA §§ 78fff(b), 78fff-1(a)-(b), and 78fff-2(c)(3), the Trustee is entitled to a judgment: (i) avoiding and preserving the Family Defendants' Six-Year Transfers; (ii) avoiding the Obligations; (iii) directing that the Family Defendants' Six-Year Transfers and the Obligations be set aside; (iv) recovering the Family Defendants' Six-Year Transfers, or the value thereof, from the Family Defendants for the benefit of the estate of BLMIS; and (v) recovering attorneys' fees from the Family Defendants.

FIFTH CAUSE OF ACTION
FRAUDULENT TRANSFERS AND OBLIGATIONS - NEW YORK DEBTOR AND
CREDITOR LAW §§ 273, 278, AND/OR 279,
AND 11 U.S.C. §§ 544, 550(a), AND 551

171. The Trustee incorporates by reference the allegations contained in the previous paragraphs of this Second Amended Complaint as if fully rewritten herein.

172. At all relevant times there was and is at least one or more creditors who held and hold matured or unmatured unsecured claims against BLMIS that were and are allowable under section 502 of the Bankruptcy Code or that were and are not allowable only under section 502(e).

173. BLMIS did not receive fair consideration for the Family Defendants' Six-Year Transfers or the Obligations.

174. BLMIS was insolvent at the time it made each of the Family Defendants' Six-Year Transfers and incurred the Obligations or, in the alternative, BLMIS became insolvent as a result of each of the Family Defendants' Six-Year Transfers and the Obligations.

175. As a result of the foregoing, the Trustee is entitled to a judgment pursuant to sections 273, 278, and 279 of the DCL, sections 544, 550(a), and 551 of the Bankruptcy Code, and SIPA §§ 78fff(b), 78fff-1(a)-(b), and 78fff-2(c)(3): (i) avoiding and preserving the Family Defendants' Six-Year Transfers; (ii) avoiding the Obligations; (iii) directing that the Family Defendants' Six-Year Transfers and the Obligations be set aside; and (iv) recovering the Family Defendants' Six-Year Transfers, or the value thereof, from the Family Defendants for the benefit of the estate of BLMIS.

SIXTH CAUSE OF ACTION
FRAUDULENT TRANSFERS - NEW YORK DEBTOR AND CREDITOR LAW
§§ 274, 278, AND/OR 279, AND 11 U.S.C. §§ 544, 550(a), AND 551

176. The Trustee incorporates by reference the allegations contained in the previous paragraphs of this Second Amended Complaint as if fully rewritten herein.

177. At all relevant times there was and is at least one or more creditors who held and hold matured or unmatured unsecured claims against BLMIS that were and are allowable under section 502 of the Bankruptcy Code or that were and are not allowable only under section 502(e).

178. BLMIS did not receive fair consideration for the Family Defendants' Six-Year Transfers.

179. At the time BLMIS made each of the Family Defendants' Six-Year Transfers, BLMIS was engaged or was about to engage in a business or transaction for which the property remaining in its hands after each of the Family Defendants' Six-Year Transfers was an unreasonably small capital.

180. As a result of the foregoing, pursuant to sections 274, 278, and/or 279 of the DCL, sections 544, 550(a), and 551 of the Bankruptcy Code, and SIPA § 78fff-2(c)(3), the Trustee is entitled to a judgment: (i) avoiding and preserving the Family Defendants' Six-Year

Transfers; (ii) directing that the Family Defendants' Six-Year Transfers be set aside; and (iii) recovering the Family Defendants' Six-Year Transfers, or the value thereof, from the Family Defendants for the benefit of the estate of BLMIS.

SEVENTH CAUSE OF ACTION
FRAUDULENT TRANSFERS AND OBLIGATIONS - NEW YORK DEBTOR AND
CREDITOR LAW §§ 275, 278, AND/OR 279, AND 11 U.S.C. §§ 544, 550(a), AND 551

181. The Trustee incorporates by reference the allegations contained in the previous paragraphs of this Second Amended Complaint as if fully rewritten herein.

182. At all relevant times there was and is at least one or more creditors who held and hold matured or unmatured unsecured claims against BLMIS that were and are allowable under section 502 of the Bankruptcy Code or that were and are not allowable only under section 502(e).

183. BLMIS did not receive fair consideration for the Family Defendants' Six-Year Transfers or the Obligations.

184. At the time BLMIS made each of the Family Defendants' Six-Year Transfers and incurred the Obligations, BLMIS had incurred, was intending to incur, or believed that it would incur debts beyond its ability to pay them as the debts matured.

185. As a result of the foregoing, pursuant to sections 275, 278, and/or 279 of the DCL, sections 544, 550(a), and 551 of the Bankruptcy Code, and SIPA §§ 78fff(b), 78fff-1(a)-(b), and 78fff-2(c)(3), the Trustee is entitled to a judgment: (i) avoiding and preserving the Family Defendants' Six-Year Transfers; (ii) avoiding the Obligations; (iii) directing that the Family Defendants' Six-Year Transfers and the Obligations be set aside; and (iv) recovering the Family Defendants' Six-Year Transfers, or the value thereof, from the Family Defendants for the benefit of the estate of BLMIS.

EIGHTH CAUSE OF ACTION
UNDISCOVERED FRAUDULENT TRANSFERS AND OBLIGATIONS - NEW YORK
CIVIL PROCEDURE LAW AND RULES 203(g), 213(8), NEW YORK DEBTOR AND
CREDITOR LAW §§ 276, 276-a, 278, AND/OR 279,
AND 11 U.S.C. §§ 544, 550(a), AND 551

186. The Trustee incorporates by reference the allegations contained in the previous paragraphs of this Second Amended Complaint as if fully rewritten herein.

187. At all times relevant to the Family Defendants' Total Initial Transfers and the Obligations, the fraudulent scheme perpetrated by BLMIS was not reasonably discoverable by at least one unsecured creditor of BLMIS, including defrauded IA customers.

188. At all times relevant to the Family Defendants' Total Initial Transfers and the Obligations, there have been one or more creditors who have held and still hold matured or unmatured unsecured claims against BLMIS that were and are allowable under section 502 of the Bankruptcy Code or that were and are not allowable only under section 502(e).

189. The Family Defendants' Total Initial Transfers and the Obligations were made and incurred by BLMIS with the actual intent to hinder, delay, or defraud the creditors of BLMIS. BLMIS made the Family Defendants' Total Initial Transfers and incurred the Obligations to or for the benefit of the Family Defendants in furtherance of a fraudulent investment scheme.

190. The Family Defendants received the Family Defendants' Total Initial Transfers with the actual intent to hinder, delay, or defraud the creditors of BLMIS.

191. As a result of the foregoing, pursuant to CPLR 203(g) and 213(8), DCL §§ 276, 276-a, 278, and/or 279, sections 544, 550(a), and 551 of the Bankruptcy Code, and SIPA §§ 78fff(b), 78fff-1(a)-(b), and 78fff-2(c)(3), the Trustee is entitled to a judgment: (i) avoiding and preserving the Family Defendants' Total Initial Transfers; (ii) avoiding the Obligations; (iii) directing that the Family Defendants' Total Initial Transfers and the Obligations be set aside; (iv)

recovering the Total Initial Transfers, or the value thereof, from the Family Defendants for the benefit of the estate of BLMIS; and (v) recovering attorneys' fees from the Family Defendants.

NINTH CAUSE OF ACTION
RECOVERY OF SUBSEQUENT TRANSFERS - NEW YORK DEBTOR AND
CREDITOR LAW § 278 AND 11 U.S.C. §§ 544, 547, 548, 550(a), AND 551

192. The Trustee incorporates by reference the allegations contained in the previous paragraphs of this Second Amended Complaint as if fully rewritten herein.

193. The Trustee has filed lawsuits against Ruth Madoff, Peter Madoff, Marion Madoff, and Mark Madoff to avoid and recover initial transfers to them pursuant to sections 544, 547(b), 548, 550, and 551 of the Bankruptcy Code, sections 273-279 of the DCL, and SIPA § 78fff-2(c)(3). Further, the MSIL Initial Transfers are avoidable and recoverable pursuant to sections 544, 547(b), 548, 550, and 551 of the Bankruptcy Code, sections 273-279 of the DCL, and SIPA § 78fff-2(c)(3).

194. The Subsequent Transfers were made to or for the benefit of the Family and Spouse Defendants, and total approximately \$8,981,500 and interests of undetermined value in property and which are recoverable pursuant to section 550 of the Bankruptcy Code.

195. The Family and Spouse Defendants were immediate or mediate transferees of the Ruth Madoff, Peter and Marion Madoff, Mark Madoff, and MSIL Initial Transfers.

196. Mark Madoff and Shana Madoff received the Subsequent Transfers with the actual intent to hinder, delay, or defraud.

197. As a result of the foregoing, pursuant to CPLR 203(g) and 213(8), DCL §§ 273-279, sections 548, 550 and 551 of the Bankruptcy Code, and SIPA § 78fff-2(c)(3), the Trustee is entitled to a judgment against the Family and Spouse Defendants: (i) recovering the Subsequent Transfers, or the value thereof, from the Family and Spouse Defendants for the benefit of the

estate of BLMIS; and (ii) recovering attorneys' fees from the Estate of Mark D. Madoff, Andrew Madoff as Executor of the Estate of Mark D. Madoff, and Shana Madoff.

TENTH CAUSE OF ACTION
DISALLOWANCE OF THE FAMILY DEFENDANTS' CLAIMS

198. The Trustee incorporates by reference the allegations contained in the previous paragraphs of this Second Amended Complaint as if fully rewritten herein.

199. As of the date hereof, the Trustee is aware of claims filed by Family Defendants Mark Madoff, Andrew Madoff, and Shana Madoff. The Family Defendant Claims include claims relating to alleged securities positions, deferred compensation, salary and bonus payments, investments in MSIL, customer claims for investment accounts, claims in connection with the assertion of avoidance or similar causes of action against them, and claims based upon avoidance of transfers to them.

200. The Family Defendant Claims also should not be allowed as customer claims or as general unsecured claims. The Family Defendants are the recipients of transfers of BLMIS's property which are avoidable and recoverable under sections 544, 547, 548, and 550 of the Bankruptcy Code, sections 273-279 of the DCL, and SIPA § 78fff-2(c)(3), and the Family Defendants have not returned the Family Defendants' Total Initial Transfers and/or the Subsequent Transfers to the Trustee. As a result, pursuant to section 502(d) of the Bankruptcy Code, the Family Defendant Claims must be disallowed unless and until the Family Defendants return the Family Defendants' Total Initial Transfers and the Subsequent Transfers to the Trustee.

ELEVENTH CAUSE OF ACTION
EQUITABLE SUBORDINATION

201. The Trustee incorporates by reference the allegations contained in the previous paragraphs of this Second Amended Complaint as if fully rewritten herein.

202. The Family Defendants each had relationships of trust and confidence with Madoff and BLMIS, held senior managerial, compliance, and/or supervisory responsibilities at BLMIS during the relevant time period, and consequently had fiduciary duties to act in the best interest of—and for the benefit of—BLMIS and its customers.

203. Each of the Family Defendants acted in breach of the fiduciary duties owed to BLMIS and its customers by, among other things, the misuse of corporate assets, self-dealing, mismanagement, corporate waste, failure to prepare, implement and carry out, or deliberately disregarding, compliance and supervisory responsibilities and policies, and breaches of the Family Defendants' duties to act with care, loyalty, and good faith and fair dealing as described above.

204. Because the Family Defendants were either derelict in their professional duties or complicit in the fraud at BLMIS, BLMIS and its creditors were damaged.

205. By reason of the above, pursuant to section 510(c) of the Bankruptcy Code, the Trustee is entitled to a judgment subordinating the Family Defendant Claims.

TWELFTH CAUSE OF ACTION
BREACH OF FIDUCIARY DUTY

206. The Trustee incorporates by reference the allegations contained in the previous paragraphs of this Second Amended Complaint as if fully rewritten herein.

207. This claim for breach of fiduciary duty is asserted against each of the Family Defendants, who had relationships of trust and confidence with Madoff and BLMIS, held senior managerial, compliance, and/or supervisory responsibilities at BLMIS during the relevant time period, and consequently had fiduciary duties to act in the best interest of—and for the benefit of—BLMIS and its customers.

208. The fiduciary duties owed by each of the Family Defendants included duties of care and loyalty to BLMIS and its customers, and duties to act in good faith. They also had duties not to waste or divert the assets of BLMIS, duties not to exploit corporate opportunities for their own benefit, and duties not to act in furtherance of their own personal interests at the expense of BLMIS and its customers.

209. Each of the Family Defendants acted in breach of the fiduciary duties owed to BLMIS and its customers by, among other things, the misuse of corporate assets, self-dealing, mismanagement, corporate waste, failure to prepare, implement and carry out, or deliberately disregarding, compliance and supervisory responsibilities and policies, and breaches of the Family Defendants' duties to act with care, loyalty, and good faith and fair dealing as described above.

210. As a direct and proximate result of the conduct by the Family Defendants, BLMIS and its customers were damaged.

211. By reason of the above, the Trustee is entitled to an award of compensatory damages and disgorgement of all sums received by each Family Defendant from BLMIS in an amount to be determined at trial.

212. The Family Defendants' conscious, willful, wanton, and malicious conduct also entitles the Trustee to an award of punitive damages in an amount to be determined at trial.

THIRTEENTH CAUSE OF ACTION
UNJUST ENRICHMENT

213. The Trustee incorporates by reference the allegations contained in the previous paragraphs of this Second Amended Complaint as if fully rewritten herein.

214. The Family Defendants and Stephanie Mack and Deborah Madoff benefited directly or indirectly from the receipt of money from BLMIS in the form of loans, payments, and

other transfers which was the property of BLMIS and its customers, and for which the Family Defendants and Stephanie Mack and Deborah Madoff, among other things, did not adequately compensate BLMIS or provide value.

215. This enrichment was at the expense of BLMIS and, ultimately, at the expense of BLMIS's other customers.

216. Equity and good conscience require full restitution of the monies received by the Family Defendants and Stephanie Mack and Deborah Madoff from BLMIS.

217. In addition, the Family Defendants conscious, intentional, and willful tortious conduct entitles BLMIS to recapture profits derived by the Family Defendants utilizing monies they received from BLMIS including, by way of example and without limitation, profits earned from real estate interests they purchased with BLMIS's customer funds.

218. By reason of the above, the Trustee, on behalf of BLMIS and its creditors, is entitled to an award of compensatory damages in an amount to be determined at trial.

FOURTEENTH CAUSE OF ACTION
NEGLIGENCE

219. The Trustee incorporates by reference the allegations contained in the previous paragraphs of this Second Amended Complaint as if fully rewritten herein.

220. Each of the Family Defendants had a duty to protect BLMIS and its customers against unreasonable risks and actions, including without limitation the loss of its customers' assets due to fraud, the self-dealing of management and senior executives, the failure to prepare, implement, and carry out compliance and supervisory responsibilities and policies, and other tortious conduct by or unjust enrichment of the Family Defendants, Madoff, and others.

221. Each of the Family Defendants breached these duties by failing to conform to the appropriate standards of care commensurate with their senior positions at BLMIS.

222. As a direct and proximate cause of the actions of the Family Defendants, BLMIS has been damaged by the Family Defendants' negligence and failure to adhere to standards of appropriate care.

223. By reason of the above, the Trustee, on behalf of BLMIS and its creditors, is entitled to an award of compensatory damages in an amount to be determined at trial.

224. The Family Defendants' conscious, willful, wanton, and malicious conduct entitles the Trustee, on behalf of BLMIS and its creditors, to an award of punitive damages in an amount to be determined at trial.

FIFTEENTH CAUSE OF ACTION
CONSTRUCTIVE TRUST

225. The Trustee incorporates by reference the allegations contained in the previous paragraphs of this Second Amended Complaint as if fully rewritten herein.

226. As set forth above, the assets of BLMIS have been wrongfully diverted as a result of fraudulent conveyances, fraudulent transfers, preferential transfers, breaches of fiduciary duties, and other wrongdoing of the Family Defendants for their own individual interests and enrichment.

227. The Trustee has no adequate remedy at law.

228. Because of the past unjust enrichment of the Family Defendants and Stephanie Mack and Deborah Madoff, the Trustee is entitled to the imposition of a constructive trust with respect to any transfer of funds, assets, or property from BLMIS as well as to any profits received by the Family Defendants and Stephanie Mack and Deborah Madoff in the past or on a going forward basis in connection with BLMIS.

229. In addition, upon information and belief, with the sums the Family Defendants and Stephanie Mack and Deborah Madoff unjustly received as a result of fraudulent

conveyances, fraudulent transfers, preferential transfers, breaches of fiduciary duties, and other wrongdoing, the Family Defendants and Stephanie Mack and Deborah Madoff purchased, or satisfied the mortgages on, the following properties, mentioned previously in this Second Amended Complaint, which should be held in trust for the Trustee's use, benefit, and account: (i) 583 Broadway, Unit 4M, New York, NY 10012; (ii) 433 E. 74th St., Unit 5A, New York, NY 10021; (iii) 10 Gracie Square, Apt. 10G, New York, NY 10028; (iv) 8 Barclay Court, East Hampton, NY 11937; (v) 21 Cherry Valley Road, Greenwich, CT 06870; (vi) 200 NW Alcoma Road, Palm Beach, FL 33480; (vii) 51 Wanoma Way, Nantucket, MA 02554; (viii) 975 Park Avenue, Apt. 6B, New York, NY 10028; (ix) 34 Pheasant Run, Old Westbury, NY 11568; and (x) 57 Tomac Avenue, Old Greenwich, CT 06870. The Trustee is entitled to, and demands title, possession, and use of, the foregoing properties, or their value, for the benefit of the estate of BLMIS.

230. Furthermore, the Trustee seeks a constructive trust imposed upon Stephanie Mack's interests in the 51 Wanoma Way and 583 Broadway Properties for the benefit of BLMIS's customers.

SIXTEENTH CAUSE OF ACTION
ACCOUNTING

231. The Trustee incorporates by reference the allegations contained in the previous paragraphs of this Second Amended Complaint as if fully rewritten herein.

232. As set forth above, the assets of BLMIS have been wrongfully diverted as a result of fraudulent conveyances, fraudulent transfers, preferential transfers, breaches of fiduciary duties, and other wrongdoing of the Family Defendants for their own individual interests and enrichment.

233. The Trustee has no adequate remedy at law.

234. To compensate BLMIS for the amount of monies the Family Defendants and Stephanie Mack and Deborah Madoff diverted from BLMIS for their own benefit, it is necessary for the Family Defendants and Stephanie Mack and Deborah Madoff to provide an accounting of any transfer of funds, assets, or property received from BLMIS, as well as to any profits in the past and on a going forward basis in connection with BLMIS. Complete information regarding the amount of such transfers misused by the Family Defendants and Stephanie Mack and Deborah Madoff for their own benefit is within their possession, custody, and control.

WHEREFORE, the Trustee respectfully requests that this Court enter judgment in favor of the Trustee and against the Family and Spouse Defendants as follows:

(a) on the First Cause of Action, pursuant to sections 547(b), 550(a), and 551 of the Bankruptcy Code: (i) avoiding and preserving the Family Defendants' Preference Period Transfers; (ii) directing that the Family Defendants' Preference Period Transfers be set aside; and (iii) recovering the Family Defendants' Preference Period Transfers, or the value thereof, from the Family Defendants for the benefit of the estate of BLMIS;

(b) on the Second Cause of Action, pursuant to sections 548(a)(1)(A), 550(a), and 551 of the Bankruptcy Code and SIPA §§ 78fff(b), 78fff-1(a)-(b), and 78fff-2(c)(3): (i) avoiding and preserving the Family Defendants' Two-Year Transfers; (ii) avoiding the Obligations; (iii) directing that the Family Defendants' Two-Year Transfers and the Obligations be set aside; and (iv) recovering the Family Defendants' Two-Year Transfers, or the value thereof, from the Family Defendants for the benefit of the estate of BLMIS;

(c) on the Third Cause of Action, pursuant to sections 548(a)(1)(B), 550(a), and 551 of the Bankruptcy Code and SIPA §§ 78fff(b), 78fff-1(a)-(b), and 78fff-2(c)(3): (i) avoiding and preserving the Family Defendants' Two-Year Transfers; (ii) avoiding the

Obligations; (iii) directing that the Family Defendants' Two-Year Transfers and the Obligations be set aside; and (iv) recovering the Family Defendants' Two-Year Transfers, or the value thereof, from the Family Defendants for the benefit of the estate of BLMIS;

(d) on the Fourth Cause of Action, pursuant to sections 276, 276-a, 278, and/or 279 of the DCL, sections 544, 550(a), and 551 of the Bankruptcy Code, and SIPA §§ 78fff(b), 78fff-1(a)-(b), and 78fff-2(c)(3): (i) avoiding and preserving the Family Defendants' Six-Year Transfers; (ii) avoiding the Obligations; (iii) directing that the Family Defendants' Six-Year Transfers and the Obligations be set aside; (iv) recovering the Family Defendants' Six-Year Transfers, or the value thereof, from the Family Defendants for the benefit of the estate of BLMIS; and (v) recovering attorneys' fees from the Family Defendants;

(e) on the Fifth Cause of Action, pursuant to sections 273, 278, and/or 279 of the DCL, sections 544, 550, and 551 of the Bankruptcy Code, and SIPA §§ 78fff(b), 78fff-1(a)-(b), and 78fff-2(c)(3): (i) avoiding and preserving the Family Defendants' Six-Year Transfers; (ii) avoiding the Obligations; (iii) directing that the Family Defendants' Six-Year Transfers and the Obligations be set aside; and (iv) recovering the Family Defendants' Six-Year Transfers, or the value thereof, from the Family Defendants for the benefit of the estate of BLMIS;

(f) on the Sixth Cause of Action, pursuant to sections 274, 278, and/or 279 of the DCL, sections 544, 550, 551, and 1107 of the Bankruptcy Code, and SIPA § 78fff-2(c)(3): (i) avoiding and preserving the Family Defendants' Six-Year Fraudulent Transfers; (ii) directing the Family Defendants' Six-Year Transfers be set aside; and (iii) recovering the Family Defendants' Six-Year Transfers, or the value thereof, from the Family Defendants for the benefit of the estate of BLMIS;

(g) on the Seventh Cause of Action, pursuant to sections 275, 278, and/or 279 of the DCL, sections 544, 550(a), 551, and 1107 of the Bankruptcy Code, and SIPA §§ 78fff(b), 78fff-1(a)-(b), and 78fff-2(c)(3): (i) avoiding and preserving the Family Defendants' Six-Year Transfers; (ii) avoiding the Obligations; (iii) directing that the Family Defendants' Six-Year Transfers and the Obligations be set aside; and (iv) recovering the Family Defendants' Six-Year Transfers, or the value thereof, from the Family Defendants for the benefit of the estate of BLMIS;

(h) on the Eighth Cause of Action, pursuant to CPLR § 203(g), sections 276, 276-a, 278, and/or 279 of the DCL, sections 544, 550(a), and 551 of the Bankruptcy Code, and SIPA §§ 78fff(b), 78fff-1(a)-(b), and 78fff-2(c)(3): (i) avoiding and preserving the Family Defendants' Total Initial Transfers; (ii) avoiding the Obligations; (iii) directing that the Family Defendants' Total Initial Transfers and the Obligations be set aside; (iv) recovering the Family Defendants' Total Initial Transfers, or the value thereof, from the Family Defendants for the benefit of the estate of BLMIS; and (v) recovering attorneys' fees from the Family Defendants.

(i) on the Ninth Cause of Action, pursuant to sections 273-279 of the DCL, sections 544, 547, 548, 550(a), and 551 of the Bankruptcy Code, and SIPA § 78fff-2(c)(3): (i) recovering the Subsequent Transfers, or the value thereof, from the Family and Spouse Defendants for the benefit of the estate of BLMIS; and (ii) recovering attorneys' fees from the Estate of Mark D. Madoff, Andrew Madoff as Executor of the Estate of Mark D. Madoff, and Shana Madoff;

(j) on the Tenth Cause of Action, that the Family Defendant Claims be disallowed unless and until the Family Defendants return the Family Defendants' Total Initial Transfers and the Subsequent Transfers to the Trustee;

(k) on the Eleventh Cause of Action, that the Family Defendant Claims be subordinated;

(l) on the Twelfth Cause of Action against each of the Family Defendants for breaches of fiduciary duty, for compensatory damages, disgorgement of all sums received by each Family Defendant from BLMIS for the period in which they were in breach of their fiduciary duties, and punitive damages in an amount to be determined at trial;

(m) on the Thirteenth Cause of Action against each of the Family Defendants and Stephanie Mack and Deborah Madoff for unjust enrichment, for restitution and compensatory damages in an amount to be determined at trial;

(n) on the Fourteenth Cause of Action against each of the Family Defendants for negligence, for compensatory and punitive damages in an amount to be determined at trial;

(o) on the Fifteenth Cause of Action against each of the Family Defendants and Stephanie Mack and Deborah Madoff for the imposition of a constructive trust upon any transfer of funds, assets, or property received from BLMIS, including, without limitation, against those properties the Family and Spouse Defendants purchased, or for which the mortgages were paid, with fraudulently diverted funds located at: (i) 583 Broadway, Unit 4M, New York, NY 10012; (ii) 433 E. 74th St., Unit 5A, New York, NY 10021; (iii) 10 Gracie Square, Apt. 10G, New York, NY 10028; (iv) 8 Barclay Court, East Hampton, NY, 11937; (v) 21 Cherry Valley Road, Greenwich, CT 06870; (vi) 200 NW Algonoma Road, Palm Beach, FL 33480; (vii) 51 Wanoma Way, Nantucket, MA 02554, (viii) 975 Park Avenue, Apt. 6B, New York, NY 10028; (ix) 34 Pheasant Run, Old Westbury, NY 11568; and (x) 57 Tomac Avenue, Old Greenwich, CT 06870, including Stephanie Mack's interests in the 51 Wanoma Way and 583 Broadway Properties, as well as to any profits in the past and on a going forward basis received by the

Family and Spouse Defendants in connection with BLMIS, in favor of the Trustee for the benefit of BLMIS's estate.

(p) on the Sixteenth Cause of Action against each of the Family Defendants and Stephanie Mack and Deborah Madoff for an accounting of any transfer of funds, assets, or property received from BLMIS as well as to any profits in the past or on a going forward basis received by the Family Defendants and Stephanie Mack and Deborah Madoff in connection with BLMIS;

(q) on all Causes of Action, pursuant to federal common law and CPLR §§ 5001 and 5004 awarding the Trustee prejudgment interest from the date on which any transfer of BLMIS funds, assets, or property were received by each Family and Spouse Defendant;

(r) awarding the Trustee all applicable attorneys' fees, interest, costs, and disbursements of this action; and

(s) granting the Trustee such other, further, and different relief as the Court
deems just, proper, and equitable.

Date: New York, New York
May 4, 2012

BAKER & HOSTETLER LLP

BY: /s/David J. Sheehan

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SUMMARY OF TRANSFERS TO OR FOR THE BENEFIT OF THE FAMILY DEFENDANTS

<u>Initial Transfers</u>	<u>Total Transfer Amount</u>	<u>1-Year Preferential Transfers</u>	<u>2-Year Transfers</u>	<u>6-Year Transfers</u>	<u>Prior to 6-Year Transfers</u>
Peter Madoff	90,078,000	4,018,679	20,690,579	49,363,336	40,714,664
Mark Madoff	78,611,109	1,590,916	18,048,377	45,235,013	33,376,096
Andrew Madoff	73,182,007	2,238,296	17,153,277	43,844,437	29,337,570
Shana Madoff	9,869,040	843,261	3,982,594	6,763,570	3,105,470
	\$ 251,740,157	\$ 8,691,152	\$ 59,874,826	\$ 145,206,356	\$ 106,533,800

Subsequent Transfers

Peter Madoff	312,500	-	-	-	312,500
Mark Madoff	2,645,000	-	-	-	2,645,000
Andrew Madoff	625,000	-	-	-	625,000
Shana Madoff	5,399,000	-	2,899,000	5,399,000	-
	\$ 8,981,500	\$ -	\$ 2,899,000	\$ 5,399,000	\$ 3,582,500

Total Transfers^[1]

Peter Madoff	90,390,500	4,018,679	20,690,579	49,363,336	41,027,164
Mark Madoff	81,256,109	1,590,916	18,048,377	45,235,013	36,021,096
Andrew Madoff	73,807,007	2,238,296	17,153,277	43,844,437	29,962,570
Shana Madoff	15,268,040	843,261	6,881,594	12,162,570	3,105,470
	\$ 255,322,657	\$ 8,691,152	\$ 59,874,826	\$ 145,206,356	\$ 110,116,300

NOTE: The details of the transfers to or for the benefit of the Family and Spouse Defendants are set forth in Exhibits D, F-H, J-K and M.

[1] The \$5,399,000 identified as subsequent transfers to Shana Madoff are also identified as initial transfers to Peter Madoff. This amount is only counted once in the total transfer amount of \$255,322,657.

SUMMARY OF TRANSFERS TO OR FOR THE BENEFIT OF THE SPOUSE DEFENDANTS

	<u>Susan Elkin</u>	<u>Stephanie Mack</u>	<u>Deborah Madoff</u>	<u>Total Transfer Amount</u>
Statutory Period Transfers ^[1]	-	27,457,202 ^[2]	27,091,261	54,548,463
Subsequent Transfers	2,395,000	Undetermined Value ^[3]	625,000	3,020,000
	\$ 2,395,000	\$ 27,457,202	\$ 27,716,261	\$ 57,568,463

NOTE: The details of the transfers to or for the benefit of the Family and Spouse Defendants are set forth in Exhibits D, F-H, J-K and M.

^[1] The term "Statutory Period Transfers" is defined in the Second Amended Complaint.

^[2] In addition, Stephanie Mack was unjustly enriched by her receipt of transfers of interests of undetermined value in properties located at 583 Broadway, New York, NY 10021 and 51 Wanoma Way, Nantucket, MA 02554.

^[3] In addition, Stephanie Mack received subsequent transfers of interests of undetermined value in properties located at 457-461 West Broadway, New York, NY 10012, 583 Broadway, New York, NY 10021 and 51 Wanoma Way, Nantucket, MA 02554.

TRANSFERS TO OR FOR THE BENEFIT OF PETER MADOFF - SUMMARY

<u>Transfer Category</u>	<u>Total Transfer Amount</u>	<u>1-Year Preferential Transfers</u>	<u>2-Year Transfers</u>	<u>6-Year Transfers</u>	<u>Prior to 6-Year Transfers</u>
IA Account Withdrawals	19,309,113	-	405,000	11,992,208	7,316,905
Compensation (Salary/Bonus) and Draw	41,619,253	3,821,886	7,168,327	18,891,625	22,727,628
Other Transfers	29,462,134	196,793	13,117,251	18,479,502	10,982,631
	\$ 90,390,500	\$ 4,018,679	\$ 20,690,579	\$ 49,363,336	\$ 41,027,164

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 10	Column 11	Column 12	Column 13	Column 14	Column 15
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Elicitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Elicitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Elicitious Profits
8/23/1984	CHECK	75,000	75,000	-	-	-	75,000	-	-	-	-	-	-
10/7/1985	CHECK	(5,000)	-	(5,000)	-	-	70,000	-	-	-	-	(5,000)	-
1/7/1986	CHECK	(3,000)	-	(3,000)	-	-	67,000	-	-	-	-	(3,000)	-
4/21/1986	CHECK	(5,000)	-	(5,000)	-	-	62,000	-	-	-	-	(5,000)	-
8/20/1986	CHECK	(5,000)	-	(5,000)	-	-	57,000	-	-	-	-	(5,000)	-
11/10/1986	CHECK	50	50	-	-	-	57,050	-	-	-	-	-	-
12/24/1986	CHECK	(8,000)	-	(8,000)	-	-	49,050	-	-	-	-	(8,000)	-
3/3/1987	CHECK	(5,000)	-	(5,000)	-	-	44,050	-	-	-	-	(5,000)	-
7/7/1987	CHECK	(5,000)	-	(5,000)	-	-	39,050	-	-	-	-	(5,000)	-
9/9/1987	CHECK	(5,000)	-	(5,000)	-	-	34,050	-	-	-	-	-	-
9/14/1987	CANCEL CHECK A/O 09/09/87	5,000	-	-	-	-	39,050	-	-	-	-	-	-
9/16/1987	CHECK	(5,000)	-	(5,000)	-	-	34,050	-	-	-	-	(5,000)	-
11/16/1987	CHECK	(2,000)	-	(2,000)	-	-	32,050	-	-	-	-	(2,000)	-
2/8/1988	CHECK	(5,000)	-	(5,000)	-	-	27,050	-	-	-	-	(5,000)	-
4/14/1988	CHECK	(13,000)	-	(13,000)	-	-	14,050	-	-	-	-	(13,000)	-
4/18/1988	CHECK	(3,000)	-	(3,000)	-	-	11,050	-	-	-	-	(3,000)	-
8/25/1988	CHECK	8,622	8,622	-	-	-	19,672	-	-	-	-	-	-
8/25/1988	CHECK	(5,000)	-	(5,000)	-	-	14,672	-	-	-	-	(5,000)	-
10/31/1988	CHECK	(3,000)	-	(3,000)	-	-	11,672	-	-	-	-	(3,000)	-
12/7/1988	TRANS TO 10132210 (1M0013)	(2,500)	-	-	-	(2,500)	9,172	-	-	-	-	-	-
12/20/1988	CHECK	(10,000)	-	(10,000)	-	-	(828)	-	-	-	-	(10,000)	-
2/17/1989	CHECK	(5,000)	-	(5,000)	-	-	(5,828)	-	-	-	-	(5,000)	-
4/12/1989	TRANS FROM S. MADOFF (1M0013)	2,500	-	-	2,500	-	(3,328)	-	-	-	-	-	-
6/27/1989	CHECK	(3,000)	-	(3,000)	-	-	(6,328)	-	-	-	-	(3,000)	-
8/29/1989	CHECK	(5,000)	-	(5,000)	-	-	(11,328)	-	-	-	-	(5,000)	-
10/2/1989	CHECK	(5,000)	-	(5,000)	-	-	(16,328)	-	-	-	-	(5,000)	-
12/5/1989	CHECK	(5,000)	-	(5,000)	-	-	(19,328)	-	-	-	-	(5,000)	-
2/12/1990	CHECK	(5,000)	-	(5,000)	-	-	(24,328)	-	-	-	-	(5,000)	-
4/18/1990	CHECK	(5,000)	-	(5,000)	-	-	(29,328)	-	-	-	-	(5,000)	-
6/22/1990	CHECK	(5,000)	-	(5,000)	-	-	(34,328)	-	-	-	-	(5,000)	-
8/28/1990	CHECK	(6,000)	-	(6,000)	-	-	(40,328)	-	-	-	-	(6,000)	-
9/25/1990	CHECK	6,000	6,000	-	-	-	(34,328)	-	-	-	-	-	-
10/19/1990	CHECK	(4,000)	-	(4,000)	-	-	(38,328)	-	-	-	-	(4,000)	-
3/4/1991	CHECK	(5,000)	-	(5,000)	-	-	(43,328)	-	-	-	-	(5,000)	-
8/28/1991	CHECK	(7,500)	-	(7,500)	-	-	(50,828)	-	-	-	-	(7,500)	-
12/16/1991	CHECK	(7,500)	-	(7,500)	-	-	(58,328)	-	-	-	-	(7,500)	-
1/6/1992	CHECK	45,000	45,000	-	-	-	(13,328)	-	-	-	-	-	-
3/13/1992	CHECK	(7,500)	-	(7,500)	-	-	(20,828)	-	-	-	-	(7,500)	-
9/17/1992	CHECK	(5,000)	-	(5,000)	-	-	(25,828)	-	-	-	-	(5,000)	-
12/31/1992	CXL CHECK	(45,000)	(45,000)	-	-	-	(70,828)	-	-	-	-	-	-
1/6/1993	CHECK	45,000	45,000	-	-	-	(25,828)	-	-	-	-	-	-
1/21/1993	CHECK	13,504	13,504	-	-	-	(12,324)	-	-	-	-	-	-
2/22/1993	CHECK	15,000	15,000	-	-	-	2,676	-	-	-	-	-	-
3/18/1993	CHECK	15,000	15,000	-	-	-	26,676	-	-	-	-	-	-
3/23/1993	CHECK	9,000	9,000	-	-	-	26,676	-	-	-	-	-	-
6/21/1993	CHECK	(150,000)	-	(150,000)	-	-	26,676	-	-	-	-	(150,000)	-
6/15/1993	CHECK	11,250	11,250	-	-	-	(123,324)	-	-	-	-	-	-
11/10/1993	CHECK	15,000	15,000	-	-	-	(112,074)	-	-	-	-	-	-
1/18/1994	CHECK	18,000	18,000	-	-	-	(97,074)	-	-	-	-	-	-
12/3/1993	CHECK	14,000	14,000	-	-	-	(79,074)	-	-	-	-	-	-
12/13/1993	CHECK	5,000	5,000	-	-	-	(68,074)	-	-	-	-	-	-
1/24/1994	CHECK	7,000	7,000	-	-	-	(60,074)	-	-	-	-	-	-
2/8/1994	CHECK	15,000	15,000	-	-	-	(53,074)	-	-	-	-	-	-
2/25/1994	CHECK	10,000	10,000	-	-	-	(38,074)	-	-	-	-	-	-
3/8/1994	CHECK	10,000	10,000	-	-	-	(28,074)	-	-	-	-	-	-
3/29/1994	CHECK	5,000	5,000	-	-	-	(18,074)	-	-	-	-	-	-
3/31/1994	CHECK	5,000	5,000	-	-	-	(13,074)	-	-	-	-	-	-
4/8/1994	CHECK	5,000	5,000	-	-	-	(8,074)	-	-	-	-	-	-
4/26/1994	CHECK	4,000	4,000	-	-	-	926	-	-	-	-	-	-
4/28/1994	CHECK	10,000	10,000	-	-	-	10,926	-	-	-	-	-	-
5/12/1994	CHECK	10,000	10,000	-	-	-	20,926	-	-	-	-	-	-
5/17/1994	CHECK	(100,000)	-	(100,000)	-	-	(79,074)	-	-	-	-	(100,000)	-
6/9/1994	CHECK	5,000	5,000	-	-	-	(74,074)	-	-	-	-	-	-
7/29/1994	CHECK	5,000	5,000	-	-	-	(69,074)	-	-	-	-	-	-
8/11/1994	CHECK	10,000	10,000	-	-	-	(59,074)	-	-	-	-	-	-
9/6/1994	CHECK	10,000	10,000	-	-	-	(49,074)	-	-	-	-	-	-
9/15/1994	CHECK	5,000	5,000	-	-	-	(44,074)	-	-	-	-	-	-
10/6/1994	CHECK	5,000	5,000	-	-	-	(39,074)	-	-	-	-	-	-
10/25/1994	CHECK	5,000	5,000	-	-	-	(34,074)	-	-	-	-	-	-
11/7/1994	CHECK	15,000	15,000	-	-	-	(19,074)	-	-	-	-	-	-
11/17/1994	CHECK	15,000	15,000	-	-	-	(4,074)	-	-	-	-	-	-
11/29/1994	CHECK	5,000	5,000	-	-	-	926	-	-	-	-	-	-
12/7/1994	CHECK	5,000	5,000	-	-	-	5,926	-	-	-	-	-	-

BLMIS ACCOUNT NO. 1M0007 - MARION MADOFF ⁽¹⁾

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 10	Column 11	Column 12	Column 13	Column 14	Column 15
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Ectitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Ectitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Ectitious Profits
12/16/1994	CHECK	10,000	10,000	-	-	-	15,926	-	-	-	-	-	-
12/22/1994	CHECK	5,000	5,000	-	-	-	20,926	-	-	-	-	-	-
1/27/1995	CHECK	19,000	19,000	-	-	-	39,926	-	-	-	-	-	-
3/6/1995	CHECK	10,000	10,000	-	-	-	49,926	-	-	-	-	-	-
4/25/1995	CHECK	5,000	5,000	-	-	-	54,926	-	-	-	-	-	-
6/8/1995	CHECK	(100,000)	-	(100,000)	-	-	(45,074)	-	-	-	-	(100,000)	-
9/11/1995	CHECK	12,000	12,000	-	-	-	(33,074)	-	-	-	-	-	-
10/17/1995	CHECK	20,000	20,000	-	-	-	(13,074)	-	-	-	-	-	-
11/2/1995	CHECK	10,000	10,000	-	-	-	(3,074)	-	-	-	-	-	-
12/15/1995	CHECK	6,000	6,000	-	-	-	2,926	-	-	-	-	-	-
2/6/1996	CHECK	10,000	10,000	-	-	-	12,926	-	-	-	-	-	-
3/7/1996	CHECK	1,356	1,356	-	-	-	14,282	-	-	-	-	-	-
5/28/1996	CHECK	5	5	-	-	-	14,287	-	-	-	-	-	-
7/15/1996	CHECK	10	10	-	-	-	14,297	-	-	-	-	-	-
8/28/1996	CHECK	15,000	15,000	-	-	-	29,297	-	-	-	-	-	-
10/8/1996	CHECK	78	78	-	-	-	29,375	-	-	-	-	-	-
10/10/1996	CHECK	10,000	10,000	-	-	-	39,375	-	-	-	-	-	-
10/17/1996	CHECK	10	10	-	-	-	39,385	-	-	-	-	-	-
11/1/1996	CHECK	20,000	20,000	-	-	-	59,385	-	-	-	-	-	-
2/13/1997	CHECK	1	1	-	-	-	59,385	-	-	-	-	-	-
4/9/1997	TRANS TO 1M010330/1M0103	(455,406) ⁽²⁾	-	-	-	(59,385)	-	-	-	-	-	(510,500)	-
	Total:		\$ 569,885	\$ (510,500)	\$ 2,500	\$ (61,885)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

⁽¹⁾ While BLMIS account number 1M0007 was purportedly maintained in the name of his wife, Marion Madoff, on information and belief, Peter Madoff exercised complete control over deposits and withdrawals to and from the account.

⁽²⁾ Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

BLMIS ACCOUNT NO. IM0011 - PETER B MADOFF

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
3/31/1981	PRINCIPAL CREDIT MARCH 1981	109,844 (B)	109,844	-	-	-	109,844	-	-	-	-	-	-
12/10/1985	CHECK	29,775	29,775	-	-	-	139,619	-	-	-	-	-	-
6/23/1986	CHECK	2,350	2,350	-	-	-	141,969	-	-	-	-	-	-
9/27/1990	CHECK	(130,000)	-	(130,000)	-	-	11,969	-	-	-	-	(130,000)	-
10/4/1993	CHECK	7	7	-	-	-	11,976	-	-	-	-	-	-
11/28/1997	CHECK	14	14	-	-	-	11,990	-	-	-	-	-	-
	Total:		\$ 141,990	\$ (130,000)	\$ -	\$ -	\$ 11,990	\$ -	\$ -	\$ -	\$ -	\$ (130,000)	\$ -

(B) This Exhibit sets forth a cash flow forensic analysis of the specified account(s) from March 1981 up to December 11, 2008, as applicable. Although records of BLMIS customer statements exist back to November 1978 in some circumstances, there is less financial information on those statements. Accordingly, this cash flow analysis reflects the presumption in favor of the accountholder that the cash and securities on a historical cost basis in the account(s) as of March 1981 were principal and did not include any fictitious profits.

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 10	Column 11	Column 12	Column 13	Column 14	Column 15
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers-- Principal	2-Year Fraudulent Transfers-- Fictitious Profits	6-Year Fraudulent Transfers-- Principal	6-Year Fraudulent Transfers-- Fictitious Profits	Full History Fraudulent Transfers-- Principal	Full History Fraudulent Transfers-- Fictitious Profits
4/9/1997	TRANS FROM 1M000710 (1M0007)	455,406 ⁽⁵⁾			59,385		59,385						
6/23/1997	CHECK	10	10				59,395						
7/9/1997	CHECK	9,000	9,000				68,395						
8/6/1997	CHECK	18,000	18,000				86,395						
10/8/1997	CHECK	10	10				86,406						
1/6/1998	CHECK	10,500	10,500				96,906						
6/1/1998	CHECK	430	430				97,336						
6/26/1998	CHECK	20,000	20,000				117,336						
8/18/1998	CHECK	5,000	5,000				122,336						
9/2/1998	CHECK	62	62				122,397						
9/2/1998	CHECK	630	630				123,027						
10/6/1998	CHECK	1,000	1,000				124,027						
10/6/1998	CHECK	87	87				124,114						
10/9/1998	CHECK	5	5				124,119						
10/9/1998	CANCEL CHECK	(5)					124,114						
11/2/1998	CHECK	808	808				124,922						
12/11/1998	CHECK	10,090	10,090				135,012						
1/4/1999	CHECK	10	10				135,022						
1/27/1999	CHECK	750	750				135,772						
3/24/1999	CHECK	15,540	15,540				151,312						
4/27/1999	CHECK	750	750				152,062						
7/9/1999	CHECK	9,000	9,000				161,062						
8/20/1999	CHECK	500	500				161,562						
8/23/1999	CHECK	110	110				161,672						
9/17/1999	CHECK	10	10				161,683						
9/23/1999	CHECK	10	10				161,693						
11/1/1999	CHECK	1,000	1,000				162,693						
12/16/1999	CHECK	9,000	9,000				171,693						
1/19/2000	CHECK	11	11				171,704						
1/31/2000	CHECK	1,000	1,000				172,704						
2/24/2000	TRANS FROM 1S028430 (1S0284)	1,100,000 ⁽⁶⁾					172,704						
6/16/2000	TRANS FROM 1S004230 (1S0042)	43,400 ⁽⁶⁾					172,704						
6/16/2000	TRANS FROM 1S004230 (1S0042)	100,000 ⁽⁶⁾					172,704						
6/16/2000	TRANS FROM 1S004230 (1S0042)	209,654 ⁽⁶⁾					172,704						
6/30/2000	TRANS FROM 1S004230 (1S0042)	2,259 ⁽⁶⁾					172,704						
7/11/2000	CHECK	10	10				172,704						
7/12/2000	CHECK	1,125	1,125				173,829						
10/10/2000	CHECK	200	200				174,029						
11/13/2000	CHECK	272	272				174,301						
12/15/2000	CHECK	10	10				174,311						
1/12/2001	CHECK	14,566	14,566				188,877						
1/12/2001	CHECK	45	45				188,922						
1/12/2001	CHECK	1,625	1,625				190,547						
1/12/2001	CHECK	13,500	13,500				204,047						
2/5/2001	CHECK	1,000	1,000				205,047						
2/5/2001	CHECK	23	23				205,070						
6/18/2001	CHECK	97	97				205,167						
12/28/2001	CHECK	27,000	27,000				232,167						
1/8/2002	CHECK	2,700	2,700				234,867						
1/9/2002	CHECK	38	38				234,905						
1/23/2002	CHECK	57	57				234,962						
3/7/2002	CHECK	2,700	2,700				237,662						
2/20/2002	CHECK	424	424				238,086						
2/25/2002	CHECK	1,000	1,000				239,086						
2/25/2002	CHECK	100	100				239,186						
2/26/2002	CHECK	780	780				239,966						
3/4/2002	CHECK	3,000	3,000				242,966						
3/6/2002	CHECK	2,700	2,700				245,666						
3/18/2002	CHECK	2,063	2,063				247,729						
3/25/2002	CHECK	35	35				247,764						
3/28/2002	CHECK	52,651	52,651				300,415						
4/3/2002	CHECK	38	38				300,453						
4/5/2002	CHECK WIRE	900,124	900,124				1,200,577						
4/12/2002	CHECK	8,678	8,678				1,209,255						
4/15/2002	CHECK	220	220				1,209,475						
4/23/2002	CHECK	2,000	2,000				1,211,475						
5/29/2002	CHECK	2,000	2,000				1,213,475						
5/31/2002	CHECK WIRE	(714,401)		(714,401)			499,074					(714,401)	
6/12/2002	CHECK	66	66				499,140						
6/19/2002	CHECK	36	36				499,176						

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 10	Column 11	Column 12	Column 13	Column 14	Column 15
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers-- Principal	2-Year Fraudulent Transfers-- Fictitious Profits	6-Year Fraudulent Transfers-- Principal	6-Year Fraudulent Transfers-- Fictitious Profits	Full History Fraudulent Transfers-- Principal	Full History Fraudulent Transfers-- Fictitious Profits
6/25/2002	CHECK	8,678	8,678	-	-	-	507,864	-	-	-	-	-	-
6/25/2002	CHECK	1,000	1,000	-	-	-	508,864	-	-	-	-	-	-
6/25/2002	CHECK	200	200	-	-	-	509,064	-	-	-	-	-	-
6/28/2002	CHECK	810	810	-	-	-	509,874	-	-	-	-	-	-
7/2/2002	CHECK	38	38	-	-	-	509,912	-	-	-	-	-	-
7/2/2002	CHECK	2,000	2,000	-	-	-	511,912	-	-	-	-	-	-
7/9/2002	CHECK	2,700	2,700	-	-	-	514,612	-	-	-	-	-	-
7/9/2002	CHECK	215	215	-	-	-	514,827	-	-	-	-	-	-
7/15/2002	CHECK	500	500	-	-	-	515,327	-	-	-	-	-	-
7/25/2002	CHECK	2,047	2,047	-	-	-	517,374	-	-	-	-	-	-
7/29/2002	CHECK	63	63	-	-	-	517,437	-	-	-	-	-	-
7/30/2002	CHECK	1,000	1,000	-	-	-	518,437	-	-	-	-	-	-
8/21/2002	CHECK	8,678	8,678	-	-	-	527,115	-	-	-	-	-	-
10/7/2002	CHECK	86	86	-	-	-	527,201	-	-	-	-	-	-
12/3/2002	CHECK	1,000	1,000	-	-	-	528,201	-	-	-	-	-	-
12/3/2002	CHECK	2,700	2,700	-	-	-	530,901	-	-	-	-	-	-
12/10/2002	CHECK	8,678	8,678	-	-	-	539,579	-	-	-	-	-	-
1/9/2003	CHECK	86	86	-	-	-	539,666	-	-	-	-	-	-
1/9/2003	CHECK	1,875	1,875	-	-	-	541,541	-	-	-	-	-	-
1/15/2003	CHECK	1,000	1,000	-	-	-	542,541	-	-	-	-	-	-
1/21/2003	CHECK	690	690	-	-	-	543,231	-	-	-	-	-	-
2/24/2003	CHECK	3,605	3,605	-	-	-	546,836	-	-	-	-	-	-
2/24/2003	CHECK	1,000	1,000	-	-	-	547,836	-	-	-	-	-	-
2/25/2003	CHECK	4,000	4,000	-	-	-	551,836	-	-	-	-	-	-
3/4/2003	CHECK	2,700	2,700	-	-	-	554,536	-	-	-	-	-	-
3/24/2003	CHECK	300	300	-	-	-	554,836	-	-	-	-	-	-
3/31/2003	CHECK	10	10	-	-	-	554,846	-	-	-	-	-	-
3/31/2003	CHECK	38	38	-	-	-	554,884	-	-	-	-	-	-
4/2/2003	CHECK	2,700	2,700	-	-	-	557,584	-	-	-	-	-	-
5/2/2003	CHECK	2,700	2,700	-	-	-	560,284	-	-	-	-	-	-
5/22/2003	CHECK	500	500	-	-	-	560,784	-	-	-	-	-	-
5/22/2003	CHECK	500	500	-	-	-	561,284	-	-	-	-	-	-
6/16/2003	CHECK	244	244	-	-	-	561,528	-	-	-	-	-	-
7/8/2003	CHECK	86	86	-	-	-	561,614	-	-	-	-	-	-
7/16/2003	CHECK	169	169	-	-	-	563,614	-	-	-	-	-	-
8/20/2003	CHECK	8,678	8,678	-	-	-	563,783	-	-	-	-	-	-
8/26/2003	CHECK	3,000	3,000	-	-	-	572,461	-	-	-	-	-	-
10/2/2003	CHECK	55	55	-	-	-	575,461	-	-	-	-	-	-
10/2/2003	CHECK	900	900	-	-	-	575,516	-	-	-	-	-	-
10/10/2003	CHECK	2,500	2,500	-	-	-	578,016	-	-	-	-	-	-
10/10/2003	CHECK	201	201	-	-	-	579,117	-	-	-	-	-	-
10/28/2003	CHECK	10	10	-	-	-	579,127	-	-	-	-	-	-
11/4/2003	CHECK	900	900	-	-	-	580,027	-	-	-	-	-	-
11/25/2003	CHECK	8,678	8,678	-	-	-	588,705	-	-	-	-	-	-
11/25/2003	CHECK	63,639	63,639	-	-	-	652,344	-	-	-	-	-	-
12/1/2003	CHECK	3,000	3,000	-	-	-	655,344	-	-	-	-	-	-
12/1/2003	CHECK	1,500	1,500	-	-	-	656,844	-	-	-	-	-	-
12/8/2003	CHECK	900	900	-	-	-	657,744	-	-	-	-	-	-
1/7/2004	CHECK	1,500	1,500	-	-	-	659,244	-	-	-	-	-	-
1/7/2004	CHECK	900	900	-	-	-	660,144	-	-	-	-	-	-
3/5/2004	CHECK	201	201	-	-	-	660,345	-	-	-	-	-	-
4/1/2004	CHECK	49,176	49,176	-	-	-	709,521	-	-	-	-	-	-
4/6/2004	CHECK	8,678	8,678	-	-	-	718,199	-	-	-	-	-	-
4/12/2004	CHECK	55	55	-	-	-	718,254	-	-	-	-	-	-
4/30/2004	CHECK	201	201	-	-	-	718,455	-	-	-	-	-	-
5/25/2004	CHECK	1,500	1,500	-	-	-	719,955	-	-	-	-	-	-
6/15/2004	CHECK	240,000	240,000	-	-	-	959,955	-	-	-	-	-	-
7/8/2004	CHECK	35,000	35,000	-	-	-	994,955	-	-	-	-	-	-
7/13/2004	CHECK	201	201	-	-	-	995,156	-	-	-	-	-	-
8/3/2004	CHECK	101,976	101,976	-	-	-	1,097,132	-	-	-	-	-	-
8/12/2004	CHECK	45,558	45,558	-	-	-	1,142,690	-	-	-	-	-	-
8/19/2004	CHECK	103,356	103,356	-	-	-	1,246,046	-	-	-	-	-	-
9/10/2004	CHECK	93,347	93,347	-	-	-	1,339,393	-	-	-	-	-	-
9/13/2004	CHECK	1,816	1,816	-	-	-	1,341,210	-	-	-	-	-	-
9/14/2004	CHECK	2,000	2,000	-	-	-	1,343,210	-	-	-	-	-	-
9/30/2004	CHECK	900	900	-	-	-	1,344,110	-	-	-	-	-	-
11/22/2004	CHECK	500	500	-	-	-	1,344,610	-	-	-	-	-	-
12/28/2004	CHECK	50,996	50,996	-	-	-	1,395,606	-	-	-	-	-	-
1/3/2005	CHECK	59	59	-	-	-	1,395,665	-	-	-	-	-	-
1/5/2005	CHECK	343	343	-	-	-	1,396,008	-	-	-	-	-	-
1/5/2005	CHECK	55	55	-	-	-	1,396,063	-	-	-	-	-	-

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 10	Column 11	Column 12	Column 13	Column 14	Column 15
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
1/11/2005	CHECK	1,500	1,500	-	-	-	1,397,563	-	-	-	-	-	-
1/11/2005	CHECK	33,707	33,707	-	-	-	1,431,270	-	-	-	-	-	-
4/7/2005	CHECK	37,986	37,986	-	-	-	1,469,256	-	-	-	-	-	-
4/11/2005	CHECK	343	343	-	-	-	1,469,599	-	-	-	-	-	-
4/11/2005	CHECK	200	200	-	-	-	1,469,799	-	-	-	-	-	-
5/4/2005	CHECK WIRE	(139,640)	-	(139,640)	-	-	1,330,159	-	-	(139,640)	-	(139,640)	-
5/11/2005	CHECK	86	86	-	-	-	1,330,245	-	-	-	-	-	-
5/26/2005	CHECK WIRE	(100,000)	-	(100,000)	-	-	1,230,245	-	-	(100,000)	-	(100,000)	-
6/9/2005	CHECK	5,236	5,236	-	-	-	1,235,481	-	-	-	-	-	-
6/20/2005	CHECK	900	900	-	-	-	1,236,381	-	-	-	-	-	-
6/20/2005	CHECK	1,500	1,500	-	-	-	1,237,881	-	-	-	-	-	-
7/6/2005	CHECK	1,500	1,500	-	-	-	1,239,381	-	-	-	-	-	-
7/12/2005	CHECK	200	200	-	-	-	1,239,581	-	-	-	-	-	-
7/12/2005	CHECK	343	343	-	-	-	1,239,924	-	-	-	-	-	-
8/1/2005	CHECK	10,000	10,000	-	-	-	1,249,924	-	-	-	-	-	-
8/23/2005	CHECK	500	500	-	-	-	1,250,424	-	-	-	-	-	-
10/3/2005	CHECK	55	55	-	-	-	1,250,479	-	-	-	-	-	-
10/3/2005	CHECK	5,236	5,236	-	-	-	1,255,715	-	-	-	-	-	-
10/19/2005	CHECK	2,500	2,500	-	-	-	1,258,215	-	-	-	-	-	-
10/19/2005	CHECK	900	900	-	-	-	1,259,115	-	-	-	-	-	-
10/28/2005	CHECK	53,109	53,109	-	-	-	1,312,224	-	-	-	-	-	-
10/31/2005	CHECK	48	48	-	-	-	1,312,272	-	-	-	-	-	-
11/22/2005	CHECK	2,000	2,000	-	-	-	1,314,272	-	-	-	-	-	-
11/28/2005	CHECK	84,004	84,004	-	-	-	1,398,276	-	-	-	-	-	-
12/19/2005	CHECK	5,785	5,785	-	-	-	1,404,061	-	-	-	-	-	-
12/19/2005	CHECK	8,555	8,555	-	-	-	1,412,616	-	-	-	-	-	-
12/22/2005	CHECK	15,250	15,250	-	-	-	1,427,866	-	-	-	-	-	-
12/22/2005	CHECK WIRE	(104,730)	-	(104,730)	-	-	1,323,136	-	-	(104,730)	-	(104,730)	-
12/23/2005	CHECK	6,000	6,000	-	-	-	1,329,136	-	-	-	-	-	-
12/30/2005	CHECK	5,236	5,236	-	-	-	1,334,372	-	-	-	-	-	-
1/17/2006	CHECK	2,500	2,500	-	-	-	1,336,872	-	-	-	-	-	-
1/18/2006	CHECK	900	900	-	-	-	1,337,772	-	-	-	-	-	-
1/19/2006	CHECK WIRE	(62,838)	-	(62,838)	-	-	1,274,934	-	-	(62,838)	-	(62,838)	-
3/21/2006	CHECK	23,778	23,778	-	-	-	1,298,712	-	-	-	-	-	-
4/3/2006	CHECK	18,000	18,000	-	-	-	1,316,712	-	-	-	-	-	-
4/3/2006	CHECK	3,000	3,000	-	-	-	1,319,712	-	-	-	-	-	-
4/3/2006	CHECK	200	200	-	-	-	1,319,912	-	-	-	-	-	-
4/3/2006	CHECK	69	69	-	-	-	1,319,981	-	-	-	-	-	-
4/17/2006	CHECK	18,084	18,084	-	-	-	1,338,065	-	-	-	-	-	-
4/17/2006	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	338,065	-	-	(1,000,000)	-	(1,000,000)	-
6/19/2006	CHECK	900	900	-	-	-	338,965	-	-	-	-	-	-
8/22/2006	CHECK	10,000	10,000	-	-	-	348,965	-	-	-	-	-	-
8/28/2006	CHECK	5,000	5,000	-	-	-	353,965	-	-	-	-	-	-
9/12/2006	CHECK	1,133	1,133	-	-	-	355,097	-	-	-	-	-	-
9/20/2006	CHECK	2,500	2,500	-	-	-	357,597	-	-	-	-	-	-
9/21/2006	CHECK	32,032	32,032	-	-	-	389,629	-	-	-	-	-	-
9/29/2006	CHECK	(20,000)	-	(20,000)	-	-	369,629	-	-	(20,000)	-	(20,000)	-
10/3/2006	CHECK	400	400	-	-	-	370,029	-	-	-	-	-	-
10/3/2006	CHECK	69	69	-	-	-	370,098	-	-	-	-	-	-
10/3/2006	CHECK	429	429	-	-	-	370,527	-	-	-	-	-	-
10/12/2006	CHECK	5	5	-	-	-	370,532	-	-	-	-	-	-
11/7/2006	CHECK	200	200	-	-	-	370,732	-	-	-	-	-	-
12/5/2006	CHECK	10,500	10,500	-	-	-	381,232	-	-	-	-	-	-
12/18/2006	CHECK	900	900	-	-	-	382,132	-	-	-	-	-	-
1/3/2007	CHECK	22,885	22,885	-	-	-	405,016	-	-	-	-	-	-
1/3/2007	CHECK	69	69	-	-	-	405,085	-	-	-	-	-	-
1/5/2007	CHECK	(10,000)	-	(10,000)	-	-	395,085	-	-	(10,000)	-	(10,000)	-
1/8/2007	CHECK	1,500	1,500	-	-	-	396,585	-	-	-	-	-	-
1/9/2007	CHECK	429	429	-	-	-	397,014	-	-	-	-	-	-
2/26/2007	CHECK	350	350	-	-	-	397,364	-	-	-	-	-	-
2/27/2007	CHECK	6,500	6,500	-	-	-	403,864	-	-	-	-	-	-
2/27/2007	CHECK	15,000	15,000	-	-	-	418,864	-	-	-	-	-	-
3/8/2007	CHECK	30,285	30,285	-	-	-	449,149	-	-	-	-	-	-
3/8/2007	CHECK	1,190	1,190	-	-	-	450,339	-	-	-	-	-	-
3/19/2007	CHECK	900	900	-	-	-	451,239	-	-	-	-	-	-
4/4/2007	CHECK	429	429	-	-	-	451,668	-	-	-	-	-	-
4/5/2007	CHECK	86,964	86,964	-	-	-	538,632	-	-	-	-	-	-
4/12/2007	CHECK WIRE	(100,000)	-	(100,000)	-	-	438,632	-	-	(100,000)	-	(100,000)	-
4/16/2007	CHECK	2,000	2,000	-	-	-	440,632	-	-	-	-	-	-
4/17/2007	CHECK	900	900	-	-	-	441,532	-	-	-	-	-	-
5/1/2007	CHECK	4,500	4,500	-	-	-	446,032	-	-	-	-	-	-
5/9/2007	CHECK	4,300	4,300	-	-	-	450,332	-	-	-	-	-	-

BEMIS ACCOUNT NO. 1M0103 - MARION MADOFF⁽⁴⁾

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 10	Column 11	Column 12	Column 13	Column 14	Column 15
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers-Principal	2-Year Fraudulent Transfers-Fictitious Profits	6-Year Fraudulent Transfers-Principal	6-Year Fraudulent Transfers-Fictitious Profits	Full History Fraudulent Transfers-Principal	Full History Fraudulent Transfers-Fictitious Profits
5/21/2007	CHECK	2,500	2,500	-	-	-	452,832	-	-	-	-	-	-
5/30/2007	CHECK	420	420	-	-	-	453,252	-	-	-	-	-	-
5/30/2007	CHECK	150	150	-	-	-	453,402	-	-	-	-	-	-
6/4/2007	CHECK	6,000	6,000	-	-	-	459,402	-	-	-	-	-	-
6/11/2007	CHECK	4,323	4,323	-	-	-	463,725	-	-	-	-	-	-
6/12/2007	CHECK	28,927	28,927	-	-	-	492,652	-	-	-	-	-	-
6/22/2007	CHECK	288	288	-	-	-	492,940	-	-	-	-	-	-
6/27/2007	CHECK	3,500	3,500	-	-	-	496,440	-	-	-	-	-	-
7/3/2007	CHECK	69	69	-	-	-	496,509	-	-	-	-	-	-
7/5/2007	CHECK	2,000	2,000	-	-	-	498,509	-	-	-	-	-	-
7/9/2007	CHECK	429	429	-	-	-	498,938	-	-	-	-	-	-
7/13/2007	CHECK	44,500	44,500	-	-	-	543,438	-	-	-	-	-	-
8/16/2007	CHECK	900	900	-	-	-	544,338	-	-	-	-	-	-
8/22/2007	CHECK	277,640	277,640	-	-	-	821,978	-	-	-	-	-	-
8/31/2007	CHECK WIRE	(10,000)	-	(10,000)	-	-	811,978	(10,000)	-	(10,000)	-	(10,000)	-
9/7/2007	CHECK WIRE	(10,000)	-	(10,000)	-	-	801,978	(10,000)	-	(10,000)	-	(10,000)	-
9/14/2007	CHECK WIRE	(10,000)	-	(10,000)	-	-	791,978	(10,000)	-	(10,000)	-	(10,000)	-
9/21/2007	CHECK WIRE	(10,000)	-	(10,000)	-	-	781,978	(10,000)	-	(10,000)	-	(10,000)	-
9/28/2007	CHECK WIRE	(10,000)	-	(10,000)	-	-	761,978	(10,000)	-	(10,000)	-	(10,000)	-
10/12/2007	CHECK WIRE	(10,000)	-	(10,000)	-	-	762,878	-	-	-	-	-	-
10/16/2007	CHECK	900	900	-	-	-	762,878	-	-	-	-	-	-
10/22/2007	CHECK	76,811	76,811	-	-	-	839,690	-	-	-	-	-	-
10/24/2007	CHECK	33	33	-	-	-	839,722	-	-	-	-	-	-
10/24/2007	CHECK	12,279	12,279	-	-	-	852,002	-	-	-	-	-	-
10/29/2007	CHECK	500	500	-	-	-	852,502	-	-	-	-	-	-
11/13/2007	CHECK	29,641	29,641	-	-	-	882,143	-	-	-	-	-	-
11/14/2007	CHECK	13,833	13,833	-	-	-	895,976	-	-	-	-	-	-
12/20/2007	CHECK	900	900	-	-	-	896,876	-	-	-	-	-	-
1/8/2008	CHECK	1,500	1,500	-	-	-	898,376	-	-	-	-	-	-
2/5/2008	CHECK	180,850	180,850	-	-	-	1,079,226	-	-	-	-	-	-
2/15/2008	CHECK	900	900	-	-	-	1,080,126	-	-	-	-	-	-
2/21/2008	CHECK	77,235	77,235	-	-	-	1,157,361	-	-	-	-	-	-
2/28/2008	CHECK	2,500	2,500	-	-	-	1,159,861	-	-	-	-	-	-
3/3/2008	CHECK	18,517	18,517	-	-	-	1,178,378	-	-	-	-	-	-
3/24/2008	CHECK	216	216	-	-	-	1,178,594	-	-	-	-	-	-
3/24/2008	CHECK	900	900	-	-	-	1,179,494	-	-	-	-	-	-
4/24/2008	CHECK	(75,000)	-	(75,000)	-	-	1,104,494	(75,000)	-	(75,000)	-	(75,000)	-
4/25/2008	CHECK	(150,000)	-	(150,000)	-	-	954,494	(150,000)	-	(150,000)	-	(150,000)	-
4/28/2008	CHECK	5,500	5,500	-	-	-	959,994	-	-	-	-	-	-
5/23/2008	CHECK	377	377	-	-	-	960,494	-	-	-	-	-	-
6/5/2008	CHECK	410,000	410,000	-	-	-	960,870	-	-	-	-	-	-
6/17/2008	CHECK	900	900	-	-	-	1,370,870	-	-	-	-	-	-
6/23/2008	CHECK	126	126	-	-	-	1,371,770	-	-	-	-	-	-
6/17/2008	CHECK	1,000	1,000	-	-	-	1,372,897	-	-	-	-	-	-
6/30/2008	CHECK	2,500	2,500	-	-	-	1,375,397	-	-	-	-	-	-
7/1/2008	CHECK	2,000	2,000	-	-	-	1,377,397	-	-	-	-	-	-
7/2/2008	CHECK	5,647	5,647	-	-	-	1,383,044	-	-	-	-	-	-
7/9/2008	CHECK	500	500	-	-	-	1,383,544	-	-	-	-	-	-
7/15/2008	CHECK	5,000	5,000	-	-	-	1,388,544	-	-	-	-	-	-
7/18/2008	CHECK	900	900	-	-	-	1,389,444	-	-	-	-	-	-
7/28/2008	CHECK	500	500	-	-	-	1,389,944	-	-	-	-	-	-
8/6/2008	CHECK	833	833	-	-	-	1,390,777	-	-	-	-	-	-
8/19/2008	CHECK	750	750	-	-	-	1,391,527	-	-	-	-	-	-
8/19/2008	CHECK	900	900	-	-	-	1,392,427	-	-	-	-	-	-
9/16/2008	CHECK	3,333	3,333	-	-	-	1,395,761	-	-	-	-	-	-
9/16/2008	CHECK	900	900	-	-	-	1,396,661	-	-	-	-	-	-
10/3/2008	CHECK	833	833	-	-	-	1,397,494	-	-	-	-	-	-
10/3/2008	CHECK	(10,000)	-	(10,000)	-	-	1,387,494	(10,000)	-	(10,000)	-	(10,000)	-
11/3/2008	CHECK	833	833	-	-	-	1,388,327	-	-	-	-	-	-
11/12/2008	CHECK	500	500	-	-	-	1,388,827	-	-	-	-	-	-
11/18/2008	CHECK	900	900	-	-	-	1,389,727	-	-	-	-	-	-
Total:			3,876,951	(2,546,609)	59,385	\$	1,389,727	(405,000)	\$	(1,832,208)	\$	(2,546,609)	\$

⁽⁴⁾ While BEMIS account number 1M0103 was purportedly maintained in the name of his wife, Marion Madoff, on information and belief, Peter Madoff exercised complete control over deposits and withdrawals to and from the account.
⁽⁵⁾ Although BEMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.
⁽⁶⁾ Although BEMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

BLMIS ACCOUNT NO. 1M0174 - PETER MADOFF

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
5/17/2002	CHECK WIRE	(5,500,000)	-	(5,500,000)	-	-	(5,500,000)	-	-	-	-	-	(5,500,000)
5/17/2002	CHECK WIRE	(462,004)	-	(462,004)	-	-	(5,962,004)	-	-	-	-	-	(462,004)
4/14/2003	CHECK WIRE	(1,475,000)	-	(1,475,000)	-	-	(7,437,004)	-	-	-	(1,475,000)	-	(1,475,000)
12/10/2004	CHECK	(60,000)	-	(60,000)	-	-	(7,497,004)	-	-	-	(60,000)	-	(60,000)
1/19/2005	CHECK WIRE	(50,000)	-	(50,000)	-	-	(7,547,004)	-	-	-	(50,000)	-	(50,000)
2/14/2005	CHECK WIRE	(90,000)	-	(90,000)	-	-	(7,637,004)	-	-	-	(90,000)	-	(90,000)
3/2/2005	CHECK WIRE	(50,000)	-	(50,000)	-	-	(7,687,004)	-	-	-	(50,000)	-	(50,000)
3/17/2005	CHECK WIRE	(100,000)	-	(100,000)	-	-	(7,787,004)	-	-	-	(100,000)	-	(100,000)
3/21/2005	CHECK WIRE	(50,000)	-	(50,000)	-	-	(7,837,004)	-	-	-	(50,000)	-	(50,000)
5/23/2005	CHECK WIRE	(5,000,000)	-	(5,000,000)	-	-	(12,837,004)	-	-	-	(5,000,000)	-	(5,000,000)
9/28/2005	CHECK WIRE	(200,000)	-	(200,000)	-	-	(12,887,004)	-	-	-	(200,000)	-	(200,000)
10/13/2005	CHECK WIRE	(100,000)	-	(100,000)	-	-	(13,087,004)	-	-	-	(100,000)	-	(100,000)
11/1/2005	CHECK WIRE	(50,000)	-	(50,000)	-	-	(13,187,004)	-	-	-	(100,000)	-	(100,000)
12/9/2005	CHECK WIRE	(160,000)	-	(160,000)	-	-	(13,237,004)	-	-	-	(100,000)	-	(100,000)
1/3/2006	CHECK WIRE	(3,650,000)	-	(3,650,000)	-	-	(13,397,004)	-	-	-	(160,000)	-	(160,000)
1/18/2006	CANCEL C&S 1/3/06	3,650,000	-	3,650,000	-	-	(17,047,004)	-	-	-	-	-	-
2/9/2006	CHECK WIRE	(125,000)	-	(125,000)	-	-	(13,397,004)	-	-	-	(125,000)	-	(125,000)
4/17/2006	CHECK WIRE	(2,600,000)	-	(2,600,000)	-	-	(16,122,004)	-	-	-	(2,600,000)	-	(2,600,000)
	Total:		\$ -	\$ (16,122,004)	\$ -	\$ -	\$ (16,122,004)	\$ -	\$ -	\$ -	\$ (10,160,000)	\$ -	\$ (16,122,004)

PETER MADOFF COMPENSATION AND DRAW

Date	Type	Transferee	Comments	Transfer Amount	1-Year Preferential Transfers	2-Year Transfers	6-Year Transfers	Prior to 6-Year Transfers
12/29/1993	Salary	Peter Madoff & Marion Madoff		1,623,000	-	-	-	1,623,000
12/29/1993	Bonus / Other Earnings	Peter Madoff & Marion Madoff		180,000	-	-	-	180,000
12/29/1994	Salary	Peter Madoff & Marion Madoff		1,683,000	-	-	-	1,683,000
12/29/1994	Bonus / Other Earnings	Peter Madoff & Marion Madoff		413,000	-	-	-	413,000
12/27/1995	Salary	Peter Madoff & Marion Madoff		1,768,000	-	-	-	1,768,000
12/27/1995	Bonus / Other Earnings	Peter Madoff & Marion Madoff		408,000	-	-	-	408,000
12/25/1996	Salary	Peter Madoff & Marion Madoff		1,768,000	-	-	-	1,768,000
12/25/1996	Bonus / Other Earnings	Peter Madoff & Marion Madoff		476,000	-	-	-	476,000
12/25/1996	Salary	Peter Madoff & Marion Madoff		92,450	-	-	-	92,450
12/31/1997	Salary	Peter Madoff & Marion Madoff		1,802,000	-	-	-	1,802,000
12/31/1997	Bonus / Other Earnings	Peter Madoff & Marion Madoff		204,000	-	-	-	204,000
12/31/1997	Salary	Peter Madoff & Marion Madoff		113,950	-	-	-	113,950
12/31/1997	Draw	Unconfirmed	FBO Peter Madoff & Marion Madoff	2,834	-	-	-	2,834
10/5/1998	Draw	Breakers	FBO Peter Madoff & Marion Madoff	5,369	-	-	-	5,369
11/10/1998	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	1,096	-	-	-	1,096
11/13/1998	Draw	American Express	FBO Peter Madoff & Marion Madoff	23,161	-	-	-	23,161
12/11/1998	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	1,096	-	-	-	1,096
12/31/1998	Salary	Peter Madoff & Marion Madoff		1,768,000	-	-	-	1,768,000
12/31/1998	Bonus / Other Earnings	Peter Madoff & Marion Madoff		136,000	-	-	-	136,000
12/31/1998	Salary	Peter Madoff & Marion Madoff		111,800	-	-	-	111,800
1/13/1999	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	3,364	-	-	-	3,364
2/11/1999	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	130	-	-	-	130
3/5/1999	Draw	Museum Tower Condominium	FBO Peter Madoff & Marion Madoff	150	-	-	-	150
3/9/1999	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	6,782	-	-	-	6,782
4/12/1999	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	3,364	-	-	-	3,364
5/13/1999	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	3,364	-	-	-	3,364
12/10/1999	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	17,360	-	-	-	17,360
12/10/1999	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	130	-	-	-	130
12/29/1999	Salary	Peter Madoff & Marion Madoff		1,768,000	-	-	-	1,768,000
12/29/1999	Bonus / Other Earnings	Peter Madoff & Marion Madoff		136,000	-	-	-	136,000
12/29/1999	Salary	Peter Madoff & Marion Madoff		111,800	-	-	-	111,800
1/21/2000	Draw	Cash	FBO Peter Madoff & Marion Madoff	1,000	-	-	-	1,000
1/31/2000	Draw	Cash	FBO Peter Madoff & Marion Madoff	(1,500)	-	-	-	(1,500)
3/3/2000	Draw	Cash	FBO Peter Madoff & Marion Madoff	1,500	-	-	-	1,500
3/13/2000	Draw	Cash	FBO Peter Madoff & Marion Madoff	(1,500)	-	-	-	(1,500)
4/26/2000	Draw	Braman Motorcars	FBO Peter Madoff & Marion Madoff	1,861	-	-	-	1,861
5/4/2000	Draw	Peter Madoff & Marion Madoff		7,500	-	-	-	7,500
5/12/2000	Draw	Cash	FBO Peter Madoff & Marion Madoff	1,000	-	-	-	1,000
5/15/2000	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	4,883	-	-	-	4,883
5/15/2000	Draw	Cash	FBO Peter Madoff & Marion Madoff	(1,000)	-	-	-	(1,000)
6/28/2000	Draw	North Shore LJJ Health	FBO Peter Madoff & Marion Madoff	3,153	-	-	-	3,153
6/28/2000	Draw	Dee Falco	FBO Peter Madoff & Marion Madoff	365	-	-	-	365
7/19/2000	Draw	Peter Madoff & Marion Madoff		78,600	-	-	-	78,600
7/19/2000	Draw	Unconfirmed	FBO Peter Madoff & Marion Madoff	21,400	-	-	-	21,400
7/21/2000	Draw	Cash	FBO Peter Madoff & Marion Madoff	(6,730)	-	-	-	(6,730)
9/8/2000	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	1,112	-	-	-	1,112
9/27/2000	Draw	Peter Madoff & Marion Madoff		50,000	-	-	-	50,000
9/29/2000	Draw	Cash	FBO Peter Madoff & Marion Madoff	1,500	-	-	-	1,500
10/3/2000	Draw	Cash	FBO Peter Madoff & Marion Madoff	(1,500)	-	-	-	(1,500)

PETER MADOFF COMPENSATION AND DRAW

Date	Type	Transferee	Comments	Transfer Amount	1-Year Preferential Transfers	2-Year Transfers	6-Year Transfers	Prior to 6-Year Transfers
10/5/2000	Draw	Unconfirmed	FBO Peter Madoff & Marion Madoff	1,000	-	-	-	1,000
10/6/2000	Draw	Cash	FBO Peter Madoff & Marion Madoff	(1,000)	-	-	-	(1,000)
10/11/2000	Draw	Trump International Golf Club	FBO Peter Madoff & Marion Madoff	10,070	-	-	-	10,070
10/11/2000	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	1,269	-	-	-	1,269
10/16/2000	Draw	Peter Madoff & Marion Madoff		10,000	-	-	-	10,000
11/16/2000	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	157	-	-	-	157
12/11/2000	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	23,870	-	-	-	23,870
12/11/2000	Draw	Trump International Golf Club	FBO Peter Madoff & Marion Madoff	267	-	-	-	267
12/11/2000	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	157	-	-	-	157
12/18/2000	Draw	Peter Madoff & Marion Madoff		50,000	-	-	-	50,000
12/22/2000	Draw	Cash	FBO Peter Madoff & Marion Madoff	2,500	-	-	-	2,500
12/27/2000	Salary	Peter Madoff & Marion Madoff		1,768,000	-	-	-	1,768,000
12/27/2000	Bonus / Other Earnings	Peter Madoff & Marion Madoff		238,000	-	-	-	238,000
12/27/2000	Salary	Peter Madoff & Marion Madoff		111,800	-	-	-	111,800
2/8/2001	Draw	Breakers	FBO Peter Madoff & Marion Madoff	763	-	-	-	763
2/8/2001	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	157	-	-	-	157
3/8/2001	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	157	-	-	-	157
3/21/2001	Draw	Breakers	FBO Peter Madoff & Marion Madoff	901	-	-	-	901
3/21/2001	Draw	Trump International Golf Club	FBO Peter Madoff & Marion Madoff	807	-	-	-	807
4/9/2001	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	483	-	-	-	483
4/24/2001	Draw	Peter Madoff & Marion Madoff		15,000	-	-	-	15,000
5/17/2001	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	798	-	-	-	798
5/17/2001	Draw	Trump International Golf Club	FBO Peter Madoff & Marion Madoff	717	-	-	-	717
6/12/2001	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	426	-	-	-	426
6/18/2001	Draw	Peter Madoff & Marion Madoff		100,000	-	-	-	100,000
7/10/2001	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	613	-	-	-	613
8/14/2001	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	892	-	-	-	892
8/30/2001	Draw	Breakers	FBO Peter Madoff & Marion Madoff	15,026	-	-	-	15,026
9/17/2001	Draw	Peter Madoff & Marion Madoff		15,000	-	-	-	15,000
9/21/2001	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	2,677	-	-	-	2,677
9/26/2001	Draw	Peter Madoff & Marion Madoff		10,000	-	-	-	10,000
9/26/2001	Draw	Peter Madoff & Marion Madoff		20,000	-	-	-	20,000
10/9/2001	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	1,748	-	-	-	1,748
10/11/2001	Draw	Trump International Golf Club	FBO Peter Madoff & Marion Madoff	13,250	-	-	-	13,250
11/13/2001	Draw	Peter Madoff & Marion Madoff		5,000	-	-	-	5,000
11/16/2001	Draw	Peter Madoff & Marion Madoff		10,000	-	-	-	10,000
11/16/2001	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	1,748	-	-	-	1,748
12/11/2001	Draw	Peter Madoff & Marion Madoff		50,000	-	-	-	50,000
12/12/2001	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	24,575	-	-	-	24,575
12/12/2001	Draw	Breakers	FBO Peter Madoff & Marion Madoff	3,183	-	-	-	3,183
12/12/2001	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	157	-	-	-	157
12/26/2001	Salary	Peter Madoff & Marion Madoff		1,768,000	-	-	-	1,768,000
12/26/2001	Bonus / Other Earnings	Peter Madoff & Marion Madoff		680,000	-	-	-	680,000
12/26/2001	Salary	Peter Madoff & Marion Madoff		111,800	-	-	-	111,800
1/9/2002	Draw	Trump International Golf Club	FBO Peter Madoff & Marion Madoff	540	-	-	-	540
1/9/2002	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	157	-	-	-	157
1/18/2002	Draw	Peter Madoff & Marion Madoff		230,000	-	-	-	230,000
2/12/2002	Draw	Trump International Golf Club	FBO Peter Madoff & Marion Madoff	323	-	-	-	323
2/13/2002	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	157	-	-	-	157

PETER MADOFF COMPENSATION AND DRAW

Date	Type	Transferee	Comments	Transfer Amount	1-Year Preferential Transfers	2-Year Transfers	6-Year Transfers	Prior to 6-Year Transfers
3/1/2002	Draw	Unconfirmed	FBO Peter Madoff & Marion Madoff	4,000	-	-	-	4,000
3/13/2002	Draw	Trump International Golf Club	FBO Peter Madoff & Marion Madoff	326	-	-	-	326
3/13/2002	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	171	-	-	-	171
4/1/2002	Draw	Unconfirmed	FBO Peter Madoff & Marion Madoff	1,500	-	-	-	1,500
4/1/2002	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	483	-	-	-	483
4/1/2002	Draw	Trump International Golf Club	FBO Peter Madoff & Marion Madoff	107	-	-	-	107
4/15/2002	Draw	Peter Madoff & Marion Madoff	FBO Peter Madoff & Marion Madoff	200,000	-	-	-	200,000
5/10/2002	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	1,874	-	-	-	1,874
5/23/2002	Draw	Cash	FBO Peter Madoff & Marion Madoff	2,000	-	-	-	2,000
6/10/2002	Draw	Cash	FBO Peter Madoff & Marion Madoff	(2,000)	-	-	-	(2,000)
6/14/2002	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	257	-	-	-	257
6/14/2002	Draw	Trump International Golf Club	FBO Peter Madoff & Marion Madoff	133	-	-	-	133
6/21/2002	Draw	Cash	FBO Peter Madoff & Marion Madoff	1,000	-	-	-	1,000
7/10/2002	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	917	-	-	-	917
7/16/2002	Draw	Cash	FBO Peter Madoff & Marion Madoff	(200)	-	-	-	(200)
7/29/2002	Draw	Unconfirmed	FBO Peter Madoff & Marion Madoff	200	-	-	-	200
9/10/2002	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	2,286	-	-	-	2,286
9/10/2002	Draw	Unconfirmed	FBO Peter Madoff & Marion Madoff	1,500	-	-	-	1,500
9/17/2002	Draw	Cash	FBO Peter Madoff & Marion Madoff	(1,500)	-	-	-	(1,500)
9/18/2002	Draw	Breakers	FBO Peter Madoff & Marion Madoff	11,713	-	-	-	11,713
9/19/2002	Draw	Unconfirmed	FBO Peter Madoff & Marion Madoff	1,000	-	-	-	1,000
9/24/2002	Draw	Trump International Golf Club	FBO Peter Madoff & Marion Madoff	13,780	-	-	-	13,780
10/9/2002	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	2,153	-	-	-	2,153
10/15/2002	Draw	Unconfirmed	FBO Peter Madoff & Marion Madoff	2,000	-	-	-	2,000
10/16/2002	Draw	Cash	FBO Peter Madoff & Marion Madoff	(2,000)	-	-	-	(2,000)
11/14/2002	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	2,138	-	-	-	2,138
11/21/2002	Draw	Cash	FBO Peter Madoff & Marion Madoff	(1,000)	-	-	-	(1,000)
11/22/2002	Draw	Cash	FBO Peter Madoff & Marion Madoff	(500)	-	-	-	(500)
11/26/2002	Draw	Cash	FBO Peter Madoff & Marion Madoff	1,500	-	-	-	1,500
12/17/2002	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	5,823	-	-	-	5,823
12/19/2002	Draw	Cash	FBO Peter Madoff & Marion Madoff	2,200	-	-	-	2,200
12/25/2002	Salary	Peter Madoff & Marion Madoff	FBO Peter Madoff & Marion Madoff	1,802,000	-	-	-	1,802,000
12/25/2002	Bonus / Other Earnings	Peter Madoff & Marion Madoff	FBO Peter Madoff & Marion Madoff	476,000	-	-	-	476,000
12/25/2002	Salary	Peter Madoff & Marion Madoff	FBO Peter Madoff & Marion Madoff	111,800	-	-	-	111,800
1/10/2003	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	5,326	-	-	-	5,326
1/30/2003	Draw	Peter Madoff & Marion Madoff	FBO Peter Madoff & Marion Madoff	3,000	-	-	-	3,000
2/11/2003	Draw	Roger Madoff	FBO Peter Madoff & Marion Madoff	5,000	-	-	-	5,000
2/11/2003	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	4,835	-	-	-	4,835
3/13/2003	Draw	Unconfirmed	FBO Peter Madoff & Marion Madoff	2,000	-	-	-	2,000
3/14/2003	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	5,179	-	-	-	5,179
3/14/2003	Draw	Trump International Golf Club	FBO Peter Madoff & Marion Madoff	376	-	-	-	376
3/17/2003	Draw	Cash	FBO Peter Madoff & Marion Madoff	(2,000)	-	-	-	(2,000)
4/4/2003	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	595	-	-	-	595
4/17/2003	Draw	Unconfirmed	FBO Peter Madoff & Marion Madoff	2,000	-	-	-	2,000
4/23/2003	Draw	Unconfirmed	FBO Peter Madoff & Marion Madoff	(2,000)	-	-	-	(2,000)
5/14/2003	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	6,282	-	-	-	6,282
5/14/2003	Draw	Trump International Golf Club	FBO Peter Madoff & Marion Madoff	322	-	-	-	322
5/30/2003	Draw	Peter Madoff & Marion Madoff	FBO Peter Madoff & Marion Madoff	265,000	-	-	-	265,000
6/10/2003	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	552	-	-	-	552

PETER MADOFF COMPENSATION AND DRAW

Date	Type	Transferee	Comments	Transfer Amount	1-Year Preferential Transfers	2-Year Transfers	6-Year Transfers	Prior to 6-Year Transfers
7/9/2003	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	931	-	-	931	-
7/11/2003	Draw	Roger Madoff	FBO Peter Madoff & Marion Madoff	5,000	-	-	5,000	-
7/22/2003	Draw	Peter Madoff & Marion Madoff		5,000	-	-	5,000	-
8/6/2003	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	8,904	-	-	8,904	-
8/12/2003	Draw	Breakers	FBO Peter Madoff & Marion Madoff	2,000	-	-	2,000	-
8/28/2003	Draw	Unconfirmed	FBO Peter Madoff & Marion Madoff	(2,000)	-	-	(2,000)	-
9/2/2003	Draw	Cash	FBO Peter Madoff & Marion Madoff	2,525	-	-	2,525	-
9/9/2003	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	6,900	-	-	6,900	-
9/11/2003	Draw	Breakers	FBO Peter Madoff & Marion Madoff	(4,134)	-	-	(4,134)	-
9/18/2003	Draw	Trump International Golf Club	FBO Peter Madoff & Marion Madoff	15,900	-	-	15,900	-
10/10/2003	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	2,166	-	-	2,166	-
10/16/2003	Draw	Breakers	FBO Peter Madoff & Marion Madoff	715	-	-	715	-
10/17/2003	Draw	Palm Beach Country Club	FBO Peter Madoff & Marion Madoff	406	-	-	406	-
11/14/2003	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	1,882	-	-	1,882	-
11/26/2003	Draw	Unconfirmed	FBO Peter Madoff & Marion Madoff	2,000	-	-	2,000	-
11/28/2003	Draw	Cash	FBO Peter Madoff & Marion Madoff	(2,000)	-	-	(2,000)	-
12/26/2003	Salary	Peter Madoff & Marion Madoff		1,836,000	-	-	1,836,000	-
12/26/2003	Bonus / Other Earnings	Peter Madoff & Marion Madoff		340,000	-	-	340,000	-
12/26/2003	Salary	Peter Madoff & Marion Madoff		112,660	-	-	112,660	-
1/14/2004	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	6,199	-	-	6,199	-
1/14/2004	Draw	Trump International Golf Club	FBO Peter Madoff & Marion Madoff	1,210	-	-	1,210	-
1/16/2004	Draw	Palm Beach Country Club	FBO Peter Madoff & Marion Madoff	691	-	-	691	-
2/9/2004	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	6,427	-	-	6,427	-
2/10/2004	Draw	Peter Madoff & Marion Madoff		2,000	-	-	2,000	-
2/11/2004	Draw	Palm Beach Country Club	FBO Peter Madoff & Marion Madoff	299	-	-	299	-
2/13/2004	Draw	Peter Madoff & Marion Madoff		(2,000)	-	-	(2,000)	-
3/5/2004	Draw	Peter Madoff & Marion Madoff		1,000	-	-	1,000	-
3/9/2004	Draw	Peter Madoff & Marion Madoff		(1,000)	-	-	(1,000)	-
3/12/2004	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	6,199	-	-	6,199	-
3/15/2004	Draw	Palm Beach Country Club	FBO Peter Madoff & Marion Madoff	587	-	-	587	-
3/15/2004	Draw	Peter Madoff & Marion Madoff		2,000	-	-	2,000	-
3/24/2004	Draw	Peter Madoff & Marion Madoff		(2,000)	-	-	(2,000)	-
4/12/2004	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	6,968	-	-	6,968	-
5/14/2004	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	6,199	-	-	6,199	-
6/25/2004	Draw	Peter Madoff & Marion Madoff		25,000	-	-	25,000	-
7/1/2004	Draw	Peter Madoff & Marion Madoff		100,000	-	-	100,000	-
7/7/2004	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	1,122	-	-	1,122	-
7/8/2004	Draw	Bernie / Ruth Madoff	FBO Peter Madoff & Marion Madoff	1,000	-	-	1,000	-
7/12/2004	Draw	Peter Madoff & Marion Madoff		(1,000)	-	-	(1,000)	-
7/27/2004	Draw	Palm Beach Country Club	FBO Peter Madoff & Marion Madoff	21,941	-	-	21,941	-
7/29/2004	Draw	Peter Madoff & Marion Madoff		8,000	-	-	8,000	-
8/3/2004	Draw	Unconfirmed	FBO Peter Madoff & Marion Madoff	(16,000)	-	-	(16,000)	-
8/10/2004	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	374	-	-	374	-
9/10/2004	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	2,471	-	-	2,471	-
9/16/2004	Draw	Unconfirmed		10,866	-	-	10,866	-
9/21/2004	Draw	Trump International Golf Club	FBO Peter Madoff & Marion Madoff	16,695	-	-	16,695	-
9/29/2004	Draw	Peter Madoff & Marion Madoff		(22,000)	-	-	(22,000)	-
10/8/2004	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	2,235	-	-	2,235	-

PETER MADOFF COMPENSATION AND DRAW

Date	Type	Transferee	Comments	Transfer Amount	1-Year Preferential Transfers	2-Year Transfers	6-Year Transfers	Prior to 6-Year Transfers
10/15/2004	Draw	Palm Beach Country Club	FBO Peter Madoff & Marion Madoff	320	-	-	320	-
10/22/2004	Draw	Peter Madoff & Marion Madoff		1,600	-	-	1,600	-
10/22/2004	Draw	Peter Madoff & Marion Madoff		(1,500)	-	-	(1,500)	-
10/28/2004	Draw	Cash	FBO Peter Madoff & Marion Madoff	1,500	-	-	1,500	-
10/29/2004	Draw	Peter Madoff & Marion Madoff		(1,500)	-	-	(1,500)	-
11/5/2004	Draw	American Express	FBO Peter Madoff & Marion Madoff	19	-	-	19	-
11/9/2004	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	2,203	-	-	2,203	-
11/12/2004	Draw	Cash	FBO Peter Madoff & Marion Madoff	1,500	-	-	1,500	-
11/16/2004	Draw	Breakers	FBO Peter Madoff & Marion Madoff	7,314	-	-	7,314	-
11/16/2004	Draw	Peter Madoff & Marion Madoff		(1,500)	-	-	(1,500)	-
12/2/2004	Draw	Peter Madoff & Marion Madoff		1,000	-	-	1,000	-
12/8/2004	Draw	Palm Beach Country Club	FBO Peter Madoff & Marion Madoff	2,576	-	-	2,576	-
12/8/2004	Draw	Trump International Golf Club	FBO Peter Madoff & Marion Madoff	259	-	-	259	-
12/14/2004	Draw	Peter Madoff & Marion Madoff		4,000	-	-	4,000	-
12/21/2004	Draw	Peter Madoff & Marion Madoff		(4,000)	-	-	(4,000)	-
12/27/2004	Draw	Peter Madoff & Marion Madoff		2,000	-	-	2,000	-
12/29/2004	Draw	Peter Madoff & Marion Madoff		(2,000)	-	-	(2,000)	-
12/31/2004	Salary	Peter Madoff & Marion Madoff		1,802,000	-	-	1,802,000	-
12/31/2004	Bonus / Other Earnings	Peter Madoff & Marion Madoff		646,000	-	-	646,000	-
12/31/2004	Salary	Peter Madoff & Marion Madoff		113,950	-	-	113,950	-
1/20/2005	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	6,503	-	-	6,503	-
2/9/2005	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	6,503	-	-	6,503	-
2/9/2005	Draw	Palm Beach Country Club	FBO Peter Madoff & Marion Madoff	135	-	-	135	-
2/9/2005	Draw	Trump International Golf Club	FBO Peter Madoff & Marion Madoff	50	-	-	50	-
3/10/2005	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	6,503	-	-	6,503	-
3/10/2005	Draw	Palm Beach Country Club	FBO Peter Madoff & Marion Madoff	769	-	-	769	-
3/10/2005	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	212	-	-	212	-
4/6/2005	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	6,503	-	-	6,503	-
4/29/2005	Draw	Peter Madoff & Marion Madoff		50,000	-	-	50,000	-
5/6/2005	Draw	Peter Madoff & Marion Madoff		50,000	-	-	50,000	-
5/10/2005	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	6,503	-	-	6,503	-
5/13/2005	Draw	Palm Beach Country Club	FBO Peter Madoff & Marion Madoff	486	-	-	486	-
5/16/2005	Draw	Trump International Golf Club	FBO Peter Madoff & Marion Madoff	164	-	-	164	-
5/16/2005	Draw	Peter Madoff & Marion Madoff		25,000	-	-	25,000	-
6/2/2005	Draw	Bernie / Ruth Madoff	FBO Peter Madoff & Marion Madoff	1,500	-	-	1,500	-
6/3/2005	Draw	Peter Madoff & Marion Madoff		500	-	-	500	-
6/7/2005	Draw	Peter Madoff & Marion Madoff		(2,000)	-	-	(2,000)	-
6/7/2005	Draw	Peter Madoff & Marion Madoff		1,500	-	-	1,500	-
6/7/2005	Draw	Palm Beach Country Club	FBO Peter Madoff & Marion Madoff	115	-	-	115	-
6/8/2005	Draw	Peter Madoff & Marion Madoff		(1,500)	-	-	(1,500)	-
6/14/2005	Draw	Trump International Golf Club	FBO Peter Madoff & Marion Madoff	301	-	-	301	-
6/14/2005	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	284	-	-	284	-
6/24/2005	Draw	Peter Madoff & Marion Madoff		20,000	-	-	20,000	-
7/1/2005	Draw	Peter Madoff & Marion Madoff		2,000	-	-	2,000	-
7/6/2005	Draw	Peter Madoff & Marion Madoff		1,000	-	-	1,000	-
7/7/2005	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	1,198	-	-	1,198	-
7/11/2005	Draw	Peter Madoff & Marion Madoff		150,000	-	-	150,000	-
7/11/2005	Draw	Peter Madoff & Marion Madoff		(3,000)	-	-	(3,000)	-
7/12/2005	Draw	Palm Beach Country Club	FBO Peter Madoff & Marion Madoff	182	-	-	182	-

PETER MADOFF COMPENSATION AND DRAW

Date	Type	Transferee	Comments	Transfer Amount	1-Year Preferential Transfers	2-Year Transfers	6-Year Transfers	Prior to 6-Year Transfers
7/21/2005	Draw	Peter Madoff & Marion Madoff		100,000	-	-	100,000	-
8/5/2005	Draw	Peter Madoff & Marion Madoff		50,000	-	-	50,000	-
8/15/2005	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	386	-	-	386	-
8/23/2005	Draw	Breakers	FBO Peter Madoff & Marion Madoff	11,875	-	-	11,875	-
8/30/2005	Draw	Peter Madoff & Marion Madoff		150,000	-	-	150,000	-
9/7/2005	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	2,289	-	-	2,289	-
9/8/2005	Draw	Peter Madoff & Marion Madoff		100,000	-	-	100,000	-
9/21/2005	Draw	Trump International Golf Club	FBO Peter Madoff & Marion Madoff	19,170	-	-	19,170	-
10/5/2005	Draw	Peter Madoff & Marion Madoff		2,000	-	-	2,000	-
10/10/2005	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	2,128	-	-	2,128	-
10/13/2005	Draw	Unconfirmed	FBO Peter Madoff & Marion Madoff	(2,000)	-	-	(2,000)	-
10/27/2005	Draw	Peter Madoff & Marion Madoff		1,000	-	-	1,000	-
11/7/2005	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	1,687	-	-	1,687	-
11/7/2005	Draw	Peter Madoff & Marion Madoff		1,000	-	-	1,000	-
12/7/2005	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	23,354	-	-	23,354	-
12/7/2005	Draw	Peter Madoff & Marion Madoff		2,000	-	-	2,000	-
12/8/2005	Draw	American Express	FBO Peter Madoff & Marion Madoff	106	-	-	106	-
12/9/2005	Draw	Peter Madoff & Marion Madoff		1,000	-	-	1,000	-
12/9/2005	Draw	Peter Madoff & Marion Madoff		(3,000)	-	-	(3,000)	-
12/16/2005	Draw	Palm Beach Country Club	FBO Peter Madoff & Marion Madoff	833	-	-	833	-
12/22/2005	Draw	Peter Madoff & Marion Madoff		3,000	-	-	3,000	-
12/27/2005	Draw	Peter Madoff & Marion Madoff		(3,000)	-	-	(3,000)	-
12/30/2005	Salary	Peter Madoff & Marion Madoff		1,768,000	-	-	1,768,000	-
12/30/2005	Bonus / Other Earnings	Peter Madoff & Marion Madoff		875,000	-	-	875,000	-
12/30/2005	Salary	Peter Madoff & Marion Madoff		113,950	-	-	113,950	-
1/5/2006	Draw	Peter Madoff & Marion Madoff		15,000	-	-	15,000	-
3/8/2006	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	109	-	-	109	-
3/31/2006	Draw	Peter Madoff & Marion Madoff		2,000	-	-	2,000	-
4/3/2006	Draw	Peter Madoff & Marion Madoff		50,000	-	-	50,000	-
4/4/2006	Draw	Peter Madoff & Marion Madoff		(2,000)	-	-	(2,000)	-
4/24/2006	Draw	Peter Madoff & Marion Madoff		2,000	-	-	2,000	-
4/27/2006	Draw	Peter Madoff & Marion Madoff		(2,000)	-	-	(2,000)	-
6/2/2006	Draw	Peter Madoff & Marion Madoff		3,000	-	-	3,000	-
6/5/2006	Draw	Peter Madoff & Marion Madoff		100,000	-	-	100,000	-
6/5/2006	Draw	Peter Madoff & Marion Madoff		(3,000)	-	-	(3,000)	-
6/6/2006	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	921	-	-	921	-
6/22/2006	Draw	Peter Madoff & Marion Madoff		4,000	-	-	4,000	-
6/23/2006	Draw	Unconfirmed	FBO Peter Madoff & Marion Madoff	(4,000)	-	-	(4,000)	-
7/25/2006	Draw	Peter Madoff & Marion Madoff		100,000	-	-	100,000	-
8/8/2006	Draw	Peter Madoff & Marion Madoff		(100,000)	-	-	(100,000)	-
8/10/2006	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	797	-	-	797	-
8/18/2006	Draw	Peter Madoff & Marion Madoff		50,000	-	-	50,000	-
8/23/2006	Draw	Breakers	FBO Peter Madoff & Marion Madoff	12,780	-	-	12,780	-
9/6/2006	Draw	Peter Madoff & Marion Madoff		50,000	-	-	50,000	-
9/7/2006	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	1,309	-	-	1,309	-
9/18/2006	Draw	Dee Falco	FBO Peter Madoff & Marion Madoff	225	-	-	225	-
9/27/2006	Draw	Trump International Golf Club	FBO Peter Madoff & Marion Madoff	19,170	-	-	19,170	-
10/5/2006	Draw	Unconfirmed	FBO Peter Madoff & Marion Madoff	2,000	-	-	2,000	-
10/10/2006	Draw	Peter Madoff & Marion Madoff		(2,000)	-	-	(2,000)	-

PETER MADOFF COMPENSATION AND DRAW

Date	Type	Transferee	Comments	Transfer Amount	1-Year Preferential Transfers	2-Year Transfers	6-Year Transfers	Prior to 6-Year Transfers
10/10/2006	Draw	Peter Madoff & Marion Madoff		(100,000)	-	-	(100,000)	-
10/13/2006	Draw	American Express	FBO Peter Madoff & Marion Madoff	61	-	-	61	-
10/23/2006	Draw	Peter Madoff & Marion Madoff		(2,000)	-	-	(2,000)	-
10/25/2006	Draw	Peter Madoff & Marion Madoff		2,000	-	-	2,000	-
10/25/2006	Draw	Unconfirmed	FBO Peter Madoff & Marion Madoff	500	-	-	500	-
11/6/2006	Draw	Peter Madoff & Marion Madoff		20,000	-	-	20,000	-
12/31/2006	Salary / Bonus / Other Eam	Peter Madoff & Marion Madoff		2,428,000	-	-	2,428,000	-
12/31/2006	Salary	Peter Madoff & Marion Madoff		121,150	-	-	121,150	-
1/5/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
1/5/2007	Bonus / Other Earnings	Peter Madoff & Marion Madoff		30,000	-	30,000	30,000	-
1/5/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
1/11/2007	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	4,888	-	4,888	4,888	-
1/12/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
1/12/2007	Bonus / Other Earnings	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
1/12/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
1/12/2007	Draw	Trump International Golf Club	FBO Peter Madoff & Marion Madoff	457	-	457	457	-
1/19/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
1/19/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
1/26/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
1/26/2007	Bonus / Other Earnings	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
1/26/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
2/2/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
2/2/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
2/2/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
2/9/2007	Bonus / Other Earnings	Peter Madoff & Marion Madoff		70,000	-	70,000	70,000	-
2/9/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
2/9/2007	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	4,888	-	4,888	4,888	-
2/9/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
2/9/2007	Draw	Breakers	FBO Peter Madoff & Marion Madoff	442	-	442	442	-
2/15/2007	Draw	Trump International Golf Club	FBO Peter Madoff & Marion Madoff	94	-	94	94	-
2/16/2007	Bonus / Other Earnings	Peter Madoff & Marion Madoff		70,000	-	70,000	70,000	-
2/16/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
2/16/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
2/22/2007	Draw	Peter Madoff & Marion Madoff		50,000	-	50,000	50,000	-
2/23/2007	Bonus / Other Earnings	Peter Madoff & Marion Madoff		70,000	-	70,000	70,000	-
2/23/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
2/23/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
3/2/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
3/2/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
3/8/2007	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	4,888	-	4,888	4,888	-
3/9/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
3/9/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
3/16/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
3/16/2007	Bonus / Other Earnings	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
3/16/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
3/23/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
3/23/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
3/30/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
3/30/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
4/5/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-

PETER MADOFF COMPENSATION AND DRAW

Date	Type	Transferee	Comments	Transfer Amount	1-Year Preferential Transfers	2-Year Transfers	6-Year Transfers	Prior to 6-Year Transfers
4/5/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
4/13/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
4/13/2007	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	4,888	-	4,888	4,888	-
4/13/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
4/20/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
4/20/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
4/27/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
4/27/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
5/2/2007	Draw	Peter Madoff & Marion Madoff		300,000	-	300,000	300,000	-
5/4/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
5/4/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
5/9/2007	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	4,888	-	4,888	4,888	-
5/11/2007	Draw	Peter Madoff & Marion Madoff		75,000	-	75,000	75,000	-
5/11/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
5/11/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
5/11/2007	Draw	American Express	FBO Peter Madoff & Marion Madoff	598	-	598	598	-
5/18/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
5/18/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
5/25/2007	Bonus / Other Earnings	Peter Madoff & Marion Madoff		70,000	-	70,000	70,000	-
5/25/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
5/25/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
6/1/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
6/1/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
6/8/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
6/8/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
6/15/2007	Bonus / Other Earnings	Peter Madoff & Marion Madoff		70,000	-	70,000	70,000	-
6/15/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
6/15/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
6/21/2007	Draw	Peter Madoff & Marion Madoff		100,000	-	100,000	100,000	-
6/22/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
6/22/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
6/29/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
6/29/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
7/6/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
7/6/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
7/12/2007	Draw	Peter Madoff & Marion Madoff		10,000	-	10,000	10,000	-
7/13/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
7/13/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
7/20/2007	Bonus / Other Earnings	Peter Madoff & Marion Madoff		70,000	-	70,000	70,000	-
7/20/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
7/20/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
7/25/2007	Draw	American Express	FBO Peter Madoff & Marion Madoff	18	-	18	18	-
7/27/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
7/27/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
7/30/2007	Draw	Perrinwater Ltd.	FBO Peter Madoff & Marion Madoff	829	-	829	829	-
8/3/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
8/3/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
8/8/2007	Draw	Breakers	FBO Peter Madoff & Marion Madoff	13,845	-	13,845	13,845	-
8/10/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-

PETER MADOFF COMPENSATION AND DRAW

Date	Type	Transferee	Comments	Transfer Amount	1-Year Preferential Transfers	2-Year Transfers	6-Year Transfers	Prior to 6-Year Transfers
8/10/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
8/17/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
8/17/2007	Bonus / Other Earnings	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
8/17/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
8/24/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
8/24/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
8/31/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
8/31/2007	Bonus / Other Earnings	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
9/7/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
9/7/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
9/14/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
9/14/2007	Bonus / Other Earnings	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
9/14/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
9/21/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
9/21/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
9/28/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
9/28/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
10/5/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
10/5/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
10/12/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
10/12/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
10/16/2007	Draw	American Express	FBO Peter Madoff & Marion Madoff	89	-	89	89	-
10/19/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
10/19/2007	Bonus / Other Earnings	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
10/19/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
10/26/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
10/26/2007	Bonus / Other Earnings	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
10/26/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
10/31/2007	Draw	American Express	FBO Peter Madoff & Marion Madoff	47,831	-	47,831	47,831	-
11/2/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
11/2/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
11/7/2007	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	1,628	-	1,628	1,628	-
11/9/2007	Bonus / Other Earnings	Peter Madoff & Marion Madoff		105,000	-	105,000	105,000	-
11/9/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
11/9/2007	Draw	Trump International Golf Club	FBO Peter Madoff & Marion Madoff	19,170	-	19,170	19,170	-
11/9/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
11/16/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
11/16/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
11/23/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
11/23/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
11/30/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
11/30/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
12/6/2007	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	5,000	-	5,000	5,000	-
12/7/2007	Salary	Peter Madoff & Marion Madoff		35,000	-	35,000	35,000	-
12/7/2007	Salary	Peter Madoff & Marion Madoff		3,000	-	3,000	3,000	-
12/14/2007	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
12/14/2007	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
12/21/2007	Bonus / Other Earnings	Peter Madoff & Marion Madoff		70,000	70,000	70,000	70,000	-

PETER MADOFF COMPENSATION AND DRAW

Date	Type	Transferee	Comments	Transfer Amount	1-Year Preferential Transfers	2-Year Transfers	6-Year Transfers	Prior to 6-Year Transfers
12/21/2007	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
12/21/2007	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
12/28/2007	Bonus / Other Earnings	Peter Madoff & Marion Madoff		70,000	70,000	70,000	70,000	-
12/28/2007	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
12/28/2007	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
1/4/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
1/4/2008	Bonus / Other Earnings	Peter Madoff & Marion Madoff		30,000	30,000	30,000	30,000	-
1/4/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
1/8/2008	Draw	Breakers	FBO Peter Madoff & Marion Madoff	365	365	365	365	-
1/11/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
1/11/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
1/16/2008	Draw	American Express	FBO Peter Madoff & Marion Madoff	264	264	264	264	-
1/18/2008	Bonus / Other Earnings	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
1/18/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
1/18/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
1/18/2008	Draw	Trump International Golf Club	FBO Peter Madoff & Marion Madoff	441	441	441	441	-
1/25/2008	Bonus / Other Earnings	Peter Madoff & Marion Madoff		70,000	70,000	70,000	70,000	-
1/25/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
1/25/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
2/1/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
2/1/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
2/5/2008	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	5,105	5,105	5,105	5,105	-
2/8/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
2/8/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
2/15/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
2/15/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
2/20/2008	Draw	American Express	FBO Peter Madoff & Marion Madoff	36	36	36	36	-
2/22/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
2/22/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
2/29/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
2/29/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
3/7/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
3/7/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
3/13/2008	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	5,105	5,105	5,105	5,105	-
3/13/2008	Draw	Trump International Golf Club	FBO Peter Madoff & Marion Madoff	382	382	382	382	-
3/14/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
3/14/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
3/21/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
3/21/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
3/28/2008	Draw	Peter Madoff & Marion Madoff		100,000	100,000	100,000	100,000	-
3/28/2008	Bonus / Other Earnings	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
3/28/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
3/28/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
4/4/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
4/4/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
4/9/2008	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	5,105	5,105	5,105	5,105	-
4/11/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
4/11/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
4/18/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-

PETER MADOFF COMPENSATION AND DRAW

Date	Type	Transferee	Comments	Transfer Amount	1-Year Preferential Transfers	2-Year Transfers	6-Year Transfers	Prior to 6-Year Transfers
4/18/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
4/25/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
4/25/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
5/2/2008	Bonus / Other Earnings	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
5/2/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
5/2/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
5/5/2008	Draw	Peter Madoff & Marion Madoff		10,000	10,000	10,000	10,000	-
5/7/2008	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	5,105	5,105	5,105	5,105	-
5/8/2008	Draw	Peter Madoff & Marion Madoff		70,000	70,000	70,000	70,000	-
5/8/2008	Draw	Peter Madoff & Marion Madoff		20,000	20,000	20,000	20,000	-
5/9/2008	Bonus / Other Earnings	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
5/9/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
5/9/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
5/16/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
5/16/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
5/16/2008	Draw	American Express	FBO Peter Madoff & Marion Madoff	266	266	266	266	-
5/23/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
5/23/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
5/30/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
5/30/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
6/6/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
6/6/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
6/11/2008	Draw	American Express	FBO Peter Madoff & Marion Madoff	1,590	1,590	1,590	1,590	-
6/13/2008	Bonus / Other Earnings	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
6/13/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
6/13/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
6/17/2008	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	494	494	494	494	-
6/20/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
6/20/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
6/27/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
6/27/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
7/3/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
7/3/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
7/8/2008	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	134	134	134	134	-
7/11/2008	Bonus / Other Earnings	Peter Madoff & Marion Madoff		320,000	320,000	320,000	320,000	-
7/11/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
7/11/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
7/18/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
7/18/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
7/21/2008	Draw	American Express	FBO Peter Madoff & Marion Madoff	130	130	130	130	-
7/25/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
7/25/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
8/1/2008	Bonus / Other Earnings	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
8/1/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
8/1/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
8/8/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
8/8/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
8/11/2008	Draw	Breakers	FBO Peter Madoff & Marion Madoff	15,443	15,443	15,443	15,443	-
8/14/2008	Draw	Peter Madoff & Marion Madoff		25,000	25,000	25,000	25,000	-

PETER MADOFF COMPENSATION AND DRAW

Date	Type	Transferee	Comments	Transfer Amount	1-Year Preferential Transfers	2-Year Transfers	6-Year Transfers	Prior to 6-Year Transfers
8/15/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
8/15/2008	Salary	Peter Madoff & Marion Madoff		3,000	3,000	3,000	3,000	-
8/15/2008	Draw	American Express	FBO Peter Madoff & Marion Madoff	348	348	348	348	-
8/22/2008	Bonus / Other Earnings	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
8/22/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
8/22/2008	Salary	Peter Madoff & Marion Madoff		5,000	5,000	5,000	5,000	-
8/29/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
8/29/2008	Salary	Peter Madoff & Marion Madoff		5,000	5,000	5,000	5,000	-
9/5/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
9/5/2008	Salary	Peter Madoff & Marion Madoff		5,000	5,000	5,000	5,000	-
9/12/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
9/12/2008	Salary	Peter Madoff & Marion Madoff		5,000	5,000	5,000	5,000	-
9/19/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
9/19/2008	Salary	Peter Madoff & Marion Madoff		5,000	5,000	5,000	5,000	-
9/19/2008	Draw	American Express	FBO Peter Madoff & Marion Madoff	208	208	208	208	-
9/26/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
9/26/2008	Draw	Trump International Golf Club	FBO Peter Madoff & Marion Madoff	22,365	22,365	22,365	22,365	-
9/26/2008	Salary	Peter Madoff & Marion Madoff		5,000	5,000	5,000	5,000	-
9/26/2008	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	1,558	1,558	1,558	1,558	-
10/3/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
10/3/2008	Salary	Peter Madoff & Marion Madoff		5,000	5,000	5,000	5,000	-
10/10/2008	Bonus / Other Earnings	Peter Madoff & Marion Madoff		70,000	70,000	70,000	70,000	-
10/10/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
10/10/2008	Salary	Peter Madoff & Marion Madoff		5,000	5,000	5,000	5,000	-
10/13/2008	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	1,249	1,249	1,249	1,249	-
10/17/2008	Bonus / Other Earnings	Peter Madoff & Marion Madoff		300,000	300,000	300,000	300,000	-
10/17/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
10/17/2008	Salary	Peter Madoff & Marion Madoff		5,000	5,000	5,000	5,000	-
10/24/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
10/24/2008	Salary	Peter Madoff & Marion Madoff		5,000	5,000	5,000	5,000	-
10/24/2008	Draw	American Express	FBO Peter Madoff & Marion Madoff	1,302	1,302	1,302	1,302	-
10/31/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
10/31/2008	Draw	American Express	FBO Peter Madoff & Marion Madoff	517	517	517	517	-
11/7/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
11/7/2008	Salary	Peter Madoff & Marion Madoff		5,000	5,000	5,000	5,000	-
11/13/2008	Draw	Glen Oaks Club	FBO Peter Madoff & Marion Madoff	1,374	1,374	1,374	1,374	-
11/14/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
11/14/2008	Salary	Peter Madoff & Marion Madoff		5,000	5,000	5,000	5,000	-
11/21/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
11/28/2008	Bonus / Other Earnings	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
11/28/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
11/28/2008	Salary	Peter Madoff & Marion Madoff		5,000	5,000	5,000	5,000	-
12/5/2008	Bonus / Other Earnings	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
12/5/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
12/10/2008	Draw	Peter Madoff & Marion Madoff		200,000	200,000	200,000	200,000	-
12/12/2008	Bonus / Other Earnings	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-

PETER MADOFF COMPENSATION AND DRAW

<u>Date</u>	<u>Type</u>	<u>Transferee</u>	<u>Comments</u>	<u>Transfer Amount</u>	<u>1-Year Preferential Transfers</u>	<u>2-Year Transfers</u>	<u>6-Year Transfers</u>	<u>Prior to 6-Year Transfers</u>
12/12/2008	Salary	Peter Madoff & Marion Madoff		35,000	35,000	35,000	35,000	-
12/12/2008	Salary	Peter Madoff & Marion Madoff		5,000	5,000	5,000	5,000	-
Total:				\$ 41,619,253	\$ 3,821,886	\$ 7,168,327	\$ 18,891,625	\$ 22,727,628

OTHER TRANSFERS TO OR FOR THE BENEFIT OF PETER MADOFF

Date	Transferee	Comments	Transfer Amount	1-Year Preferential Transfers	2-Year Transfers	6-Year Transfers	Prior to 6-Year Transfers
7/20/1995	Peter Madoff & Marion Madoff		140,000	-	-	-	140,000
3/31/1996	Peter Madoff & Marion Madoff		5	-	-	-	5
4/30/1996	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	23,300	-	-	-	23,300
9/30/1996	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	9,860	-	-	-	9,860
3/31/1997	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	23,300	-	-	-	23,300
9/30/1997	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	9,860	-	-	-	9,860
4/6/1998	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	23,300	-	-	-	23,300
12/18/1998	MSIL	FBO Peter Madoff	5,000,000	-	-	-	5,000,000
4/8/1999	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	26,900	-	-	-	26,900
8/31/1999	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	42,162	-	-	-	42,162
10/22/1999	Peter Madoff & Marion Madoff	FBO Peter Madoff	69,000	-	-	-	69,000
1/18/2000	Sterling American Property III LP	FBO Peter Madoff	137,500	-	-	-	137,500
2/3/2000	Peter Madoff & Marion Madoff		125,000 ^[7]	-	-	-	125,000
4/28/2000	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	26,900	-	-	-	26,900
7/20/2000	Peter Madoff & Marion Madoff		62,500 ^[7]	-	-	-	62,500
7/27/2000	Sterling American Property III LP	FBO Peter Madoff	107,500	-	-	-	107,500
9/22/2000	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	23,425	-	-	-	23,425
9/22/2000	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	23,425	-	-	-	23,425
10/10/2000	Sterling American Property III LP	FBO Peter Madoff	105,000	-	-	-	105,000
10/31/2000	Madoff Technologies	FBO Peter Madoff	54,915	-	-	-	54,915
1/11/2001	Financial Auction Network	FBO Peter Madoff	35,000	-	-	-	35,000
1/31/2001	Peter Madoff & Marion Madoff		62,500 ^[7]	-	-	-	62,500
3/27/2001	Sotheby's International Realty	FBO Peter Madoff & Marion Madoff	10,000	-	-	-	10,000
4/12/2001	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	26,900	-	-	-	26,900
4/18/2001	Sotheby's International Realty	FBO Peter Madoff & Marion Madoff	365,200	-	-	-	365,200
4/26/2001	Peter Madoff & Marion Madoff		10,000	-	-	-	10,000
4/30/2001	Koepfel, Gottlieb & Mesches	FBO Peter Madoff & Marion Madoff	3,869,449	-	-	-	3,869,449
5/2/2001	Sterling American Property III LP	FBO Peter Madoff	127,500	-	-	-	127,500
7/16/2001	Peter Madoff & Marion Madoff		62,500 ^[7]	-	-	-	62,500
8/22/2001	Peter Madoff & Marion Madoff		10,000	-	-	-	10,000
10/1/2001	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	46,850	-	-	-	46,850
2/25/2002	Sterling American Property III LP	FBO Peter Madoff	116,000	-	-	-	116,000
4/16/2002	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	26,900	-	-	-	26,900
5/2/2002	American Express	FBO Peter Madoff & Marion Madoff	6,452	-	-	-	6,452
5/2/2002	American Express	FBO Peter Madoff & Marion Madoff	1,477	-	-	-	1,477
5/2/2002	American Express	FBO Peter Madoff & Marion Madoff	387	-	-	-	387
6/4/2002	American Express	FBO Peter Madoff & Marion Madoff	3,856	-	-	-	3,856
6/4/2002	American Express	FBO Peter Madoff & Marion Madoff	1,137	-	-	-	1,137
6/4/2002	American Express	FBO Peter Madoff & Marion Madoff	430	-	-	-	430
7/2/2002	American Express	FBO Peter Madoff & Marion Madoff	765	-	-	-	765
7/3/2002	American Express	FBO Peter Madoff & Marion Madoff	8,959	-	-	-	8,959
7/3/2002	American Express	FBO Peter Madoff & Marion Madoff	346	-	-	-	346
7/29/2002	Sterling American Property IV LP	FBO Peter Madoff	16,650	-	-	-	16,650
8/2/2002	American Express	FBO Peter Madoff & Marion Madoff	3,009	-	-	-	3,009
8/2/2002	American Express	FBO Peter Madoff & Marion Madoff	142	-	-	-	142
8/2/2002	American Express	FBO Peter Madoff & Marion Madoff	135	-	-	-	135
9/3/2002	American Express	FBO Peter Madoff & Marion Madoff	1,777	-	-	-	1,777
9/3/2002	American Express	FBO Peter Madoff & Marion Madoff	366	-	-	-	366
9/5/2002	American Express	FBO Peter Madoff & Marion Madoff	3,096	-	-	-	3,096
9/24/2002	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	23,425	-	-	-	23,425
9/24/2002	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	23,425	-	-	-	23,425
10/4/2002	American Express	FBO Peter Madoff & Marion Madoff	14,044	-	-	-	14,044
10/4/2002	American Express	FBO Peter Madoff & Marion Madoff	180	-	-	-	180
10/4/2002	American Express	FBO Peter Madoff & Marion Madoff	143	-	-	-	143
11/5/2002	American Express	FBO Peter Madoff & Marion Madoff	2,818	-	-	-	2,818

OTHER TRANSFERS TO OR FOR THE BENEFIT OF PETER MADOFF

Date	Transferee	Comments	Transfer Amount	1-Year Preferential Transfers	2-Year Transfers	6-Year Transfers	Prior to 6-Year Transfers
11/5/2002	American Express	FBO Peter Madoff & Marion Madoff	1,105	-	-	-	1,105
11/5/2002	American Express	FBO Peter Madoff & Marion Madoff	498	-	-	-	498
12/4/2002	American Express	FBO Peter Madoff & Marion Madoff	3,011	-	-	-	3,011
12/4/2002	American Express	FBO Peter Madoff & Marion Madoff	2,348	-	-	-	2,348
12/9/2002	Sterling American Property III LP	FBO Peter Madoff	60,000	-	-	-	60,000
1/7/2003	American Express	FBO Peter Madoff & Marion Madoff	2,754	-	-	2,754	-
1/7/2003	American Express	FBO Peter Madoff & Marion Madoff	2,725	-	-	2,725	-
1/7/2003	American Express	FBO Peter Madoff & Marion Madoff	1,499	-	-	1,499	-
2/3/2003	Sterling American Property IV LP	FBO Peter Madoff	32,532	-	-	32,532	-
2/5/2003	Sterling American Property III LP	FBO Peter Madoff	35,000	-	-	35,000	-
2/5/2003	American Express	FBO Peter Madoff & Marion Madoff	3,697	-	-	3,697	-
2/5/2003	American Express	FBO Peter Madoff & Marion Madoff	1,490	-	-	1,490	-
3/4/2003	American Express	FBO Peter Madoff & Marion Madoff	115	-	-	115	-
3/4/2003	American Express	FBO Peter Madoff & Marion Madoff	14,294	-	-	14,294	-
3/4/2003	American Express	FBO Peter Madoff & Marion Madoff	1,272	-	-	1,272	-
3/4/2003	American Express	FBO Peter Madoff & Marion Madoff	718	-	-	718	-
3/28/2003	Sterling American Property III LP	FBO Peter Madoff	50,000	-	-	50,000	-
3/28/2003	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	26,900	-	-	26,900	-
4/4/2003	American Express	FBO Peter Madoff	13,209	-	-	13,209	-
4/4/2003	American Express	FBO Peter Madoff & Marion Madoff	1,173	-	-	1,173	-
4/25/2003	Sterling American Property III LP	FBO Peter Madoff	287	-	-	287	-
5/5/2003	American Express	FBO Peter Madoff & Marion Madoff	56,697	-	-	56,697	-
5/5/2003	American Express	FBO Peter Madoff & Marion Madoff	1,516	-	-	1,516	-
5/5/2003	American Express	FBO Peter Madoff & Marion Madoff	801	-	-	801	-
5/19/2003	American Express	FBO Peter Madoff & Marion Madoff	272	-	-	272	-
6/2/2003	American Express	FBO Peter Madoff & Marion Madoff	11,480	-	-	11,480	-
6/2/2003	American Express	FBO Peter Madoff & Marion Madoff	18,636	-	-	18,636	-
7/3/2003	American Express	FBO Peter Madoff & Marion Madoff	7,103	-	-	7,103	-
7/3/2003	American Express	FBO Peter Madoff & Marion Madoff	2,305	-	-	2,305	-
7/3/2003	American Express	FBO Peter Madoff & Marion Madoff	2,195	-	-	2,195	-
8/4/2003	American Express	FBO Peter Madoff & Marion Madoff	1,756	-	-	1,756	-
8/4/2003	American Express	FBO Peter Madoff & Marion Madoff	35,866	-	-	35,866	-
8/4/2003	American Express	FBO Peter Madoff & Marion Madoff	1,321	-	-	1,321	-
9/3/2003	American Express	FBO Peter Madoff & Marion Madoff	205	-	-	205	-
9/3/2003	American Express	FBO Peter Madoff & Marion Madoff	2,864	-	-	2,864	-
9/3/2003	American Express	FBO Peter Madoff & Marion Madoff	60	-	-	60	-
9/3/2003	American Express	FBO Peter Madoff & Marion Madoff	(11,483)	-	-	(11,483)	-
9/17/2003	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	23,425	-	-	23,425	-
10/3/2003	American Express	FBO Peter Madoff	23,425	-	-	23,425	-
10/3/2003	American Express	FBO Peter Madoff & Marion Madoff	1,298	-	-	1,298	-
10/3/2003	American Express	FBO Peter Madoff & Marion Madoff	212	-	-	212	-
11/4/2003	American Express	FBO Peter Madoff & Marion Madoff	167	-	-	167	-
11/4/2003	American Express	FBO Peter Madoff & Marion Madoff	2,902	-	-	2,902	-
11/4/2003	American Express	FBO Peter Madoff & Marion Madoff	320	-	-	320	-
12/3/2003	American Express	FBO Peter Madoff & Marion Madoff	184	-	-	184	-
12/3/2003	American Express	FBO Peter Madoff & Marion Madoff	2,244	-	-	2,244	-
12/3/2003	American Express	FBO Peter Madoff & Marion Madoff	1,611	-	-	1,611	-
1/6/2004	American Express	FBO Peter Madoff & Marion Madoff	98	-	-	98	-
1/6/2004	American Express	FBO Peter Madoff & Marion Madoff	8,561	-	-	8,561	-
1/6/2004	American Express	FBO Peter Madoff & Marion Madoff	4,866	-	-	4,866	-
2/4/2004	American Express	FBO Peter Madoff & Marion Madoff	277	-	-	277	-
2/4/2004	American Express	FBO Peter Madoff & Marion Madoff	2,026	-	-	2,026	-
2/4/2004	American Express	FBO Peter Madoff & Marion Madoff	326	-	-	326	-
2/26/2004	American Express	FBO Peter Madoff & Marion Madoff	150	-	-	150	-
2/26/2004	American Express	FBO Peter Madoff & Marion Madoff	11,920	-	-	11,920	-
2/26/2004	American Express	FBO Peter Madoff & Marion Madoff	1,660	-	-	1,660	-

OTHER TRANSFERS TO OR FOR THE BENEFIT OF PETER MADDOFF

Date	Transferee	Comments	Transfer Amount	1-Year Preferential Transfers	2-Year Transfers	6-Year Transfers	Prior to 6-Year Transfers
2/26/2004	American Express	FBO Peter Maddoff & Marion Maddoff	55	-	-	55	-
3/24/2004	American Express	FBO Peter Maddoff & Marion Maddoff	2,834	-	-	2,834	-
3/26/2004	American Express	FBO Peter Maddoff & Marion Maddoff	93	-	-	93	-
3/30/2004	Peter B. Maddoff Life Insurance Trust	FBO Peter Maddoff	26,900	-	-	26,900	-
4/2/2004	American Express	FBO Peter Maddoff & Marion Maddoff	16,148	-	-	16,148	-
4/14/2004	Peter Maddoff & Marion Maddoff	FBO Peter Maddoff & Marion Maddoff	450,000	-	-	450,000	-
5/4/2004	American Express	FBO Peter Maddoff & Marion Maddoff	2,440	-	-	2,440	-
5/4/2004	American Express	FBO Peter Maddoff & Marion Maddoff	1,966	-	-	1,966	-
5/4/2004	American Express	FBO Peter Maddoff & Marion Maddoff	287	-	-	287	-
6/2/2004	Peter Maddoff & Marion Maddoff	FBO Peter Maddoff & Marion Maddoff	4,000,000	-	-	4,000,000	-
6/2/2004	American Express	FBO Peter Maddoff & Marion Maddoff	6,561	-	-	6,561	-
6/2/2004	American Express	FBO Peter Maddoff & Marion Maddoff	1,223	-	-	1,223	-
6/2/2004	American Express	FBO Peter Maddoff & Marion Maddoff	173	-	-	173	-
7/2/2004	American Express	FBO Peter Maddoff & Marion Maddoff	5,768	-	-	5,768	-
7/2/2004	American Express	FBO Peter Maddoff & Marion Maddoff	1,274	-	-	1,274	-
7/2/2004	American Express	FBO Peter Maddoff & Marion Maddoff	595	-	-	595	-
8/3/2004	American Express	FBO Peter Maddoff & Marion Maddoff	2,373	-	-	2,373	-
8/3/2004	American Express	FBO Peter Maddoff & Marion Maddoff	469	-	-	469	-
8/3/2004	American Express	FBO Peter Maddoff & Marion Maddoff	204	-	-	204	-
9/2/2004	American Express	FBO Peter Maddoff & Marion Maddoff	310	-	-	310	-
9/2/2004	American Express	FBO Peter Maddoff & Marion Maddoff	54	-	-	54	-
9/2/2004	American Express	FBO Peter Maddoff & Marion Maddoff	(1,262)	-	-	(1,262)	-
9/20/2004	Peter B. Maddoff Life Insurance Trust	FBO Peter Maddoff	23,425	-	-	23,425	-
9/20/2004	Peter B. Maddoff Life Insurance Trust	FBO Peter Maddoff	23,425	-	-	23,425	-
10/5/2004	American Express	FBO Peter Maddoff & Marion Maddoff	2,826	-	-	2,826	-
10/5/2004	American Express	FBO Peter Maddoff & Marion Maddoff	185	-	-	185	-
10/5/2004	American Express	FBO Peter Maddoff & Marion Maddoff	28	-	-	28	-
11/2/2004	American Express	FBO Peter Maddoff & Marion Maddoff	1,518	-	-	1,518	-
11/2/2004	American Express	FBO Peter Maddoff & Marion Maddoff	697	-	-	697	-
11/2/2004	American Express	FBO Peter Maddoff & Marion Maddoff	310	-	-	310	-
12/3/2004	American Express	FBO Peter Maddoff & Marion Maddoff	1,695	-	-	1,695	-
12/3/2004	American Express	FBO Peter Maddoff & Marion Maddoff	180	-	-	180	-
12/3/2004	American Express	FBO Peter Maddoff & Marion Maddoff	87	-	-	87	-
1/4/2005	American Express	FBO Peter Maddoff & Marion Maddoff	2,911	-	-	2,911	-
1/4/2005	American Express	FBO Peter Maddoff & Marion Maddoff	2,877	-	-	2,877	-
1/4/2005	American Express	FBO Peter Maddoff & Marion Maddoff	525	-	-	525	-
2/3/2005	American Express	FBO Peter Maddoff & Marion Maddoff	1,879	-	-	1,879	-
2/3/2005	American Express	FBO Peter Maddoff & Marion Maddoff	1,440	-	-	1,440	-
2/3/2005	American Express	FBO Peter Maddoff & Marion Maddoff	431	-	-	431	-
3/4/2005	American Express	FBO Peter Maddoff & Marion Maddoff	4,157	-	-	4,157	-
3/4/2005	American Express	FBO Peter Maddoff & Marion Maddoff	2,612	-	-	2,612	-
3/4/2005	American Express	FBO Peter Maddoff & Marion Maddoff	100	-	-	100	-
4/4/2005	Peter B. Maddoff Life Insurance Trust	FBO Peter Maddoff	26,900	-	-	26,900	-
4/5/2005	American Express	FBO Peter Maddoff & Marion Maddoff	1,875	-	-	1,875	-
4/5/2005	American Express	FBO Peter Maddoff & Marion Maddoff	1,389	-	-	1,389	-
4/5/2005	American Express	FBO Peter Maddoff & Marion Maddoff	126	-	-	126	-
5/4/2005	American Express	FBO Peter Maddoff & Marion Maddoff	2,114	-	-	2,114	-
5/4/2005	American Express	FBO Peter Maddoff & Marion Maddoff	1,478	-	-	1,478	-
5/4/2005	American Express	FBO Peter Maddoff & Marion Maddoff	343	-	-	343	-
6/2/2005	American Express	FBO Peter Maddoff & Marion Maddoff	2,276	-	-	2,276	-
6/2/2005	American Express	FBO Peter Maddoff & Marion Maddoff	262	-	-	262	-
6/2/2005	American Express	FBO Peter Maddoff & Marion Maddoff	(310)	-	-	(310)	-
7/5/2005	American Express	FBO Peter Maddoff & Marion Maddoff	1,164	-	-	1,164	-
7/5/2005	American Express	FBO Peter Maddoff & Marion Maddoff	1,084	-	-	1,084	-
7/5/2005	American Express	FBO Peter Maddoff & Marion Maddoff	523	-	-	523	-
8/3/2005	American Express	FBO Peter Maddoff & Marion Maddoff	1,678	-	-	1,678	-

OTHER TRANSFERS TO OR FOR THE BENEFIT OF PETER MADOFF

Date	Transferee	Comments	Transfer Amount	1-Year Preferential Transfers	2-Year Transfers	6-Year Transfers	Prior to 6-Year Transfers
8/3/2005	American Express	FBO Peter Madoff & Marion Madoff	475	-	-	475	-
8/3/2005	American Express	FBO Peter Madoff & Marion Madoff	169	-	-	169	-
9/2/2005	American Express	FBO Peter Madoff & Marion Madoff	3,278	-	-	3,278	-
9/2/2005	American Express	FBO Peter Madoff & Marion Madoff	591	-	-	591	-
9/23/2005	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff & Marion Madoff	47,000	-	-	47,000	-
10/6/2005	American Express	FBO Peter Madoff & Marion Madoff	3,746	-	-	3,746	-
10/6/2005	American Express	FBO Peter Madoff & Marion Madoff	334	-	-	334	-
10/6/2005	American Express	FBO Peter Madoff & Marion Madoff	1,887	-	-	1,887	-
11/4/2005	American Express	FBO Peter Madoff & Marion Madoff	714	-	-	714	-
11/4/2005	American Express	FBO Peter Madoff & Marion Madoff	694	-	-	694	-
12/2/2005	American Express	FBO Peter Madoff & Marion Madoff	2,357	-	-	2,357	-
12/2/2005	American Express	FBO Peter Madoff & Marion Madoff	1,724	-	-	1,724	-
12/2/2005	American Express	FBO Peter Madoff & Marion Madoff	204	-	-	204	-
1/4/2006	American Express	FBO Peter Madoff & Marion Madoff	5,604	-	-	5,604	-
1/4/2006	American Express	FBO Peter Madoff & Marion Madoff	2,035	-	-	2,035	-
1/4/2006	American Express	FBO Peter Madoff & Marion Madoff	1,977	-	-	1,977	-
2/3/2006	American Express	FBO Peter Madoff & Marion Madoff	3,644	-	-	3,644	-
2/3/2006	American Express	FBO Peter Madoff & Marion Madoff	640	-	-	640	-
2/3/2006	American Express	FBO Peter Madoff & Marion Madoff	271	-	-	271	-
3/3/2006	American Express	FBO Peter Madoff & Marion Madoff	13,355	-	-	13,355	-
3/3/2006	American Express	FBO Peter Madoff & Marion Madoff	2,404	-	-	2,404	-
3/3/2006	American Express	FBO Peter Madoff & Marion Madoff	301	-	-	301	-
4/4/2006	American Express	FBO Peter Madoff & Marion Madoff	2,568	-	-	2,568	-
4/4/2006	American Express	FBO Peter Madoff & Marion Madoff	688	-	-	688	-
4/4/2006	American Express	FBO Peter Madoff & Marion Madoff	432	-	-	432	-
4/11/2006	Sterling American Property IV LP	FBO Peter Madoff	52,365	-	-	52,365	-
5/3/2006	American Express	FBO Peter Madoff & Marion Madoff	1,739	-	-	1,739	-
5/3/2006	American Express	FBO Peter Madoff & Marion Madoff	141	-	-	141	-
5/9/2006	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	86,753	-	-	86,753	-
6/6/2006	American Express	FBO Peter Madoff & Marion Madoff	10,662	-	-	10,662	-
6/6/2006	American Express	FBO Peter Madoff & Marion Madoff	1,530	-	-	1,530	-
6/6/2006	American Express	FBO Peter Madoff & Marion Madoff	259	-	-	259	-
6/20/2006	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	12,000	-	-	12,000	-
7/6/2006	American Express	FBO Peter Madoff & Marion Madoff	16,889	-	-	16,889	-
7/6/2006	American Express	FBO Peter Madoff & Marion Madoff	573	-	-	573	-
7/6/2006	American Express	FBO Peter Madoff & Marion Madoff	160	-	-	160	-
8/4/2006	American Express	FBO Peter Madoff & Marion Madoff	2,663	-	-	2,663	-
8/4/2006	American Express	FBO Peter Madoff & Marion Madoff	328	-	-	328	-
8/4/2006	American Express	FBO Peter Madoff & Marion Madoff	246	-	-	246	-
9/7/2006	American Express	FBO Peter Madoff & Marion Madoff	3,146	-	-	3,146	-
9/7/2006	American Express	FBO Peter Madoff & Marion Madoff	2,950	-	-	2,950	-
9/18/2006	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	12,500	-	-	12,500	-
10/5/2006	American Express	FBO Peter Madoff & Marion Madoff	3,073	-	-	3,073	-
10/5/2006	American Express	FBO Peter Madoff & Marion Madoff	2,605	-	-	2,605	-
10/5/2006	American Express	FBO Peter Madoff & Marion Madoff	535	-	-	535	-
11/6/2006	American Express	FBO Peter Madoff & Marion Madoff	8,347	-	-	8,347	-
11/6/2006	American Express	FBO Peter Madoff & Marion Madoff	333	-	-	333	-
11/6/2006	American Express	FBO Peter Madoff & Marion Madoff	175	-	-	175	-
12/6/2006	American Express	FBO Peter Madoff & Marion Madoff	7,816	-	-	7,816	-
12/6/2006	American Express	FBO Peter Madoff & Marion Madoff	1,036	-	-	1,036	-
12/6/2006	American Express	FBO Peter Madoff & Marion Madoff	298	-	-	298	-
12/28/2006	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	12,000	-	12,000	12,000	-
1/5/2007	American Express	FBO Peter Madoff & Marion Madoff	14,407	-	14,407	14,407	-
1/5/2007	American Express	FBO Peter Madoff & Marion Madoff	3,827	-	3,827	3,827	-

OTHER TRANSFERS TO OR FOR THE BENEFIT OF PETER MADOFF

Date	Transferee	Comments	Transfer Amount	1-Year Preferential Transfers	2-Year Transfers	6-Year Transfers	Prior to 6-Year Transfers
1/5/2007	American Express	FBO Peter Madoff & Marion Madoff	289	-	289	289	-
2/2/2007	American Express	FBO Peter Madoff & Marion Madoff	1,782	-	1,782	1,782	-
2/7/2007	American Express	FBO Peter Madoff & Marion Madoff	2,397	-	2,397	2,397	-
2/7/2007	American Express	FBO Peter Madoff & Marion Madoff	571	-	571	571	-
3/7/2007	American Express	FBO Peter Madoff & Marion Madoff	11,706	-	11,706	11,706	-
3/7/2007	American Express	FBO Peter Madoff & Marion Madoff	7,469	-	7,469	7,469	-
3/7/2007	American Express	FBO Peter Madoff & Marion Madoff	443	-	443	443	-
3/20/2007	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	86,753	-	86,753	86,753	-
3/20/2007	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	6,208	-	6,208	6,208	-
3/20/2007	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	6,208	-	6,208	6,208	-
4/3/2007	American Express	FBO Peter Madoff & Marion Madoff	4,485	-	4,485	4,485	-
4/3/2007	American Express	FBO Peter Madoff & Marion Madoff	344	-	344	344	-
4/3/2007	American Express	FBO Peter Madoff & Marion Madoff	57	-	57	57	-
4/4/2007	Peter Madoff & Marion Madoff	FBO Peter Madoff & Marion Madoff	46,550	-	46,550	46,550	-
5/1/2007	Peter Madoff & Marion Madoff	FBO Peter Madoff & Marion Madoff	1,770	-	1,770	1,770	-
5/3/2007	American Express	FBO Peter Madoff & Marion Madoff	1,214	-	1,214	1,214	-
5/3/2007	American Express	FBO Peter Madoff & Marion Madoff	598	-	598	598	-
5/3/2007	American Express	FBO Peter Madoff & Marion Madoff	282	-	282	282	-
6/4/2007	American Express	FBO Peter Madoff & Marion Madoff	2,835	-	2,835	2,835	-
6/4/2007	American Express	FBO Peter Madoff & Marion Madoff	2,478	-	2,478	2,478	-
6/4/2007	American Express	FBO Peter Madoff & Marion Madoff	312	-	312	312	-
6/18/2007	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	12,415	-	12,415	12,415	-
6/18/2007	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	6,208	-	6,208	6,208	-
6/18/2007	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	6,208	-	6,208	6,208	-
6/19/2007	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	(12,415)	-	(12,415)	(12,415)	-
7/5/2007	American Express	FBO Peter Madoff & Marion Madoff	17,950	-	17,950	17,950	-
7/5/2007	American Express	FBO Peter Madoff & Marion Madoff	3,454	-	3,454	3,454	-
7/5/2007	American Express	FBO Peter Madoff & Marion Madoff	422	-	422	422	-
8/7/2007	American Express	FBO Peter Madoff & Marion Madoff	7,122	-	7,122	7,122	-
8/7/2007	American Express	FBO Peter Madoff & Marion Madoff	3,776	-	3,776	3,776	-
8/7/2007	American Express	FBO Peter Madoff & Marion Madoff	371	-	371	371	-
9/5/2007	American Express	FBO Peter Madoff & Marion Madoff	4,539	-	4,539	4,539	-
9/5/2007	American Express	FBO Peter Madoff & Marion Madoff	3,580	-	3,580	3,580	-
9/5/2007	American Express	FBO Peter Madoff & Marion Madoff	263	-	263	263	-
9/19/2007	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	46,850	-	46,850	46,850	-
10/4/2007	American Express	FBO Peter Madoff & Marion Madoff	1,634	-	1,634	1,634	-
10/4/2007	American Express	FBO Peter Madoff & Marion Madoff	519	-	519	519	-
10/4/2007	American Express	FBO Peter Madoff & Marion Madoff	147	-	147	147	-
10/30/2007	American Express	FBO Peter Madoff & Marion Madoff	56,625	-	56,625	56,625	-
10/30/2007	American Express	FBO Peter Madoff & Marion Madoff	(910)	-	(910)	(910)	-
11/2/2007	American Express	FBO Peter Madoff & Marion Madoff	25,563	-	25,563	25,563	-
12/5/2007	American Express	FBO Peter Madoff & Marion Madoff	7,364	-	7,364	7,364	-
12/5/2007	American Express	FBO Peter Madoff & Marion Madoff	4,707	-	4,707	4,707	-
12/5/2007	American Express	FBO Peter Madoff & Marion Madoff	917	-	917	917	-
12/12/2007	Peter Madoff & Marion Madoff	FBO Peter Madoff & Marion Madoff	9,000,000	-	9,000,000	9,000,000	-
1/4/2008	American Express	FBO Peter Madoff & Marion Madoff	53,267	53,267	53,267	53,267	-
1/4/2008	American Express	FBO Peter Madoff & Marion Madoff	3,180	3,180	3,180	3,180	-
1/4/2008	American Express	FBO Peter Madoff & Marion Madoff	403	403	403	403	-
2/1/2008	American Express	FBO Peter Madoff & Marion Madoff	4,924	4,924	4,924	4,924	-
2/1/2008	American Express	FBO Peter Madoff & Marion Madoff	442	442	442	442	-
3/3/2008	American Express	FBO Peter Madoff & Marion Madoff	19,940	19,940	19,940	19,940	-
3/3/2008	American Express	FBO Peter Madoff & Marion Madoff	436	436	436	436	-
3/3/2008	American Express	FBO Peter Madoff & Marion Madoff	65	65	65	65	-
3/25/2008	Robert S Fountain Trading As The Aston Workshop	FBO Peter Madoff & Marion Madoff	69,349	-	69,349	69,349	-
3/27/2008	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	86,753	-	86,753	86,753	-
3/28/2008	Peter Madoff & Marion Madoff	FBO Peter Madoff & Marion Madoff	300,000	-	300,000	300,000	-

OTHER TRANSFERS TO OR FOR THE BENEFIT OF PETER MADDOFF

Date	Transferee	Comments	Transfer Amount	1-Year Preferential Transfers	2-Year Transfers	6-Year Transfers	Prior to 6-Year Transfers
4/1/2008	American Express	FBO Peter Madoff & Marion Madoff	6,261	6,261	6,261	6,261	-
4/1/2008	American Express	FBO Peter Madoff & Marion Madoff	5,700	5,700	5,700	5,700	-
4/1/2008	American Express	FBO Peter Madoff & Marion Madoff	283	283	283	283	-
5/1/2008	American Express	FBO Peter Madoff & Marion Madoff	4,678	4,678	4,678	4,678	-
5/1/2008	American Express	FBO Peter Madoff & Marion Madoff	266	266	266	266	-
5/1/2008	American Express	FBO Peter Madoff & Marion Madoff	180	180	180	180	-
5/8/2008	Peter Madoff & Marion Madoff		2,800,000	-	2,800,000	2,800,000	-
5/28/2008	Robert S Fountain Trading As The Aston Workshop	FBO Peter Madoff & Marion Madoff	197,870	-	197,870	197,870	-
6/2/2008	American Express	FBO Peter Madoff & Marion Madoff	21,970	21,970	21,970	21,970	-
6/2/2008	American Express	FBO Peter Madoff & Marion Madoff	4,884	4,884	4,884	4,884	-
6/2/2008	American Express	FBO Peter Madoff & Marion Madoff	2,177	2,177	2,177	2,177	-
7/2/2008	American Express	FBO Peter Madoff & Marion Madoff	2,635	2,635	2,635	2,635	-
7/2/2008	American Express	FBO Peter Madoff & Marion Madoff	1,700	1,700	1,700	1,700	-
7/2/2008	American Express	FBO Peter Madoff & Marion Madoff	485	485	485	485	-
8/1/2008	American Express	FBO Peter Madoff & Marion Madoff	2,731	2,731	2,731	2,731	-
8/1/2008	American Express	FBO Peter Madoff & Marion Madoff	662	662	662	662	-
8/1/2008	American Express	FBO Peter Madoff & Marion Madoff	342	342	342	342	-
9/2/2008	American Express	FBO Peter Madoff & Marion Madoff	5,971	5,971	5,971	5,971	-
9/2/2008	American Express	FBO Peter Madoff & Marion Madoff	865	865	865	865	-
9/2/2008	American Express	FBO Peter Madoff & Marion Madoff	375	375	375	375	-
9/24/2008	Robert S Fountain Trading As The Aston Workshop	FBO Peter Madoff & Marion Madoff	2,153	-	2,153	2,153	-
9/26/2008	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	23,425	-	23,425	23,425	-
9/26/2008	Peter B. Madoff Life Insurance Trust	FBO Peter Madoff	23,425	-	23,425	23,425	-
10/1/2008	American Express	FBO Peter Madoff & Marion Madoff	12,424	12,424	12,424	12,424	-
10/1/2008	American Express	FBO Peter Madoff & Marion Madoff	8,500	8,500	8,500	8,500	-
10/1/2008	American Express	FBO Peter Madoff & Marion Madoff	157	157	157	157	-
10/30/2008	American Express	FBO Peter Madoff & Marion Madoff	14,527	14,527	14,527	14,527	-
10/30/2008	American Express	FBO Peter Madoff & Marion Madoff	995	995	995	995	-
11/5/2008	American Express	FBO Peter Madoff & Marion Madoff	5,060	5,060	5,060	5,060	-
11/28/2008	Robert S Fountain Trading As The Aston Workshop	FBO Peter Madoff & Marion Madoff	5,191	-	5,191	5,191	-
12/2/2008	American Express	FBO Peter Madoff & Marion Madoff	7,153	7,153	7,153	7,153	-
12/2/2008	American Express	FBO Peter Madoff & Marion Madoff	2,071	2,071	2,071	2,071	-
12/2/2008	American Express	FBO Peter Madoff & Marion Madoff	1,084	1,084	1,084	1,084	-
Total:			\$ 29,462,134	\$ 196,793	\$ 13,117,251	\$ 18,479,502	\$ 10,982,631

(7) Transfer was made by MSIL, but was funded by BLMIS.

TRANSFERS TO OR FOR THE BENEFIT OF MARK MADOFF - SUMMARY

<u>Transfer Category</u>	<u>Total Transfer Amount</u>	<u>1-Year Preferential Transfers</u>	<u>2-Year Transfers</u>	<u>6-Year Transfers</u>	<u>Prior to 6-Year Transfers</u>
IA Account Withdrawals	18,729,227	-	-	7,380,931	11,348,297
Compensation (Salary/Bonus)	33,554,960	1,386,350	11,085,000	21,860,190	11,694,770
Other Transfers	28,971,922	204,566	6,963,377	15,993,893	12,978,029
	\$ 81,256,109	\$ 1,590,916	\$ 18,048,377	\$ 45,235,013	\$ 36,021,096

BLMIS ACCOUNT NO. 1M0008 - MARK MADOFF AND SUSAN MADOFF J/T WROS ⁽¹⁾

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
1/29/1991	CHECK	20,000	20,000				20,000						
5/30/1991	CXL CHECK A/O 01/29/91	(20,000)	(20,000)										
	Total:												

⁽¹⁾ Susan Elkin was formerly known as Susan Madoff during her marriage to Mark Madoff.

BLMIS ACCOUNT NO. 1M0009 - MARK MADOFF

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
5/20/1986	CHECK	30,000	30,000	-	-	-	30,000	-	-	-	-	-	-
5/20/1986	CHECK A/O 05/20/86	(2,000)	-	(2,000)	-	-	28,000	-	-	-	-	(2,000)	-
6/24/1986	CHECK	(1,000)	-	(1,000)	-	-	27,000	-	-	-	-	(1,000)	-
6/25/1986	CHECK	(1,500)	-	(1,500)	-	-	25,500	-	-	-	-	(1,500)	-
8/22/1986	CHECK A/O 05/20/86	7,000	7,000	-	-	-	32,500	-	-	-	-	-	-
11/13/1986	CHECK	(2,000)	-	(2,000)	-	-	30,500	-	-	-	-	(2,000)	-
11/13/1986	CHECK	(1,000)	-	(1,000)	-	-	29,500	-	-	-	-	(1,000)	-
12/8/1986	CHECK	(1,000)	-	(1,000)	-	-	28,500	-	-	-	-	(1,000)	-
1/29/1987	CHECK	(1,000)	-	(1,000)	-	-	27,500	-	-	-	-	(1,000)	-
5/19/1987	CHECK	(2,000)	-	(2,000)	-	-	25,500	-	-	-	-	(2,000)	-
8/5/1987	CHECK	(1,000)	-	(1,000)	-	-	24,500	-	-	-	-	(1,000)	-
11/19/1987	CHECK	(2,000)	-	(2,000)	-	-	22,500	-	-	-	-	(2,000)	-
2/24/1988	CHECK	(2,000)	-	(2,000)	-	-	20,500	-	-	-	-	(2,000)	-
6/2/1988	CHECK	(2,000)	-	(2,000)	-	-	18,500	-	-	-	-	(2,000)	-
9/26/1988	CHECK	(5,000)	-	(5,000)	-	-	16,500	-	-	-	-	(5,000)	-
12/19/1988	CHECK	(1,500)	-	(1,500)	-	-	10,000	-	-	-	-	(1,500)	-
3/2/1989	CHECK	(5,000)	-	(5,000)	-	-	5,000	-	-	-	-	(5,000)	-
4/28/1989	CHECK	20,124	20,124	-	-	-	25,124	-	-	-	-	-	-
4/28/1989	CHECK	286	286	-	-	-	25,410	-	-	-	-	-	-
4/28/1989	CHECK	38,700	38,700	-	-	-	64,110	-	-	-	-	-	-
4/28/1989	CHECK	55	55	-	-	-	64,165	-	-	-	-	-	-
4/28/1989	CHECK	185,500	185,500	-	-	-	249,665	-	-	-	-	-	-
5/16/1989	CHECK	(2,604)	-	(2,604)	-	-	247,061	-	-	-	-	(2,604)	-
5/31/1989	CHECK	(5,000)	-	(5,000)	-	-	242,061	-	-	-	-	(5,000)	-
8/15/1989	CHECK	20,000	20,000	-	-	-	262,061	-	-	-	-	-	-
9/6/1989	CHECK	(3,000)	-	(3,000)	-	-	259,061	-	-	-	-	(3,000)	-
11/14/1989	CHECK	(3,000)	-	(3,000)	-	-	256,061	-	-	-	-	(3,000)	-
12/21/1989	CHECK	(5,000)	-	(5,000)	-	-	251,061	-	-	-	-	(5,000)	-
1/31/1990	CHECK	(5,000)	-	(5,000)	-	-	246,061	-	-	-	-	(5,000)	-
1/31/1990	CHECK	(3,000)	-	(3,000)	-	-	243,061	-	-	-	-	(3,000)	-
2/14/1990	CHECK	(5,000)	-	(5,000)	-	-	238,061	-	-	-	-	(5,000)	-
4/26/1990	CHECK	10,000	10,000	-	-	-	248,061	-	-	-	-	-	-
7/26/1990	PMT TRANSACTION	(5,000)	-	(5,000)	-	-	243,061	-	-	-	-	(5,000)	-
12/3/1990	CHECK	(15,000)	-	(15,000)	-	-	228,061	-	-	-	-	(15,000)	-
1/29/1991	CHECK	20,000	20,000	-	-	-	248,061	-	-	-	-	-	-
2/7/1991	CHECK	(5,000)	-	(5,000)	-	-	243,061	-	-	-	-	(5,000)	-
2/26/1991	CHECK	(567,000)	-	(567,000)	-	-	(32,939)	-	-	-	-	(567,000)	(294,211)
2/27/1991	CHECK	(10,000)	-	(10,000)	-	-	(33,939)	-	-	-	-	(10,000)	(10,000)
3/1/1991	CHECK	(10,000)	-	(10,000)	-	-	(34,939)	-	-	-	-	(10,000)	(10,000)
4/23/1991	CHECK	(10,000)	-	(10,000)	-	-	(35,939)	-	-	-	-	(10,000)	(10,000)
5/30/1991	CHECK A/O 01/29/91	20,000	20,000	-	-	-	(35,939)	-	-	-	-	-	-
5/30/1991	CHECK	(250,000)	-	(250,000)	-	-	(583,939)	-	-	-	-	(250,000)	(250,000)
6/22/1994	CHECK	9,728	9,728	-	-	-	(574,211)	-	-	-	-	-	-
4/1/1996	TRANS FROM 1M001010 (1M0010)	7,928 ⁽¹⁾	-	-	-	-	(574,211)	-	-	-	-	-	-
	Total:		\$ 361,393	\$ (935,604)	\$ -	\$ -	\$ (574,211)	\$ -	\$ -	\$ -	\$ -	\$ (361,393)	\$ (574,211)

⁽¹⁾ Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

BLMIS ACCOUNT NO. 1M0010 - MARK MADOFF

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
9/14/1987	TRANS FROM 10150310 (101303)	282,153 ⁽³⁾	-	-	35,469	-	35,469	-	-	-	-	-	-
4/14/1988	CHECK	(27,500)	(27,500)	(27,500)	-	-	7,969	-	-	-	-	(27,500)	-
4/5/1990	CHECK	(29,854)	(29,854)	(29,854)	-	-	(21,885)	-	-	-	-	(27,969)	(1,885)
4/9/1991	CHECK	(39,342)	(39,342)	(39,342)	-	-	(61,227)	-	-	-	-	(39,342)	(39,342)
4/1/1992	CHECK	(26,000)	(26,000)	(26,000)	-	-	(87,227)	-	-	-	-	(26,000)	(26,000)
8/19/1992	CHECK	20,000	20,000	-	-	-	(67,227)	-	-	-	-	-	-
4/8/1993	CHECK	(30,000)	(30,000)	(30,000)	-	-	(97,227)	-	-	-	-	(30,000)	(30,000)
5/4/1993	CHECK	(40,000)	(40,000)	(40,000)	-	-	(137,227)	-	-	-	-	(40,000)	(40,000)
10/28/1993	CHECK	(25,000)	(25,000)	(25,000)	-	-	(162,227)	-	-	-	-	(25,000)	(25,000)
3/16/1994	CHECK	(42,449)	(42,449)	(42,449)	-	-	(192,227)	-	-	-	-	(42,449)	(42,449)
3/31/1994	CHECK	(613)	(613)	(613)	-	-	(235,289)	-	-	-	-	(613)	(613)
3/31/1994	CHECK	(1,047)	(1,047)	(1,047)	-	-	(236,336)	-	-	-	-	(1,047)	(1,047)
3/31/1994	CHECK	(1,500)	(1,500)	(1,500)	-	-	(237,836)	-	-	-	-	(1,500)	(1,500)
5/19/1994	CHECK	(50,000)	(50,000)	(50,000)	-	-	(287,836)	-	-	-	-	(50,000)	(50,000)
1/8/1995	CHECK	(50,000)	(50,000)	(50,000)	-	-	(337,836)	-	-	-	-	(50,000)	(50,000)
2/6/1995	CHECK	(50,000)	(50,000)	(50,000)	-	-	(387,836)	-	-	-	-	(50,000)	(50,000)
4/1/1995	CHECK	(5,001)	(5,001)	(5,001)	-	-	(392,837)	-	-	-	-	(5,001)	(5,001)
4/1/1995	CHECK	(12,534)	(12,534)	(12,534)	-	-	(405,371)	-	-	-	-	(12,534)	(12,534)
10/30/1995	CHECK	(100,000)	(100,000)	(100,000)	-	-	(505,371)	-	-	-	-	(100,000)	(100,000)
12/4/1995	CHECK	(100,000)	(100,000)	(100,000)	-	-	(605,371)	-	-	-	-	(100,000)	(100,000)
1/1/1996	CHECK	(100,000)	(100,000)	(100,000)	-	-	(705,371)	-	-	-	-	(100,000)	(100,000)
4/1/1996	TRANS TO 1M000930 (1M0009)	(7,928) ⁽⁴⁾	-	-	-	-	(705,371)	-	-	-	-	-	-
5/3/1996	CHECK	(50,000)	(50,000)	(50,000)	-	-	(755,371)	-	-	-	-	(50,000)	(50,000)
8/21/1996	CHECK	(50,000)	(50,000)	(50,000)	-	-	(805,371)	-	-	-	-	(50,000)	(50,000)
4/8/1997	CHECK	(50,000)	(50,000)	(50,000)	-	-	(855,371)	-	-	-	-	(50,000)	(50,000)
5/5/1997	TRANS TO 1M010930 (1M0109)	(211,592) ⁽⁴⁾	-	-	-	-	(855,371)	-	-	-	-	-	-
	Total:		\$ 20,000	\$ (910,840)	\$ 35,469	\$ -	\$ (855,371)	\$ -	\$ -	\$ -	\$ -	\$ (85,469)	\$ (855,371)

⁽³⁾ Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

⁽⁴⁾ Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

BLMIS ACCOUNT NO. 1M0109 - MARK MADOFF

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
5/5/1997	TRANS FROM 1M01010 (1M0010)	211,592.00 ⁽⁵⁾	-	-	-	-	-	-	-	-	-	-	-
7/31/1997	CHECK	(50,000)	-	(50,000)	-	-	(50,000)	-	-	-	-	-	(50,000)
10/27/1997	CHECK	(50,000)	-	(50,000)	-	-	(100,000)	-	-	-	-	-	(50,000)
1/28/1998	CHECK	(50,000)	-	(50,000)	-	-	(150,000)	-	-	-	-	-	(50,000)
3/25/1998	CHECK	(50,000)	-	(50,000)	-	-	(200,000)	-	-	-	-	-	(50,000)
6/27/2003	CHECK	(60,962)	-	(60,962)	-	-	(260,962)	-	(60,962)	-	(60,962)	-	(60,962)
3/5/2004	CHECK	(60)	-	(60)	-	-	(261,022)	-	(60)	-	(60)	-	(60)
	Total:		\$ -	\$ (261,022)	\$ -	\$ -	\$ (261,022)	\$ -	\$ (61,022)	\$ -	\$ (61,022)	\$ -	\$ (261,022)

⁽⁵⁾ Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

BLMIS ACCOUNT NO. IM0142 - MARK D MADOFF

09-01503-brl

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Filed 05/04/12

Entered 05/04/12 19:41:05
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Exhibit F

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
7/22/1998	TRANS TO BERNARD L MADOFF	(28,795)	-	(28,795)	-	-	(28,795)	-	-	-	-	-	(28,795)
7/24/1998	CHECK WIRE	(1,956,205)	-	(1,956,205)	-	-	(1,985,000)	-	-	-	-	-	(1,956,205)
4/3/2002	CHECK WIRE	(5,331,853)	-	(5,331,853)	-	-	(7,316,853)	-	-	-	-	-	(5,331,853)
5/11/2004	CHECK WIRE	(4,391,944)	-	(4,391,944)	-	-	(11,708,797)	-	-	-	-	-	(4,391,944)
5/11/2004	CHECK WIRE	(2,927,964)	-	(2,927,964)	-	-	(14,636,761)	-	-	-	-	-	(2,927,964)
	Total:			\$ (14,636,761)			\$ (14,636,761)				\$ (7,319,908)		\$ (14,636,761)

BLMIS ACCOUNT NO. 1M0143 - CHILDREN OF MARK D MADOFF U/A 3/25/94 ANDREW H MADOFF TRUSTEE

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
7/22/1998	TRANS TO BERNARD L MADOFF	(28,795)		(28,795)			(28,795)						(28,795)
7/24/1998	CHECK WIRE	(1,956,205)		(1,956,205)			(1,985,000)						(1,956,205)
	Total:												
			\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
				(1,985,000)			(1,985,000)						(1,985,000)

MARK MADOFF COMPENSATION

<u>Date</u>	<u>Type</u>	<u>Transferee</u>	<u>Transfer Amount</u>	<u>1-Year Preferential Transfers</u>	<u>2-Year Transfers</u>	<u>6-Year Transfers</u>	<u>Prior to 6-Year Transfers</u>
12/29/1993	Salary	Mark Madoff	249,600	-	-	-	249,600
12/29/1994	Salary	Mark Madoff	237,250	-	-	-	237,250
12/29/1994	Bonus / Other Earnings	Mark Madoff	87,600	-	-	-	87,600
12/27/1995	Bonus / Other Earnings	Mark Madoff	267,800	-	-	-	267,800
12/27/1995	Salary	Mark Madoff	249,600	-	-	-	249,600
12/25/1996	Salary	Mark Madoff	259,600	-	-	-	259,600
12/25/1996	Bonus / Other Earnings	Mark Madoff	142,000	-	-	-	142,000
12/31/1997	Bonus / Other Earnings	Mark Madoff	528,000	-	-	-	528,000
12/31/1997	Salary	Mark Madoff	254,400	-	-	-	254,400
12/31/1998	Bonus / Other Earnings	Mark Madoff	536,000	-	-	-	536,000
12/31/1998	Salary	Mark Madoff	249,600	-	-	-	249,600
12/29/1999	Salary	Mark Madoff	442,200	-	-	-	442,200
12/29/1999	Bonus / Other Earnings	Mark Madoff	55,000	-	-	-	55,000
12/27/2000	Salary	Mark Madoff	655,720	-	-	-	655,720
12/27/2000	Bonus / Other Earnings	Mark Madoff	20,000	-	-	-	20,000
12/26/2001	Bonus / Other Earnings	Mark Madoff	3,020,000	-	-	-	3,020,000
12/26/2001	Salary	Mark Madoff	710,200	-	-	-	710,200
12/25/2002	Bonus / Other Earnings	Mark Madoff	3,010,000	-	-	-	3,010,000
12/25/2002	Salary	Mark Madoff	720,200	-	-	-	720,200
12/26/2003	Salary	Mark Madoff	725,740	-	-	725,740	-
12/26/2003	Bonus / Other Earnings	Mark Madoff	15,000	-	-	15,000	-
12/31/2004	Salary	Mark Madoff	577,483	-	-	577,483	-
12/31/2004	Salary	Mark Madoff	136,567	-	-	136,567	-
12/31/2004	Bonus / Other Earnings	Mark Madoff	32,350	-	-	32,350	-
12/31/2004	Bonus / Other Earnings	Mark Madoff	7,650	-	-	7,650	-
12/30/2005	Bonus / Other Earnings	Mark Madoff & Stephanie Mack	3,060,000	-	-	3,060,000	-
12/30/2005	Salary	Mark Madoff & Stephanie Mack	680,200	-	-	680,200	-
12/31/2006	Bonus / Other Earnings	Mark Madoff & Stephanie Mack	4,820,000	-	-	4,820,000	-
12/31/2006	Salary	Mark Madoff & Stephanie Mack	720,200	-	-	720,200	-
1/5/2007	Bonus / Other Earnings	Mark Madoff & Stephanie Mack	20,000	-	20,000	20,000	-
1/5/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
1/12/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
1/19/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
1/26/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
2/2/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
2/9/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
2/16/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
2/23/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
3/2/2007	Bonus / Other Earnings	Mark Madoff & Stephanie Mack	1,000,000	-	1,000,000	1,000,000	-
3/2/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-

MARK MADOFF COMPENSATION

<u>Date</u>	<u>Type</u>	<u>Transferee</u>	<u>Transfer Amount</u>	<u>1-Year Preferential Transfers</u>	<u>2-Year Transfers</u>	<u>6-Year Transfers</u>	<u>Prior to 6-Year Transfers</u>
3/9/2007	Bonus / Other Earnings	Mark Madoff & Stephanie Mack	4,000,000	-	4,000,000	4,000,000	-
3/9/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
3/16/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
3/23/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
3/30/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
4/5/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
4/13/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
4/20/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
4/27/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
5/4/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
5/11/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
5/18/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
5/25/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
6/1/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
6/8/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
6/15/2007	Bonus / Other Earnings	Mark Madoff & Stephanie Mack	4,000,000	-	4,000,000	4,000,000	-
6/15/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
6/22/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
6/29/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
7/6/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
7/13/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
7/20/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
7/27/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
8/3/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
8/10/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
8/17/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
8/24/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
8/31/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
9/7/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
9/14/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
9/21/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
9/28/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
10/5/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
10/12/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
10/19/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
10/26/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
11/2/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
11/9/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
11/16/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
11/23/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-

MARK MADOFF COMPENSATION

<u>Date</u>	<u>Type</u>	<u>Transferee</u>	<u>Transfer Amount</u>	<u>1-Year Preferential Transfers</u>	<u>2-Year Transfers</u>	<u>6-Year Transfers</u>	<u>Prior to 6-Year Transfers</u>
11/30/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
12/7/2007	Salary	Mark Madoff & Stephanie Mack	13,850	-	13,850	13,850	-
12/14/2007	Bonus / Other Earnings	Mark Madoff & Stephanie Mack	301,350	301,350	301,350	301,350	-
12/14/2007	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
12/21/2007	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
12/28/2007	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
1/4/2008	Bonus / Other Earnings	Mark Madoff & Stephanie Mack	25,000	25,000	25,000	25,000	-
1/4/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
1/11/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
1/18/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
1/25/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
2/1/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
2/8/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
2/15/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
2/22/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
2/29/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
3/7/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
3/14/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
3/21/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
3/28/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
4/4/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
4/11/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
4/18/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
4/25/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
5/2/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
5/9/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
5/16/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
5/23/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
5/30/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
6/6/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
6/13/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
6/20/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
6/27/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
7/3/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
7/11/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
7/18/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
7/25/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
8/1/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
8/8/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
8/15/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-

MARK MADOFF COMPENSATION

<u>Date</u>	<u>Type</u>	<u>Transferee</u>	<u>Transfer Amount</u>	<u>1-Year Preferential Transfers</u>	<u>2-Year Transfers</u>	<u>6-Year Transfers</u>	<u>Prior to 6-Year Transfers</u>
8/22/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
8/29/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
9/5/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
9/12/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
9/19/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
9/26/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
10/3/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
10/10/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
10/17/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
10/24/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
10/31/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
11/7/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
11/14/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
11/21/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
11/28/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
12/5/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
12/12/2008	Salary	Mark Madoff & Stephanie Mack	20,000	20,000	20,000	20,000	-
Total:			\$ 33,554,960	\$ 1,386,350	\$ 11,085,000	\$ 21,860,190	\$ 11,694,770

OTHER TRANSFERS TO OR FOR THE BENEFIT OF MARK MADOFF

<u>Date</u>	<u>Transferee</u>	<u>Comments</u>	<u>Transfer Amount</u>	<u>1-Year Preferential Transfers</u>	<u>2-Year Transfers</u>	<u>6-Year Transfers</u>	<u>Prior to 6-Year Transfers</u>
12/2/1994	Mark Madoff		600,000	-	-	-	600,000
12/3/1994	Mark Madoff		1,000,000	-	-	-	1,000,000
5/31/1997	Edrich Fine Arts	FBO Mark Madoff	9,000	-	-	-	9,000
6/30/1997	Edrich Fine Arts	FBO Mark Madoff	14,050	-	-	-	14,050
6/30/1997	Edrich Fine Arts	FBO Mark Madoff	1,800	-	-	-	1,800
9/30/1997	Edrich Fine Arts	FBO Mark Madoff	8,500	-	-	-	8,500
11/30/1997	Edrich Fine Arts	FBO Mark Madoff	5,500	-	-	-	5,500
11/30/1997	Edrich Fine Arts	FBO Mark Madoff	2,500	-	-	-	2,500
11/30/1997	Edrich Fine Arts	FBO Mark Madoff	1,125	-	-	-	1,125
12/18/1998	MSIL	FBO Mark Madoff	5,000,000	-	-	-	5,000,000
2/3/2000	Mark Madoff & Susan Elkin		250,000 ⁽⁶⁾	-	-	-	250,000
3/1/2000	Ivey, Barnum & O'Mara	FBO Mark Madoff	222,500	-	-	-	222,500
3/15/2000	Kinese Barnes Fine Art	FBO Mark Madoff	3,000	-	-	-	3,000
3/22/2000	Ivey, Barnum & O'Mara	FBO Mark Madoff & Susan Elkin	2,020,000 ⁽⁷⁾	-	-	-	2,020,000
4/5/2000	John Colello	FBO Mark Madoff	7,000	-	-	-	7,000
4/5/2000	John Colello	FBO Mark Madoff	7,000	-	-	-	7,000
4/11/2000	John Colello	FBO Mark Madoff	7,000	-	-	-	7,000
4/11/2000	John Colello	FBO Mark Madoff	7,000	-	-	-	7,000
4/20/2000	John Colello	FBO Mark Madoff	7,000	-	-	-	7,000
4/20/2000	John Colello	FBO Mark Madoff	7,000	-	-	-	7,000
4/20/2000	John Colello	FBO Mark Madoff	7,000	-	-	-	7,000
4/20/2000	John Colello	FBO Mark Madoff	7,000	-	-	-	7,000
4/26/2000	Vicente Wolf Associates	FBO Mark Madoff	2,484	-	-	-	2,484
5/1/2000	John Colello	FBO Mark Madoff	2,904	-	-	-	2,904
5/4/2000	Vicente Wolf Associates	FBO Mark Madoff	163,030	-	-	-	163,030
5/11/2000	Vicente Wolf Associates	FBO Mark Madoff	2,943	-	-	-	2,943
7/3/2000	Kinese Barnes Fine Art	FBO Mark Madoff	10,000	-	-	-	10,000
7/5/2000	Vicente Wolf Associates	FBO Mark Madoff	2,465	-	-	-	2,465
7/20/2000	Mark Madoff & Susan Elkin		125,000 ⁽⁶⁾	-	-	-	125,000
7/24/2000	Vicente Wolf Associates	FBO Mark Madoff	1,565	-	-	-	1,565
7/27/2000	Vicente Wolf Associates	FBO Mark Madoff	82,813	-	-	-	82,813
8/30/2000	Vicente Wolf Associates	FBO Mark Madoff	550	-	-	-	550
9/8/2000	Vicente Wolf Associates	FBO Mark Madoff	1,759	-	-	-	1,759
9/11/2000	Vicente Wolf Associates	FBO Mark Madoff	45,787	-	-	-	45,787
9/20/2000	Vicente Wolf Associates	FBO Mark Madoff	1,124	-	-	-	1,124
11/13/2000	Kinese Barnes Fine Art	FBO Mark Madoff	13,000	-	-	-	13,000
11/17/2000	Vicente Wolf Associates	FBO Mark Madoff	389	-	-	-	389
12/8/2000	Vicente Wolf Associates	FBO Mark Madoff	4,500	-	-	-	4,500
1/9/2001	Mark Madoff	FBO Mark Madoff	20,000	-	-	-	20,000
1/11/2001	Financial Auction Network	FBO Mark Madoff	779,625	-	-	-	779,625
1/17/2001	Vicente Wolf Associates	FBO Mark Madoff	780	-	-	-	780
1/31/2001	Mark Madoff		125,000 ⁽⁶⁾	-	-	-	125,000
2/21/2001	Thomas First and Julie First	FBO Mark Madoff	938,110	-	-	-	938,110
2/21/2001	SunTrust Bank	FBO Mark Madoff	254,820	-	-	-	254,820
2/21/2001	164 East 72nd Street Corp	FBO Mark Madoff	26,500	-	-	-	26,500
2/21/2001	NY Department of Taxation & Finance	FBO Mark Madoff	13,250	-	-	-	13,250
2/22/2001	Vicente Wolf Associates	FBO Mark Madoff	175,389	-	-	-	175,389
4/19/2001	Vicente Wolf Associates	FBO Mark Madoff	8,650	-	-	-	8,650
4/27/2001	Vicente Wolf Associates	FBO Mark Madoff	5,520	-	-	-	5,520
6/5/2001	Vicente Wolf Associates	FBO Mark Madoff	26,260	-	-	-	26,260
6/27/2001	Vicente Wolf Associates	FBO Mark Madoff	21,615	-	-	-	21,615

OTHER TRANSFERS TO OR FOR THE BENEFIT OF MARK MADOFF

<u>Date</u>	<u>Transferee</u>	<u>Comments</u>	<u>Transfer Amount</u>	<u>1-Year Preferential Transfers</u>	<u>2-Year Transfers</u>	<u>6-Year Transfers</u>	<u>Prior to 6-Year Transfers</u>
7/16/2001	Mark Madoff		125,000	(6)	-	-	125,000
7/18/2001	Vicente Wolf Associates	FBO Mark Madoff	7,376	-	-	-	7,376
8/3/2001	Vicente Wolf Associates	FBO Mark Madoff	13,637	-	-	-	13,637
8/14/2001	Vicente Wolf Associates	FBO Mark Madoff	37,351	-	-	-	37,351
8/28/2001	Vicente Wolf Associates	FBO Mark Madoff	5,471	-	-	-	5,471
8/30/2001	Vicente Wolf Associates	FBO Mark Madoff	94,045	-	-	-	94,045
9/19/2001	Vicente Wolf Associates	FBO Mark Madoff	19,616	-	-	-	19,616
10/12/2001	Vicente Wolf Associates	FBO Mark Madoff	35,383	-	-	-	35,383
10/18/2001	Vicente Wolf Associates	FBO Mark Madoff	6,520	-	-	-	6,520
11/1/2001	Vicente Wolf Associates	FBO Mark Madoff	3,962	-	-	-	3,962
11/15/2001	Vicente Wolf Associates	FBO Mark Madoff	13,798	-	-	-	13,798
12/14/2001	Vicente Wolf Associates	FBO Mark Madoff	1,702	-	-	-	1,702
1/28/2002	Mark Madoff	FBO Mark Madoff	20,000	-	-	-	20,000
5/2/2002	American Express	FBO Mark Madoff	7,741	-	-	-	7,741
5/7/2002	Mark Madoff		500,000	-	-	-	500,000
6/4/2002	American Express	FBO Mark Madoff	8,005	-	-	-	8,005
7/3/2002	American Express	FBO Mark Madoff	12,083	-	-	-	12,083
8/2/2002	American Express	FBO Mark Madoff	(482)	-	-	-	(482)
9/3/2002	American Express	FBO Mark Madoff	4,386	-	-	-	4,386
10/4/2002	American Express	FBO Mark Madoff	12,215	-	-	-	12,215
11/5/2002	American Express	FBO Mark Madoff	6,548	-	-	-	6,548
12/4/2002	American Express	FBO Mark Madoff	5,156	-	-	-	5,156
1/7/2003	American Express	FBO Mark Madoff	3,410	-	-	-	3,410
2/5/2003	American Express	FBO Mark Madoff	15,212	-	-	-	15,212
3/4/2003	American Express	FBO Mark Madoff	17,635	-	-	-	17,635
4/4/2003	American Express	FBO Mark Madoff	4,426	-	-	-	4,426
5/5/2003	American Express	FBO Mark Madoff	22,414	-	-	-	22,414
6/2/2003	American Express	FBO Mark Madoff	8,707	-	-	-	8,707
7/3/2003	American Express	FBO Mark Madoff	4,242	-	-	-	4,242
8/4/2003	American Express	FBO Mark Madoff	8,107	-	-	-	8,107
9/3/2003	American Express	FBO Mark Madoff	15,051	-	-	-	15,051
10/3/2003	American Express	FBO Mark Madoff	5,825	-	-	-	5,825
11/4/2003	American Express	FBO Mark Madoff	8,589	-	-	-	8,589
12/3/2003	American Express	FBO Mark Madoff	5,320	-	-	-	5,320
1/6/2004	American Express	FBO Mark Madoff	9,177	-	-	-	9,177
1/8/2004	Mark Madoff		10,000	-	-	-	10,000
1/8/2004	Mark Madoff		10,000	-	-	-	10,000
1/28/2004	Mark Madoff		24,939	-	-	-	24,939
2/4/2004	American Express	FBO Mark Madoff	16,283	-	-	-	16,283
2/26/2004	American Express	FBO Mark Madoff	4,644	-	-	-	4,644
3/1/2004	Mark Madoff		2,900,000	-	-	-	2,900,000
3/2/2004	Mark Madoff		25,000	-	-	-	25,000
3/24/2004	American Express	FBO Mark Madoff	6,430	-	-	-	6,430
5/4/2004	American Express	FBO Mark Madoff	17,999	-	-	-	17,999
6/2/2004	American Express	FBO Mark Madoff	11,558	-	-	-	11,558
7/2/2004	American Express	FBO Mark Madoff	4,475	-	-	-	4,475
8/3/2004	American Express	FBO Mark Madoff	33,357	-	-	-	33,357
9/2/2004	American Express	FBO Mark Madoff	21,349	-	-	-	21,349
10/5/2004	American Express	FBO Mark Madoff	2,784	-	-	-	2,784
11/2/2004	American Express	FBO Mark Madoff	5,784	-	-	-	5,784

OTHER TRANSFERS TO OR FOR THE BENEFIT OF MARK MADOFF

<u>Date</u>	<u>Transferee</u>	<u>Comments</u>	<u>Transfer Amount</u>	<u>1-Year Preferential Transfers</u>	<u>2-Year Transfers</u>	<u>6-Year Transfers</u>	<u>Prior to 6-Year Transfers</u>
12/3/2004	American Express	FBO Mark Madoff	11,566	-	-	11,566	-
1/4/2005	American Express	FBO Mark Madoff	6,811	-	-	6,811	-
1/19/2005	Mark Madoff		10,000	-	-	10,000	-
1/19/2005	Mark Madoff		10,000	-	-	10,000	-
1/19/2005	Mark Madoff		10,000	-	-	10,000	-
2/3/2005	American Express	FBO Mark Madoff	5,155	-	-	5,155	-
3/4/2005	American Express	FBO Mark Madoff	3,655	-	-	3,655	-
4/5/2005	American Express	FBO Mark Madoff	6,678	-	-	6,678	-
5/4/2005	American Express	FBO Mark Madoff	15,511	-	-	15,511	-
6/2/2005	American Express	FBO Mark Madoff	3,363	-	-	3,363	-
6/15/2005	Snow Becker Krauss Iola	FBO Mark Madoff	5,556,589	-	-	5,556,589	-
7/5/2005	American Express	FBO Mark Madoff	1,528	-	-	1,528	-
8/3/2005	American Express	FBO Mark Madoff	3,767	-	-	3,767	-
9/2/2005	American Express	FBO Mark Madoff	1,803	-	-	1,803	-
10/6/2005	American Express	FBO Mark Madoff	7,243	-	-	7,243	-
11/4/2005	American Express	FBO Mark Madoff	4,643	-	-	4,643	-
12/2/2005	American Express	FBO Mark Madoff	11,059	-	-	11,059	-
1/4/2006	American Express	FBO Mark Madoff & Stephanie Mack	15,717	-	-	15,717	-
2/3/2006	American Express	FBO Mark Madoff & Stephanie Mack	8,153	-	-	8,153	-
2/7/2006	Mark Madoff & Stephanie Mack		11,000	-	-	11,000	-
2/7/2006	Mark Madoff & Stephanie Mack		11,000	-	-	11,000	-
3/3/2006	American Express	FBO Mark Madoff & Stephanie Mack	6,777	-	-	6,777	-
4/4/2006	American Express	FBO Mark Madoff & Stephanie Mack	8,274	-	-	8,274	-
5/3/2006	American Express	FBO Mark Madoff & Stephanie Mack	18,657	-	-	18,657	-
5/4/2006	Mark Madoff & Stephanie Mack		1,200	-	-	1,200	-
6/6/2006	American Express	FBO Mark Madoff & Stephanie Mack	5,416	-	-	5,416	-
7/6/2006	American Express	FBO Mark Madoff & Stephanie Mack	4,260	-	-	4,260	-
8/4/2006	American Express	FBO Mark Madoff & Stephanie Mack	9,846	-	-	9,846	-
9/7/2006	American Express	FBO Mark Madoff & Stephanie Mack	9,394	-	-	9,394	-
10/5/2006	American Express	FBO Mark Madoff & Stephanie Mack	4,968	-	-	4,968	-
11/6/2006	American Express	FBO Mark Madoff & Stephanie Mack	4,315	-	-	4,315	-
12/6/2006	American Express	FBO Mark Madoff & Stephanie Mack	9,447	-	-	9,447	-
1/5/2007	American Express	FBO Mark Madoff & Stephanie Mack	6,555	-	6,555	6,555	-
1/10/2007	Mark Madoff & Stephanie Mack		11,000	-	-	11,000	-
1/10/2007	Mark Madoff & Stephanie Mack		11,000	-	-	11,000	-
2/7/2007	American Express	FBO Mark Madoff & Stephanie Mack	5,062	-	-	5,062	-
3/7/2007	American Express	FBO Mark Madoff & Stephanie Mack	9,155	-	-	9,155	-
4/3/2007	American Express	FBO Mark Madoff & Stephanie Mack	4,431	-	-	4,431	-
5/3/2007	American Express	FBO Mark Madoff & Stephanie Mack	3,994	-	-	3,994	-
6/4/2007	American Express	FBO Mark Madoff & Stephanie Mack	7,001	-	-	7,001	-
7/5/2007	American Express	FBO Mark Madoff & Stephanie Mack	8,360	-	-	8,360	-
8/7/2007	American Express	FBO Mark Madoff & Stephanie Mack	2,837	-	-	2,837	-
9/5/2007	American Express	FBO Mark Madoff & Stephanie Mack	12,862	-	-	12,862	-
10/4/2007	American Express	FBO Mark Madoff & Stephanie Mack	16,841	-	-	16,841	-
10/30/2007	American Express	FBO Mark Madoff & Stephanie Mack	4,488	-	-	4,488	-
12/5/2007	American Express	FBO Mark Madoff & Stephanie Mack	10,225	-	-	10,225	-
1/4/2008	American Express	FBO Mark Madoff & Stephanie Mack	19,691	19,691	-	19,691	-
2/1/2008	American Express	FBO Mark Madoff & Stephanie Mack	26,777	26,777	-	26,777	-
3/3/2008	American Express	FBO Mark Madoff & Stephanie Mack	10,918	10,918	-	10,918	-
4/1/2008	American Express	FBO Mark Madoff & Stephanie Mack	20,762	20,762	-	20,762	-

OTHER TRANSFERS TO OR FOR THE BENEFIT OF MARK MADOFF

Date	Transferee	Comments	Transfer Amount	1-Year Preferential Transfers	2-Year Transfers	6-Year Transfers	Prior to 6-Year Transfers
5/1/2008	American Express	FBO Mark Madoff & Stephanie Mack	5,974	5,974	5,974	5,974	-
5/28/2008	Patricia Halsted, Attorney At Law	FBO Mark Madoff & Stephanie Mack	640,000	-	640,000	640,000	-
6/2/2008	American Express	FBO Mark Madoff & Stephanie Mack	5,105	5,105	5,105	5,105	-
6/6/2008	Patricia Halsted, Attorney At Law	FBO Mark Madoff & Stephanie Mack	6,005,000	-	6,005,000	6,005,000	-
6/26/2008	American Express	FBO Mark Madoff & Stephanie Mack	80,695	80,695	80,695	80,695	-
7/2/2008	American Express	FBO Mark Madoff & Stephanie Mack	4,383	4,383	4,383	4,383	-
9/2/2008	American Express	FBO Mark Madoff & Stephanie Mack	7,386	7,386	7,386	7,386	-
10/1/2008	American Express	FBO Mark Madoff & Stephanie Mack	11,213	11,213	11,213	11,213	-
10/30/2008	American Express	FBO Mark Madoff & Stephanie Mack	4,407	4,407	4,407	4,407	-
12/2/2008	American Express	FBO Mark Madoff & Stephanie Mack	7,257	7,257	7,257	7,257	-
Total:			\$ 28,971,922	\$ 204,566	\$ 6,963,377	\$ 15,993,893	\$ 12,978,029

[6] Transfer was made by MSIL, but was funded by BLMIS.

[7] BLMIS transferred \$2,020,000 to Bernard and Ruth Madoff's personal bank account at the Bank of New York on 3/22/2000, which was then transferred to Ivey, Bamum & O'Mara for the benefit of Mark Madoff and, upon information and belief, Susan Elkin.

TRANSFERS TO OR FOR THE BENEFIT OF SUSAN ELKIN

<u>Date</u>	<u>Transfer Category</u>	<u>Transferee</u>	<u>Comments</u>	<u>Transfer Amount</u>
2/3/2000	Other Transfers	Mark Madoff & Susan Elkin	FBO Mark Madoff & Susan Elkin	250,000 ^[1]
3/22/2000	Other Transfers	Ivey, Barnum & O'Mara	FBO Mark Madoff & Susan Elkin	2,020,000 ^[2]
7/20/2000	Other Transfers	Mark Madoff & Susan Elkin	FBO Mark Madoff & Susan Elkin	125,000 ^[1]
Total:				\$ 2,395,000

^[1] Transfer was made by MSIL, but was funded by BLMIS.

^[2] BLMIS transferred \$2,020,000 to Bernard and Ruth Madoff's personal bank account at the Bank of New York on 3/22/2000, which was then transferred to Ivey, Barnum & O'Mara for the benefit of Mark Madoff and, upon information and belief, Susan Elkin.

TRANSFERS TO OR FOR THE BENEFIT OF STEPHANIE MACK

<u>Date</u>	<u>Transfer Category</u>	<u>Transferee</u>	<u>Comments</u>	<u>Transfer Amount</u>	<u>Undetermined Value</u> ⁽¹⁾
1/19/2005	Other Transfers	Stephanie Mack	459 West Broadway, New York, NY 10012		
12/30/2005	Compensation - Bonus / Other Earnings	Mark Madoff & Stephanie Mack		3,060,000	
12/30/2005	Compensation - Salary	Mark Madoff & Stephanie Mack		680,200	
1/4/2006	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	15,717	
2/3/2006	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	8,153	
2/7/2006	Other Transfers	Mark Madoff & Stephanie Mack		11,000	
2/7/2006	Other Transfers	Mark Madoff & Stephanie Mack		11,000	
3/3/2006	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	6,777	
4/4/2006	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	8,274	
5/3/2006	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	18,657	
5/4/2006	Other Transfers	Mark Madoff & Stephanie Mack		1,200	
6/6/2006	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	5,416	
7/6/2006	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	4,260	
8/4/2006	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	9,846	
9/7/2006	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	9,394	
10/5/2006	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	4,968	
11/6/2006	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	4,315	
12/6/2006	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	9,447	
12/31/2006	Compensation - Bonus / Other Earnings	Mark Madoff & Stephanie Mack		4,820,000	
12/31/2006	Compensation - Salary	Mark Madoff & Stephanie Mack		720,200	
1/5/2007	Compensation - Bonus / Other Earnings	Mark Madoff & Stephanie Mack		20,000	
1/5/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850	
1/5/2007	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	6,555	
1/10/2007	Other Transfers	Mark Madoff & Stephanie Mack		11,000	
1/10/2007	Other Transfers	Mark Madoff & Stephanie Mack		11,000	
1/12/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850	
1/19/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850	
1/26/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850	
2/2/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850	
2/7/2007	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	5,062	
2/9/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850	
2/16/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850	
2/23/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850	
3/2/2007	Compensation - Bonus / Other Earnings	Mark Madoff & Stephanie Mack		1,000,000	
3/2/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850	
3/7/2007	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	9,155	
3/9/2007	Compensation - Bonus / Other Earnings	Mark Madoff & Stephanie Mack		4,000,000	
3/9/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850	
3/16/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850	
3/23/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850	
3/30/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850	
4/3/2007	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	4,431	

TRANSFERS TO OR FOR THE BENEFIT OF STEPHANIE MACK

<u>Date</u>	<u>Transfer Category</u>	<u>Transferee</u>	<u>Comments</u>	<u>Transfer Amount</u>
4/5/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
4/13/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
4/20/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
4/27/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
5/3/2007	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	3,994
5/4/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
5/11/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
5/18/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
5/25/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
6/1/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
6/4/2007	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	7,001
6/8/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
6/15/2007	Compensation - Bonus / Other Earnings	Mark Madoff & Stephanie Mack		4,000,000
6/15/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
6/22/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
6/29/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
7/5/2007	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	8,360
7/6/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
7/13/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
7/20/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
7/27/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
8/3/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
8/7/2007	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	2,837
8/10/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
8/17/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
8/24/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
8/31/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
9/5/2007	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	12,862
9/7/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
9/14/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
9/21/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
9/28/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
10/4/2007	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	16,841
10/5/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
10/12/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
10/19/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
10/26/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
10/30/2007	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	4,488
11/2/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
11/9/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
11/16/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
11/23/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
11/30/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850

TRANSFERS TO OR FOR THE BENEFIT OF STEPHANIE MACK

<u>Date</u>	<u>Transfer Category</u>	<u>Transferee</u>	<u>Comments</u>	<u>Transfer Amount</u>
12/5/2007	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	10,225
12/7/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		13,850
12/14/2007	Compensation - Bonus / Other Earnings	Mark Madoff & Stephanie Mack		301,350
12/14/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
12/21/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
12/28/2007	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
1/4/2008	Compensation - Bonus / Other Earnings	Mark Madoff & Stephanie Mack		25,000
1/4/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
1/4/2008	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	19,691
1/11/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
1/18/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
1/25/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
2/1/2008	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	26,777
2/1/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
2/8/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
2/15/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
2/22/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
2/29/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
3/3/2008	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	10,918
3/7/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
3/14/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
3/21/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
3/28/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
4/1/2008	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	20,762
4/4/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
4/11/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
4/18/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
4/25/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
5/1/2008	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	5,974
5/2/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
5/9/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
5/16/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
5/23/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
5/28/2008	Other Transfers	Patricia Halsted, Attorney At Law	FBO Mark Madoff & Stephanie Mack	640,000
5/30/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000

TRANSFERS TO OR FOR THE BENEFIT OF STEPHANIE MACK

<u>Date</u>	<u>Transfer Category</u>	<u>Transferee</u>	<u>Comments</u>	<u>Transfer Amount</u>
6/2/2008	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	5,105
6/6/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
6/6/2008	Other Transfers	Patricia Halsted, Attorney At Law	FBO Mark Madoff & Stephanie Mack	6,005,000
6/13/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
6/20/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
6/26/2008	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	80,695
6/27/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
7/2/2008	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	4,383
7/3/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
7/11/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
7/18/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
7/25/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
8/1/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
8/8/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
8/15/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
8/22/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
8/29/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
9/2/2008	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	7,386
9/5/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
9/12/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
9/19/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
9/26/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
10/1/2008	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	11,213
10/3/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
10/10/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
10/17/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
10/24/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
10/30/2008	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	4,407
10/31/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
11/7/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
11/14/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
11/21/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
11/28/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
12/2/2008	Other Transfers	American Express	FBO Mark Madoff & Stephanie Mack	7,257
12/5/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
12/12/2008	Compensation - Salary	Mark Madoff & Stephanie Mack		20,000
12/11/2010	Other Transfers	Stephanie Mack	583 Broadway, New York, NY 10021	Undetermined Value ⁽¹⁾
12/11/2010	Other Transfers	Stephanie Mack	51 Wanoma Way, Nantucket, MA 02554	Undetermined Value ⁽¹⁾
Total:				\$ 27,457,202

⁽¹⁾ Transfer of property interest was made by Mark Madoff, but purchase of property was funded by BLMIS.

TRANSFERS TO OR FOR THE BENEFIT OF ANDREW MADOFF - SUMMARY

<u>Transfer Category</u>	<u>Total Transfer Amount</u>	<u>1-Year Preferential Transfers</u>	<u>2-Year Transfers</u>	<u>6-Year Transfers</u>	<u>Prior to 6-Year Transfers</u>
IA Account Withdrawals	17,175,854	-	-	7,319,406	9,856,448
Compensation (Salary/Bonus)	34,217,845	2,085,000	12,085,000	24,085,690	10,132,155
Other Transfers	22,413,309	153,296	5,068,277	12,439,341	9,973,967
	\$ 73,807,007	\$ 2,238,296	\$ 17,153,277	\$ 43,844,437	\$ 29,962,570

BLMIS ACCOUNT NO. 1M0005 - ANDREW MADOFF

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
9/14/1987	TRANS FROM 10150310 (101303)	282,153 ⁽¹⁾	-	-	35,469	-	35,469	-	-	-	-	-	-
4/3/1990	CHECK	(34,000)	(34,000)	-	-	-	1,469	-	-	-	-	(34,000)	-
1/3/1991	CHECK	6	-	-	-	-	1,475	-	-	-	-	-	-
3/20/1991	CHECK	(3,000)	(3,000)	-	-	-	(1,525)	-	-	-	-	-	-
4/1/1991	CANCEL CHECK 03/20/91	3,000	-	-	-	-	1,475	-	-	-	-	-	-
4/9/1991	CHECK	(39,000)	(39,000)	-	-	-	(37,525)	-	-	-	-	(39,000)	-
3/30/1994	CHECK	(20,684)	(20,684)	-	-	-	(58,209)	-	-	-	-	(20,684)	-
3/30/1994	CHECK	(45,911)	-	(45,911)	-	-	(104,120)	-	-	-	-	(45,911)	-
5/18/1994	TRANS TO 1M007310 (1M0073)	(300,000) ⁽²⁾	-	-	-	-	(104,120)	-	-	-	-	-	-
12/27/1995	CHECK	504,000	-	-	504,000	-	399,880	-	-	-	-	-	-
5/5/1997	TRANS TO 1M010730 (1M0107)	(1,580,020) ⁽³⁾	-	-	-	(399,880)	-	-	-	-	-	(139,595)	-
	Total:		\$ 504,006	\$ (139,595)	\$ 35,469	\$ (399,880)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (139,595)	\$ -

⁽¹⁾ Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

⁽²⁾ Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

⁽³⁾ Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

BLMIS ACCOUNT NO. 1M0006 - ANDREW MADOFF

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
9/8/1988	CHECK	30,000	30,000	-	-	-	30,000	-	-	-	-	-	-
9/9/1988	CHECK	(3,000)	-	(3,000)	-	-	27,000	-	-	-	-	(3,000)	-
11/21/1988	CHECK	(2,000)	-	(2,000)	-	-	25,000	-	-	-	-	(2,000)	-
12/22/1988	CHECK	(2,000)	-	(2,000)	-	-	23,000	-	-	-	-	(2,000)	-
2/21/1989	CHECK	(2,000)	-	(2,000)	-	-	21,000	-	-	-	-	(2,000)	-
5/11/1989	CHECK	(2,000)	-	(2,000)	-	-	19,000	-	-	-	-	(2,000)	-
8/22/1989	CHECK	15,000	15,000	-	-	-	34,000	-	-	-	-	-	-
10/19/1989	CHECK	(3,000)	-	(3,000)	-	-	31,000	-	-	-	-	(3,000)	-
2/6/1990	CHECK	(3,000)	-	(3,000)	-	-	28,000	-	-	-	-	(3,000)	-
1/18/1991	CHECK WIRE A/O 01/09/91	(20,000)	-	(20,000)	-	-	8,000	-	-	-	-	(20,000)	-
1/29/1991	CHECK	20,000	20,000	-	-	-	28,000	-	-	-	-	-	-
4/1/1991	CHECK 03/20/91	(3,000)	-	(3,000)	-	-	25,000	-	-	-	-	(3,000)	-
Total:			\$ 65,000	\$ (40,000)	\$ -	\$ -	\$ 25,000	\$ -	\$ -	\$ -	\$ -	\$ (40,000)	\$ -

BLMIS ACCOUNT NO. IM0073 - ANDREW MADOFF & DEBORAH MADOFF J/T WROS

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
5/18/1994	TRANS FROM IM000510 (IM0005)	300,000 ⁽⁴⁾	-	-	-	-	-	-	-	-	-	-	-
4/15/1996	CHECK	(25,000)	-	(25,000)	-	-	(25,000)	-	-	-	-	-	(25,000)
10/17/1996	CHECK WIRE	(100,000)	-	(100,000)	-	-	(125,000)	-	-	-	-	-	(100,000)
5/5/1997	TRANS TO IM010830 (IM0108)	(377,656) ⁽⁵⁾	-	-	-	-	(125,000)	-	-	-	-	-	(125,000)
	Total:		\$ -	\$ (125,000)	\$ -	\$ -	\$ (125,000)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (125,000)

⁽⁴⁾ Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

⁽⁵⁾ Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

BLMIS ACCOUNT NO. 1M0107 - ANDREW MADOFF

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
5/5/1997	TRANS FROM 1M000510 (JM0005)	1,580,020 ⁽⁶⁾			399,880		399,880						
4/12/2000	CHECK	(192,000)		(192,000)	399,880		207,880						
		Total:			\$ 399,880	\$ -	\$ 207,880	\$ -	\$ -	\$ -	\$ -	\$ (192,000)	\$ (192,000)

⁽⁶⁾ Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

BLMIS ACCOUNT NO. IM0108 - ANDREW MADOFF & DEBORAH MADOFF J/T WROS

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
5/5/1997	TRANS FROM IM007310 (JM0073)	377,656 ⁽¹⁾											
4/12/2000	CHECK	(58,000)		(58,000)			(58,000)						(58,000)
	Total:												

⁽¹⁾ Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

BLMIS ACCOUNT NO. IM0140 - ANDREW H MADOFF

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
7/22/1998	TRANS TO BERNARD L MADOFF	(28,795)	-	(28,795)	-	-	(28,795)	-	-	-	-	-	(28,795)
7/24/1998	CHECK WIRE	(1,956,205)	-	(1,956,205)	-	-	(1,985,000)	-	-	-	-	-	(1,956,205)
4/3/2002	CHECK WIRE	(5,331,853)	-	(5,331,853)	-	-	(7,316,853)	-	-	-	-	-	(5,331,853)
4/20/2004	CHECK WIRE	(400,000)	-	(400,000)	-	-	(7,716,853)	-	-	-	-	-	(400,000)
4/26/2004	CHECK WIRE	(500,000)	-	(500,000)	-	-	(8,216,853)	-	-	-	-	-	(500,000)
5/7/2004	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	(10,216,853)	-	-	-	-	-	(2,000,000)
5/7/2004	CHECK WIRE	(1,719,406)	-	(1,719,406)	-	-	(11,936,259)	-	-	-	-	-	(1,719,406)
5/7/2004	CHECK WIRE	(500,000)	-	(500,000)	-	-	(12,436,259)	-	-	-	-	-	(500,000)
5/7/2004	CHECK WIRE	(2,200,000)	-	(2,200,000)	-	-	(14,636,259)	-	-	-	-	-	(2,200,000)
	Total:		\$ -	\$ (14,636,259)	\$ -	\$ -	\$ (14,636,259)	\$ -	\$ -	\$ -	\$ (7,319,406)	\$ -	\$ (14,636,259)

BLMIS ACCOUNT NO. IM0141 - CHILDREN OF ANDREW H MADOFF U/A 11/15/93 MARK D MADOFF TRUSTEE

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
7/22/1998	TRANS TO BERNARD L MADOFF	(28,795)		(28,795)			(28,795)						(28,795)
7/24/1998	CHECK WIRE	(1,956,205)		(1,956,205)			(1,985,000)						(1,956,205)
	Total:												
			\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
				(1,985,000)			(1,985,000)						(1,985,000)

ANDREW MADOFF COMPENSATION

<u>Date</u>	<u>Type</u>	<u>Transferee</u>	<u>Transfer Amount</u>	<u>1-Year Preferential Transfers</u>	<u>2-Year Transfers</u>	<u>6-Year Transfers</u>	<u>Prior to 6-Year Transfers</u>
12/29/1993	Salary	Andrew Madoff	105,500	-	-	-	105,500
12/29/1993	Bonus / Other Earnings	Andrew Madoff	10,000	-	-	-	10,000
12/29/1994	Salary	Andrew Madoff	130,000	-	-	-	130,000
12/29/1994	Bonus / Other Earnings	Andrew Madoff	30,000	-	-	-	30,000
12/27/1995	Salary	Andrew Madoff	153,500	-	-	-	153,500
12/27/1995	Bonus / Other Earnings	Andrew Madoff	10,000	-	-	-	10,000
12/25/1996	Salary	Andrew Madoff	166,000	-	-	-	166,000
12/25/1996	Bonus / Other Earnings	Andrew Madoff	120,000	-	-	-	120,000
12/31/1997	Bonus / Other Earnings	Andrew Madoff	574,000	-	-	-	574,000
12/31/1997	Salary	Andrew Madoff	159,000	-	-	-	159,000
12/31/1998	Bonus / Other Earnings	Andrew Madoff	508,000	-	-	-	508,000
12/31/1998	Salary	Andrew Madoff	182,000	-	-	-	182,000
12/29/1999	Salary	Andrew Madoff	444,600	-	-	-	444,600
12/29/1999	Bonus / Other Earnings	Andrew Madoff	10,000	-	-	-	10,000
12/27/2000	Salary	Andrew Madoff	499,980	-	-	-	499,980
12/27/2000	Bonus / Other Earnings	Andrew Madoff	10,000	-	-	-	10,000
12/26/2001	Bonus / Other Earnings	Andrew Madoff	3,000,000	-	-	-	3,000,000
12/26/2001	Salary	Andrew Madoff	509,595	-	-	-	509,595
12/25/2002	Bonus / Other Earnings	Andrew Madoff	3,010,000	-	-	-	3,010,000
12/25/2002	Salary	Andrew Madoff	499,980	-	-	-	499,980
12/26/2003	Salary	Andrew Madoff	985,690	-	-	985,690	-
12/26/2003	Bonus / Other Earnings	Andrew Madoff	15,000	-	-	15,000	-
12/31/2004	Salary	Andrew Madoff	1,060,000	-	-	1,060,000	-
12/31/2004	Bonus / Other Earnings	Andrew Madoff	20,000	-	-	20,000	-
12/30/2005	Bonus / Other Earnings	Andrew Madoff & Deborah Madoff	3,020,000	-	-	3,020,000	-
12/31/2006	Bonus / Other Earnings	Andrew Madoff & Deborah Madoff	1,040,000	-	-	1,040,000	-
12/31/2006	Bonus / Other Earnings	Andrew Madoff & Deborah Madoff	4,860,000	-	-	4,860,000	-
12/31/2006	Salary	Andrew Madoff & Deborah Madoff	1,000,000	-	-	1,000,000	-
1/5/2007	Bonus / Other Earnings	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
1/5/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
1/12/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
1/19/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
1/26/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
2/2/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
2/9/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
2/16/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
2/23/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
3/2/2007	Bonus / Other Earnings	Andrew Madoff & Deborah Madoff	1,000,000	-	1,000,000	1,000,000	-
3/2/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
3/9/2007	Bonus / Other Earnings	Andrew Madoff & Deborah Madoff	4,000,000	-	4,000,000	4,000,000	-

ANDREW MADOFF COMPENSATION

<u>Date</u>	<u>Type</u>	<u>Transferee</u>	<u>Transfer Amount</u>	<u>1-Year Preferential Transfers</u>	<u>2-Year Transfers</u>	<u>6-Year Transfers</u>	<u>Prior to 6-Year Transfers</u>
3/9/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
3/16/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
3/23/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
3/30/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
4/5/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
4/13/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
4/20/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
4/27/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
5/4/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
5/11/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
5/18/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
5/25/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
6/1/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
6/8/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
6/15/2007	Bonus / Other Earnings	Andrew Madoff & Deborah Madoff	4,000,000	-	4,000,000	4,000,000	-
6/15/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
6/22/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
6/29/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
7/6/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
7/13/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
7/20/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
7/27/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
8/3/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
8/10/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
8/17/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
8/24/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
8/31/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
9/7/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
9/14/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
9/21/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
9/28/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
10/5/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
10/12/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
10/19/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
10/26/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
11/2/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
11/9/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
11/16/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
11/23/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
11/30/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-

ANDREW MADOFF COMPENSATION

<u>Date</u>	<u>Type</u>	<u>Transferee</u>	<u>Transfer Amount</u>	<u>1-Year Preferential Transfers</u>	<u>2-Year Transfers</u>	<u>6-Year Transfers</u>	<u>Prior to 6-Year Transfers</u>
12/7/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	-	20,000	20,000	-
12/14/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
12/21/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
12/28/2007	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
1/4/2008	Bonus / Other Earnings	Andrew Madoff & Deborah Madoff	25,000	25,000	25,000	25,000	-
1/4/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
1/11/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
1/18/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
1/25/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
2/1/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
2/8/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
2/15/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
2/22/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
2/29/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
3/7/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
3/14/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
3/21/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
3/28/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
4/4/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
4/11/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
4/18/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
4/25/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
5/2/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
5/9/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
5/16/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
5/23/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
5/30/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
6/6/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
6/13/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
6/20/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
6/27/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
7/3/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
7/11/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
7/18/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
7/25/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
8/1/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
8/8/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
8/15/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
8/22/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
8/29/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-

ANDREW MADOFF COMPENSATION

<u>Date</u>	<u>Type</u>	<u>Transferee</u>	<u>Transfer Amount</u>	<u>1-Year Preferential Transfers</u>	<u>2-Year Transfers</u>	<u>6-Year Transfers</u>	<u>Prior to 6-Year Transfers</u>
9/5/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
9/12/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
9/19/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
9/26/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
10/3/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
10/10/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
10/17/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
10/24/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
10/31/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
11/7/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
11/14/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
11/21/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
11/28/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
12/5/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-
12/12/2008	Bonus / Other Earnings	Andrew Madoff & Deborah Madoff	1,000,000	1,000,000	1,000,000	1,000,000	-
12/12/2008	Salary	Andrew Madoff & Deborah Madoff	20,000	20,000	20,000	20,000	-

Total: \$ 34,217,845 \$ 2,085,000 \$ 12,085,000 \$ 24,085,690 \$ 10,132,155

OTHER TRANSFERS TO OR FOR THE BENEFIT OF ANDREW MADOFF

<u>Date</u>	<u>Transferee</u>	<u>Comments</u>	<u>Transfer Amount</u>	<u>1-Year Preferential Transfers</u>	<u>2-Year Transfers</u>	<u>6-Year Transfers</u>	<u>Prior to 6-Year Transfers</u>
4/17/1995	Sidney Howard Urquhart	FBO Andrew Madoff	1,440,000	-	-	-	1,440,000
4/17/1995	131 E. 66th St. Corporation	FBO Andrew Madoff	32,000	-	-	-	32,000
4/17/1995	NYS Department of Taxation and Finance	FBO Andrew Madoff	16,000	-	-	-	16,000
10/21/1996	Unknown	FBO Andrew Madoff	300,000	-	-	-	300,000
12/18/1998	MSIL	FBO Andrew Madoff	5,000,000	-	-	-	5,000,000
4/14/1999	Andrew Madoff		750	-	-	-	750
2/3/2000	Andrew Madoff & Deborah Madoff		250,000 ^[8]	-	-	-	250,000
7/20/2000	Andrew Madoff & Deborah Madoff		125,000 ^[8]	-	-	-	125,000
1/9/2001	Andrew Madoff		20,000	-	-	-	20,000
1/10/2001	Andrew Madoff		10,000	-	-	-	10,000
1/10/2001	Andrew Madoff		10,000	-	-	-	10,000
1/11/2001	Financial Auction Network	FBO Andrew Madoff	779,625	-	-	-	779,625
1/31/2001	Andrew Madoff & Deborah Madoff		125,000 ^[8]	-	-	-	125,000
3/13/2001	Lock & Hackle	FBO Andrew Madoff	10,000	-	-	-	10,000
6/29/2001	Lock & Hackle	FBO Andrew Madoff	10,000	-	-	-	10,000
7/16/2001	Andrew Madoff & Deborah Madoff		125,000 ^[8]	-	-	-	125,000
1/8/2002	Andrew Madoff		20,000	-	-	-	20,000
1/10/2002	Andrew Madoff		980,000	-	-	-	980,000
1/30/2002	Lock & Hackle	FBO Andrew Madoff	55,000	-	-	-	55,000
5/2/2002	American Express	FBO Andrew Madoff	8,081	-	-	-	8,081
5/7/2002	Andrew Madoff		500,000	-	-	-	500,000
6/4/2002	American Express	FBO Andrew Madoff	11,124	-	-	-	11,124
7/3/2002	American Express	FBO Andrew Madoff	1,464	-	-	-	1,464
7/12/2002	Beacon Point Marine	FBO Andrew Madoff	68,900	-	-	-	68,900
8/2/2002	American Express	FBO Andrew Madoff	28,584	-	-	-	28,584
8/2/2002	American Express	FBO Andrew Madoff	253	-	-	-	253
9/3/2002	American Express	FBO Andrew Madoff	2,767	-	-	-	2,767
9/3/2002	American Express	FBO Andrew Madoff	627	-	-	-	627
10/4/2002	American Express	FBO Andrew Madoff	5,439	-	-	-	5,439
11/5/2002	American Express	FBO Andrew Madoff	3,615	-	-	-	3,615
12/4/2002	American Express	FBO Andrew Madoff	34,740	-	-	-	34,740
12/4/2002	American Express	FBO Andrew Madoff	-	-	-	-	-
1/7/2003	American Express	FBO Andrew Madoff	13,463	-	-	-	13,463
2/5/2003	American Express	FBO Andrew Madoff	13,890	-	-	-	13,890
2/5/2003	American Express	FBO Andrew Madoff	150	-	-	-	150
3/4/2003	American Express	FBO Andrew Madoff	11,688	-	-	-	11,688
4/4/2003	American Express	FBO Andrew Madoff	11,398	-	-	-	11,398
4/10/2003	Beacon Point Marine	FBO Andrew Madoff	12,000	-	-	-	12,000
5/5/2003	American Express	FBO Andrew Madoff	12,148	-	-	-	12,148
5/28/2003	Andrew Madoff		4,114	-	-	-	4,114
6/2/2003	American Express	FBO Andrew Madoff	988	-	-	-	988
6/2/2003	American Express	FBO Andrew Madoff	539	-	-	-	539
7/3/2003	American Express	FBO Andrew Madoff	28,646	-	-	-	28,646
7/3/2003	American Express	FBO Andrew Madoff	2,582	-	-	-	2,582
8/4/2003	American Express	FBO Andrew Madoff	6,574	-	-	-	6,574
9/3/2003	American Express	FBO Andrew Madoff	22,284	-	-	-	22,284
9/3/2003	American Express	FBO Andrew Madoff	504	-	-	-	504
10/3/2003	American Express	FBO Andrew Madoff	2,548	-	-	-	2,548
11/4/2003	American Express	FBO Andrew Madoff	6,998	-	-	-	6,998
11/4/2003	American Express	FBO Andrew Madoff	315	-	-	-	315

OTHER TRANSFERS TO OR FOR THE BENEFIT OF ANDREW MADOFF

<u>Date</u>	<u>Transferee</u>	<u>Comments</u>	<u>Transfer Amount</u>	<u>1-Year Preferential Transfers</u>	<u>2-Year Transfers</u>	<u>6-Year Transfers</u>	<u>Prior to 6-Year Transfers</u>
11/25/2003	Andrew Madoff		6,800,000	-	-	6,800,000	-
12/3/2003	American Express	FBO Andrew Madoff	15,899	-	-	15,899	-
1/6/2004	American Express	FBO Andrew Madoff	7,863	-	-	7,863	-
1/9/2004	Andrew Madoff		10,000	-	-	10,000	-
1/9/2004	Andrew Madoff		10,000	-	-	10,000	-
1/12/2004	Andrew Madoff		25,000	-	-	25,000	-
2/4/2004	American Express	FBO Andrew Madoff	9,848	-	-	9,848	-
2/4/2004	American Express	FBO Andrew Madoff	150	-	-	150	-
2/26/2004	American Express	FBO Andrew Madoff	2,893	-	-	2,893	-
3/24/2004	American Express	FBO Andrew Madoff	14,554	-	-	14,554	-
5/4/2004	American Express	FBO Andrew Madoff	4,759	-	-	4,759	-
6/2/2004	American Express	FBO Andrew Madoff	(9,735)	-	-	(9,735)	-
7/2/2004	American Express	FBO Andrew Madoff	4,374	-	-	4,374	-
7/2/2004	American Express	FBO Andrew Madoff	38	-	-	38	-
8/3/2004	American Express	FBO Andrew Madoff	6,106	-	-	6,106	-
9/2/2004	American Express	FBO Andrew Madoff	3,913	-	-	3,913	-
10/5/2004	American Express	FBO Andrew Madoff	8,597	-	-	8,597	-
11/2/2004	American Express	FBO Andrew Madoff	9,388	-	-	9,388	-
12/3/2004	American Express	FBO Andrew Madoff	15,817	-	-	15,817	-
1/4/2005	American Express	FBO Andrew Madoff	2,427	-	-	2,427	-
1/24/2005	Andrew Madoff		10,000	-	-	10,000	-
1/24/2005	Andrew Madoff		10,000	-	-	10,000	-
2/3/2005	American Express	FBO Andrew Madoff	2,577	-	-	2,577	-
2/3/2005	American Express	FBO Andrew Madoff	150	-	-	150	-
3/4/2005	American Express	FBO Andrew Madoff	2,047	-	-	2,047	-
4/5/2005	American Express	FBO Andrew Madoff	25,834	-	-	25,834	-
5/4/2005	American Express	FBO Andrew Madoff	20,128	-	-	20,128	-
6/2/2005	American Express	FBO Andrew Madoff	4,358	-	-	4,358	-
7/5/2005	American Express	FBO Andrew Madoff	3,231	-	-	3,231	-
7/5/2005	American Express	FBO Andrew Madoff	38	-	-	38	-
8/3/2005	American Express	FBO Andrew Madoff	1,095	-	-	1,095	-
9/2/2005	American Express	FBO Andrew Madoff	9,939	-	-	9,939	-
10/6/2005	American Express	FBO Andrew Madoff	6,017	-	-	6,017	-
11/4/2005	American Express	FBO Andrew Madoff	(43)	-	-	(43)	-
12/2/2005	American Express	FBO Andrew Madoff	14,987	-	-	14,987	-
1/4/2006	American Express	FBO Andrew Madoff & Deborah Madoff	28,096	-	-	28,096	-
2/3/2006	American Express	FBO Andrew Madoff & Deborah Madoff	5,535	-	-	5,535	-
2/3/2006	American Express	FBO Andrew Madoff & Deborah Madoff	150	-	-	150	-
2/8/2006	Andrew Madoff & Deborah Madoff		11,000	-	-	11,000	-
2/8/2006	Andrew Madoff & Deborah Madoff		11,000	-	-	11,000	-
3/3/2006	American Express	FBO Andrew Madoff & Deborah Madoff	7,271	-	-	7,271	-
4/4/2006	American Express	FBO Andrew Madoff & Deborah Madoff	29,381	-	-	29,381	-
5/3/2006	American Express	FBO Andrew Madoff & Deborah Madoff	4,210	-	-	4,210	-
6/6/2006	American Express	FBO Andrew Madoff & Deborah Madoff	14,388	-	-	14,388	-
7/6/2006	American Express	FBO Andrew Madoff & Deborah Madoff	3,794	-	-	3,794	-
7/6/2006	American Express	FBO Andrew Madoff & Deborah Madoff	38	-	-	38	-
8/4/2006	American Express	FBO Andrew Madoff & Deborah Madoff	9,037	-	-	9,037	-
9/7/2006	American Express	FBO Andrew Madoff & Deborah Madoff	3,072	-	-	3,072	-
10/5/2006	American Express	FBO Andrew Madoff & Deborah Madoff	12,709	-	-	12,709	-
11/6/2006	American Express	FBO Andrew Madoff & Deborah Madoff	16,398	-	-	16,398	-
12/6/2006	American Express	FBO Andrew Madoff & Deborah Madoff	11,907	-	-	11,907	-

OTHER TRANSFERS TO OR FOR THE BENEFIT OF ANDREW MADOFF

Date	Transferee	Comments	Transfer Amount	1-Year Preferential Transfers	2-Year Transfers	6-Year Transfers	Prior to 6-Year Transfers
1/5/2007	American Express	FBO Andrew Madoff & Deborah Madoff	796	-	796	796	-
1/9/2007	Andrew Madoff & Deborah Madoff		11,000	-	11,000	11,000	-
1/9/2007	Andrew Madoff & Deborah Madoff		11,000	-	11,000	11,000	-
2/7/2007	American Express	FBO Andrew Madoff & Deborah Madoff	7,116	-	7,116	7,116	-
2/7/2007	American Express	FBO Andrew Madoff & Deborah Madoff	150	-	150	150	-
3/7/2007	American Express	FBO Andrew Madoff & Deborah Madoff	16,291	-	16,291	16,291	-
4/3/2007	American Express	FBO Andrew Madoff & Deborah Madoff	4,179	-	4,179	4,179	-
5/3/2007	American Express	FBO Andrew Madoff & Deborah Madoff	14,352	-	14,352	14,352	-
6/4/2007	American Express	FBO Andrew Madoff & Deborah Madoff	14,799	-	14,799	14,799	-
7/5/2007	American Express	FBO Andrew Madoff & Deborah Madoff	4,457	-	4,457	4,457	-
7/5/2007	American Express	FBO Andrew Madoff & Deborah Madoff	43	-	43	43	-
8/7/2007	American Express	FBO Andrew Madoff & Deborah Madoff	8,756	-	8,756	8,756	-
9/5/2007	American Express	FBO Andrew Madoff & Deborah Madoff	1,994	-	1,994	1,994	-
10/4/2007	American Express	FBO Andrew Madoff & Deborah Madoff	10,418	-	10,418	10,418	-
10/30/2007	American Express	FBO Andrew Madoff & Deborah Madoff	16,375	-	16,375	16,375	-
12/5/2007	American Express	FBO Andrew Madoff & Deborah Madoff	8,255	-	8,255	8,255	-
1/4/2008	American Express	FBO Andrew Madoff & Deborah Madoff	7,231	7,231	7,231	7,231	-
2/1/2008	American Express	FBO Andrew Madoff & Deborah Madoff	8,273	8,273	8,273	8,273	-
2/1/2008	American Express	FBO Andrew Madoff & Deborah Madoff	200	200	200	200	-
3/3/2008	American Express	FBO Andrew Madoff & Deborah Madoff	7,819	7,819	7,819	7,819	-
4/1/2008	American Express	FBO Andrew Madoff & Deborah Madoff	41,882	41,882	41,882	41,882	-
4/1/2008	American Express	FBO Andrew Madoff & Deborah Madoff	2,008	2,008	2,008	2,008	-
5/1/2008	American Express	FBO Andrew Madoff & Deborah Madoff	4,848	4,848	4,848	4,848	-
5/1/2008	American Express	FBO Andrew Madoff & Deborah Madoff	14	14	14	14	-
5/27/2008	Andrew Madoff & Deborah Madoff		150,000 ⁽⁹⁾	-	150,000	150,000	-
6/2/2008	American Express	FBO Andrew Madoff & Deborah Madoff	8,828	8,828	8,828	8,828	-
7/2/2008	American Express	FBO Andrew Madoff & Deborah Madoff	10,474	10,474	10,474	10,474	-
7/2/2008	American Express	FBO Andrew Madoff & Deborah Madoff	58	58	58	58	-
8/1/2008	American Express	FBO Andrew Madoff & Deborah Madoff	18,931	18,931	18,931	18,931	-
9/2/2008	American Express	FBO Andrew Madoff & Deborah Madoff	7,501	7,501	7,501	7,501	-
9/12/2008	Todtman, Nachamie, Spizz & Johns, P.C.	FBO Andrew Madoff & Deborah Madoff	430,000	-	430,000	430,000	-
9/24/2008	Blow Styling Salon	FBO Andrew Madoff	150,000	-	150,000	150,000	-
10/1/2008	Snow Becker Krauss Lola	FBO Andrew Madoff & Deborah Madoff	4,055,000	-	4,055,000	4,055,000	-
10/1/2008	American Express	FBO Andrew Madoff & Deborah Madoff	8,117	8,117	8,117	8,117	-
10/30/2008	American Express	FBO Andrew Madoff & Deborah Madoff	13,868	13,868	13,868	13,868	-
10/30/2008	American Express	FBO Andrew Madoff & Deborah Madoff	7,494	7,494	7,494	7,494	-
12/2/2008	American Express	FBO Andrew Madoff & Deborah Madoff	5,748	5,748	5,748	5,748	-
Total:			\$ 22,413,309	\$ 153,296	\$ 5,068,277	\$ 12,439,341	\$ 9,973,967

⁽⁸⁾ Transfer was made by MSIL, but was funded by BLMIS.

⁽⁹⁾ BLMIS transferred \$150,000 to Andrew and Deborah Madoff on 5/27/2008, which was subsequently transferred to Blow Styling Salon, LLC.

TRANSFERS TO OR FOR THE BENEFIT OF DEBORAH MADOFF

<u>Date</u>	<u>Transfer Category</u>	<u>Transferee</u>	<u>Comments</u>	<u>Transfer Amount</u>
2/3/2000	Other Transfers	Andrew Madoff & Deborah Madoff		250,000 ⁽¹⁾
7/20/2000	Other Transfers	Andrew Madoff & Deborah Madoff		125,000 ⁽¹⁾
1/31/2001	Other Transfers	Andrew Madoff & Deborah Madoff		125,000 ⁽¹⁾
7/16/2001	Other Transfers	Andrew Madoff & Deborah Madoff		125,000 ⁽¹⁾
12/30/2005	Compensation - Bonus / Other Earnings	Andrew Madoff & Deborah Madoff		3,020,000
12/30/2005	Compensation - Salary	Andrew Madoff & Deborah Madoff		1,040,000
1/4/2006	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	28,096
2/3/2006	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	5,535
2/3/2006	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	150
2/8/2006	Other Transfers	Andrew Madoff & Deborah Madoff		11,000
2/8/2006	Other Transfers	Andrew Madoff & Deborah Madoff		11,000
3/3/2006	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	7,271
4/4/2006	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	29,381
5/3/2006	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	4,210
6/6/2006	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	14,388
7/6/2006	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	3,794
7/6/2006	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	38
8/4/2006	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	9,037
9/7/2006	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	3,072
10/5/2006	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	12,709
11/6/2006	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	16,398
12/6/2006	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	11,907
12/31/2006	Compensation - Bonus / Other Earnings	Andrew Madoff & Deborah Madoff		4,860,000
12/31/2006	Compensation - Salary	Andrew Madoff & Deborah Madoff		1,000,000
1/5/2007	Compensation - Bonus / Other Earnings	Andrew Madoff & Deborah Madoff		20,000
1/5/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
1/5/2007	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	796
1/9/2007	Other Transfers	Andrew Madoff & Deborah Madoff		11,000
1/9/2007	Other Transfers	Andrew Madoff & Deborah Madoff		11,000
1/12/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
1/19/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
1/26/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
2/2/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
2/7/2007	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	7,116
2/7/2007	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	150
2/9/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
2/16/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
2/23/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
3/2/2007	Compensation - Bonus / Other Earnings	Andrew Madoff & Deborah Madoff		1,000,000

TRANSFERS TO OR FOR THE BENEFIT OF DEBORAH MADOFF

<u>Date</u>	<u>Transfer Category</u>	<u>Transferee</u>	<u>Comments</u>	<u>Transfer Amount</u>
3/2/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
3/7/2007	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	16,291
3/9/2007	Compensation - Bonus / Other Earnings	Andrew Madoff & Deborah Madoff		4,000,000
3/9/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
3/16/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
3/23/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
3/30/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
4/3/2007	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	4,179
4/5/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
4/13/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
4/20/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
4/27/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
5/3/2007	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	14,352
5/4/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
5/11/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
5/18/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
5/25/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
6/1/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
6/4/2007	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	14,799
6/8/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
6/15/2007	Compensation - Bonus / Other Earnings	Andrew Madoff & Deborah Madoff		4,000,000
6/15/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
6/22/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
6/29/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
7/5/2007	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	4,457
7/5/2007	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	43
7/6/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
7/13/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
7/20/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
7/27/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
8/3/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
8/7/2007	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	8,756
8/10/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
8/17/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
8/24/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
8/31/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
9/5/2007	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	1,994
9/7/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
9/14/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
9/21/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000

TRANSFERS TO OR FOR THE BENEFIT OF DEBORAH MADOFF

<u>Date</u>	<u>Transfer Category</u>	<u>Transferee</u>	<u>Comments</u>	<u>Transfer Amount</u>
9/28/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
10/4/2007	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	10,418
10/5/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
10/12/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
10/19/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
10/26/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
10/30/2007	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	16,375
11/2/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
11/9/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
11/16/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
11/23/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
11/30/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
12/5/2007	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	8,255
12/7/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
12/14/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
12/21/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
12/28/2007	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
1/4/2008	Compensation - Bonus / Other Earnings	Andrew Madoff & Deborah Madoff		25,000
1/4/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
1/4/2008	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	7,231
1/11/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
1/18/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
1/25/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
2/1/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
2/1/2008	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	8,273
2/1/2008	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	200
2/8/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
2/15/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
2/22/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
2/29/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
3/3/2008	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	7,819
3/7/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
3/14/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
3/21/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
3/28/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
4/1/2008	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	41,882
4/1/2008	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	2,008
4/4/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
4/11/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
4/18/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000

TRANSFERS TO OR FOR THE BENEFIT OF DEBORAH MADOFF

<u>Date</u>	<u>Transfer Category</u>	<u>Transferee</u>	<u>Comments</u>	<u>Transfer Amount</u>
4/25/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff	FBO Andrew Madoff & Deborah Madoff	20,000
5/1/2008	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	4,848
5/1/2008	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	14
5/2/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
5/9/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
5/16/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
5/23/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
5/27/2008	Other Transfers	Andrew Madoff & Deborah Madoff		150,000 ^[2]
5/30/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
6/2/2008	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	8,828
6/6/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
6/13/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
6/20/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
6/27/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
7/2/2008	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	10,474
7/2/2008	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	58
7/3/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
7/11/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
7/18/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
7/25/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
8/1/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
8/1/2008	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	18,931
8/8/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
8/15/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
8/22/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
8/29/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
9/2/2008	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	7,501
9/5/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
9/12/2008	Other Transfers	Todtman, Nachamie, Spizz & Johns, P.C.	FBO Andrew Madoff & Deborah Madoff	430,000
9/12/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
9/19/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
9/26/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
10/1/2008	Other Transfers	Snow Becker Krauss Iola	FBO Andrew Madoff & Deborah Madoff	4,055,000
10/1/2008	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	8,117
10/3/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
10/10/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
10/17/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
10/24/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
10/30/2008	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	13,868
10/30/2008	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	7,494

TRANSFERS TO OR FOR THE BENEFIT OF DEBORAH MADOFF

<u>Date</u>	<u>Transfer Category</u>	<u>Transferee</u>	<u>Comments</u>	<u>Transfer Amount</u>
10/31/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
11/7/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
11/14/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
11/21/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
11/28/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
12/2/2008	Other Transfers	American Express	FBO Andrew Madoff & Deborah Madoff	5,748
12/5/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
12/12/2008	Compensation - Bonus / Other Earnings	Andrew Madoff & Deborah Madoff		1,000,000
12/12/2008	Compensation - Salary	Andrew Madoff & Deborah Madoff		20,000
Total:				\$ 27,716,261

⁽¹⁾ Transfer was made by MSIL, but was funded by BLMIS.

⁽²⁾ BLMIS transferred \$150,000 to Andrew and Deborah Madoff on 5/27/2008, which was subsequently transferred to Blow Styling Salon, LLC.

TRANSFERS TO OR FOR THE BENEFIT OF SHANA MADOFF - SUMMARY

<u>Transfer Category</u>	<u>Total Transfer Amount</u>	<u>1-Year Preferential Transfers</u>	<u>2-Year Transfers</u>	<u>6-Year Transfers</u>	<u>Prior to 6-Year Transfers</u>
IA Account Withdrawals	1,666,436	-	675,000	1,338,649	327,787
Compensation (Salary/Bonus)	4,601,488	757,698	1,445,232	3,188,312	1,413,176
Other Transfers	9,000,116	85,563	4,761,362	7,635,609	1,364,507
	\$ 15,268,040	\$ 843,261	\$ 6,881,594	\$ 12,162,570	\$ 3,105,470

BLMIS ACCOUNT NO. IM0013 - SHANA MADOFF

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
12/17/1984	CHECK	11,872	11,872				11,872						
6/6/1985	CHECK	5,000	5,000				16,872						
6/7/1985	CHECK	1,000	1,000				17,872						
6/14/1985	CHECK	7	7				17,879						
7/19/1985	CHECK	3	3				17,881						
8/20/1985	CHECK	11	11				17,892						
8/20/1985	CHECK	22	22				17,914						
9/13/1985	CHECK	10	10				17,924						
9/13/1985	CHECK	7	7				17,930						
11/27/1985	CHECK	45	45				17,975						
1/31/1986	CHECK	500	500				18,475						
3/14/1986	CHECK	45	45				18,520						
4/21/1986	CHECK	20	20				18,540						
5/12/1986	CHECK	6	6				18,546						
5/12/1986	CHECK	3	3				18,549						
5/23/1986	CHECK	7	7				18,556						
5/23/1986	CHECK	45	45				18,600						
6/10/1986	CHECK	18	18				18,619						
6/18/1986	CHECK	52	52				18,671						
6/18/1986	CHECK	19	19				18,690						
6/18/1986	CHECK	8	8				18,698						
6/23/1986	CHECK	7	7				18,705						
7/7/1986	CHECK	23	23				18,728						
8/12/1986	CHECK	6	6				18,734						
8/12/1986	CHECK	3	3				18,737						
8/21/1986	CHECK	33	33				18,770						
8/29/1986	CHECK	16	16				18,786						
9/11/1986	CHECK	8	8				18,794						
9/11/1986	CHECK	52	52				18,846						
9/17/1986	CHECK	19	19				18,865						
9/17/1986	CHECK	7	7				18,872						
10/6/1986	CHECK	23	23				18,896						
10/6/1986	CHECK	2,538	2,538				21,434						
11/6/1986	CHECK	6	6				21,440						
11/10/1986	CHECK	3	3				21,442						
11/20/1986	CHECK	18	18				21,461						
11/24/1986	CHECK	49	49				21,509						
12/11/1986	CHECK	7	7				21,517						
12/18/1986	CHECK	52	52				21,569						
12/18/1986	CHECK	19	19				21,587						
12/18/1986	CHECK	8	8				21,596						
12/23/1986	CHECK	75	75				22,371						
1/4/1987	CHECK	23	23				22,394						
2/4/1987	CHECK	6	6				22,400						
2/12/1987	CHECK	3	3				22,403						
2/17/1987	CHECK	2,800	2,800				25,203						
2/19/1987	CHECK	49	49				25,251						
3/13/1987	CHECK	7	7				25,259						
3/16/1987	CHECK	19	19				25,277						
3/16/1987	CHECK	8	8				25,286						
3/16/1987	CHECK	52	52				25,338						
3/27/1987	CHECK	312	312				25,650						
4/3/1987	CHECK	21	21				25,671						
4/7/1987	CHECK	23	23				25,694						
4/30/1987	CHECK	275	275				25,969						
5/7/1987	CHECK	6	6				25,975						
5/18/1987	CHECK	18	18				25,993						
5/18/1987	CHECK	3	3				25,996						
5/21/1987	CHECK	33	33				26,028						
6/17/1987	CHECK	7	7				26,035						
6/17/1987	CHECK	19	19				26,054						
6/17/1987	CHECK	8	8				26,063						
6/17/1987	CHECK	52	52				26,115						
6/24/1987	CHECK	780	780				26,895						
7/6/1987	CHECK	23	23				26,918						
7/21/1987	CHECK	20	20				26,938						
8/10/1987	CHECK	3	3				26,941						
8/17/1987	CHECK	33	33				26,974						
8/17/1987	CHECK	6	6				26,980						
9/10/1987	CHECK	20	20				27,000						
9/14/1987	CHECK	19	19				27,018						
9/14/1987	CHECK	8	8				27,027						
9/14/1987	CHECK	58	58				27,085						
9/17/1987	CHECK	8	8				27,093						
10/6/1987	CHECK	23	23				27,116						

BLMIS ACCOUNT NO. 1M0013 - SHANA MADOFF

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
10/26/1987	CHECK	34	34	-	-	-	27,150	-	-	-	-	-	-
11/7/1987	CHECK	6	6	-	-	-	27,156	-	-	-	-	-	-
11/7/1987	CHECK	3	3	-	-	-	27,158	-	-	-	-	-	-
11/7/1987	CHECK	18	18	-	-	-	27,177	-	-	-	-	-	-
12/1/1987	CHECK	19	19	-	-	-	27,195	-	-	-	-	-	-
12/1/1987	CHECK	58	58	-	-	-	27,253	-	-	-	-	-	-
12/1/1987	CHECK	8	8	-	-	-	27,262	-	-	-	-	-	-
12/1/1987	CHECK	53	53	-	-	-	27,314	-	-	-	-	-	-
12/31/1987	CHECK	8	8	-	-	-	27,322	-	-	-	-	-	-
1/8/1988	CHECK	25	25	-	-	-	27,347	-	-	-	-	-	-
2/16/1988	CHECK	6	6	-	-	-	27,353	-	-	-	-	-	-
2/16/1988	CHECK	3	3	-	-	-	27,356	-	-	-	-	-	-
2/24/1988	CHECK	20	20	-	-	-	27,376	-	-	-	-	-	-
3/9/1988	CHECK	33	33	-	-	-	27,409	-	-	-	-	-	-
3/11/1988	CHECK	8	8	-	-	-	27,417	-	-	-	-	-	-
3/15/1988	CHECK	58	58	-	-	-	27,475	-	-	-	-	-	-
3/15/1988	CHECK	19	19	-	-	-	27,493	-	-	-	-	-	-
3/15/1988	CHECK	8	8	-	-	-	27,502	-	-	-	-	-	-
3/23/1988	CHECK	780	780	-	-	-	28,282	-	-	-	-	-	-
4/14/1988	CHECK	25	25	-	-	-	28,306	-	-	-	-	-	-
4/14/1988	CHECK	7	7	-	-	-	28,313	-	-	-	-	-	-
5/11/1988	CHECK	3	3	-	-	-	28,316	-	-	-	-	-	-
5/11/1988	CHECK	6	6	-	-	-	28,322	-	-	-	-	-	-
5/23/1988	CHECK	26	26	-	-	-	28,348	-	-	-	-	-	-
6/1/1988	CHECK	18	18	-	-	-	28,366	-	-	-	-	-	-
6/1/1988	CHECK	9	9	-	-	-	28,375	-	-	-	-	-	-
6/13/1988	CHECK	64	64	-	-	-	28,439	-	-	-	-	-	-
6/13/1988	CHECK	8	8	-	-	-	28,447	-	-	-	-	-	-
6/13/1988	CHECK	19	19	-	-	-	28,466	-	-	-	-	-	-
6/22/1988	CHECK	22	22	-	-	-	28,488	-	-	-	-	-	-
7/5/1988	CHECK	25	25	-	-	-	28,512	-	-	-	-	-	-
8/12/1988	CHECK	6	6	-	-	-	28,518	-	-	-	-	-	-
8/12/1988	CHECK	3	3	-	-	-	28,521	-	-	-	-	-	-
8/22/1988	CHECK	22	22	-	-	-	28,543	-	-	-	-	-	-
8/29/1988	CHECK	51	51	-	-	-	28,594	-	-	-	-	-	-
8/31/1988	CHECK	26	26	-	-	-	28,620	-	-	-	-	-	-
9/13/1988	CHECK	9	9	-	-	-	28,629	-	-	-	-	-	-
9/16/1988	CHECK	19	19	-	-	-	28,647	-	-	-	-	-	-
9/16/1988	CHECK	64	64	-	-	-	28,711	-	-	-	-	-	-
9/16/1988	CHECK	8	8	-	-	-	28,719	-	-	-	-	-	-
10/12/1988	CHECK	28	28	-	-	-	28,747	-	-	-	-	-	-
10/26/1988	CHECK	(2,500)	-	(2,500)	-	-	26,247	-	-	-	-	(2,500)	-
11/15/1988	CHECK	6	6	-	-	-	26,253	-	-	-	-	-	-
11/16/1988	CHECK	3	3	-	-	-	26,256	-	-	-	-	-	-
11/16/1988	CHECK	18	18	-	-	-	26,274	-	-	-	-	-	-
11/22/1988	CHECK	51	51	-	-	-	26,325	-	-	-	-	-	-
12/1/1988	CHECK	(5,000)	-	(5,000)	-	-	21,325	-	-	-	-	(5,000)	-
12/7/1988	TRANS FROM 10131910 (1M0007)	2,500	-	-	2,500	-	23,825	-	-	-	-	-	-
12/12/1988	CHECK	9	9	-	-	-	23,834	-	-	-	-	-	-
12/13/1988	CHECK	19	19	-	-	-	23,853	-	-	-	-	-	-
12/19/1988	CHECK	64	64	-	-	-	23,917	-	-	-	-	-	-
12/19/1988	CHECK	10	10	-	-	-	23,927	-	-	-	-	-	-
12/19/1988	CHECK	780	780	-	-	-	24,707	-	-	-	-	-	-
1/18/1989	CHECK	28	28	-	-	-	24,734	-	-	-	-	-	-
2/1/1989	CHECK	6	6	-	-	-	24,740	-	-	-	-	-	-
2/1/1989	CHECK	51	51	-	-	-	24,791	-	-	-	-	-	-
2/13/1989	CHECK	3	3	-	-	-	24,794	-	-	-	-	-	-
3/8/1989	CHECK	175	175	-	-	-	24,969	-	-	-	-	-	-
3/21/1989	CHECK	64	64	-	-	-	25,032	-	-	-	-	-	-
3/21/1989	CHECK	10	10	-	-	-	25,042	-	-	-	-	-	-
3/21/1989	CHECK	23	23	-	-	-	25,065	-	-	-	-	-	-
3/23/1989	CHECK	9	9	-	-	-	25,074	-	-	-	-	-	-
3/23/1989	CHECK	51	51	-	-	-	25,125	-	-	-	-	-	-
4/5/1989	CHECK	28	28	-	-	-	25,152	-	-	-	-	-	-
4/12/1989	TRANS TO M MADOFF (1M0007)	(2,500)	-	-	(2,500)	-	17,652	-	-	-	-	(5,000)	-
4/18/1989	CHECK	(5,000)	-	(5,000)	-	-	17,652	-	-	-	-	-	-
5/5/1989	CHECK	6	6	-	-	-	17,658	-	-	-	-	-	-
5/17/1989	CHECK	3	3	-	-	-	17,661	-	-	-	-	-	-
5/17/1989	CHECK	18	18	-	-	-	17,680	-	-	-	-	-	-
6/1/1989	CHECK	55	55	-	-	-	17,735	-	-	-	-	-	-
6/12/1989	CHECK	64	64	-	-	-	17,799	-	-	-	-	-	-
6/12/1989	CHECK	10	10	-	-	-	17,808	-	-	-	-	-	-
6/19/1989	CHECK	23	23	-	-	-	17,831	-	-	-	-	-	-
7/5/1989	CHECK	9	9	-	-	-	17,840	-	-	-	-	-	-
7/10/1989	CHECK	28	28	-	-	-	17,867	-	-	-	-	-	-

BLMIS ACCOUNT NO. 1M0013 - SHANA MADOFF

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
8/14/1989	CHECK	6	6				17,873						
8/14/1989	CHECK	3	3				17,876						
9/11/1989	CHECK	23	23				17,899						
9/13/1989	CHECK	70	70				17,968						
9/13/1989	CHECK	10	10				17,978						
9/29/1989	CHECK	30	30				18,008						
10/2/1989	CHECK	(1,700)		(1,700)			16,308					(1,700)	
10/6/1989	CHECK	28	28				16,336						
10/20/1989	CHECK	25	25				16,361						
11/13/1989	CHECK	6	6				16,367						
11/20/1989	CHECK	3	3				16,370						
11/20/1989	CHECK	18	18				16,388						
12/5/1989	CHECK	(1,800)		(1,800)			14,588					(1,800)	
12/15/1989	CHECK	70	70				14,658						
12/15/1989	CHECK	23	23				14,680						
12/15/1989	CHECK	10	10				14,690						
12/21/1989	CHECK	55	55				14,745						
1/16/1990	CHECK	28	28				14,773						
1/31/1990	CHECK	(3,436)		(3,436)			11,336					(3,436)	
2/6/1990	CHECK	6	6				11,342						
2/12/1990	CHECK	3	3				11,345						
3/12/1990	CHECK	10	10				11,355						
3/13/1990	CHECK	70	70				11,425						
3/13/1990	CHECK	23	23				11,447						
3/13/1990	CHECK	30	30				11,477						
3/13/1990	CHECK	10	10				11,487						
3/13/1990	CHECK	10	10				11,496						
3/27/1990	CHECK	(2,082)		(2,082)			9,415					(2,082)	
4/9/1990	CHECK	28	28				9,442						
4/20/1990	CHECK	25	25				9,467						
5/1/1990	CHECK	7	7				9,474						
5/14/1990	CHECK	3	3				9,477						
5/21/1990	CHECK	18	18				9,495						
6/13/1990	CHECK	32	32				9,527						
6/13/1990	CHECK	70	70				9,597						
6/13/1990	CHECK	23	23				9,619						
6/26/1990	CHECK	35	35				9,654						
7/6/1990	CHECK	28	28				9,682						
8/6/1990	CHECK	7	7				9,688						
8/13/1990	CHECK	3	3				9,691						
9/11/1990	CHECK	10	10				9,701						
9/12/1990	CHECK	35	35				9,736						
9/13/1990	CHECK	70	70				9,806						
9/13/1990	CHECK	23	23				9,828						
9/20/1990	CHECK	32	32				9,860						
9/25/1990	CHECK	7,000	7,000				16,860						
10/9/1990	CHECK	28	28				16,888						
11/13/1990	CHECK	7	7				16,894						
11/21/1990	CHECK	18	18				16,912						
12/5/1990	CHECK	4,500	4,500				21,412						
12/12/1990	CHECK	78	78				21,490						
12/12/1990	CHECK	11	11				21,501						
12/12/1990	CHECK	32	32				21,533						
12/12/1990	CHECK	23	23				21,555						
12/18/1990	CHECK	35	35				21,590						
1/16/1991	CHECK	28	28				21,618						
2/13/1991	CHECK	7	7				21,624						
3/4/1991	CHECK	(5,000)		(5,000)			16,624					(5,000)	
3/11/1991	CHECK	11	11				16,635						
3/14/1991	CHECK	35	35				16,670						
3/20/1991	CHECK	12	12				16,682						
3/20/1991	CHECK	78	78				16,760						
4/3/1991	CHECK	28	28				16,787						
5/14/1991	CHECK	7	7				16,794						
6/12/1991	CHECK	78	78				16,872						
6/12/1991	CHECK	12	12				16,884						
6/12/1991	CHECK	37	37				16,920						
6/17/1991	CHECK	18	18				16,939						
6/19/1991	CHECK	35	35				16,974						
7/1/1991	CHECK	8	8				16,982						
8/9/1991	CHECK	7	7				16,988						
9/11/1991	CHECK	78	78				17,066						
9/11/1991	CHECK	12	12				17,078						
9/13/1991	CHECK	35	35				17,113						
9/13/1991	CHECK	5	5				17,118						

BLMIS ACCOUNT NO. 1M0013 - SHANA MADOFF

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
9/24/1991	CHECK	37	37	-	-	-	17,155	-	-	-	-	-	-
10/17/1991	CHECK	28	28	-	-	-	17,182	-	-	-	-	-	-
10/11/1991	CHECK (7,500)	(7,500)	-	(7,500)	-	-	9,682	-	-	-	-	(7,500)	-
10/15/1991	CHECK	28	28	-	-	-	9,710	-	-	-	-	-	-
11/8/1991	CHECK	28	28	-	-	-	9,737	-	-	-	-	-	-
11/19/1991	CHECK	7	7	-	-	-	9,744	-	-	-	-	-	-
12/10/1991	CHECK	35	35	-	-	-	9,779	-	-	-	-	-	-
12/10/1991	CHECK	37	37	-	-	-	9,816	-	-	-	-	-	-
12/10/1991	CHECK	18	18	-	-	-	9,834	-	-	-	-	-	-
12/12/1991	CHECK	11	11	-	-	-	9,845	-	-	-	-	-	-
12/13/1991	CHECK	12	12	-	-	-	9,857	-	-	-	-	-	-
12/13/1991	CHECK	78	78	-	-	-	9,934	-	-	-	-	-	-
12/19/1991	CHECK	5	5	-	-	-	9,939	-	-	-	-	-	-
17/1992	CHECK	28	28	-	-	-	9,967	-	-	-	-	-	-
1/30/1992	CHECK 558	558	558	-	-	-	10,525	-	-	-	-	-	-
1/30/1992	CHECK	37	37	-	-	-	10,561	-	-	-	-	-	-
2/5/1992	CHECK	7	7	-	-	-	10,568	-	-	-	-	-	-
3/11/1992	CHECK	37	37	-	-	-	10,605	-	-	-	-	-	-
3/11/1992	CHECK	11	11	-	-	-	10,616	-	-	-	-	-	-
3/11/1992	CHECK	35	35	-	-	-	10,651	-	-	-	-	-	-
3/11/1992	CHECK	5	5	-	-	-	10,656	-	-	-	-	-	-
3/16/1992	CHECK	12	12	-	-	-	10,668	-	-	-	-	-	-
3/16/1992	CHECK	78	78	-	-	-	10,745	-	-	-	-	-	-
3/23/1992	CHECK	37	37	-	-	-	10,782	-	-	-	-	-	-
4/10/1992	CHECK	28	28	-	-	-	10,810	-	-	-	-	-	-
5/19/1992	CHECK	7	7	-	-	-	10,816	-	-	-	-	-	-
6/3/1992	CHECK	18	18	-	-	-	10,834	-	-	-	-	-	-
6/10/1992	CHECK	42	42	-	-	-	10,876	-	-	-	-	-	-
6/15/1992	CHECK	5	5	-	-	-	10,881	-	-	-	-	-	-
6/15/1992	CHECK	84	84	-	-	-	10,965	-	-	-	-	-	-
6/15/1992	CHECK	12	12	-	-	-	10,976	-	-	-	-	-	-
6/15/1992	CHECK	12	12	-	-	-	10,988	-	-	-	-	-	-
6/15/1992	CHECK	35	35	-	-	-	11,023	-	-	-	-	-	-
7/8/1992	CHECK	28	28	-	-	-	11,051	-	-	-	-	-	-
8/19/1992	CHECK	7	7	-	-	-	11,057	-	-	-	-	-	-
9/14/1992	CHECK	84	84	-	-	-	11,092	-	-	-	-	-	-
9/14/1992	CHECK	12	12	-	-	-	11,176	-	-	-	-	-	-
9/14/1992	CHECK	5	5	-	-	-	11,187	-	-	-	-	-	-
10/6/1992	CHECK	42	42	-	-	-	11,192	-	-	-	-	-	-
10/6/1992	CHECK	42	42	-	-	-	11,234	-	-	-	-	-	-
10/6/1992	CHECK	12	12	-	-	-	11,275	-	-	-	-	-	-
10/6/1992	CHECK	28	28	-	-	-	11,287	-	-	-	-	-	-
10/13/1992	CHECK	24	24	-	-	-	11,315	-	-	-	-	-	-
12/11/1992	CHECK	18	18	-	-	-	11,339	-	-	-	-	-	-
12/14/1992	CHECK	17	17	-	-	-	11,357	-	-	-	-	-	-
12/16/1992	CHECK	5	5	-	-	-	11,374	-	-	-	-	-	-
12/21/1992	CHECK	7	7	-	-	-	11,379	-	-	-	-	-	-
12/30/1992	CHECK	95	95	-	-	-	11,386	-	-	-	-	-	-
12/30/1992	CHECK	6	6	-	-	-	11,481	-	-	-	-	-	-
12/30/1992	CHECK	28	28	-	-	-	11,487	-	-	-	-	-	-
1/20/1993	CHECK	26	26	-	-	-	11,514	-	-	-	-	-	-
1/26/1993	CHECK	1,100	1,100	-	-	-	12,611	-	-	-	-	-	-
2/8/1993	CHECK	7	7	-	-	-	12,647	-	-	-	-	-	-
2/26/1993	CHECK A.O.2/8/93	(7)	(7)	-	-	-	12,654	-	-	-	-	-	-
2/26/1993	CANCEL CHECK A.O. 2/8/93	83	83	-	-	-	12,647	-	-	-	-	-	-
3/10/1993	CHECK	5	5	-	-	-	12,730	-	-	-	-	-	-
3/17/1993	CHECK	2,240	2,240	-	-	-	12,735	-	-	-	-	-	-
3/18/1993	CHECK	17	17	-	-	-	14,975	-	-	-	-	-	-
3/18/1993	CHECK	6	6	-	-	-	14,992	-	-	-	-	-	-
3/18/1993	CHECK	95	95	-	-	-	14,998	-	-	-	-	-	-
4/7/1993	CHECK	28	28	-	-	-	15,093	-	-	-	-	-	-
4/12/1993	CHECK	26	26	-	-	-	15,121	-	-	-	-	-	-
4/22/1993	TRANS TO 1M006210 A.O.4/20/93 (M0062)	(102,795)	-	-	-	(15,147)	-	-	-	-	-	-	-
5/24/1993	CHECK	7	7	-	-	-	7	-	-	-	-	-	-
5/24/1993	CHECK	18	18	-	-	-	25	-	-	-	-	-	-
5/25/1993	CXL CHECK	(18)	(18)	-	-	-	18	-	-	-	-	-	-
5/25/1993	CXL CHECK	(18)	(18)	-	-	-	18	-	-	-	-	-	-
Total:													\$
			\$ 49,166	\$ (34,018)	\$ 2,500	\$ (17,647)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (34,018)	\$ -

Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

BLMIS ACCOUNT NO. 1M0062 - SHANA MADOFF FBO TST PAUL KONIGSBERG TSTEE

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
4/22/1993	TRANS FROM 1M001310 A/O 4/20 (1M0013)	102,795.12	-	-	15,147	-	15,147	-	-	-	-	-	-
5/25/1993	CHECK A/O 5/24/93	18	18	-	-	-	15,166	-	-	-	-	-	-
6/11/1993	CHECK A/O 5/24/93	7	7	-	-	-	15,172	-	-	-	-	-	-
6/11/1993	CHECK	83	83	-	-	-	15,255	-	-	-	-	-	-
6/15/1993	CHECK	5	5	-	-	-	15,260	-	-	-	-	-	-
6/15/1993	CHECK	17	17	-	-	-	15,355	-	-	-	-	-	-
6/15/1993	CHECK	6	6	-	-	-	15,372	-	-	-	-	-	-
7/13/1993	CHECK	28	28	-	-	-	15,406	-	-	-	-	-	-
7/22/1993	CHECK	350	350	-	-	-	15,756	-	-	-	-	-	-
7/29/1993	CHECK	350	350	-	-	-	16,105	-	-	-	-	-	-
8/17/1993	CHECK	7	7	-	-	-	16,112	-	-	-	-	-	-
8/27/1993	CHECK	350	350	-	-	-	16,461	-	-	-	-	-	-
8/27/1993	CHECK	350	350	-	-	-	16,811	-	-	-	-	-	-
9/3/1993	CHECK	350	350	-	-	-	17,160	-	-	-	-	-	-
9/13/1993	CHECK	17	17	-	-	-	17,177	-	-	-	-	-	-
9/13/1993	CHECK	95	95	-	-	-	17,272	-	-	-	-	-	-
9/13/1993	CHECK	5	5	-	-	-	17,277	-	-	-	-	-	-
9/13/1993	CHECK	83	83	-	-	-	17,361	-	-	-	-	-	-
9/13/1993	CHECK	6	6	-	-	-	17,367	-	-	-	-	-	-
10/4/1993	CHECK	28	28	-	-	-	17,394	-	-	-	-	-	-
10/4/1993	CHECK	6	6	-	-	-	17,400	-	-	-	-	-	-
10/18/1993	CHECK	26	26	-	-	-	17,426	-	-	-	-	-	-
10/27/1993	CHECK RETURNED	(6)	(6)	-	-	-	17,420	-	-	-	-	-	-
11/2/1993	CHECK	7	7	-	-	-	17,427	-	-	-	-	-	-
11/2/1993	CHECK	15	15	-	-	-	17,442	-	-	-	-	-	-
11/2/1993	CHECK	3	3	-	-	-	17,446	-	-	-	-	-	-
12/13/1993	CHECK	83	83	-	-	-	17,529	-	-	-	-	-	-
12/13/1993	CHECK	6	6	-	-	-	17,546	-	-	-	-	-	-
12/14/1993	CHECK	17	17	-	-	-	17,582	-	-	-	-	-	-
12/14/1993	CHECK	95	95	-	-	-	17,647	-	-	-	-	-	-
12/14/1993	CHECK	20,000	20,000	-	-	-	37,647	-	-	-	-	-	-
1/10/1994	CHECK	28	28	-	-	-	37,674	-	-	-	-	-	-
1/10/1994	CHECK	35	35	-	-	-	37,710	-	-	-	-	-	-
1/24/1994	CHECK	29	29	-	-	-	37,738	-	-	-	-	-	-
1/31/1994	CHECK	7	7	-	-	-	37,745	-	-	-	-	-	-
2/15/1994	CHECK	26	26	-	-	-	37,771	-	-	-	-	-	-
3/9/1994	CHECK	3	3	-	-	-	37,775	-	-	-	-	-	-
3/14/1994	CHECK	17	17	-	-	-	37,792	-	-	-	-	-	-
3/14/1994	CHECK	83	83	-	-	-	37,875	-	-	-	-	-	-
3/14/1994	CHECK	95	95	-	-	-	37,970	-	-	-	-	-	-
4/4/1994	CHECK	5	5	-	-	-	37,975	-	-	-	-	-	-
4/4/1994	CHECK	6	6	-	-	-	37,981	-	-	-	-	-	-
4/4/1994	CHECK	22	22	-	-	-	38,003	-	-	-	-	-	-
4/19/1994	CHECK	400	400	-	-	-	38,403	-	-	-	-	-	-
4/21/1994	CHECK	29	29	-	-	-	38,432	-	-	-	-	-	-
5/3/1994	CHECK	7	7	-	-	-	38,438	-	-	-	-	-	-
6/13/1994	CHECK	95	95	-	-	-	38,534	-	-	-	-	-	-
6/13/1994	CHECK	6	6	-	-	-	38,540	-	-	-	-	-	-
6/13/1994	CHECK	60	60	-	-	-	38,600	-	-	-	-	-	-
6/13/1994	CHECK	83	83	-	-	-	38,683	-	-	-	-	-	-
6/13/1994	CHECK	17	17	-	-	-	38,700	-	-	-	-	-	-
6/13/1994	CHECK	3	3	-	-	-	38,703	-	-	-	-	-	-
7/8/1994	CHECK	5	5	-	-	-	38,708	-	-	-	-	-	-
7/8/1994	CHECK	22	22	-	-	-	38,730	-	-	-	-	-	-
7/19/1994	CHECK	29	29	-	-	-	38,759	-	-	-	-	-	-
8/1/1994	CHECK	7	7	-	-	-	38,766	-	-	-	-	-	-
8/9/1994	CHECK	265	265	-	-	-	39,031	-	-	-	-	-	-
9/13/1994	CHECK	3	3	-	-	-	39,034	-	-	-	-	-	-
9/13/1994	CHECK	17	17	-	-	-	39,051	-	-	-	-	-	-
9/13/1994	CHECK	95	95	-	-	-	39,146	-	-	-	-	-	-
9/13/1994	CHECK	6	6	-	-	-	39,152	-	-	-	-	-	-
9/15/1994	CHECK	7,680	7,680	-	-	-	46,832	-	-	-	-	-	-
10/20/1994	CHECK	22	22	-	-	-	46,854	-	-	-	-	-	-
10/20/1994	CHECK	5	5	-	-	-	46,859	-	-	-	-	-	-
11/1/1994	CHECK	29	29	-	-	-	46,888	-	-	-	-	-	-
11/1/1994	CHECK	7	7	-	-	-	46,895	-	-	-	-	-	-
12/12/1994	CHECK	3	3	-	-	-	46,898	-	-	-	-	-	-
12/12/1994	CHECK	99	99	-	-	-	46,997	-	-	-	-	-	-
12/12/1994	CHECK	6	6	-	-	-	47,003	-	-	-	-	-	-
12/12/1994	CHECK	17	17	-	-	-	47,020	-	-	-	-	-	-
12/19/1994	CHECK	619	619	-	-	-	47,639	-	-	-	-	-	-
1/13/1995	CHECK	22	22	-	-	-	47,661	-	-	-	-	-	-
1/26/1995	CHECK	31	31	-	-	-	47,692	-	-	-	-	-	-
2/3/1995	CHECK	7	7	-	-	-	47,699	-	-	-	-	-	-

BLMIS ACCOUNT NO. 1M0062 - SHANA MADOFF FBO TST PAUL KONIGSBERG TSTEE

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
3/17/1995	CHECK	6	6	-	-	-	47,705	-	-	-	-	-	-
3/17/1995	CHECK	17	17	-	-	-	47,722	-	-	-	-	-	-
3/17/1995	CHECK	99	99	-	-	-	47,821	-	-	-	-	-	-
3/17/1995	CHECK	3	3	-	-	-	47,824	-	-	-	-	-	-
4/5/1995	CHECK	22	22	-	-	-	47,846	-	-	-	-	-	-
4/5/1995	CHECK	10	10	-	-	-	47,857	-	-	-	-	-	-
4/10/1995	CHECK	10,156	10,156	-	-	-	58,013	-	-	-	-	-	-
4/17/1995	CHECK	(1,959)	-	(1,959)	-	-	56,054	-	-	-	-	(1,959)	-
4/17/1995	CHECK	(8,197)	-	(8,197)	-	-	47,857	-	-	-	-	(8,197)	-
4/19/1995	CHECK	31	31	-	-	-	47,888	-	-	-	-	-	-
5/9/1995	CHECK	7	7	-	-	-	47,894	-	-	-	-	-	-
6/12/1995	CHECK	99	99	-	-	-	47,993	-	-	-	-	-	-
6/12/1995	CHECK	17	17	-	-	-	48,010	-	-	-	-	-	-
7/3/1995	CHECK	9	9	-	-	-	48,019	-	-	-	-	-	-
7/3/1995	CHECK	3	3	-	-	-	48,023	-	-	-	-	-	-
7/5/1995	CHECK	22	22	-	-	-	48,045	-	-	-	-	-	-
8/3/1995	CHECK	31	31	-	-	-	48,076	-	-	-	-	-	-
8/3/1995	CHECK	7	7	-	-	-	48,083	-	-	-	-	-	-
9/11/1995	CHECK	17	17	-	-	-	48,100	-	-	-	-	-	-
9/11/1995	CHECK	3	3	-	-	-	48,103	-	-	-	-	-	-
9/18/1995	CHECK	9	9	-	-	-	48,112	-	-	-	-	-	-
9/18/1995	CHECK	99	99	-	-	-	48,211	-	-	-	-	-	-
10/4/1995	CHECK	10	10	-	-	-	48,221	-	-	-	-	-	-
10/4/1995	CHECK	22	22	-	-	-	48,243	-	-	-	-	-	-
10/27/1995	CHECK	31	31	-	-	-	48,274	-	-	-	-	-	-
11/1/1995	CHECK	7	7	-	-	-	48,281	-	-	-	-	-	-
12/14/1995	CHECK	9	9	-	-	-	48,290	-	-	-	-	-	-
12/14/1995	CHECK	17	17	-	-	-	48,307	-	-	-	-	-	-
1/17/1996	CHECK	22	22	-	-	-	48,329	-	-	-	-	-	-
1/23/1996	CHECK	3	3	-	-	-	48,333	-	-	-	-	-	-
1/23/1996	CHECK	99	99	-	-	-	48,432	-	-	-	-	-	-
2/2/1996	CHECK	7	7	-	-	-	48,438	-	-	-	-	-	-
2/23/1996	CHECK	34	34	-	-	-	48,472	-	-	-	-	-	-
3/11/1996	CHECK	5	5	-	-	-	48,477	-	-	-	-	-	-
3/18/1996	CHECK	99	99	-	-	-	48,576	-	-	-	-	-	-
3/18/1996	CHECK	12	12	-	-	-	48,588	-	-	-	-	-	-
3/18/1996	CHECK	17	17	-	-	-	48,605	-	-	-	-	-	-
4/29/1996	CHECK	22	22	-	-	-	48,627	-	-	-	-	-	-
5/16/1996	CHECK	34	34	-	-	-	48,660	-	-	-	-	-	-
5/16/1996	CHECK	7	7	-	-	-	48,667	-	-	-	-	-	-
5/28/1996	CHECK	7	7	-	-	-	48,774	-	-	-	-	-	-
6/10/1996	CHECK	12	12	-	-	-	48,786	-	-	-	-	-	-
6/10/1996	CHECK	104	104	-	-	-	48,890	-	-	-	-	-	-
6/19/1996	CHECK	17	17	-	-	-	48,907	-	-	-	-	-	-
7/5/1996	CHECK	5	5	-	-	-	48,912	-	-	-	-	-	-
7/23/1996	CHECK	34	34	-	-	-	48,934	-	-	-	-	-	-
8/2/1996	CHECK	7	7	-	-	-	48,968	-	-	-	-	-	-
8/19/1996	CHECK	6	6	-	-	-	48,974	-	-	-	-	-	-
9/11/1996	CHECK	5	5	-	-	-	48,980	-	-	-	-	-	-
9/11/1996	CHECK	104	104	-	-	-	48,985	-	-	-	-	-	-
9/11/1996	CHECK	12	12	-	-	-	49,090	-	-	-	-	-	-
9/11/1996	CHECK	17	17	-	-	-	49,102	-	-	-	-	-	-
10/8/1996	CHECK	22	22	-	-	-	49,119	-	-	-	-	-	-
10/17/1996	CHECK	34	34	-	-	-	49,141	-	-	-	-	-	-
10/17/1996	CHECK	88	88	-	-	-	49,174	-	-	-	-	-	-
10/31/1996	CHECK	2,800	2,800	-	-	-	49,262	-	-	-	-	-	-
11/7/1996	CHECK	7	7	-	-	-	52,062	-	-	-	-	-	-
11/7/1996	CHECK	21	21	-	-	-	52,069	-	-	-	-	-	-
12/16/1996	CHECK	12	12	-	-	-	52,090	-	-	-	-	-	-
12/16/1996	CHECK	19	19	-	-	-	52,102	-	-	-	-	-	-
12/16/1996	CHECK	5	5	-	-	-	52,121	-	-	-	-	-	-
12/16/1996	CHECK	104	104	-	-	-	52,126	-	-	-	-	-	-
1/27/1997	CHECK	22	22	-	-	-	52,230	-	-	-	-	-	-
1/27/1997	CHECK	36	36	-	-	-	52,252	-	-	-	-	-	-
1/27/1997	CHECK	10	10	-	-	-	52,288	-	-	-	-	-	-
2/13/1997	CHECK	7	7	-	-	-	52,298	-	-	-	-	-	-
3/12/1997	CHECK	0	0	-	-	-	52,305	-	-	-	-	-	-
3/12/1997	CHECK	5	5	-	-	-	52,310	-	-	-	-	-	-
4/22/1997	CHECK	19	19	-	-	-	52,329	-	-	-	-	-	-
4/22/1997	CHECK	24	24	-	-	-	52,354	-	-	-	-	-	-
4/28/1997	CHECK	36	36	-	-	-	52,390	-	-	-	-	-	-
5/5/1997	CHECK	750	750	-	-	-	53,140	-	-	-	-	-	-
TRANS TO 1M011030 (1M0110)		(281,617)				(53,140)							

BLMIS ACCOUNT NO. 1M0062 - SHANA MADOFF FBO TST PAUL KONIGSBERG TSTEE

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
		Total:	\$ 48,148	\$ (10,156)	\$ 15,147	\$ (53,140)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (10,156)	\$ -

⁽²⁾ Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

⁽³⁾ Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers in Principal In	Transfers Out Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
5/5/1997	TRANS FROM IM006210 (JM0002)	281,617 (a)	-	-	53,140	-	53,140	-	-	-	-	-	-
6/9/1997	CHECK	7	7	-	-	-	53,146	-	-	-	-	-	-
6/9/1997	CHECK	0	0	-	-	-	53,147	-	-	-	-	-	-
6/11/1997	CHECK	108	108	-	-	-	53,255	-	-	-	-	-	-
6/23/1997	CHECK	104	104	-	-	-	53,359	-	-	-	-	-	-
6/23/1997	CHECK	15	15	-	-	-	53,374	-	-	-	-	-	-
6/26/1997	CHECK	19	19	-	-	-	53,393	-	-	-	-	-	-
6/26/1997	CHECK	200	200	-	-	-	53,593	-	-	-	-	-	-
6/26/1997	CHECK	15	15	-	-	-	53,608	-	-	-	-	-	-
6/26/1997	CHECK	5	5	-	-	-	53,613	-	-	-	-	-	-
7/9/1997	CHECK	750	750	-	-	-	54,363	-	-	-	-	-	-
7/21/1997	CHECK	36	36	-	-	-	54,399	-	-	-	-	-	-
7/21/1997	CHECK	752	752	-	-	-	55,151	-	-	-	-	-	-
7/31/1997	CHECK	24	24	-	-	-	55,175	-	-	-	-	-	-
7/31/1997	CHECK	10	10	-	-	-	55,185	-	-	-	-	-	-
8/20/1997	CHECK	7	7	-	-	-	55,192	-	-	-	-	-	-
8/29/1997	CHECK	200	200	-	-	-	55,392	-	-	-	-	-	-
9/15/1997	CHECK	108	108	-	-	-	55,500	-	-	-	-	-	-
9/15/1997	CHECK	15	15	-	-	-	55,515	-	-	-	-	-	-
10/7/1997	CHECK	24	24	-	-	-	55,539	-	-	-	-	-	-
10/8/1997	CHECK	0	0	-	-	-	55,540	-	-	-	-	-	-
10/8/1997	CHECK	19	19	-	-	-	55,559	-	-	-	-	-	-
10/8/1997	CHECK	5	5	-	-	-	55,564	-	-	-	-	-	-
11/13/1997	CHECK	36	36	-	-	-	55,600	-	-	-	-	-	-
12/9/1997	CHECK	0	0	-	-	-	55,600	-	-	-	-	-	-
1/6/1998	CHECK	5	5	-	-	-	55,800	-	-	-	-	-	-
1/6/1998	CHECK	10	10	-	-	-	55,805	-	-	-	-	-	-
1/6/1998	CHECK	19	19	-	-	-	55,816	-	-	-	-	-	-
1/6/1998	CHECK	108	108	-	-	-	55,835	-	-	-	-	-	-
1/6/1998	CHECK	200	200	-	-	-	55,943	-	-	-	-	-	-
1/6/1998	CHECK	15	15	-	-	-	56,143	-	-	-	-	-	-
1/6/1998	CHECK	24	24	-	-	-	56,158	-	-	-	-	-	-
1/6/1998	CHECK	24	24	-	-	-	56,182	-	-	-	-	-	-
1/23/1998	CHECK	750	750	-	-	-	56,932	-	-	-	-	-	-
2/2/1998	CHECK	38	38	-	-	-	56,970	-	-	-	-	-	-
2/2/1998	CHECK	51	51	-	-	-	57,022	-	-	-	-	-	-
2/2/1998	CHECK	0	0	-	-	-	57,022	-	-	-	-	-	-
2/2/1998	CHECK	200	200	-	-	-	57,222	-	-	-	-	-	-
2/3/1998	CHECK	481	481	-	-	-	57,703	-	-	-	-	-	-
2/3/1998	CHECK	42	42	-	-	-	57,745	-	-	-	-	-	-
2/3/1998	CHECK	7	7	-	-	-	57,752	-	-	-	-	-	-
2/3/1998	CHECK	7	7	-	-	-	57,758	-	-	-	-	-	-
2/11/1998	CHECK	30	30	-	-	-	57,788	-	-	-	-	-	-
2/20/1998	CHECK	200	200	-	-	-	57,988	-	-	-	-	-	-
2/23/1998	CHECK	190	190	-	-	-	58,178	-	-	-	-	-	-
3/24/1998	CHECK	200	200	-	-	-	58,378	-	-	-	-	-	-
3/24/1998	CHECK	15	15	-	-	-	58,393	-	-	-	-	-	-
3/24/1998	CHECK	21	21	-	-	-	58,414	-	-	-	-	-	-
3/24/1998	CHECK	108	108	-	-	-	58,522	-	-	-	-	-	-
3/25/1998	CHECK	150	150	-	-	-	58,672	-	-	-	-	-	-
3/25/1998	CHECK	150	150	-	-	-	58,822	-	-	-	-	-	-
3/25/1998	CHECK	150	150	-	-	-	58,972	-	-	-	-	-	-
3/25/1998	CHECK	5	5	-	-	-	58,977	-	-	-	-	-	-
3/25/1998	CHECK	0	0	-	-	-	58,978	-	-	-	-	-	-
3/31/1998	CHECK	200	200	-	-	-	59,178	-	-	-	-	-	-
3/31/1998	CHECK	10	10	-	-	-	59,188	-	-	-	-	-	-
4/3/1998	CHECK	24	24	-	-	-	59,212	-	-	-	-	-	-
4/8/1998	CHECK	750	750	-	-	-	59,962	-	-	-	-	-	-
4/13/1998	CHECK	38	38	-	-	-	60,001	-	-	-	-	-	-
5/11/1998	CHECK	200	200	-	-	-	60,201	-	-	-	-	-	-
6/3/1998	CHECK	0	0	-	-	-	60,401	-	-	-	-	-	-
6/10/1998	CHECK	0	0	-	-	-	60,401	-	-	-	-	-	-
6/16/1998	CHECK	15	15	-	-	-	60,416	-	-	-	-	-	-
6/16/1998	CHECK	21	21	-	-	-	60,437	-	-	-	-	-	-
6/16/1998	CHECK	108	108	-	-	-	60,546	-	-	-	-	-	-
6/18/1998	CHECK	200	200	-	-	-	60,746	-	-	-	-	-	-
6/18/1998	CHECK	200	200	-	-	-	60,946	-	-	-	-	-	-
6/22/1998	CHECK	140	140	-	-	-	61,086	-	-	-	-	-	-
6/24/1998	CHECK	7	7	-	-	-	61,092	-	-	-	-	-	-
6/24/1998	CHECK	5	5	-	-	-	61,097	-	-	-	-	-	-
7/1/1998	CHECK	10	10	-	-	-	61,107	-	-	-	-	-	-

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
7/7/1998	CHECK	24	24	-	-	-	61,132	-	-	-	-	-	-
7/15/1998	CHECK	200	200	-	-	-	61,332	-	-	-	-	-	-
7/20/1998	CHECK	38	38	-	-	-	61,370	-	-	-	-	-	-
8/18/1998	CHECK	7	7	-	-	-	61,377	-	-	-	-	-	-
8/25/1998	CHECK	200	200	-	-	-	61,577	-	-	-	-	-	-
9/3/1998	CHECK	0	0	-	-	-	61,577	-	-	-	-	-	-
9/15/1998	CHECK	21	21	-	-	-	61,598	-	-	-	-	-	-
9/15/1998	CHECK	15	15	-	-	-	61,613	-	-	-	-	-	-
9/15/1998	CHECK	108	108	-	-	-	61,721	-	-	-	-	-	-
9/18/1998	CHECK	200	200	-	-	-	61,921	-	-	-	-	-	-
9/28/1998	CHECK	200	200	-	-	-	62,121	-	-	-	-	-	-
9/28/1998	CHECK	200	200	-	-	-	62,321	-	-	-	-	-	-
10/2/1998	CHECK	24	24	-	-	-	62,345	-	-	-	-	-	-
10/9/1998	CHECK	5	5	-	-	-	62,350	-	-	-	-	-	-
10/21/1998	CHECK	38	38	-	-	-	62,389	-	-	-	-	-	-
11/12/1998	CHECK	0	0	-	-	-	62,389	-	-	-	-	-	-
11/12/1998	CHECK	7	7	-	-	-	62,396	-	-	-	-	-	-
11/23/1998	CHECK	200	200	-	-	-	62,596	-	-	-	-	-	-
12/7/1998	CHECK	400	400	-	-	-	62,996	-	-	-	-	-	-
12/16/1998	CHECK	200	200	-	-	-	63,196	-	-	-	-	-	-
12/16/1998	CHECK	5	5	-	-	-	63,201	-	-	-	-	-	-
12/16/1998	CHECK	15	15	-	-	-	63,216	-	-	-	-	-	-
12/16/1998	CHECK	21	21	-	-	-	63,237	-	-	-	-	-	-
12/16/1998	CHECK	108	108	-	-	-	63,345	-	-	-	-	-	-
1/8/1999	CHECK	200	200	-	-	-	63,545	-	-	-	-	-	-
1/19/1999	CHECK	24	24	-	-	-	63,569	-	-	-	-	-	-
1/19/1999	CHECK	200	200	-	-	-	63,769	-	-	-	-	-	-
1/19/1999	CHECK	48	48	-	-	-	63,817	-	-	-	-	-	-
1/21/1999	CHECK	200	200	-	-	-	64,017	-	-	-	-	-	-
1/26/1999	CHECK	0	0	-	-	-	64,017	-	-	-	-	-	-
1/26/1999	CHECK	41	41	-	-	-	64,058	-	-	-	-	-	-
1/26/1999	CHECK	42	42	-	-	-	64,100	-	-	-	-	-	-
2/9/1999	CHECK	200	200	-	-	-	64,300	-	-	-	-	-	-
2/12/1999	CHECK	7	7	-	-	-	64,307	-	-	-	-	-	-
2/16/1999	CHECK	200	200	-	-	-	64,507	-	-	-	-	-	-
3/11/1999	CHECK	5	5	-	-	-	64,512	-	-	-	-	-	-
3/12/1999	CHECK	200	200	-	-	-	64,712	-	-	-	-	-	-
3/12/1999	CHECK	21	21	-	-	-	64,733	-	-	-	-	-	-
3/12/1999	CHECK	108	108	-	-	-	64,841	-	-	-	-	-	-
3/12/1999	CHECK	15	15	-	-	-	64,856	-	-	-	-	-	-
3/16/1999	CHECK	0	0	-	-	-	64,857	-	-	-	-	-	-
3/17/1999	CHECK	37	37	-	-	-	64,894	-	-	-	-	-	-
4/6/1999	CHECK	24	24	-	-	-	64,918	-	-	-	-	-	-
4/9/1999	CHECK	(34,000)	-	(34,000)	-	-	30,918	-	-	-	(34,000)	-	-
4/12/1999	CHECK	200	200	-	-	-	31,118	-	-	-	-	-	-
4/19/1999	CHECK	41	41	-	-	-	31,159	-	-	-	-	-	-
4/23/1999	CHECK	200	200	-	-	-	31,359	-	-	-	-	-	-
4/26/1999	CHECK	298	298	-	-	-	31,657	-	-	-	-	-	-
5/18/1999	CHECK	200	200	-	-	-	31,857	-	-	-	-	-	-
6/3/1999	CHECK	200	200	-	-	-	32,057	-	-	-	-	-	-
6/11/1999	CHECK	53	53	-	-	-	32,110	-	-	-	-	-	-
6/11/1999	CHECK	5	5	-	-	-	32,115	-	-	-	-	-	-
6/15/1999	CHECK	18	18	-	-	-	32,133	-	-	-	-	-	-
6/15/1999	CHECK	108	108	-	-	-	32,241	-	-	-	-	-	-
6/15/1999	CHECK	21	21	-	-	-	32,262	-	-	-	-	-	-
6/15/1999	CHECK	15	15	-	-	-	32,277	-	-	-	-	-	-
6/15/1999	CHECK	24	24	-	-	-	32,301	-	-	-	-	-	-
7/19/1999	CHECK	41	41	-	-	-	32,342	-	-	-	-	-	-
7/26/1999	CHECK	1	1	-	-	-	32,343	-	-	-	-	-	-
9/13/1999	CHECK	15	15	-	-	-	32,358	-	-	-	-	-	-
9/13/1999	CHECK	108	108	-	-	-	32,467	-	-	-	-	-	-
9/13/1999	CHECK	21	21	-	-	-	32,488	-	-	-	-	-	-
9/13/1999	CHECK	0	0	-	-	-	32,488	-	-	-	-	-	-
9/15/1999	CHECK	5	5	-	-	-	32,493	-	-	-	-	-	-
10/12/1999	CHECK	24	24	-	-	-	32,517	-	-	-	-	-	-
11/1/1999	CHECK	7	7	-	-	-	32,524	-	-	-	-	-	-
11/1/1999	CHECK	41	41	-	-	-	32,565	-	-	-	-	-	-
11/8/1999	CHECK	1	1	-	-	-	32,566	-	-	-	-	-	-
12/2/1999	CHECK	2,491	2,491	-	-	-	35,057	-	-	-	-	-	-
12/8/1999	CHECK	0	0	-	-	-	35,057	-	-	-	-	-	-
12/14/1999	CHECK	5	5	-	-	-	35,063	-	-	-	-	-	-
12/27/1999	CHECK	21	21	-	-	-	35,084	-	-	-	-	-	-

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
12/27/1999	CHECK	116	116	-	-	-	35,200	-	-	-	-	-	-
12/27/1999	CHECK	15	15	-	-	-	35,215	-	-	-	-	-	-
1/6/2000	CHECK	24	24	-	-	-	35,239	-	-	-	-	-	-
1/10/2000	CHECK	200	200	-	-	-	35,439	-	-	-	-	-	-
1/19/2000	CHECK	1	1	-	-	-	35,440	-	-	-	-	-	-
2/1/2000	CHECK	7	7	-	-	-	35,447	-	-	-	-	-	-
2/9/2000	CHECK	46	46	-	-	-	35,493	-	-	-	-	-	-
3/2/2000	CHECK	0	0	-	-	-	35,493	-	-	-	-	-	-
3/13/2000	CHECK	5	5	-	-	-	35,498	-	-	-	-	-	-
3/13/2000	CHECK	116	116	-	-	-	35,614	-	-	-	-	-	-
3/13/2000	CHECK	15	15	-	-	-	35,629	-	-	-	-	-	-
3/21/2000	CHECK	21	21	-	-	-	35,650	-	-	-	-	-	-
3/29/2000	CHECK	10	10	-	-	-	35,660	-	-	-	-	-	-
4/10/2000	CHECK	24	24	-	-	-	35,685	-	-	-	-	-	-
4/17/2000	CHECK	(10,992)	-	(10,992)	-	-	24,693	-	-	-	-	(10,992)	(10,992)
4/17/2000	CHECK	10,992	10,992	-	-	-	(7,034)	-	-	-	-	-	-
4/19/2000	CHECK	42,719	42,719	-	-	-	35,685	-	-	-	-	(42,719)	(42,719)
4/19/2000	CHECK	200	200	-	-	-	35,885	-	-	-	-	-	-
4/27/2000	CHECK	46	46	-	-	-	35,930	-	-	-	-	-	-
4/27/2000	CHECK	1	1	-	-	-	35,932	-	-	-	-	-	-
5/4/2000	CHECK	7	7	-	-	-	35,938	-	-	-	-	-	-
5/16/2000	CHECK	1,000	1,000	-	-	-	36,938	-	-	-	-	-	-
5/31/2000	CHECK	200	200	-	-	-	37,138	-	-	-	-	-	-
6/6/2000	CHECK	0	0	-	-	-	37,139	-	-	-	-	-	-
6/12/2000	CHECK	15	15	-	-	-	37,154	-	-	-	-	-	-
6/12/2000	CHECK	116	116	-	-	-	37,270	-	-	-	-	-	-
6/13/2000	CHECK	5	5	-	-	-	37,275	-	-	-	-	-	-
6/15/2000	CHECK	21	21	-	-	-	37,296	-	-	-	-	-	-
6/26/2000	CHECK	200	200	-	-	-	37,496	-	-	-	-	-	-
7/10/2000	CHECK	24	24	-	-	-	37,520	-	-	-	-	-	-
7/18/2000	CHECK	1,521	1,521	-	-	-	39,041	-	-	-	-	-	-
7/18/2000	CHECK	46	46	-	-	-	39,086	-	-	-	-	-	-
7/31/2000	CHECK	1	1	-	-	-	39,088	-	-	-	-	-	-
8/2/2000	CHECK	7	7	-	-	-	39,094	-	-	-	-	-	-
8/15/2000	CHECK	137	137	-	-	-	39,231	-	-	-	-	-	-
9/12/2000	CHECK	5	5	-	-	-	39,236	-	-	-	-	-	-
9/14/2000	CHECK	23	23	-	-	-	39,259	-	-	-	-	-	-
9/14/2000	CHECK	200	200	-	-	-	39,459	-	-	-	-	-	-
9/14/2000	CHECK	116	116	-	-	-	39,575	-	-	-	-	-	-
9/14/2000	CHECK	15	15	-	-	-	39,590	-	-	-	-	-	-
9/15/2000	CHECK	0	0	-	-	-	39,591	-	-	-	-	-	-
9/18/2000	CHECK	200	200	-	-	-	39,791	-	-	-	-	-	-
9/26/2000	CHECK	10	10	-	-	-	39,801	-	-	-	-	-	-
10/3/2000	CHECK	24	24	-	-	-	39,825	-	-	-	-	-	-
10/19/2000	CHECK	1	1	-	-	-	39,827	-	-	-	-	-	-
10/19/2000	CHECK	1,000	1,000	-	-	-	40,827	-	-	-	-	-	-
10/19/2000	CHECK	46	46	-	-	-	40,872	-	-	-	-	-	-
11/1/2000	CHECK	200	200	-	-	-	41,072	-	-	-	-	-	-
11/13/2000	CHECK	200	200	-	-	-	41,272	-	-	-	-	-	-
12/7/2000	CHECK	0	0	-	-	-	41,273	-	-	-	-	-	-
12/7/2000	CHECK	200	200	-	-	-	41,473	-	-	-	-	-	-
12/13/2000	CHECK	5	5	-	-	-	41,478	-	-	-	-	-	-
12/18/2000	CHECK	116	116	-	-	-	41,594	-	-	-	-	-	-
12/18/2000	CHECK	23	23	-	-	-	41,617	-	-	-	-	-	-
12/19/2000	CHECK	7	7	-	-	-	41,632	-	-	-	-	-	-
1/17/2001	CHECK	200	200	-	-	-	41,638	-	-	-	-	-	-
1/17/2001	CHECK	24	24	-	-	-	41,838	-	-	-	-	-	-
1/29/2001	CHECK	1	1	-	-	-	41,863	-	-	-	-	-	-
1/29/2001	CHECK	48	48	-	-	-	41,864	-	-	-	-	-	-
2/5/2001	CHECK	200	200	-	-	-	41,912	-	-	-	-	-	-
2/5/2001	CHECK	1	1	-	-	-	42,112	-	-	-	-	-	-
2/12/2001	CHECK	200	200	-	-	-	42,113	-	-	-	-	-	-
3/2/2001	CHECK	0	0	-	-	-	42,314	-	-	-	-	-	-
3/13/2001	CHECK	23	23	-	-	-	42,319	-	-	-	-	-	-
3/13/2001	CHECK	116	116	-	-	-	42,342	-	-	-	-	-	-
3/13/2001	CHECK	15	15	-	-	-	42,458	-	-	-	-	-	-
4/2/2001	CHECK	24	24	-	-	-	42,473	-	-	-	-	-	-
4/25/2001	CHECK	200	200	-	-	-	42,497	-	-	-	-	-	-
4/25/2001	CHECK	1	1	-	-	-	42,697	-	-	-	-	-	-
4/25/2001	CHECK	1	1	-	-	-	42,698	-	-	-	-	-	-

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
4/23/2001	CHECK	48	48				42,746						
5/2/2001	CHECK	1	1				42,747						
6/6/2001	CHECK	200	200				42,947						
6/6/2001	CHECK	800	800				43,747						
6/11/2001	CHECK	23	23				43,770						
6/11/2001	CHECK	15	15				43,785						
6/14/2001	CHECK	2	2				43,787						
6/18/2001	CHECK	116	116				43,904						
6/19/2001	CHECK WIRE	(65,000)		(65,000)			(21,096)					(65,000)	
6/26/2001	CHECK	1,000	1,000				(20,096)						
7/3/2001	CHECK	2,250	2,250				(17,846)						
7/10/2001	CHECK	24	24				(17,822)						
7/16/2001	CHECK	5	5				(17,817)						
7/23/2001	CHECK	48	48				(17,769)						
7/23/2001	CHECK	200	200				(17,569)						
7/31/2001	CHECK	1,000	1,000				(16,569)						
8/6/2001	CHECK	30,000	30,000				13,431						
8/7/2001	CHECK	11	11				13,442						
8/10/2001	CHECK	1	1				13,443						
8/13/2001	CHECK	1	1				13,444						
8/29/2001	CHECK	1,000	1,000				14,444						
9/17/2001	CHECK	23	23				14,467						
9/17/2001	CHECK	15	15				14,482						
9/17/2001	CHECK	121	121				14,604						
9/19/2001	CHECK	2	2				14,606						
9/19/2001	CHECK	35	35				14,640						
10/24/2001	CHECK	24	24				14,665						
10/24/2001	CHECK	1	1				14,666						
10/24/2001	CHECK	200	200				14,866						
10/24/2001	CHECK	48	48				14,914						
10/29/2001	CHECK	1,000	1,000				15,914						
11/14/2001	CHECK	477	477				16,390						
11/20/2001	CHECK	3,000	3,000				19,390						
12/4/2001	CHECK	4	4				19,394						
12/4/2001	CHECK	447	447				19,841						
12/13/2001	CHECK	121	121				19,963						
12/13/2001	CHECK	15	15				19,978						
12/13/2001	CHECK	23	23				20,001						
12/19/2001	CHECK	1	1				20,002						
12/27/2001	CHECK	2	2				20,004						
12/27/2001	CHECK	1,000	1,000				21,004						
1/4/2002	CHECK	1,875	1,875				22,879						
1/7/2002	CHECK	500	500				23,379						
1/7/2002	CHECK	25	25				23,404						
1/7/2002	CHECK	200	200				23,604						
1/14/2002	CHECK	200	200				23,804						
1/18/2002	CHECK	20	20				23,824						
1/30/2002	CHECK	50	50				23,874						
2/5/2002	CHECK	1	1				23,875						
3/4/2002	CHECK	500	500				24,375						
3/4/2002	CHECK	200	200				24,575						
3/12/2002	CHECK	500	500				25,075						
3/20/2002	CHECK	23	23				25,098						
3/20/2002	CHECK	15	15				25,113						
3/20/2002	CHECK	121	121				25,235						
3/26/2002	CHECK	20	20				25,255						
3/26/2002	CHECK	1	1				25,256						
4/5/2002	CHECK	(15,000)		(15,000)			10,256					(15,000)	
4/23/2002	CHECK	50	50				10,307						
4/25/2002	CHECK	400	400				10,707						
4/25/2002	CHECK	500	500				11,207						
4/25/2002	CHECK	1	1				11,208						
5/2/2002	CHECK	1,000	1,000				12,208						
5/2/2002	CHECK	1	1				12,209						
5/7/2002	CHECK	2,700	2,700				14,909						
5/8/2002	CHECK	50	50				14,959						
6/12/2002	CHECK	121	121				15,080						
6/12/2002	CHECK	23	23				15,103						
6/12/2002	CHECK	15	15				15,118						
6/18/2002	CHECK	85,000	85,000				100,118						
7/1/2002	CHECK	2	2				100,120						
7/22/2002	CHECK	50	50				100,171						

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
7/22/2002	CHECK	50	50	-	-	-	100,220	-	-	-	-	-	-
7/24/2002	CHECK	2,000	2,000	-	-	-	102,220	-	-	-	-	-	-
8/6/2002	CHECK	1	1	-	-	-	102,221	-	-	-	-	-	-
8/6/2002	CHECK	2,700	2,700	-	-	-	104,921	-	-	-	-	-	-
8/13/2002	CHECK	20	20	-	-	-	104,941	-	-	-	-	-	-
8/16/2002	CHECK	55	55	-	-	-	104,997	-	-	-	-	-	-
8/26/2002	CHECK	2,000	2,000	-	-	-	106,997	-	-	-	-	-	-
9/4/2002	CHECK	1,000	1,000	-	-	-	107,997	-	-	-	-	-	-
9/4/2002	CHECK	(15,000)	-	(15,000)	-	-	92,997	-	-	(10,000)	-	(15,000)	-
9/5/2002	CHECK	2,700	2,700	-	-	-	95,697	-	-	-	-	-	-
9/17/2002	CHECK	23	23	-	-	-	95,720	-	-	-	-	-	-
9/17/2002	CHECK	121	121	-	-	-	95,841	-	-	-	-	-	-
9/17/2002	CHECK	15	15	-	-	-	95,856	-	-	-	-	-	-
9/19/2002	CHECK	1	1	-	-	-	95,857	-	-	-	-	-	-
9/27/2002	CHECK	1,000	1,000	-	-	-	96,857	-	-	-	-	-	-
10/18/2002	CHECK	50	50	-	-	-	96,908	-	-	-	-	-	-
10/18/2002	CHECK	1	1	-	-	-	96,909	-	-	-	-	-	-
11/18/2002	CHECK	500	500	-	-	-	97,409	-	-	-	-	-	-
11/27/2002	CHECK	(10,000)	-	(10,000)	-	-	87,409	-	-	(10,000)	-	(10,000)	-
12/2/2002	CHECK	3,000	3,000	-	-	-	90,409	-	-	-	-	-	-
12/11/2002	CHECK	121	121	-	-	-	90,531	-	-	-	-	-	-
12/11/2002	CHECK	15	15	-	-	-	90,546	-	-	-	-	-	-
12/19/2002	CHECK	50	50	-	-	-	90,595	-	-	-	-	-	-
12/19/2002	CHECK	23	23	-	-	-	90,618	-	-	-	-	-	-
1/3/2003	CHECK	38	38	-	-	-	90,657	-	-	-	-	-	-
1/6/2003	CHECK	2,700	2,700	-	-	-	93,357	-	-	-	-	-	-
1/14/2003	CHECK	(10,000)	-	(10,000)	-	-	83,357	-	-	(10,000)	-	(10,000)	-
1/21/2003	CHECK	53	53	-	-	-	83,358	-	-	-	-	-	-
1/27/2003	CHECK	53	53	-	-	-	83,411	-	-	-	-	-	-
2/24/2003	CHECK	(10,000)	-	(10,000)	-	-	73,411	-	-	(10,000)	-	(10,000)	-
3/17/2003	CHECK	121	121	-	-	-	73,532	-	-	-	-	-	-
3/17/2003	CHECK	15	15	-	-	-	73,547	-	-	-	-	-	-
3/17/2003	CHECK	1	1	-	-	-	73,548	-	-	-	-	-	-
3/17/2003	CHECK	23	23	-	-	-	73,571	-	-	-	-	-	-
4/7/2003	CHECK	86	86	-	-	-	73,658	-	-	-	-	-	-
4/28/2003	CHECK	53	53	-	-	-	73,710	-	-	-	-	-	-
5/6/2003	CHECK	1	1	-	-	-	73,712	-	-	-	-	-	-
6/4/2003	CHECK	30,000	30,000	-	-	-	103,712	-	-	-	-	-	-
6/11/2003	CHECK	132	132	-	-	-	103,844	-	-	-	-	-	-
6/11/2003	CHECK	15	15	-	-	-	103,859	-	-	-	-	-	-
6/16/2003	CHECK	23	23	-	-	-	103,882	-	-	-	-	-	-
6/26/2003	CHECK	10,000	10,000	-	-	-	113,882	-	-	-	-	-	-
7/7/2003	CHECK	900	900	-	-	-	114,782	-	-	-	-	-	-
7/18/2003	CHECK	200	200	-	-	-	114,982	-	-	-	-	-	-
7/18/2003	CHECK	65	65	-	-	-	115,046	-	-	-	-	-	-
7/21/2003	CHECK	50	50	-	-	-	115,096	-	-	-	-	-	-
7/28/2003	CHECK	58	58	-	-	-	115,155	-	-	-	-	-	-
8/4/2003	CHECK	1	1	-	-	-	115,155	-	-	-	-	-	-
8/5/2003	CHECK	900	900	-	-	-	116,055	-	-	-	-	-	-
9/15/2003	CHECK	25	25	-	-	-	116,080	-	-	-	-	-	-
9/15/2003	CHECK	1	1	-	-	-	116,081	-	-	-	-	-	-
9/15/2003	CHECK	132	132	-	-	-	116,082	-	-	-	-	-	-
9/15/2003	CHECK	15	15	-	-	-	116,214	-	-	-	-	-	-
10/20/2003	CHECK	1	1	-	-	-	116,231	-	-	-	-	-	-
10/28/2003	CHECK	58	58	-	-	-	116,288	-	-	-	-	-	-
11/5/2003	CHECK	1	1	-	-	-	116,290	-	-	-	-	-	-
11/24/2003	CHECK	(15,000)	-	(15,000)	-	-	101,290	-	-	(15,000)	-	(15,000)	-
12/15/2003	CHECK	15	15	-	-	-	101,305	-	-	-	-	-	-
12/15/2003	CHECK	25	25	-	-	-	101,330	-	-	-	-	-	-
12/15/2003	CHECK	132	132	-	-	-	101,462	-	-	-	-	-	-
12/15/2003	CHECK	14	14	-	-	-	101,476	-	-	-	-	-	-
1/26/2004	CHECK	(15,000)	-	(15,000)	-	-	86,476	-	-	(15,000)	-	(15,000)	-
2/3/2004	CHECK	67	67	-	-	-	86,543	-	-	-	-	-	-
2/3/2004	CHECK	1	1	-	-	-	86,544	-	-	-	-	-	-
2/9/2004	CHECK	900	900	-	-	-	87,444	-	-	-	-	-	-
2/9/2004	CHECK	7	7	-	-	-	87,451	-	-	-	-	-	-
2/9/2004	CHECK	600	600	-	-	-	88,051	-	-	-	-	-	-
2/9/2004	CHECK	2,000	2,000	-	-	-	90,051	-	-	-	-	-	-
2/9/2004	CHECK	1,250	1,250	-	-	-	91,301	-	-	-	-	-	-
3/15/2004	CHECK	15	15	-	-	-	91,316	-	-	-	-	-	-
3/15/2004	CHECK	25	25	-	-	-	91,341	-	-	-	-	-	-

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
3/15/2004	CHECK	132	132				91,473						
4/26/2004	CHECK	1	1				91,475						
4/26/2004	CHECK	67	67				91,542						
4/30/2004	CHECK	5,000	5,000				96,542						
6/14/2004	CHECK	15	15				96,557						
6/14/2004	CHECK	143	143				96,700						
6/14/2004	CHECK	25	25				96,725						
6/17/2004	CHECK	(19,000)		(19,000)			77,725			(19,000)		(19,000)	
7/1/2004	CHECK	900	900				78,625						
7/20/2004	CHECK	14	14				78,638						
7/26/2004	CHECK	67	67				78,705						
7/27/2004	CHECK	1,000	1,000				79,705						
9/2/2004	CHECK	(80,000)		(80,000)			(295)						
9/3/2004	STOP PAYMENT	80,000		(80,000)			79,705						
9/3/2004	CHECK	(80,000)		(80,000)			(295)			(80,000)		(80,000)	
9/13/2004	CHECK	15	15				(280)						
9/13/2004	CHECK	1	1				(278)						
9/13/2004	CHECK	143	143				(136)						
9/13/2004	CHECK	80,000	80,000				79,864						
9/24/2004	CHECK	25	25				79,889						
10/6/2004	CHECK	(40,000)		(40,000)			39,889			(40,000)		(40,000)	
10/15/2004	CHECK	900	900				40,789						
10/26/2004	CHECK	2,500	2,500				43,289						
10/29/2004	CHECK	67	67				43,357						
11/4/2004	CHECK	(30,000)		(30,000)			13,357			(30,000)		(30,000)	
11/12/2004	CHECK	135	135				(6,508)			(20,000)		(20,000)	
11/17/2004	CHECK	(20,000)		(20,000)			(26,508)			(20,000)		(20,000)	
12/10/2004	CHECK	143	143				(26,366)						
12/13/2004	CHECK	15	15				(26,351)						
12/13/2004	CHECK	28	28				(26,323)						
12/17/2004	CHECK	14	14				(26,309)						
12/23/2004	CHECK WIRE	(10,000)		(10,000)			(36,309)			(10,000)		(10,000)	
1/6/2005	CHECK WIRE	(20,000)		(20,000)			(56,309)			(20,000)		(20,000)	
1/21/2005	CHECK	1	1				(56,308)						
1/26/2005	CHECK	77	77				(56,231)			(40,000)		(40,000)	
2/4/2005	CHECK WIRE	(40,000)		(40,000)			(96,231)						
2/11/2005	CHECK	200	200				(96,031)						
3/14/2005	CHECK	15	15				(96,016)						
3/14/2005	CHECK	143	143				(95,873)						
3/14/2005	CHECK	28	28				(95,845)						
3/28/2005	CHECK WIRE	500,000	500,000				404,155			(45,000)		(45,000)	
4/6/2005	CHECK	(45,000)		(45,000)			359,155			(40,000)		(40,000)	
4/13/2005	CHECK WIRE	(40,000)		(40,000)			319,155						
4/19/2005	CHECK	1	1				319,156						
4/19/2005	CHECK	77	77				319,233			(10,000)		(10,000)	
4/29/2005	CHECK WIRE	(10,000)		(10,000)			309,233						
5/23/2005	CHECK	159	159				309,392						
6/13/2005	CHECK	15	15				309,407						
6/13/2005	CHECK	153	153				309,560						
6/24/2005	CHECK	28	28				309,588						
6/24/2005	CHECK	1	1				309,589						
6/28/2005	CHECK	(60,368)		(60,368)			249,221			(60,368)		(60,368)	
7/1/2005	CHECK	7	7				249,228			(15,000)		(15,000)	
7/14/2005	CHECK	(15,000)		(15,000)			234,228						
7/18/2005	CHECK	14	14				234,242						
7/19/2005	CHECK	900	900				235,142						
7/26/2005	CHECK	77	77				235,218						
7/28/2005	CHECK	(24,281)		(24,281)			210,937			(24,281)		(24,281)	
9/12/2005	CHECK	153	153				211,091						
9/14/2005	CHECK	0	0				211,091						
9/15/2005	CHECK	15	15				211,106						
9/15/2005	CHECK	33	33				211,139						
10/6/2005	CHECK	18	18				211,156						
10/24/2005	CHECK	(10,000)		(10,000)			201,156			(10,000)		(10,000)	
10/28/2005	CHECK	77	77				201,233						
12/2/2005	CHECK	(20,000)		(20,000)			181,233			(20,000)		(20,000)	
12/12/2005	CHECK	153	153				181,386						
12/12/2005	CHECK	200	200				181,586						
12/12/2005	CHECK	15	15				181,601						
12/19/2005	CHECK	2	2				181,603						
12/19/2005	CHECK	33	33				181,636						

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
1/23/2005	CHECK	14	14	-	-	-	181,650	-	-	-	-	-	-
1/5/2006	CHECK	(10,000)	-	(10,000)	-	-	171,650	-	-	(10,000)	-	(10,000)	-
1/27/2006	CHECK	1	1	-	-	-	171,652	-	-	-	-	-	-
2/6/2006	CHECK	86	86	-	-	-	171,738	-	-	-	-	-	-
2/17/2006	CHECK	(10,000)	-	(10,000)	-	-	161,738	-	-	(10,000)	-	(10,000)	-
3/2/2006	CHECK	(10,000)	-	(10,000)	-	-	151,738	-	-	(10,000)	-	(10,000)	-
3/14/2006	CHECK	2	2	-	-	-	141,738	-	-	(10,000)	-	(10,000)	-
3/17/2006	CHECK	8	8	-	-	-	141,747	-	-	-	-	-	-
3/17/2006	CHECK	33	33	-	-	-	141,780	-	-	-	-	-	-
3/17/2006	CHECK	169	169	-	-	-	141,949	-	-	-	-	-	-
4/24/2006	CHECK	86	86	-	-	-	142,036	-	-	-	-	-	-
5/2/2006	CHECK	(10,000)	-	(10,000)	-	-	132,036	-	-	(10,000)	-	(10,000)	-
5/16/2006	CHECK	(10,000)	-	(10,000)	-	-	122,036	-	-	(10,000)	-	(10,000)	-
6/5/2006	CHECK	(15,000)	-	(15,000)	-	-	107,036	-	-	(15,000)	-	(15,000)	-
6/16/2006	CHECK	(10,000)	-	(10,000)	-	-	97,036	-	-	(10,000)	-	(10,000)	-
6/19/2006	CHECK	40	40	-	-	-	97,076	-	-	-	-	-	-
6/19/2006	CHECK	8	8	-	-	-	97,083	-	-	-	-	-	-
6/19/2006	CHECK	169	169	-	-	-	97,252	-	-	-	-	-	-
6/28/2006	CHECK	(5,000)	-	(5,000)	-	-	92,252	-	-	(5,000)	-	(5,000)	-
7/18/2006	CHECK	15,000	15,000	-	-	-	107,252	-	-	-	-	-	-
8/1/2006	CHECK	86	86	-	-	-	107,339	-	-	-	-	-	-
8/2/2006	CHECK	3	3	-	-	-	107,342	-	-	-	-	-	-
8/2/2006	CHECK	14	14	-	-	-	107,355	-	-	-	-	-	-
8/14/2006	CHECK	5,000	5,000	-	-	-	112,355	-	-	-	-	-	-
9/12/2006	CHECK	8	8	-	-	-	112,363	-	-	-	-	-	-
9/13/2006	CHECK	169	169	-	-	-	112,532	-	-	-	-	-	-
9/18/2006	CHECK	40	40	-	-	-	112,572	-	-	-	-	-	-
9/18/2006	CHECK	3	3	-	-	-	112,575	-	-	-	-	-	-
10/5/2006	CHECK	(20,000)	-	(20,000)	-	-	92,575	-	-	(20,000)	-	(20,000)	-
10/25/2006	CHECK	86	86	-	-	-	92,661	-	-	-	-	-	-
11/7/2006	CHECK	0	0	-	-	-	92,662	-	-	-	-	-	-
11/27/2006	CHECK	3,000	3,000	-	-	-	95,662	-	-	-	-	-	-
11/27/2006	CHECK	1,262	1,262	-	-	-	96,924	-	-	-	-	-	-
12/11/2006	CHECK	8	8	-	-	-	96,931	-	-	-	-	-	-
12/11/2006	CHECK	14	14	-	-	-	97,114	-	-	-	-	-	-
12/18/2006	CHECK	40	40	-	-	-	97,154	-	-	-	-	-	-
12/18/2006	CHECK	(15,000)	-	(15,000)	-	-	82,154	(15,000)	-	(15,000)	-	(15,000)	-
1/19/2007	CHECK	900	900	-	-	-	83,054	-	-	-	-	-	-
1/29/2007	CHECK	96	96	-	-	-	83,150	-	-	-	-	-	-
1/30/2007	CHECK	(20,000)	-	(20,000)	-	-	63,150	(20,000)	-	(20,000)	-	(20,000)	-
2/6/2007	CHECK	4	4	-	-	-	63,154	-	-	-	-	-	-
3/14/2007	CHECK	169	169	-	-	-	63,194	-	-	-	-	-	-
3/15/2007	CHECK	4	4	-	-	-	63,363	-	-	-	-	-	-
3/19/2007	CHECK	8	8	-	-	-	63,374	-	-	-	-	-	-
4/3/2007	CHECK	50,000	50,000	-	-	-	113,374	-	-	-	-	-	-
4/10/2007	CHECK	69	69	-	-	-	113,443	-	-	-	-	-	-
4/30/2007	CHECK	96	96	-	-	-	113,539	-	-	-	-	-	-
6/6/2007	CHECK	(40,000)	-	(40,000)	-	-	73,539	-	-	-	-	-	-
6/7/2007	STOP PAYMENT	(40,000)	-	(40,000)	-	-	113,539	(40,000)	-	(40,000)	-	(40,000)	-
6/8/2007	CHECK	48	48	-	-	-	73,587	-	-	-	-	-	-
6/18/2007	CHECK	8	8	-	-	-	73,594	-	-	-	-	-	-
6/18/2007	CHECK	4	4	-	-	-	73,598	-	-	-	-	-	-
6/18/2007	CHECK	185	185	-	-	-	73,783	-	-	-	-	-	-
7/16/2007	CHECK	14	14	-	-	-	73,797	-	-	-	-	-	-
7/31/2007	CHECK	(20,000)	-	(20,000)	-	-	53,797	(20,000)	-	(20,000)	-	(20,000)	-
8/6/2007	CHECK	96	96	-	-	-	53,893	-	-	-	-	-	-
9/10/2007	CHECK	185	185	-	-	-	54,078	-	-	-	-	-	-
9/20/2007	CHECK	(40,000)	-	(40,000)	-	-	14,078	(40,000)	-	(40,000)	-	(40,000)	-
10/31/2007	CHECK	96	96	-	-	-	14,174	-	-	-	-	-	-
10/31/2007	CHECK	4	4	-	-	-	14,178	-	-	-	-	-	-
10/31/2007	CHECK	8	8	-	-	-	14,185	-	-	-	-	-	-
10/31/2007	CHECK	48	48	-	-	-	14,233	-	-	-	-	-	-
11/2/2007	CHECK	(10,000)	-	(10,000)	-	-	4,233	(10,000)	-	(10,000)	-	(10,000)	-
12/13/2007	CHECK	(40,000)	-	(40,000)	-	-	(35,767)	(40,000)	-	(40,000)	-	(40,000)	-
12/13/2007	CHECK	48	48	-	-	-	(35,719)	-	-	-	-	-	-
12/13/2007	CHECK	8	8	-	-	-	(35,711)	-	-	-	-	-	-
12/13/2007	CHECK	185	185	-	-	-	(35,526)	-	-	-	-	-	-
1/8/2008	CHECK	35	35	-	-	-	(35,491)	-	-	-	-	-	-

BLMIS ACCOUNT NO. IM0110 - SHANA MADOFF FBO TST PAUL KONIGSBERG TSTEE

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
1/8/2008	CHECK	14	14	-	-	-	(35,478)	-	-	-	-	-	-
1/8/2008	CHECK	4	4	-	-	-	(35,474)	-	-	-	-	-	-
1/17/2008	CHECK	(20,000)	-	(20,000)	-	-	(55,474)	(15,745)	(4,255)	(15,745)	(4,255)	(15,745)	(4,255)
1/29/2008	CHECK	106	106	-	-	-	(60,368)	-	(5,000)	-	(5,000)	-	(5,000)
1/30/2008	CHECK	(5,000)	-	(5,000)	-	-	(60,368)	-	-	-	-	-	-
2/19/2008	CHECK	50,000	50,000	-	-	-	(10,361)	-	-	-	-	-	-
3/17/2008	CHECK	8	8	-	-	-	(10,356)	-	-	-	-	-	-
3/17/2008	CHECK	5	5	-	-	-	(10,356)	-	-	-	-	-	-
3/17/2008	CHECK	185	185	-	-	-	(10,171)	-	-	-	-	-	-
3/17/2008	CHECK	48	48	-	-	-	(10,123)	-	-	-	-	-	-
5/5/2008	CHECK	106	106	-	-	-	(10,017)	-	-	-	-	-	-
5/21/2008	CHECK	(50,000)	-	(50,000)	-	-	(60,017)	-	(50,000)	-	(50,000)	-	(50,000)
6/2/2008	CHECK	(100,000)	-	(100,000)	-	-	(160,017)	-	(100,000)	-	(100,000)	-	(100,000)
6/11/2008	CHECK	8	8	-	-	-	(160,010)	-	-	-	-	-	-
6/11/2008	CHECK	211	211	-	-	-	(159,798)	-	-	-	-	-	-
6/27/2008	CHECK	(100,000)	-	(100,000)	-	-	(259,798)	-	(100,000)	-	(100,000)	-	(100,000)
7/2/2008	CHECK	(100,000)	-	(100,000)	-	-	(359,798)	-	(100,000)	-	(100,000)	-	(100,000)
7/28/2008	CHECK	14	14	-	-	-	(359,785)	-	-	-	-	-	-
7/28/2008	CHECK	106	106	-	-	-	(359,679)	-	-	-	-	-	-
7/28/2008	CHECK	48	48	-	-	-	(359,631)	-	-	-	-	-	-
7/28/2008	CHECK	5	5	-	-	-	(359,626)	-	-	-	-	-	-
10/9/2008	CHECK	(25,000)	-	(25,000)	-	-	(384,626)	-	(25,000)	-	(25,000)	-	(25,000)
10/31/2008	CHECK	211	211	-	-	-	(384,415)	-	-	-	-	-	-
10/31/2008	CHECK	6	6	-	-	-	(384,409)	-	-	-	-	-	-
10/31/2008	CHECK	106	106	-	-	-	(384,303)	-	-	-	-	-	-
10/31/2008	CHECK	48	48	-	-	-	(384,255)	-	-	-	-	-	-
12/1/2008	CHECK	(15,000)	-	(15,000)	-	-	(399,255)	-	(15,000)	-	(15,000)	-	(15,000)
12/5/2008	CHECK	(75,000)	-	(75,000)	-	-	(474,255)	-	(75,000)	-	(75,000)	-	(75,000)
Total:			\$ 1,003,965	\$ (1,531,360)	\$ 53,140	\$ -	\$ (474,255)	\$ (200,745)	\$ (474,255)	\$ (864,394)	\$ (474,255)	\$ (1,057,105)	\$ (474,255)

⁽⁴⁾ Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

BLMIS ACCOUNT NO. IS0375 - SHANA SKOLLER AND SCOTT SKOLLER JT WROS

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
1/21/1998	CHECK	50,000	50,000	-	-	-	50,000	-	-	-	-	-	-
5/6/1999	CHECK	4,000	4,000	-	-	-	54,000	-	-	-	-	-	-
6/15/1999	CHECK	5,000	5,000	-	-	-	59,000	-	-	-	-	-	-
7/20/1999	CHECK	(10,000)	-	(10,000)	-	-	49,000	-	-	-	-	(10,000)	-
2/7/2000	CHECK	(15,000)	-	(15,000)	-	-	34,000	-	-	-	-	(15,000)	-
4/14/2000	CHECK	5,000	5,000	-	-	-	39,000	-	-	-	-	-	-
9/11/2000	CHECK	(15,000)	-	(15,000)	-	-	24,000	-	-	-	-	(15,000)	-
10/6/2000	CHECK	(50,901)	-	(50,901)	-	-	(26,901)	-	-	-	-	(24,000)	(26,901)
2/28/2001	CHECK	(1)	-	(1)	-	-	(26,902)	-	-	-	-	-	(1)
Total:			\$ 64,000	\$ (90,902)	\$ -	\$ -	\$ (26,902)	\$ -	\$ -	\$ -	\$ -	\$ (64,000)	\$ (26,902)

BLMIS ACCOUNT NO. 189426 - THE REBECCA DOROTHY SKOLLER TRUST SHANA DIANE SKOLLER AND ROGER MADOFF TRUSTEES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
4/3/2000	CHECK	7,000	7,000	-	-	-	7,000	-	-	-	-	-	-
4/3/2000	CHECK	422	422	-	-	-	7,422	-	-	-	-	-	-
10/30/2002	CHECK	1,000	1,000	-	-	-	8,422	-	-	-	-	-	-
11/4/2002	CHECK	2,700	2,700	-	-	-	11,122	-	-	-	-	-	-
11/5/2002	CHECK	1,000	1,000	-	-	-	12,122	-	-	-	-	-	-
11/12/2002	CHECK	83	83	-	-	-	12,205	-	-	-	-	-	-
6/27/2003	CHECK	2,000	2,000	-	-	-	14,205	-	-	-	-	-	-
6/27/2003	CHECK	8,676	8,676	-	-	-	22,881	-	-	-	-	-	-
6/30/2003	CHECK	8,678	8,678	-	-	-	23,381	-	-	-	-	-	-
6/30/2003	CANCEL CHECK	(8,676)	(8,676)	-	-	-	23,383	-	-	-	-	-	-
7/21/2003	CHECK	3,000	3,000	-	-	-	26,383	-	-	-	-	-	-
9/5/2003	CHECK	900	900	-	-	-	27,283	-	-	-	-	-	-
9/23/2003	CHECK	3,218	3,218	-	-	-	30,501	-	-	-	-	-	-
1/20/2004	CHECK	1,500	1,500	-	-	-	32,001	-	-	-	-	-	-
2/25/2004	CHECK	6,000	6,000	-	-	-	38,001	-	-	-	-	-	-
3/22/2004	CHECK	2,000	2,000	-	-	-	40,001	-	-	-	-	-	-
4/2/2004	CHECK	900	900	-	-	-	40,901	-	-	-	-	-	-
5/5/2004	CHECK	900	900	-	-	-	41,801	-	-	-	-	-	-
5/17/2004	CHECK	200	200	-	-	-	42,001	-	-	-	-	-	-
5/25/2004	CHECK	92	92	-	-	-	42,092	-	-	-	-	-	-
5/25/2004	CHECK	3,000	3,000	-	-	-	45,092	-	-	-	-	-	-
6/3/2004	CHECK	900	900	-	-	-	45,992	-	-	-	-	-	-
6/15/2004	CHECK	500	500	-	-	-	46,492	-	-	-	-	-	-
6/22/2004	CHECK	3,000	3,000	-	-	-	49,492	-	-	-	-	-	-
6/29/2004	CHECK	398	398	-	-	-	49,891	-	-	-	-	-	-
6/29/2004	CHECK	1,000	1,000	-	-	-	50,891	-	-	-	-	-	-
8/23/2004	CHECK	1,950	1,950	-	-	-	52,841	-	-	-	-	-	-
11/10/2004	CHECK	2,500	2,500	-	-	-	55,341	-	-	-	-	-	-
11/16/2004	CHECK	900	900	-	-	-	56,241	-	-	-	-	-	-
11/23/2004	CHECK	5,000	5,000	-	-	-	61,241	-	-	-	-	-	-
12/8/2004	CHECK	200	200	-	-	-	61,441	-	-	-	-	-	-
12/17/2004	CHECK	900	900	-	-	-	62,341	-	-	-	-	-	-
12/28/2004	CHECK	2,500	2,500	-	-	-	64,841	-	-	-	-	-	-
1/18/2005	CHECK	200	200	-	-	-	65,041	-	-	-	-	-	-
1/18/2005	CHECK	900	900	-	-	-	65,941	-	-	-	-	-	-
2/7/2005	CHECK	1,000	1,000	-	-	-	66,941	-	-	-	-	-	-
2/9/2005	CHECK	3,000	3,000	-	-	-	69,941	-	-	-	-	-	-
2/16/2005	CHECK	900	900	-	-	-	70,841	-	-	-	-	-	-
3/12/2005	CHECK	500	500	-	-	-	71,341	-	-	-	-	-	-
3/12/2005	CHECK	6,000	6,000	-	-	-	77,341	-	-	-	-	-	-
3/7/2005	CHECK	200	200	-	-	-	77,541	-	-	-	-	-	-
3/22/2005	CHECK	2,000	2,000	-	-	-	79,541	-	-	-	-	-	-
3/24/2005	CHECK	50	50	-	-	-	79,591	-	-	-	-	-	-
4/7/2005	CHECK	900	900	-	-	-	80,491	-	-	-	-	-	-
4/19/2005	CHECK	55	55	-	-	-	80,546	-	-	-	-	-	-
4/19/2005	CHECK	2,000	2,000	-	-	-	82,546	-	-	-	-	-	-
4/19/2005	CHECK	900	900	-	-	-	83,446	-	-	-	-	-	-
4/19/2005	CHECK	1,500	1,500	-	-	-	84,946	-	-	-	-	-	-
5/12/2005	CHECK	200	200	-	-	-	85,146	-	-	-	-	-	-
5/18/2005	CHECK	900	900	-	-	-	86,046	-	-	-	-	-	-
8/5/2005	CHECK	100	100	-	-	-	86,146	-	-	-	-	-	-
9/12/2005	CHECK	200	200	-	-	-	86,346	-	-	-	-	-	-
10/11/2005	CHECK	343	343	-	-	-	86,689	-	-	-	-	-	-
10/14/2005	CHECK	200	200	-	-	-	86,889	-	-	-	-	-	-
10/26/2005	CHECK	500	500	-	-	-	87,389	-	-	-	-	-	-
11/14/2005	CHECK	200	200	-	-	-	87,589	-	-	-	-	-	-
11/16/2005	CHECK	900	900	-	-	-	88,489	-	-	-	-	-	-
12/16/2005	CHECK	900	900	-	-	-	89,389	-	-	-	-	-	-
1/9/2006	CHECK	1,500	1,500	-	-	-	90,889	-	-	-	-	-	-
1/17/2006	CHECK	200	200	-	-	-	91,089	-	-	-	-	-	-
1/17/2006	CHECK	429	429	-	-	-	91,518	-	-	-	-	-	-
1/20/2006	CHECK	86	86	-	-	-	91,604	-	-	-	-	-	-
2/3/2006	CHECK	4,000	4,000	-	-	-	95,604	-	-	-	-	-	-
2/13/2006	CHECK	200	200	-	-	-	95,804	-	-	-	-	-	-
2/23/2006	CHECK	900	900	-	-	-	96,704	-	-	-	-	-	-
3/7/2006	CHECK	200	200	-	-	-	96,904	-	-	-	-	-	-
3/16/2006	CHECK	900	900	-	-	-	97,804	-	-	-	-	-	-
4/10/2006	CHECK	429	429	-	-	-	98,233	-	-	-	-	-	-

BLMIS ACCOUNT NO. 180426 - THE REBECCA DOROTHY SKOLLER TRUST SHANA DIANE SKOLLER AND ROGER MADOFF TRUSTEES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
Date	Transaction Description	Transaction Amount Reported in Customer Statement	Cash Deposits	Cash Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	2-Year Fraudulent Transfers - Principal	2-Year Fraudulent Transfers - Fictitious Profits	6-Year Fraudulent Transfers - Principal	6-Year Fraudulent Transfers - Fictitious Profits	Full History Fraudulent Transfers - Principal	Full History Fraudulent Transfers - Fictitious Profits
4/21/2006	CHECK	900	900	-	-	-	99,133	-	-	-	-	-	-
5/8/2006	CHECK	200	200	-	-	-	99,333	-	-	-	-	-	-
5/16/2006	CHECK	900	900	-	-	-	100,233	-	-	-	-	-	-
5/23/2006	CHECK	4,000	4,000	-	-	-	104,233	-	-	-	-	-	-
6/5/2006	CHECK	229	229	-	-	-	104,462	-	-	-	-	-	-
6/5/2006	CHECK	200	200	-	-	-	104,662	-	-	-	-	-	-
6/13/2006	CHECK	500	500	-	-	-	105,162	-	-	-	-	-	-
6/23/2006	CHECK	1,000	1,000	-	-	-	106,162	-	-	-	-	-	-
6/27/2006	CHECK	1,000	1,000	-	-	-	107,162	-	-	-	-	-	-
6/27/2006	CHECK	50	50	-	-	-	107,212	-	-	-	-	-	-
7/6/2006	CHECK	2,000	2,000	-	-	-	109,212	-	-	-	-	-	-
7/6/2006	CHECK	69	69	-	-	-	109,281	-	-	-	-	-	-
7/6/2006	CHECK	200	200	-	-	-	109,481	-	-	-	-	-	-
7/7/2006	CHECK	429	429	-	-	-	109,910	-	-	-	-	-	-
7/11/2006	CHECK	146	146	-	-	-	110,056	-	-	-	-	-	-
7/17/2006	CHECK	2,500	2,500	-	-	-	112,556	-	-	-	-	-	-
7/17/2006	CHECK	900	900	-	-	-	113,456	-	-	-	-	-	-
8/2/2006	CHECK	500	500	-	-	-	113,956	-	-	-	-	-	-
8/7/2006	CHECK	200	200	-	-	-	114,156	-	-	-	-	-	-
8/16/2006	CHECK	900	900	-	-	-	115,056	-	-	-	-	-	-
9/5/2006	CHECK	200	200	-	-	-	115,256	-	-	-	-	-	-
9/13/2006	CHECK	900	900	-	-	-	116,156	-	-	-	-	-	-
9/29/2006	CHECK	206	206	-	-	-	116,363	-	-	-	-	-	-
10/12/2006	CHECK	200	200	-	-	-	116,563	-	-	-	-	-	-
10/17/2006	CHECK	900	900	-	-	-	117,463	-	-	-	-	-	-
11/17/2006	CHECK	900	900	-	-	-	118,363	-	-	-	-	-	-
2/16/2007	CHECK	900	900	-	-	-	119,263	-	-	-	-	-	-
5/8/2007	CHECK	673	673	-	-	-	119,936	-	-	-	-	-	-
5/17/2007	CHECK	900	900	-	-	-	120,836	-	-	-	-	-	-
6/18/2007	CHECK	900	900	-	-	-	121,736	-	-	-	-	-	-
7/18/2007	CHECK	900	900	-	-	-	122,636	-	-	-	-	-	-
8/27/2007	CHECK	2,000	2,000	-	-	-	124,636	-	-	-	-	-	-
9/17/2007	CHECK	500	500	-	-	-	125,136	-	-	-	-	-	-
9/18/2007	CHECK	900	900	-	-	-	126,036	-	-	-	-	-	-
9/25/2007	CHECK	429	429	-	-	-	126,465	-	-	-	-	-	-
11/16/2007	CHECK	900	900	-	-	-	127,365	-	-	-	-	-	-
12/4/2007	CHECK	2,500	2,500	-	-	-	129,865	-	-	-	-	-	-
12/18/2007	CHECK	216	216	-	-	-	130,081	-	-	-	-	-	-
12/21/2007	CHECK	500	500	-	-	-	130,581	-	-	-	-	-	-
1/15/2008	CHECK	900	900	-	-	-	131,481	-	-	-	-	-	-
4/16/2008	CHECK	900	900	-	-	-	132,381	-	-	-	-	-	-
5/13/2008	CHECK	2,500	2,500	-	-	-	134,881	-	-	-	-	-	-
5/15/2008	CHECK	900	900	-	-	-	135,781	-	-	-	-	-	-
10/15/2008	CHECK	900	900	-	-	-	136,681	-	-	-	-	-	-
10/21/2008	CHECK	5,000	5,000	-	-	-	141,681	-	-	-	-	-	-
Total:			\$ 141,681	\$	\$	\$	\$ 141,681	\$	\$	\$	\$	\$	\$

SHANA MADOFF COMPENSATION

<u>Date</u>	<u>Type</u>	<u>Transferee</u>	<u>Transfer Amount</u>	<u>1-Year Preferential Transfers</u>	<u>2-Year Transfers</u>	<u>6-Year Transfers</u>	<u>Prior to 6-Year Transfers</u>
12/29/1993	Salary	Shana Madoff	6,000	-	-	-	6,000
12/29/1994	Salary	Shana Madoff	13,600	-	-	-	13,600
12/29/1994	Bonus / Other Earnings	Shana Madoff	1,120	-	-	-	1,120
12/27/1995	Salary	Shana Madoff	31,840	-	-	-	31,840
12/27/1995	Bonus / Other Earnings	Shana Madoff	800	-	-	-	800
12/25/1996	Salary	Shana Madoff	52,000	-	-	-	52,000
12/25/1996	Bonus / Other Earnings	Shana Madoff	7,000	-	-	-	7,000
12/31/1997	Salary	Shana Madoff	71,955	-	-	-	71,955
12/31/1997	Bonus / Other Earnings	Shana Madoff	18,141	-	-	-	18,141
12/31/1997	Salary	Shana Madoff	5,519	-	-	-	5,519
12/31/1997	Bonus / Other Earnings	Shana Madoff	1,391	-	-	-	1,391
12/31/1998	Salary	Shana Madoff	107,705	-	-	-	107,705
12/31/1998	Bonus / Other Earnings	Shana Madoff	25,935	-	-	-	25,935
12/29/1999	Salary	Shana Madoff	143,135	-	-	-	143,135
12/29/1999	Bonus / Other Earnings	Shana Madoff	38,685	-	-	-	38,685
12/27/2000	Salary	Shana Madoff	173,185	-	-	-	173,185
12/27/2000	Bonus / Other Earnings	Shana Madoff	70,815	-	-	-	70,815
12/26/2001	Salary	Shana Madoff	242,950	-	-	-	242,950
12/26/2001	Bonus / Other Earnings	Shana Madoff	96,400	-	-	-	96,400
12/25/2002	Salary	Shana Madoff	260,000	-	-	-	260,000
12/25/2002	Bonus / Other Earnings	Shana Madoff	45,000	-	-	-	45,000
12/26/2003	Salary	Shana Madoff	290,798	-	-	290,798	-
12/26/2003	Bonus / Other Earnings	Shana Madoff	56,550	-	-	56,550	-
12/31/2004	Salary	Shana Madoff	324,530	-	-	324,530	-
12/31/2004	Bonus / Other Earnings	Shana Madoff	64,420	-	-	64,420	-
12/30/2005	Salary	Shana Madoff	385,606	-	-	385,606	-
12/30/2005	Bonus / Other Earnings	Shana Madoff	76,930	-	-	76,930	-
12/31/2006	Salary	Shana Madoff	438,476	-	-	438,476	-
12/31/2006	Bonus / Other Earnings	Shana Madoff	105,770	-	-	105,770	-
1/5/2007	Salary	Shana Madoff	8,654	-	8,654	8,654	-
1/12/2007	Salary	Shana Madoff	8,654	-	8,654	8,654	-
1/19/2007	Salary	Shana Madoff	8,654	-	8,654	8,654	-
1/26/2007	Salary	Shana Madoff	8,654	-	8,654	8,654	-
2/2/2007	Salary	Shana Madoff	8,654	-	8,654	8,654	-
2/9/2007	Salary	Shana Madoff	8,654	-	8,654	8,654	-
2/16/2007	Salary	Shana Madoff	8,654	-	8,654	8,654	-
2/23/2007	Salary	Shana Madoff	8,654	-	8,654	8,654	-
3/2/2007	Salary	Shana Madoff	8,654	-	8,654	8,654	-
3/9/2007	Salary	Shana Madoff	8,654	-	8,654	8,654	-
3/16/2007	Salary	Shana Madoff	8,654	-	8,654	8,654	-

SHANA MADOFF COMPENSATION

<u>Date</u>	<u>Type</u>	<u>Transferee</u>	<u>Transfer Amount</u>	<u>1-Year Preferential Transfers</u>	<u>2-Year Transfers</u>	<u>6-Year Transfers</u>	<u>Prior to 6-Year Transfers</u>
3/23/2007	Bonus / Other Earnings	Shana Madoff	216,350	-	216,350	216,350	-
3/23/2007	Bonus / Other Earnings	Shana Madoff	10,582	-	10,582	10,582	-
3/23/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
3/30/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
4/5/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
4/13/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
4/20/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
4/27/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
5/4/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
5/11/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
5/18/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
5/25/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
6/1/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
6/8/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
6/15/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
6/22/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
6/29/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
7/6/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
7/13/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
7/20/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
7/27/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
8/3/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
8/10/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
8/17/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
8/24/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
8/31/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
9/7/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
9/14/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
9/21/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
9/28/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
10/5/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
10/12/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
10/19/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
10/26/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
11/2/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
11/9/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
11/16/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
11/23/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
11/30/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-
12/7/2007	Salary	Shana Madoff	9,616	-	9,616	9,616	-

SHANA MADOFF COMPENSATION

<u>Date</u>	<u>Type</u>	<u>Transferee</u>	<u>Transfer Amount</u>	<u>1-Year Preferential Transfers</u>	<u>2-Year Transfers</u>	<u>6-Year Transfers</u>	<u>Prior to 6-Year Transfers</u>
12/14/2007	Salary	Shana Madoff	9,616	9,616	9,616	9,616	-
12/21/2007	Salary	Shana Madoff	9,616	9,616	9,616	9,616	-
12/28/2007	Salary	Shana Madoff	9,616	9,616	9,616	9,616	-
1/4/2008	Salary	Shana Madoff	9,616	9,616	9,616	9,616	-
1/11/2008	Salary	Shana Madoff	9,616	9,616	9,616	9,616	-
1/18/2008	Salary	Shana Madoff	9,616	9,616	9,616	9,616	-
1/25/2008	Salary	Shana Madoff	9,616	9,616	9,616	9,616	-
2/1/2008	Salary	Shana Madoff	9,616	9,616	9,616	9,616	-
2/8/2008	Salary	Shana Madoff	9,616	9,616	9,616	9,616	-
2/15/2008	Bonus / Other Earnings	Shana Madoff	200,000	200,000	200,000	200,000	-
2/15/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
2/15/2008	Bonus / Other Earnings	Shana Madoff	5,766	5,766	5,766	5,766	-
2/22/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
2/29/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
3/7/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
3/14/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
3/21/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
3/28/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
4/4/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
4/11/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
4/18/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
4/25/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
5/2/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
5/9/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
5/16/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
5/23/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
5/30/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
6/6/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
6/13/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
6/20/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
6/27/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
7/3/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
7/11/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
7/18/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
7/25/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
8/1/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
8/8/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
8/15/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
8/22/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
8/29/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-

SHANA MADOFF COMPENSATION

<u>Date</u>	<u>Type</u>	<u>Transferee</u>	<u>Transfer Amount</u>	<u>1-Year Preferential Transfers</u>	<u>2-Year Transfers</u>	<u>6-Year Transfers</u>	<u>Prior to 6-Year Transfers</u>
9/5/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
9/12/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
9/19/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
9/26/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
10/3/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
10/10/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
10/17/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
10/24/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
10/31/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
11/7/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
11/14/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
11/21/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
11/28/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
12/5/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
12/12/2008	Salary	Shana Madoff	10,577	10,577	10,577	10,577	-
Total:			\$ 4,601,488	\$ 757,698	\$ 1,445,232	\$ 3,188,312	\$ 1,413,176

OTHER TRANSFERS TO OR FOR THE BENEFIT OF SHANA MADOFF

<u>Date</u>	<u>Transferee</u>	<u>Comments</u>	<u>Transfer Amount</u>	<u>1-Year Preferential Transfers</u>	<u>2-Year Transfers</u>	<u>6-Year Transfers</u>	<u>Prior to 6-Year Transfers</u>
3/31/1996	Shana Madoff		7	-	-	-	7
5/31/1996	Shana Madoff		1,000	-	-	-	1,000
6/30/1996	Shana Madoff		245	-	-	-	245
7/31/1996	Shana Madoff		750	-	-	-	750
7/31/1996	Shana Madoff		750	-	-	-	750
7/31/1996	Shana Madoff		750	-	-	-	750
7/31/1996	Shana Madoff		750	-	-	-	750
12/11/1998	Shana Madoff		12,500	-	-	-	12,500
10/25/1999	Shana Madoff		6,000	-	-	-	6,000
12/2/1999	Shana Madoff		464	-	-	-	464
9/13/2000	Patricia Felton Interiors Inc	FBO Shana Madoff	15,000	-	-	-	15,000
10/11/2000	Patricia Felton Interiors Inc	FBO Shana Madoff	15,000	-	-	-	15,000
10/25/2000	Shana Madoff		695	-	-	-	695
10/31/2000	Madoff Technologies	FBO Shana Madoff	1,223,237	-	-	-	1,223,237
2/25/2002	Shana Madoff		271	-	-	-	271
5/2/2002	American Express	FBO Shana Madoff	1,273	-	-	-	1,273
5/29/2002	Glenwood Management Corp.	FBO Shana Madoff	18,001	-	-	-	18,001
6/4/2002	American Express	FBO Shana Madoff	1,111	-	-	-	1,111
7/3/2002	American Express	FBO Shana Madoff	398	-	-	-	398
7/3/2002	Shana Madoff		237	-	-	-	237
7/12/2002	Glenwood Management Corp.	FBO Shana Madoff	5,099	-	-	-	5,099
7/12/2002	Glenwood Management Corp.	FBO Shana Madoff	948	-	-	-	948
7/22/2002	Shana Madoff		508	-	-	-	508
8/1/2002	Glenwood Management Corp.	FBO Shana Madoff	9,474	-	-	-	9,474
8/2/2002	American Express	FBO Shana Madoff	818	-	-	-	818
9/3/2002	Glenwood Management Corp.	FBO Shana Madoff	9,000	-	-	-	9,000
9/3/2002	American Express	FBO Shana Madoff	1,934	-	-	-	1,934
9/3/2002	Glenwood Management Corp.	FBO Shana Madoff	474	-	-	-	474
10/1/2002	Glenwood Management Corp.	FBO Shana Madoff	9,000	-	-	-	9,000
10/1/2002	Glenwood Management Corp.	FBO Shana Madoff	474	-	-	-	474
10/4/2002	American Express	FBO Shana Madoff	1,651	-	-	-	1,651
11/1/2002	Glenwood Management Corp.	FBO Shana Madoff	9,000	-	-	-	9,000
11/1/2002	Glenwood Management Corp.	FBO Shana Madoff	474	-	-	-	474
11/5/2002	American Express	FBO Shana Madoff	4,939	-	-	-	4,939
12/2/2002	Glenwood Management Corp.	FBO Shana Madoff	9,000	-	-	-	9,000
12/2/2002	Glenwood Management Corp.	FBO Shana Madoff	474	-	-	-	474
12/4/2002	American Express	FBO Shana Madoff	2,049	-	-	-	2,049
1/2/2003	Glenwood Management Corp.	FBO Shana Madoff	9,000	-	-	9,000	-
1/2/2003	Glenwood Management Corp.	FBO Shana Madoff	474	-	-	474	-
1/7/2003	American Express	FBO Shana Madoff	6,171	-	-	6,171	-
2/3/2003	Glenwood Management Corp.	FBO Shana Madoff	9,000	-	-	9,000	-
2/3/2003	Glenwood Management Corp.	FBO Shana Madoff	474	-	-	474	-
2/5/2003	American Express	FBO Shana Madoff	1,114	-	-	1,114	-
3/3/2003	Glenwood Management Corp.	FBO Shana Madoff	9,000	-	-	9,000	-
3/3/2003	Glenwood Management Corp.	FBO Shana Madoff	474	-	-	474	-
3/4/2003	American Express	FBO Shana Madoff	1,437	-	-	1,437	-
4/1/2003	Glenwood Management Corp.	FBO Shana Madoff	9,000	-	-	9,000	-
4/1/2003	Glenwood Management Corp.	FBO Shana Madoff	474	-	-	474	-

OTHER TRANSFERS TO OR FOR THE BENEFIT OF SHANA MADOFF

<u>Date</u>	<u>Transferee</u>	<u>Comments</u>	<u>Transfer Amount</u>	<u>1-Year Preferential Transfers</u>	<u>2-Year Transfers</u>	<u>6-Year Transfers</u>	<u>Prior to 6-Year Transfers</u>
4/4/2003	American Express	FBO Shana Madoff	8,289	-	-	8,289	-
5/1/2003	Glenwood Management Corp.	FBO Shana Madoff	9,000	-	-	9,000	-
5/1/2003	Glenwood Management Corp.	FBO Shana Madoff	474	-	-	474	-
5/5/2003	American Express	FBO Shana Madoff	1,135	-	-	1,135	-
6/2/2003	Glenwood Management Corp.	FBO Shana Madoff	9,000	-	-	9,000	-
6/2/2003	American Express	FBO Shana Madoff	2,341	-	-	2,341	-
6/2/2003	Glenwood Management Corp.	FBO Shana Madoff	474	-	-	474	-
7/1/2003	Glenwood Management Corp.	FBO Shana Madoff	9,000	-	-	9,000	-
7/1/2003	Glenwood Management Corp.	FBO Shana Madoff	474	-	-	474	-
7/3/2003	American Express	FBO Shana Madoff	3,258	-	-	3,258	-
8/1/2003	Glenwood Management Corp.	FBO Shana Madoff	9,000	-	-	9,000	-
8/1/2003	Glenwood Management Corp.	FBO Shana Madoff	474	-	-	474	-
8/4/2003	American Express	FBO Shana Madoff	3,530	-	-	3,530	-
9/2/2003	Glenwood Management Corp.	FBO Shana Madoff	9,000	-	-	9,000	-
9/2/2003	Glenwood Management Corp.	FBO Shana Madoff	474	-	-	474	-
9/3/2003	American Express	FBO Shana Madoff	366	-	-	366	-
10/1/2003	Glenwood Management Corp.	FBO Shana Madoff	9,000	-	-	9,000	-
10/1/2003	Glenwood Management Corp.	FBO Shana Madoff	474	-	-	474	-
10/3/2003	American Express	FBO Shana Madoff	2,200	-	-	2,200	-
11/3/2003	Glenwood Management Corp.	FBO Shana Madoff	9,000	-	-	9,000	-
11/3/2003	Glenwood Management Corp.	FBO Shana Madoff	474	-	-	474	-
11/4/2003	American Express	FBO Shana Madoff	1,857	-	-	1,857	-
11/4/2003	Shana Madoff		412	-	-	412	-
11/20/2003	Shana Madoff		466	-	-	466	-
11/20/2003	Shana Madoff		114	-	-	114	-
12/1/2003	Glenwood Management Corp.	FBO Shana Madoff	9,000	-	-	9,000	-
12/1/2003	Glenwood Management Corp.	FBO Shana Madoff	476	-	-	476	-
12/3/2003	American Express	FBO Shana Madoff	4,225	-	-	4,225	-
1/2/2004	Glenwood Management Corp.	FBO Shana Madoff	9,000	-	-	9,000	-
1/2/2004	Glenwood Management Corp.	FBO Shana Madoff	475	-	-	475	-
1/6/2004	American Express	FBO Shana Madoff	6,370	-	-	6,370	-
2/2/2004	Glenwood Management Corp.	FBO Shana Madoff	9,000	-	-	9,000	-
2/2/2004	Glenwood Management Corp.	FBO Shana Madoff	475	-	-	475	-
2/4/2004	American Express	FBO Shana Madoff	9,218	-	-	9,218	-
2/26/2004	American Express	FBO Shana Madoff	2,607	-	-	2,607	-
3/1/2004	Glenwood Management Corp.	FBO Shana Madoff	9,000	-	-	9,000	-
3/1/2004	Glenwood Management Corp.	FBO Shana Madoff	475	-	-	475	-
3/24/2004	American Express	FBO Shana Madoff	4,783	-	-	4,783	-
4/1/2004	Glenwood Management Corp.	FBO Shana Madoff	9,000	-	-	9,000	-
4/1/2004	Glenwood Management Corp.	FBO Shana Madoff	475	-	-	475	-
5/3/2004	Glenwood Management Corp.	FBO Shana Madoff	9,000	-	-	9,000	-
5/3/2004	Glenwood Management Corp.	FBO Shana Madoff	475	-	-	475	-
5/4/2004	American Express	FBO Shana Madoff	5,867	-	-	5,867	-
6/1/2004	Glenwood Management Corp.	FBO Shana Madoff	9,000	-	-	9,000	-
6/1/2004	Glenwood Management Corp.	FBO Shana Madoff	475	-	-	475	-
6/2/2004	American Express	FBO Shana Madoff	4,585	-	-	4,585	-
7/2/2004	American Express	FBO Shana Madoff	1,020	-	-	1,020	-
8/3/2004	American Express	FBO Shana Madoff	1,916	-	-	1,916	-
9/2/2004	American Express	FBO Shana Madoff	3,799	-	-	3,799	-

OTHER TRANSFERS TO OR FOR THE BENEFIT OF SHANA MADOFF

<u>Date</u>	<u>Transferee</u>	<u>Comments</u>	<u>Transfer Amount</u>	<u>1-Year Preferential Transfers</u>	<u>2-Year Transfers</u>	<u>6-Year Transfers</u>	<u>Prior to 6-Year Transfers</u>
10/5/2004	American Express	FBO Shana Madoff	5,666	-	-	5,666	-
11/2/2004	American Express	FBO Shana Madoff	2,141	-	-	2,141	-
12/3/2004	American Express	FBO Shana Madoff	3,031	-	-	3,031	-
1/4/2005	American Express	FBO Shana Madoff	4,541	-	-	4,541	-
2/3/2005	American Express	FBO Shana Madoff	4,413	-	-	4,413	-
3/4/2005	American Express	FBO Shana Madoff	3,744	-	-	3,744	-
3/24/2005	Shana Madoff		2,000,000 ^[5]	-	-	2,000,000	-
3/24/2005	Shana Madoff		500,000 ^[6]	-	-	500,000	-
4/5/2005	American Express	FBO Shana Madoff	7,648	-	-	7,648	-
5/4/2005	American Express	FBO Shana Madoff	(3,701)	-	-	(3,701)	-
6/2/2005	American Express	FBO Shana Madoff	3,839	-	-	3,839	-
7/5/2005	American Express	FBO Shana Madoff	1,608	-	-	1,608	-
8/3/2005	American Express	FBO Shana Madoff	969	-	-	969	-
9/2/2005	American Express	FBO Shana Madoff	1,500	-	-	1,500	-
9/9/2005	Shana Madoff		459	-	-	459	-
10/6/2005	American Express	FBO Shana Madoff	3,528	-	-	3,528	-
11/4/2005	American Express	FBO Shana Madoff	3,066	-	-	3,066	-
12/2/2005	American Express	FBO Shana Madoff	3,661	-	-	3,661	-
1/4/2006	American Express	FBO Shana Madoff	7,902	-	-	7,902	-
1/24/2006	Shana Madoff		35	-	-	35	-
2/3/2006	American Express	FBO Shana Madoff	4,147	-	-	4,147	-
3/3/2006	American Express	FBO Shana Madoff	8,170	-	-	8,170	-
3/30/2006	Shana Madoff		705	-	-	705	-
4/3/2006	Shana Madoff		1,490	-	-	1,490	-
4/4/2006	American Express	FBO Shana Madoff	6,050	-	-	6,050	-
5/3/2006	American Express	FBO Shana Madoff	680	-	-	680	-
6/6/2006	American Express	FBO Shana Madoff	9,936	-	-	9,936	-
7/6/2006	American Express	FBO Shana Madoff	10,265	-	-	10,265	-
7/25/2006	Shana Madoff		116	-	-	116	-
8/4/2006	American Express	FBO Shana Madoff	4,574	-	-	4,574	-
8/4/2006	Shana Madoff		312	-	-	312	-
9/7/2006	American Express	FBO Shana Madoff	6,928	-	-	6,928	-
10/5/2006	American Express	FBO Shana Madoff	7,292	-	-	7,292	-
11/6/2006	American Express	FBO Shana Madoff	7,521	-	-	7,521	-
12/6/2006	American Express	FBO Shana Madoff	4,389	-	-	4,389	-
12/11/2006	Shana Madoff		1,095	-	1,095	1,095	-
1/5/2007	American Express	FBO Shana Madoff	8,855	-	8,855	8,855	-
2/7/2007	American Express	FBO Shana Madoff	6,717	-	6,717	6,717	-
3/7/2007	American Express	FBO Shana Madoff	6,058	-	6,058	6,058	-
4/3/2007	American Express	FBO Shana Madoff	11,923	-	11,923	11,923	-
5/3/2007	American Express	FBO Shana Madoff	6,002	-	6,002	6,002	-
6/4/2007	American Express	FBO Shana Madoff	3,129	-	3,129	3,129	-
6/21/2007	Madoff Energy	FBO Shana Madoff	500,000	-	500,000	500,000	-
7/2/2007	Madoff Energy	FBO Shana Madoff	1,200,000	-	1,200,000	1,200,000	-
7/5/2007	American Express	FBO Shana Madoff	4,036	-	4,036	4,036	-
8/7/2007	American Express	FBO Shana Madoff	2,299	-	2,299	2,299	-
9/5/2007	American Express	FBO Shana Madoff	3,610	-	3,610	3,610	-
10/4/2007	American Express	FBO Shana Madoff	5,130	-	5,130	5,130	-
10/30/2007	American Express	FBO Shana Madoff	7,535	-	7,535	7,535	-

OTHER TRANSFERS TO OR FOR THE BENEFIT OF SHANA MADOFF

Date	Transferee	Comments	Transfer Amount	1-Year Preferential Transfers	2-Year Transfers	6-Year Transfers	Prior to 6-Year Transfers
12/5/2007	American Express	FBO Shana Madoff	10,231	-	10,231	10,231	-
1/4/2008	American Express	FBO Shana Madoff	16,480	16,480	16,480	16,480	-
2/1/2008	American Express	FBO Shana Madoff	11,152	11,152	11,152	11,152	-
3/3/2008	American Express	FBO Shana Madoff	10,782	10,782	10,782	10,782	-
3/7/2008	Shana Madoff		50	-	50	50	-
3/28/2008	Shana Madoff		280,000 ^[7]	-	280,000	280,000	-
4/1/2008	American Express	FBO Shana Madoff	3,593	3,593	3,593	3,593	-
5/1/2008	American Express	FBO Shana Madoff	12,867	12,867	12,867	12,867	-
5/9/2008	Biondo & Hammer, LLP	FBO Shana Madoff	2,619,000 ^[8]	-	2,619,000	2,619,000	-
6/2/2008	American Express	FBO Shana Madoff	5,651	5,651	5,651	5,651	-
7/2/2008	American Express	FBO Shana Madoff	388	388	388	388	-
8/1/2008	American Express	FBO Shana Madoff	4,762	4,762	4,762	4,762	-
9/2/2008	American Express	FBO Shana Madoff	5,068	5,068	5,068	5,068	-
10/1/2008	American Express	FBO Shana Madoff	4,016	4,016	4,016	4,016	-
10/30/2008	American Express	FBO Shana Madoff	5,485	5,485	5,485	5,485	-
12/2/2008	American Express	FBO Shana Madoff	5,318	5,318	5,318	5,318	-
12/9/2008	Shana Madoff		130	-	130	130	-
			Total:	\$ 9,000,116	\$ 4,761,362	\$ 7,635,609	\$ 1,364,500

^[5] BLMIS transferred \$5,000,000 to Peter and Marion Madoff on 3/21/2005, of which \$2,000,000 was subsequently transferred to Shana Madoff.

^[6] BLMIS transferred \$5,000,000 to Peter and Marion Madoff on 3/21/2005, of which \$500,000 was subsequently transferred to BLMIS as a deposit to Shana Madoff's BLMIS account number 1M0110.

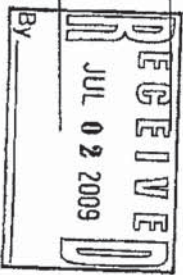
^[7] BLMIS transferred \$300,000 to Peter and Marion Madoff on 3/28/2008, of which \$280,000 was subsequently transferred to Shana Madoff for the purchase of a home in East Hampton.

^[8] BLMIS transferred \$2,800,000 to Peter and Marion Madoff on 5/9/2008, of which \$2,619,000 was subsequently transferred to Biondo & Hammer, LLP for the purchase of a home in East Hampton.

Bernard L. Madoff Investment Securities LLC
Case No. 08-01789-BRL
U.S. Bankruptcy Court for the Southern District of New York
Claim Number: 100329

PROOF OF CLAIM

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK



IN RE)
) Adv. Proc. No. 08-01789-BRL
BERNARD L. MADOFF)
INVESTMENT SECURITIES LLC,)
) Amount of Claim \$45,690,776.95

Debtor:)
) Claimed As: [x] General Unsecured
) [x] Priority (see Paragraph 10)
) [] Secured (see Paragraph 9)
)
)
)

1. Name of Creditor Andrew Madoff
(Print or Type):

Mailing Address: _____
City _____ State _____ Zip Code: _____

2. The debtor was, at the time of the filing of the petition initiating this case, and still is indebted (or liable) to this claimant in the sum of \$45,690,776.95.

3. The consideration for this debt (or ground of liability) is as follows:
Services Rendered – See Attachment

4. (If the claim is founded on writing.) The writing on which this claim is founded (or a duplicate thereof) is attached hereto (or cannot be attached for the reason set forth in the following statement).

5. (If appropriate,) This claim is founded on an open account, which became (or will become) due on _____, as shown by the itemized statement attached hereto. Unless it is attached hereto or its absence is explained in an attached statement, no note or other negotiable instrument has been received for the account or any part of it.

PROOF OF CLAIM) CLAIM NUMBER

6. No judgment has been rendered on the claim except:

7. The amount of all payments on this claim has been credited and deducted for the purpose of making this proof of claim.

8. This claim is not subject to any set-off or counterclaim except:

9. No security interest is held for this claim except:
(If security interest in property of the debtor is claimed) The undersigned claims the security interest under the writing referred to in paragraph 4 hereof. Evidence of perfection of such security interest is also attached hereto.

10. This claim is an unsecured claim, except to the extent that the security interest, if any, described in paragraph 9 is sufficient to satisfy the claim. **It is not entitled to priority unless the reason for priority and the amount claimed as priority is set forth below.**

\$10,950 is an allowed unsecured claim entitled to priority under 11 U.S.C. § 507(a)(4)(A).

11. If claimant has obtained this claim by assignment, a copy or explanation of said assignment is attached hereto.

Name of Creditor (PRINT OR TYPE) Andrew Madoff

Signature: 

Title (Corporate Officer, Partner, or Agent): Employee/director

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN 5 YEARS OR BOTH.

ATTACHMENT TO PROOF OF CLAIM OF
ANDREW MADOFF

1. On December 15, 2008 (the "Filing Date"), the Honorable Louis L. Stanton, United States District Court Judge for the Southern District of New York, entered the Order Commencing Liquidation (the "Liquidation Order") with respect to Bernard L. Madoff Investment Securities, LLC ("BLMIS" or the "Debtor") pursuant to the provisions of the Securities Investor Protection Act of 1970, 15 U.S.C. § 78aaa *et seq.*, as amended ("SIPA"). Pursuant to the Liquidation Order, the BLMIS proceeding (the "SIPA Proceeding") was removed to the United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court") in the case captioned *Securities Investment Protection Corporation v. Bernard L. Madoff Investment Securities, LLC*, Adv. Proc. No. 08-01789 (SIPA) (Bankr. S.D.N.Y. 2008).

2. On December 23, 2008, the Bankruptcy Court entered the Order *Approving Form and Manner of Publication and Mailing of Notices; Specifying Procedures for Filing, Determination, and Adjudication of Claims; and Providing Other Relief* (the "SIPA Bar Date Order") which, *inter alia*, established July 2, 2009, as the deadline (the "SIPA Bar Date") by which all creditors of BLMIS must file proofs of claim in the Debtor's SIPA Proceeding.

3. On June 9, 2009, the Honorable Burton R. Lifland, United States Bankruptcy Court Judge for the Southern District of New York, entered the *Consent Order Substantively Consolidating the Estate of Bernard L. Madoff into the SIPA Proceeding of Bernard L. Madoff Investment Securities LLC and Expressly Preserving All Rights, Claims and Powers of Both Estates* (the "Consolidation Consent Order"). Pursuant to the

Consolidation Consent Order, Bernard L. Madoff's Chapter 7 Case, No. 09-11893 (BRL) (the "Madoff Chapter 7 Case"), was substantively consolidated with the SIPA Proceeding.

4. This Proof of Claim is accordingly filed by and on behalf of Andrew Madoff (the "Claimant") for monies owing to him by BLMIS for services performed by the Claimant as a director in the proprietary trading and market making businesses of BLMIS and for Claimant's claims for fraudulent inducement against the Debtor concerning Claimant's investment in Madoff Securities International Ltd.

5. This amount constitutes (1) the balance of Claimant's Deferred Compensation Account which was maintained at BLMIS (Account No. 1-M0006-3) ("Deferred Compensation Account") (\$40,624,525; most recent available statement attached as Exhibit A); (2) Claimant's salary payment for December 1, 2008 through December 11, 2008 (\$66,251.95; 2008 W-2 tax statement attached as Exhibit B); and (3) Claimant's investment in Madoff Securities International Ltd (\$5,000,000).

6. Reference is made to the *Madoff General Claim Form* to which this attachment is made (the "Madoff General Claim Form"). As set forth therein, as of the filing of this Proof of Claim, BLMIS was, and still is, indebted and/or liable to the Claimant for cash in an amount of no less than \$45,690,776.95 arising from BLMIS's obligation to pay the Claimant the amounts accrued in Claimant's Deferred Compensation Account, as well as Claimant's December 2008 salary, and Claimant's investment in Madoff Securities International Ltd.

7. Concurrently with filing this Proof of Claim, Claimant is filing two SIPC customer claims for BLMIS Account Nos. 1-M0107-3 and 1-M0108-3 (together, the "Customer Claims"). To the extent that Claimant's Customer Claims are not honored or paid

in full in the SIPA Proceeding. Claimant reserves all rights to assert such claims in this proceeding. Nothing herein is or shall be intended to re-characterize any of Claimant's claims asserted in the Customer Claims, which shall remain submitted as Customer Claims in their entirety.

8. In addition, Claimant hereby asserts a contingent claim in respect of the possible assertion of any avoidance cause of action (or any similar cause of action seeking recovery of any transfers to Claimant) against Claimant and a claim, in an amount to be determined, based upon the avoidance of transfers to Claimant; provided, however, that Claimant's assertion of such claims shall not constitute an admission of any kind whatsoever by Claimant of the avoidability of any transfers made to Claimant or any wrongdoing on Claimant's part.

9. Claimant has filed this Proof of Claim under compulsion of the SIPA Bar Date Order and to protect Claimant from forfeiture of its claim against the Debtor by reason of the SIPA Bar Date. Claimant hereby expressly reserves the right to amend and/or supplement this Proof of Claim at any time and in any manner, including, without limitation to assert claims for interest, fees, penalties, charges, attorneys fees and expenses accrued before or after the Filing Date. Claimant further reserves the right to file additional proofs of claim or applications for allowance of administrative expenses or other priority status in this or any other proceeding arising from or related to the claims described herein, including for treatment as provided in section 503(b) of the Bankruptcy Code.

10. In executing and filing this Proof of Claim, Claimant does not waive any obligation owed to Claimant by BLMIS or any past, present or future breaches of agreements by BLMIS. Claimant further does not waive, and this Proof of Claim shall not be deemed or construed to waive, any claims or right to assert any claims, or preserve any remedies, Claimant has against BLMIS or its affiliates, whether arising from or related to transactions described herein or otherwise. Claimant believes such claims are substantial and reserves all of its rights and remedies in connection therewith. In particular, and without limitation, Claimant reserves all of his rights with respect to all claims for fraudulent inducement against the Debtor concerning the Claimant's investment of \$5,000,000 in Madoff Securities International Ltd.

11. The filing of this Proof of Claim is not and shall not be deemed or construed as: (a) a waiver or release of Claimant's rights against any person, entity, or property; (b) a consent by Claimant to the jurisdiction of this Court or any other court with respect to proceedings, if any, commenced in any case against or otherwise involving Claimant; (c) a waiver or release of Claimant's right to trial by jury in this Court or any other court in any proceeding as to any and all matters so triable herein, whether or not the same be designated legal or private rights or in any case, controversy, or proceeding related hereto, notwithstanding the designation or not of such matters as "core proceedings" pursuant to 28 U.S.C. § 157(b)(2), and whether such jury trial right is pursuant to statute or the United States Constitution; (d) a consent by Claimant to a jury trial in this Court or any other court in any proceeding as to any and all matters so triable herein or in any case, controversy, or proceeding related hereto, pursuant to 28 U.S.C. § 157(e) or otherwise; (e) a waiver or release of Claimant's right to have any and all final orders in any and all non-core matters or

proceedings entered only after *de novo* review by a United States District Court Judge; (f) a waiver of the right to move to withdraw the reference with respect to the subject matter of this Proof of Claim, any objection thereto or other proceeding which may be commenced in this case against or otherwise involving Claimant; or (g) an election of remedies.

12. All notices regarding this Proof of Claim should be sent to: Andrew Madoff, [REDACTED] with copies to Paul, Weiss, Rifkind, Wharton & Garrison LLP, 1285 Avenue of the Americas, New York, New York 10019-6064, Telephone: (212) 373-3000, Attention: Martin Flumenbaum.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.

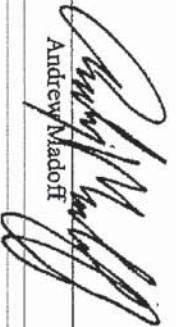

Andrew Madoff

Exhibit A

Exhibit N

PORTFOLIO DISPLAY

ACCOUNT#-> IM0006 3 ANDREW SANDOZ
 Initial Investment-----> From: 1/01/04 to 1/31/05
 Initial Investment-----> 14,005,877.82CR
 Prof Under Benchmark Return Piv Yr -----> 13,978,199.51
 Adjustments----->
 Capital Additions----->
 Capital Withdrawals----->
 Net Working Capital-----> 14,005,877.82CR
 Benchmark Return of 24 % for 366 Days--> 3,370,620.02CR
 Capital Gains/Losses----->
 Dividends/Interest----->
 Realized p/l----->
 Unrealized p/l----->
 Profits Withdrawn-----> 3,370,620.02-
 Over/Under Benchmark Return(This Year)--> 27,678.00
 Current Cash Balance-----> 27,678.00
 Market Value of Open Positions-----> 27,678.31CR
 Total Equity----->

PRIOR YEAR END EQUITY-----> 27,678.31CR
 ANNUALIZED RETURN FOR CURRENT YEAR---> .00 %
 Buying Power 28 Over/Under 17,349- Cmd 7 = EXIT



BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York • London

ANDREW MADOFF

885 THIRD AVENUE 18TH FL
NEW YORK NY 10022

885 Third Avenue
New York, NY 10022
(312) 230-2424
800 834-1343
Fax (212) 838-4061

AL328118 W13
Madoff Securities International Limited
11 Berkeley Street
Hayfele, London W1J 8DT
Tel 020 243 8122

1/31/05

1 H

1-80006-3-0

DATE	BOUGHT SHE SHLD BE LONG	SOLD SHE SHLD BE SHORT	TRF	DESCRIPTION	PRICE OR SYMBOL	AMOUNT CREDITED TO YOUR ACCOUNT	AMOUNT DEBITED TO YOUR ACCOUNT
				BALANCE FORWARD			.31
				NEW BALANCE			.31
	27,678			SECURITY POSITIONS FIDELITY SPARTAN U S TREASURY MONEY MARKET	MKT PRICE 1		
				MARKET VALUE OF SECURITIES			
				LONG			
				SHORT			
				27,678.00			

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

Exhibit B

Exhibit N

10 To Be: <input type="checkbox"/> EMPLOYEE FEDERAL TAX RETURN. Use the 1042-ES instructions. If EMPLOYEE : 1. Attach Form 941. 2. Attach Form 944. 3. Attach Form 945. 4. Attach Form 946. 5. Attach Form 947. 6. Attach Form 948. 7. Attach Form 949. 8. Attach Form 950. 9. Attach Form 951. 10. Attach Form 952. 11. Attach Form 953. 12. Attach Form 954. 13. Attach Form 955. 14. Attach Form 956. 15. Attach Form 957. 16. Attach Form 958. 17. Attach Form 959. 18. Attach Form 960. 19. Attach Form 961. 20. Attach Form 962. 21. Attach Form 963. 22. Attach Form 964. 23. Attach Form 965. 24. Attach Form 966. 25. Attach Form 967. 26. Attach Form 968. 27. Attach Form 969. 28. Attach Form 970. 29. Attach Form 971. 30. Attach Form 972. 31. Attach Form 973. 32. Attach Form 974. 33. Attach Form 975. 34. Attach Form 976. 35. Attach Form 977. 36. Attach Form 978. 37. Attach Form 979. 38. Attach Form 980. 39. Attach Form 981. 40. Attach Form 982. 41. Attach Form 983. 42. Attach Form 984. 43. Attach Form 985. 44. Attach Form 986. 45. Attach Form 987. 46. Attach Form 988. 47. Attach Form 989. 48. Attach Form 990. 49. Attach Form 991. 50. Attach Form 992. 51. Attach Form 993. 52. Attach Form 994. 53. Attach Form 995. 54. Attach Form 996. 55. Attach Form 997. 56. Attach Form 998. 57. Attach Form 999. 58. Attach Form 1000. 59. Attach Form 1001. 60. Attach Form 1002. 61. Attach Form 1003. 62. Attach Form 1004. 63. Attach Form 1005. 64. Attach Form 1006. 65. Attach Form 1007. 66. Attach Form 1008. 67. Attach Form 1009. 68. Attach Form 1010. 69. Attach Form 1011. 70. Attach Form 1012. 71. Attach Form 1013. 72. Attach Form 1014. 73. Attach Form 1015. 74. Attach Form 1016. 75. Attach Form 1017. 76. Attach Form 1018. 77. Attach Form 1019. 78. Attach Form 1020. 79. Attach Form 1021. 80. Attach Form 1022. 81. Attach Form 1023. 82. Attach Form 1024. 83. Attach Form 1025. 84. Attach Form 1026. 85. Attach Form 1027. 86. Attach Form 1028. 87. Attach Form 1029. 88. Attach Form 1030. 89. Attach Form 1031. 90. Attach Form 1032. 91. Attach Form 1033. 92. Attach Form 1034. 93. Attach Form 1035. 94. Attach Form 1036. 95. Attach Form 1037. 96. Attach Form 1038. 97. Attach Form 1039. 98. Attach Form 1040. 99. Attach Form 1041. 100. Attach Form 1042.		11 State income tax NY 1998150.00		12 Local income tax NY 145784.01		13 Total income tax NY 343934.01		14 Total amount NY 1998150.00		15 Total amount NY 79398.17		16 Total amount NY 1998150.00		17 Total amount NY 79398.17		18 Total amount NY 1998150.00		19 Total amount NY 79398.17		20 Total amount NY 1998150.00		21 Total amount NY 79398.17	
Form W-2 Wage and Tax Statement 2008 Department of the Treasury - Internal Revenue Service Safe, accurate, FAST! Use		Form W-2 Wage and Tax Statement 2008 Department of the Treasury - Internal Revenue Service Safe, accurate, FAST! Use		Form W-2 Wage and Tax Statement 2008 Department of the Treasury - Internal Revenue Service Safe, accurate, FAST! Use		Form W-2 Wage and Tax Statement 2008 Department of the Treasury - Internal Revenue Service Safe, accurate, FAST! Use		Form W-2 Wage and Tax Statement 2008 Department of the Treasury - Internal Revenue Service Safe, accurate, FAST! Use		Form W-2 Wage and Tax Statement 2008 Department of the Treasury - Internal Revenue Service Safe, accurate, FAST! Use		Form W-2 Wage and Tax Statement 2008 Department of the Treasury - Internal Revenue Service Safe, accurate, FAST! Use		Form W-2 Wage and Tax Statement 2008 Department of the Treasury - Internal Revenue Service Safe, accurate, FAST! Use		Form W-2 Wage and Tax Statement 2008 Department of the Treasury - Internal Revenue Service Safe, accurate, FAST! Use		Form W-2 Wage and Tax Statement 2008 Department of the Treasury - Internal Revenue Service Safe, accurate, FAST! Use		Form W-2 Wage and Tax Statement 2008 Department of the Treasury - Internal Revenue Service Safe, accurate, FAST! Use		Form W-2 Wage and Tax Statement 2008 Department of the Treasury - Internal Revenue Service Safe, accurate, FAST! Use	

EISS, RIKIND, WHARTON & GARRISON LLP
VENUE OF THE AMERICAS • NEW YORK, NY 1 001 9-0004

HAND DELIVERY

PSISlip - Voucher

Page 1 of 2

To

Aik Partners LLP
c/o Baker & Hosteler LLP
45 Rockefeller Plaza
New York, NY 10111 US
212-373-3512

From

HANNAH S. SHOLL
(04796)
Paul Weiss LLP
1285 Avenue of the
Americas
New York, NY 10019 US

PWRWG0164486



Vendor

Trading

Reference

Operator

Options

Signature required

Signature

Name

Date

Roundtrip
Hand Delivery by 11:00 AM
018997.00001

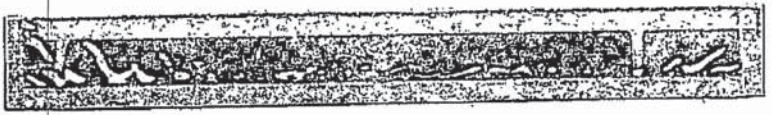
Instructions
May be left unattended in a
safe place
Messenger needs to wait for
signature. SUPER RUSH

Operator
CLAUDETTE WILSON
2072
cwilson@pauweiss.com

Vendor: _____
Trading: _____
Reference: _____
Operator: _____
Options: _____
Signature required: _____
Signature: _____
Name: _____
Date: _____

Delivered to	Signature	Name	Date
Delivered by			

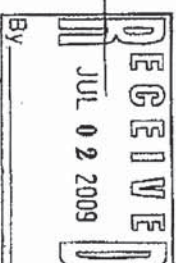
NY-019
↑
NY-016



PROOF OF CLAIM

Bernard L. Madoff Investment Securities LLC
Case No 08-01789-BRL
U.S. Bankruptcy Court for the Southern District of New York
Claim Number: 100328

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK



IN RE) Adv. Proc. No. 08-01789-BRL
BERNARD L. MADOFF)
INVESTMENT SECURITIES LLC,) Amount of Claim \$53,848,721.06

Debtor.) Claimed As: General Unsecured
) Priority (see Paragraph 10)
) Secured (see Paragraph 9)
)
)
)

1. Name of Creditor Mark Madoff
(Print or Type):

Mailing Address: [REDACTED]
City [REDACTED] State [REDACTED] Zip Code: [REDACTED]

2. The debtor was, at the time of the filing of the petition initiating this case, and still is indebted (or liable) to this claimant in the sum of \$53,848,721.06.

3. The consideration for this debt (or ground of liability) is as follows:
Services Rendered – See Attachment

4. (If the claim is founded on writing.) The writing on which this claim is founded (or a duplicate thereof) is attached hereto (or cannot be attached for the reason set forth in the following statement).
[REDACTED]

5. (If appropriate,) This claim is founded on an open account, which became (or will become) due on _____, as shown by the itemized statement attached hereto. Unless it is attached hereto or its absence is explained in an attached statement, no note or other negotiable instrument has been received for the account or any part of it.

PROOF OF CLAIM) CLAIM NUMBER)

6. No judgment has been rendered on the claim except:

7. The amount of all payments on this claim has been credited and deducted for the purpose of making this proof of claim.

8. This claim is not subject to any set-off or counterclaim except:

9. No security interest is held for this claim except:

(If security interest in property of the debtor is claimed) The undersigned claims the security interest under the writing referred to in paragraph 4 hereof. Evidence of perfection of such security interest is also attached hereto.

10. This claim is an unsecured claim, except to the extent that the security interest, if any, described in paragraph 9 is sufficient to satisfy the claim. It is not entitled to priority unless the reason for priority and the amount claimed as priority is set forth below.

\$10,950 is an allowed unsecured claim entitled to priority under 11 U.S.C. § 507(a)(4)(A).

11. If claimant has obtained this claim by assignment, a copy or explanation of said assignment is attached hereto.

Name of Creditor (PRINT OR TYPE) Mark Madoff

Signature: 

Title (Corporate Officer, Partner, or Agent): Employee/director

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN 5 YEARS OR BOTH.

ATTACHMENT TO PROOF OF CLAIM OF
MARK MADOFF

1. On December 15, 2008 (the "Filing Date"), the Honorable Louis L. Stanton, United States District Court Judge for the Southern District of New York, entered the Order Commencing Liquidation (the "Liquidation Order") with respect to Bernard L. Madoff Investment Securities, LLC ("BLMIS" or the "Debtor") pursuant to the provisions of the Securities Investor Protection Act of 1970, 15 U.S.C. § 78aaa *et seq.*, as amended ("SIPA"). Pursuant to the Liquidation Order, the BLMIS proceeding (the "SIPA Proceeding") was removed to the United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court") in the case captioned *Securities Investment Protection Corporation v. Bernard L. Madoff Investment Securities, LLC*, Adv. Proc. No. 08-01789 (SIPA) (Bankr. S.D.N.Y. 2008).
2. On December 23, 2008, the Bankruptcy Court entered the Order *Approving Form and Manner of Publication and Mailing of Notices; Specifying Procedures for Filing, Determination, and Adjudication of Claims; and Providing Other Relief* (the "SIPA Bar Date Order") which, *inter alia*, established July 2, 2009, as the deadline (the "SIPA Bar Date") by which all creditors of BLMIS must file proofs of claim in the Debtor's SIPA Proceeding.
3. On June 9, 2009, the Honorable Burton R. Lifland, United States Bankruptcy Court Judge for the Southern District of New York, entered the *Consent Order Substantively Consolidating the Estate of Bernard L. Madoff into the SIPA Proceeding of Bernard L. Madoff Investment Securities LLC and Expressly Preserving All Rights, Claims and Powers of Both Estates* (the "Consolidation Consent Order"). Pursuant to the

Consolidation Consent Order, Bernard L. Madoff's Chapter 7 Case, No. 09-11893 (BRL) (the "Madoff Chapter 7 Case"), was substantively consolidated with the SIPA Proceeding.

4. This Proof of Claim is accordingly filed by and on behalf of Mark Madoff (the "Claimant") for monies owing to him by BLMIS for services performed by the Claimant as a director in the proprietary trading and market making businesses of BLMIS and for Claimant's claims for fraudulent inducement against the Debtor concerning Claimant's investment in Madoff Securities International Ltd.

5. This amount constitutes (1) the balance of Claimant's Deferred Compensation Account which was maintained at BLMIS (Account No. 1-M0009-3) ("Deferred Compensation Account") (\$44,815,520; most recent available statement attached as Exhibit A); (2) Claimant's salary payment for December 1, 2008 through December 11, 2008 (\$33,201.06; 2008 W-2 tax statement attached as Exhibit B); (3) Claimant's 2007 bonus (\$2,000,000); (4) Claimant's 2008 bonus (\$2,000,000); and (4) Claimant's investment in Madoff Securities International Ltd. (\$5,000,000).

6. Reference is made to the *Madoff General Claim Form* to which this attachment is made (the "Madoff General Claim Form"). As set forth therein, as of the filing of this Proof of Claim, BLMIS was, and still is, indebted and/or liable to the Claimant for cash in an amount of no less than \$53,848,721.06 arising from BLMIS's obligation to pay the Claimant the amounts accrued in Claimant's Deferred Compensation Account, as well as Claimant's December 2008 salary, 2007 and 2008 bonuses, and Claimant's investment in Madoff Securities International Ltd.

7. In addition, Claimant hereby asserts a contingent claim in respect of the possible assertion of any avoidance cause of action (or any similar cause of action seeking

recovery of any transfers to Claimant) against Claimant and a claim, in an amount to be determined, based upon the avoidance of transfers to Claimant; provided, however, that Claimant's assertion of such claims shall not constitute an admission of any kind whatsoever by Claimant of the avoidability of any transfers made to Claimant or any wrongdoing on Claimant's part.

* * * * *

8. Claimant has filed this Proof of Claim under compulsion of the SIPA Bar Date Order and to protect Claimant from forfeiture of its claim against the Debtor by reason of the SIPA Bar Date. Claimant hereby expressly reserves the right to amend and/or supplement this Proof of Claim at any time and in any manner, including, without limitation to assert claims for interest, fees, penalties, charges, attorneys fees and expenses accrued before or after the Filing Date. Claimant further reserves the right to file additional proofs of claim or applications for allowance of administrative expenses or other priority status in this or any other proceeding arising from or related to the claims described herein, including for treatment as provided in section 503(b) of the Bankruptcy Code.

9. In executing and filing this Proof of Claim, Claimant does not waive any obligation owed to Claimant by BLMIS or any past, present or future breaches of agreements by BLMIS. Claimant further does not waive, and this Proof of Claim shall not be deemed or construed to waive, any claims or right to assert any claims, or preserve any remedies, Claimant has against BLMIS or its affiliates, whether arising from or related to transactions described herein or otherwise. Claimant believes such claims are substantial and reserves all of its rights and remedies in connection therewith. In particular, and without

limitation, Claimant reserves all of his rights with respect to all claims for fraudulent inducement against the Debtor concerning the Claimant's investment of \$5,000,000 in Madoff Securities International Ltd.

10. The filing of this Proof of Claim is not and shall not be deemed or construed as: (a) a waiver or release of Claimant's rights against any person, entity, or property; (b) a consent by Claimant to the jurisdiction of this Court or any other court with respect to proceedings, if any, commenced in any case against or otherwise involving Claimant; (c) a waiver or release of Claimant's right to trial by jury in this Court or any other court in any proceeding as to any and all matters so triable herein, whether or not the same be designated legal or private rights or in any case, controversy, or proceeding related hereto, notwithstanding the designation or not of such matters as "core proceedings" pursuant to 28 U.S.C. § 157(b)(2), and whether such jury trial right is pursuant to statute or the United States Constitution; (d) a consent by Claimant to a jury trial in this Court or any other court in any proceeding as to any and all matters so triable herein or in any case, controversy, or proceeding related hereto, pursuant to 28 U.S.C. § 157(e) or otherwise; (e) a waiver or release of Claimant's right to have any and all final orders in any and all non-core matters or proceedings entered only after *de novo* review by a United States District Court Judge; (f) a waiver of the right to move to withdraw the reference with respect to the subject matter of this Proof of Claim, any objection thereto or other proceeding which may be commenced in this case against or otherwise involving Claimant; or (g) an election of remedies.

11. All notices regarding this Proof of Claim should be sent to: Mark Madoff, [REDACTED] with copies to Paul, Weiss,

Ribkind, Wharton & Garrison LLP, 1285 Avenue of the Americas, New York, New York
10019-6064, Telephone: (212) 373-3000, Attention: Martin Finnenbaum.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY
INFORMATION AND BELIEF.



Mark Madoff

Exhibit A

Exhibit N

Exhibit N

Exhibit B

PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP
1285 AVENUE OF THE AMERICAS
NEW YORK, NEW YORK 10019-6064
TELEPHONE (212) 373-3000
FACSIMILE (212) 757-3990

2001 K STREET NW
WASHINGTON, DC 20006-1047
TELEPHONE (202) 223-7400
FACSIMILE (202) 223-7420

FUKOKU SEIWA BUILDING
2-3 DOKUSAWAICHO 2-CHOME
CHYODOKU-KU, TOKYO 100-0011, JAPAN
TELEPHONE (81-3) 557-8101
FACSIMILE (81-3) 557-8120

UNIT 3601, PORTUNE PLAZA OFFICE TOWER A
NO. 7 DONG XIAOHUAN ZHONGLU
CHAO YANG DISTRICT
BEIJING 100020
PEOPLE'S REPUBLIC OF CHINA
TELEPHONE (86-10) 5828-5500
FACSIMILE (86-10) 5930-8079/9080

WRITER'S DIRECT DIAL NUMBER
212-373-3191
WRITER'S DIRECT FACSIMILE
212-492-0191
WRITER'S DIRECT E-MAIL ADDRESS
mflumenbaum@paulweiss.com

127H FLOOR, HONG KONG CLUB BUILDING
3A CHATER ROAD, CENTRAL
HONG KONG
TELEPHONE (852) 2536-9933
FACSIMILE (852) 2536-9922

ALDER CASTLE
10 MOBLE STREET
LONDON EC2A 7JQ, U.K.
TELEPHONE (44 20) 7587 1900
FACSIMILE (44 20) 7587 1950

MATTHEW W. ARBROT
MARK H. ALCOFF
ALEX J. ARVIT
ALAN J. BARTONE
JOHN F. BAUMANN
JOHN J. BELLER
DANIEL J. BELLER
MICHAEL L. BERG
BRUCE BIRNENBOIM
ANGILO BONVINO
MENA BRADY
RICHARD H. BRONSTEIN
SUKHANKA M. BUEZIGEL
PATRICK S. CAMPBELL
YOUNG S. F. CHAN
JAY CONRY
KELLEY A. CONNOR
DOUGLAS R. DAVIS
ANIL J. DEGRETA/DAW
JAMES M. DEJIN/CHI
LESLIE L. FELICHER
ROBERTO FINZI
ROBERT G. FLEDER
ANDREW J. FOLEY
MARRIE B. FIELDS
KENNETH A. GALLO
PAUL O. GINSBERG
ERIC S. GOLDSTEIN
CLANLEY R. GOODE, JR.
BRUCE A. GUTENPLAN
CAYNES GAYTHER/III
CLAVDIA HAHNEMANN
BRIAN S. HERRMAN
ROBERT H. HERRMAN
JOSEPH S. HUANG
ROBERT A. KAPLAN
THOMAS C. KENNEDY
ALAN W. KORNBERG
MOR ADMITTED TO THE NEW YORK BAR

DAVID K. LAKSHMINAR
JOHN E. LANGE
DANIEL G. LETTISL
JEFFREY D. MARCELL
MARCUS V. MASOTTI
EDWIN S. MAZUYAD
TOMMY AVERSON
CATHERINE NYAGADY
ALEX YOUNG, K. OH
KELLEY O. PARKER
MORITZ PERMUTTER
MARK F. POMERANTZ
CARYN N. RAJOS
WALTER RIESCHER
WALTER RIEMANN
ANDREW N. ROSENBERG
PATRICK J. NOYENBERG
RAPHAELE M. RUSSELL
JEFFREY M. SARVELLS
DALE M. SARNOK
KENNETH M. SCHMIDER
JOSEPH B. SCHWAB
STEPHEN J. SHIMSHAN
MORIS SILVERMAN
STEPHEN SHIRMAN
HANILYAN SOBEL/ART
ANDREW S. SWANOFFSKY
ERIC ALAN STONE
JUDITH B. THAYER
MARK A. UNDESBERG
LIZAN M. VILLOQUEZ
LAWRENCE G. WEE, S. JR.
BETH A. WILKINSON
JORDAN E. VARETT
TONG VI
TRACEY A. ZACCONE
ROBERT ZACHARYWSKI, JR.

July 2, 2009

By Hand Delivery

Irving H. Picard, Esq.
Baker and Hostetler LLP
45 Rockefeller Plaza, 11th Floor
New York, NY 10111

Dear Mr. Picard:

Enclosed please find Customer Claims and Proofs of Claim for Mark and Andrew Madoff. The original versions of these forms were sent to the Claims Processing Center in Dallas, Texas this week. However, we have not received confirmation that such forms were received by the Claims Agent. Therefore, in an abundance of caution, we submit these copies to your office to ensure that we meet the July 2 SIP A deadline.

Please contact me if you have any questions.

Sincerely,
Marti Flumenbaum
Marti Flumenbaum

Enclosures

EISS, RIFKIND, WHARTON & GARRISON LLP
AVENUE OF THE AMERICAS • NEW YORK, NY 10019-8004

HAND DELIVERY

PSIShip - Voucher

Page 1 of 2

To

Allx Partners LLP
c/o Baker & Hostetler LLP
45 Rockefeller Plaza
New York, NY 10111 US
212-373-3512

From

HANNAH S. SHOLL
(04795)
Paul Weiss LLP
1285 Avenue of the
Americas
New York, NY 10019 US

PWRWG0164486



Vendor

Service

Roundtrip
Hand Delivery by 11:00 AM

Reference

018997, 00001

Instructions

Operator

May be left unattended in a
safe place
Messenger needs to wait for
signature. SUPER RUSH

CLAUDETTE WILSON
2072
cwilson@paulweiss.com

Options

Signature required

Tracking

Price

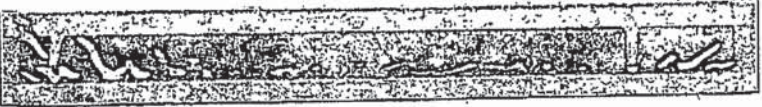
Packaging/Dimensions

Other

Date

Delivered to	Signature	Name	Date
Delivered by			

NY-019
↑
NY-019



Bernard L. Madoff Investment Securities LLC
Case No 08-01789-BRL
U.S. Bankruptcy Court for the Southern District of New York
Claim Number 100427

PROOF OF CLAIM

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

RECEIVED
JUL 06 2009

IN RE)
) Adv. Proc. No. 08-01789-BRL
BERNARD L. MADOFF)
INVESTMENT SECURITIES LLC,)
) Amount of Claim \$45,690,776.95

Debtor,)
) Claimed As: General Unsecured
) Priority (see Paragraph 10)
) Secured (see Paragraph 9)
)
)

1. Name of Creditor
(Print or Type):

Andrew Madoff

Mailing Address:

City [REDACTED] State [REDACTED] Zip Code: [REDACTED]

2. The debtor was, at the time of the filing of the petition initiating this case, and still is indebted (or liable) to this claimant in the sum of \$45,690,776.95.

3. The consideration for this debt (or ground of liability) is as follows:
Services Rendered – See Attachment

4. (If the claim is founded on writing,) The writing on which this claim is founded (or a duplicate thereof) is attached hereto (or cannot be attached for the reason set forth in the following statement).

5. (If appropriate,) This claim is founded on an open account, which became (or will become) due on _____, as shown by the itemized statement attached hereto. Unless it is attached hereto or its absence is explained in an attached statement, no note or other negotiable instrument has been received for the account or any part of it.

PROOF OF CLAIM) CLAIM NUMBER
)

6. No judgment has been rendered on the claim except:

7. The amount of all payments on this claim has been credited and deducted for the purpose of making this proof of claim.

8. This claim is not subject to any set-off or counterclaim except:

9. No security interest is held for this claim except:
(If security interest in property of the debtor is claimed) The undersigned claims the security interest under the writing referred to in paragraph 4 hereof. Evidence of perfection of such security interest is also attached hereto.

10. This claim is an unsecured claim, except to the extent that the security interest, if any, described in paragraph 9 is sufficient to satisfy the claim. **It is not entitled to priority unless the reason for priority and the amount claimed as priority is set forth below.**

\$10,950 is an allowed unsecured claim entitled to priority under 11 U.S.C. § 507(a)(4)(A).

11. If claimant has obtained this claim by assignment, a copy or explanation of said assignment is attached hereto.

Name of Creditor (PRINT OR TYPE) Andrew Madoff

Signature: _____

Title (Corporate Officer, Partner, or Agent): Employee/director

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN 5 YEARS OR BOTH.

ATTACHMENT TO PROOF OF CLAIM OF
ANDREW MADOFF

1. On December 15, 2008 (the "Filing Date"), the Honorable Louis L. Stanton, United States District Court Judge for the Southern District of New York, entered the Order Commencing Liquidation (the "Liquidation Order") with respect to Bernard L. Madoff Investment Securities, LLC ("BLMIS" or the "Debtor") pursuant to the provisions of the Securities Investor Protection Act of 1970, 15 U.S.C. § 78aaa *et seq.*, as amended ("SIPA"). Pursuant to the Liquidation Order, the BLMIS proceeding (the "SIPA Proceeding") was removed to the United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court") in the case captioned *Securities Investment Protection Corporation v. Bernard L. Madoff Investment Securities, LLC*, Adv. Proc. No. 08-01789 (SIPA) (Bankr. S.D.N.Y. 2008).
2. On December 23, 2008, the Bankruptcy Court entered the *Order Approving Form and Manner of Publication and Mailing of Notices; Specifying Procedures for Filing, Determination, and Adjudication of Claims; and Providing Other Relief* (the "SIPA Bar Date Order") which, *inter alia*, established July 2, 2009, as the deadline (the "SIPA Bar Date") by which all creditors of BLMIS must file proofs of claim in the Debtor's SIPA Proceeding.
3. On June 9, 2009, the Honorable Burton R. Lifland, United States Bankruptcy Court Judge for the Southern District of New York, entered the *Consent Order Substantively Consolidating the Estate of Bernard L. Madoff into the SIPA Proceeding of Bernard L. Madoff Investment Securities LLC and Expressly Preserving All Rights, Claims and Powers of Both Estates* (the "Consolidation Consent Order"). Pursuant to the

Consolidation Consent Order, Bernard L. Madoff's Chapter 7 Case, No. 09-11893 (BRL) (the "Madoff Chapter 7 Case"), was substantively consolidated with the SIPA Proceeding.

4. This Proof of Claim is accordingly filed by and on behalf of Andrew Madoff (the "Claimant") for monies owing to him by BLMIS for services performed by the Claimant as a director in the proprietary trading and market making businesses of BLMIS and for Claimant's claims for fraudulent inducement against the Debtor concerning Claimant's investment in Madoff Securities International Ltd.

5. This amount constitutes (1) the balance of Claimant's Deferred Compensation Account which was maintained at BLMIS (Account No. 1-M0006-3) ("Deferred Compensation Account") (\$40,624,525; most recent available statement attached as Exhibit A); (2) Claimant's salary payment for December 1, 2008 through December 11, 2008 (\$66,251.95; 2008 W-2 tax statement attached as Exhibit B); and (3) Claimant's investment in Madoff Securities International Ltd (\$5,000,000).

6. Reference is made to the *Madoff General Claim Form* to which this attachment is made (the "Madoff General Claim Form"). As set forth therein, as of the filing of this Proof of Claim, BLMIS was, and still is, indebted and/or liable to the Claimant for cash in an amount of no less than \$45,690,776.95 arising from BLMIS's obligation to pay the Claimant the amounts accrued in Claimant's Deferred Compensation Account, as well as Claimant's December 2008 salary, and Claimant's investment in Madoff Securities International Ltd.

7. Concurrently with filing this Proof of Claim, Claimant is filing two SIPC customer claims for BLMIS Account Nos. 1-M0107-3 and 1-M0108-3 (together, the "Customer Claims"). To the extent that Claimant's Customer Claims are not honored or paid

in full in the SIPA Proceeding, Claimant reserves all rights to assert such claims in this proceeding. Nothing herein is or shall be intended to re-characterize any of Claimant's claims asserted in the Customer Claims, which shall remain submitted as Customer Claims in their entirety.

8. In addition, Claimant hereby asserts a contingent claim in respect of the possible assertion of any avoidance cause of action (or any similar cause of action seeking recovery of any transfers to Claimant) against Claimant and a claim, in an amount to be determined, based upon the avoidance of transfers to Claimant; provided, however, that Claimant's assertion of such claims shall not constitute an admission of any kind whatsoever by Claimant of the avoidability of any transfers made to Claimant or any wrongdoing on Claimant's part.

* * * * *

9. Claimant has filed this Proof of Claim under compulsion of the SIPA Bar Date Order and to protect Claimant from forfeiture of its claim against the Debtor by reason of the SIPA Bar Date. Claimant hereby expressly reserves the right to amend and/or supplement this Proof of Claim at any time and in any manner, including, without limitation to assert claims for interest, fees, penalties, charges, attorneys fees and expenses accrued before or after the Filing Date. Claimant further reserves the right to file additional proofs of claim or applications for allowance of administrative expenses or other priority status in this or any other proceeding arising from or related to the claims described herein, including for treatment as provided in section 503(b) of the Bankruptcy Code.

10. In executing and filing this Proof of Claim, Claimant does not waive any obligation owed to Claimant by BLMIS or any past, present or future breaches of agreements by BLMIS. Claimant further does not waive, and this Proof of Claim shall not be deemed or construed to waive, any claims or right to assert any claims, or preserve any remedies, Claimant has against BLMIS or its affiliates, whether arising from or related to transactions described herein or otherwise. Claimant believes such claims are substantial and reserves all of its rights and remedies in connection therewith. In particular, and without limitation, Claimant reserves all of his rights with respect to all claims for fraudulent inducement against the Debtor concerning the Claimant's investment of \$5,000,000 in Madoff Securities International Ltd.

11. The filing of this Proof of Claim is not and shall not be deemed or construed as: (a) a waiver or release of Claimant's rights against any person, entity, or property; (b) a consent by Claimant to the jurisdiction of this Court or any other court with respect to proceedings, if any, commenced in any case against or otherwise involving Claimant; (c) a waiver or release of Claimant's right to trial by jury in this Court or any other court in any proceeding as to any and all matters so triable herein, whether or not the same be designated legal or private rights or in any case, controversy, or proceeding related hereto, notwithstanding the designation or not of such matters as "core proceedings" pursuant to 28 U.S.C. § 157(b)(2), and whether such jury trial right is pursuant to statute or the United States Constitution; (d) a consent by Claimant to a jury trial in this Court or any other court in any proceeding as to any and all matters so triable herein or in any case, controversy, or proceeding related hereto, pursuant to 28 U.S.C. § 157(e) or otherwise; (e) a waiver or release of Claimant's right to have any and all final orders in any and all non-core matters or

proceedings entered only after *de novo* review by a United States District Court Judge; (f) a waiver of the right to move to withdraw the reference with respect to the subject matter of this Proof of Claim, any objection thereto or other proceeding which may be commenced in this case against or otherwise involving Claimant; or (g) an election of remedies.

12. All notices regarding this Proof of Claim should be sent to: Andrew Madoff, [REDACTED] with copies to Paul,

Weiss, Rifkind, Wharton & Garrison LLP, 1285 Avenue of the Americas, New York, New York 10019-6064, Telephone: (212) 373-3000, Attention: Martin Flumenbaum.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.


Andrew Madoff

Exhibit A

P O R T F O L I O D I S P L A Y

ACCOUNT#-> IM0006 3 ANDREW MADOFF

From: 1/01/04 to 1/31/05

Initial Investment	14,005,877.82CR
Prof. Under Benchmark Return Priv Yr	13,978,199.51
Adjustments	
Capital Additions	
Capital Withdrawals	
Net Working Capital	14,005,877.82CR
Benchmark Return of 24 & for 366 Days	3,370,620.02CR
Capital Gains/Loses	
Dividends/Interest	
Realized P/L	
Unrealized P/L	
Profits Withdrawn	3,370,620.02
Over/Under benchmark Return(This Year)	.31CR
Current Cash Balance	27,678.00
Market Value of Open Positions	27,678.31CR
Total Equity	

PRIOR YEAR END EQUITY--> 27,678.31CR
ANNUALIZED RETURN FOR CURRENT YEAR--> .00 %

Cmd 7 = EXIT

Buying Power 28 Over/Under 17,349

BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York □ London

885 Third Avenue
New York, NY 10022
(212) 230-2424
800 534-1343
Fax (212) 838-4061

Account with
Madoff Securities International Limited
11 Berkeley Street
Mayfair, London W1J 8DT
Tel 020 7493 6221

ANDREW MADOFF

885 THIRD AVENUE 18TH FL
NEW YORK NY 10022

1/31/09

1 H

1-00006-3-0

[REDACTED]

DATE	BOUGHT RECEIVED ON LONG	SOLD DELIVERED BY SHORT	TRK	DESCRIPTION	PRICE OR SYMBOL	AMOUNT CREDITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
				BALANCE FORWARD			.31
				NEW BALANCE			.31
	27,678			SECURITY POSITIONS FIDELITY SPARTAN U S TREASURY MONEY MARKET	MKT PRICE 1		
				MARKET VALUE OF SECURITIES LONG 27,678.00	SHORT		

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

Exhibit B

* Employee's social security number (SSN) [REDACTED] OMB No. [REDACTED]		* Employee's name, address and ZIP code Bernard L Medoff Investment Securities LLC 885 Third Avenue New York, NY 10022			
* Employee's name, address and ZIP code Andrew Medoff [REDACTED]		To Be Filled with Employee's FEDERAL TAX RETURN. Mail to IRS if self-employed.			
1	Wages, tips, other compensation	1996150.00	2	Federal income tax withheld	596521.32
3	Social security wages	102000.00	4	Social security tax withheld	6324.00
6	Medicare wages and tips	2011650.00	8	Medicare tax withheld	29169.93
8	Medicare tips		9	Medicare EIC payment	
10	Dependent care benefits		12a	Cost basis/adjustment for box 12	
11	Health/savings plan		12b	Health/Den	6550.06
12	Health/savings plan		12c	Med Spend	4000.00
13	Health/savings plan		14	Other Health/Den	
14	Health/savings plan		15	Med Spend	
16	Local wages by state, etc.	1996150.00	18	Local income tax	79368.17
17	State income tax	145794.01	19	Local income tax	NYC
18	State wages, tips, etc.	1996150.00	20	Local income tax	NYC

Form W-2 Wage and Tax Statement 2008

Department of the Treasury—Internal Revenue Service

Self, account, FASTI Use

Employee's name, address and ZIP code
 Bernard L Medoff Investment Securities LLC
 885 Third Avenue
 New York, NY 10022

Employee's name, address and ZIP code
 Andrew Medoff
 [REDACTED]

To Be Filled with Employee's STATE, CITY or LOCAL INCOME TAX RETURN.

1 Wages, tips, other compensation 1996150.00

2 Federal income tax withheld 596521.32

3 Social security wages 102000.00

4 Social security tax withheld 6324.00

6 Medicare wages and tips 2011650.00

8 Medicare tax withheld 29169.93

9 Medicare EIC payment

12a Cost basis/adjustment for box 12

12b Health/Den 6550.06

12c Med Spend 4000.00

14 Other Health/Den

15 Med Spend

16 Local wages by state, etc. 1996150.00

18 Local income tax 79368.17

19 Local income tax NYC

20 Local income tax NYC

Form W-2 Wage and Tax Statement 2008

Department of the Treasury—Internal Revenue Service

Self, account, FASTI Use

Employee's name, address and ZIP code
 Bernard L Medoff Investment Securities LLC
 885 Third Avenue
 New York, NY 10022

Employee's name, address and ZIP code
 Andrew Medoff
 [REDACTED]

To Be Filled with Employee's STATE, CITY or LOCAL INCOME TAX RETURN.

1 Wages, tips, other compensation 1996150.00

2 Federal income tax withheld 596521.32

3 Social security wages 102000.00

4 Social security tax withheld 6324.00

6 Medicare wages and tips 2011650.00

8 Medicare tax withheld 29169.93

9 Medicare EIC payment

12a Cost basis/adjustment for box 12

12b Health/Den 6550.06

12c Med Spend 4000.00

14 Other Health/Den

15 Med Spend

16 Local wages by state, etc. 1996150.00

18 Local income tax 79368.17

19 Local income tax NYC

20 Local income tax NYC

Bernard L. Madoff Investment Securities LLC
Case No 08-01789-BRL
U.S. Bankruptcy Court for the Southern District of New York
Claim Number 100428

PROOF OF CLAIM

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

IN RE

BERNARD L. MADOFF
INVESTMENT SECURITIES LLC,

) Adv. Proc. No. 08-01789-BRL

RECEIVED

JUL 06 2009

) Amount of Claim \$53,848,721.06

) Claimed As: General Unsecured

) Priority (see Paragraph 10)

) Secured (see Paragraph 9)

Debtor.

1. Name of Creditor
(Print or Type):

Mark Madoff

Mailing Address:

████████████████████

City

██████████

State

██████████

Zip Code:

██████████

2. The debtor was, at the time of the filing of the petition initiating this case, and still is indebted (or liable) to this claimant in the sum of \$53,848,721.06.

3. The consideration for this debt (or ground of liability) is as follows:
Services Rendered – See Attachment

4. (If the claim is founded on writing.) The writing on which this claim is founded (or a duplicate thereof) is attached hereto (or cannot be attached for the reason set forth in the following statement).

5. (If appropriate,) This claim is founded on an open account, which became (or will become) due on _____, as shown by the itemized statement attached hereto. Unless it is attached hereto or its absence is explained in an attached statement, no note or other negotiable instrument has been received for the account or any part of it.

PROOF OF CLAIM) CLAIM NUMBER
)

6. No judgment has been rendered on the claim except:

7. The amount of all payments on this claim has been credited and deducted for the purpose of making this proof of claim.

8. This claim is not subject to any set-off or counterclaim except:


9. No security interest is held for this claim except:
(If security interest in property of the debtor is claimed) The undersigned claims the security interest under the writing referred to in paragraph 4 hereof. Evidence of perfection of such security interest is also attached hereto.

10. This claim is an unsecured claim, except to the extent that the security interest, if any, described in paragraph 9 is sufficient to satisfy the claim. **It is not entitled to priority unless the reason for priority and the amount claimed as priority is set forth below.**

\$10,950 is an allowed unsecured claim entitled to priority under 11 U.S.C. § 507(a)(4)(A).

11. If claimant has obtained this claim by assignment, a copy or explanation of said assignment is attached hereto.

Name of Creditor (PRINT OR TYPE) Mark Madoff

Signature: 

Title (Corporate Officer, Partner, or Agent): Employee/director

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN 5 YEARS OR BOTH.

ATTACHMENT TO PROOF OF CLAIM OF
MARK MADOFF

1. On December 15, 2008 (the "Filing Date"), the Honorable Louis L. Stanton, United States District Court Judge for the Southern District of New York, entered the Order Commencing Liquidation (the "Liquidation Order") with respect to Bernard L. Madoff Investment Securities, LLC ("BLMIS" or the "Debtor") pursuant to the provisions of the Securities Investor Protection Act of 1970, 15 U.S.C. § 78aaa *et seq.*, as amended ("SIPA"). Pursuant to the Liquidation Order, the BLMIS proceeding (the "SIPA Proceeding") was removed to the United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court") in the case captioned *Securities Investment Protection Corporation v. Bernard L. Madoff Investment Securities, LLC*, Adv. Proc. No. 08-01789 (SIPA) (Bankr. S.D.N.Y. 2008).
2. On December 23, 2008, the Bankruptcy Court entered the Order *Approving Form and Manner of Publication and Mailing of Notices; Specifying Procedures for Filing, Determination, and Adjudication of Claims; and Providing Other Relief* (the "SIPA Bar Date Order") which, *inter alia*, established July 2, 2009, as the deadline (the "SIPA Bar Date") by which all creditors of BLMIS must file proofs of claim in the Debtor's SIPA Proceeding.
3. On June 9, 2009, the Honorable Burton R. Lifland, United States Bankruptcy Court Judge for the Southern District of New York, entered the *Consent Order Substantively Consolidating the Estate of Bernard L. Madoff into the SIPA Proceeding of Bernard L. Madoff Investment Securities LLC and Expressly Preserving All Rights, Claims and Powers of Both Estates* (the "Consolidation Consent Order"). Pursuant to the

Consolidation Consent Order, Bernard L. Madoff's Chapter 7 Case, No. 09-11893 (BRL) (the "Madoff Chapter 7 Case"), was substantively consolidated with the SIPA Proceeding.

4. This Proof of Claim is accordingly filed by and on behalf of Mark Madoff (the "Claimant") for monies owing to him by BLMIS for services performed by the Claimant as a director in the proprietary trading and market making businesses of BLMIS and for Claimant's claims for fraudulent inducement against the Debtor concerning Claimant's investment in Madoff Securities International Ltd.

5. This amount constitutes (1) the balance of Claimant's Deferred Compensation Account which was maintained at BLMIS (Account No. 1-M0009-3) ("Deferred Compensation Account") (\$44,815,520; most recent available statement attached as Exhibit A); (2) Claimant's salary payment for December 1, 2008 through December 11, 2008 (\$33,201.06; 2008 W-2 tax statement attached as Exhibit B); (3) Claimant's 2007 bonus (\$2,000,000); (4) Claimant's 2008 bonus (\$2,000,000); and (4) Claimant's investment in Madoff Securities International Ltd. (\$5,000,000).

6. Reference is made to the *Madoff General Claim Form* to which this attachment is made (the "Madoff General Claim Form"). As set forth therein, as of the filing of this Proof of Claim, BLMIS was, and still is, indebted and/or liable to the Claimant for cash in an amount of no less than \$53,848,721.06 arising from BLMIS's obligation to pay the Claimant the amounts accrued in Claimant's Deferred Compensation Account, as well as Claimant's December 2008 salary, 2007 and 2008 bonuses, and Claimant's investment in Madoff Securities International Ltd.

7. In addition, Claimant hereby asserts a contingent claim in respect of the possible assertion of any avoidance cause of action (or any similar cause of action seeking

recovery of any transfers to Claimant) against Claimant and a claim, in an amount to be determined, based upon the avoidance of transfers to Claimant; provided, however, that Claimant's assertion of such claims shall not constitute an admission of any kind whatsoever by Claimant of the avoidability of any transfers made to Claimant or any wrongdoing on Claimant's part.

* * * * *

8. Claimant has filed this Proof of Claim under compulsion of the SIPA Bar Date Order and to protect Claimant from forfeiture of its claim against the Debtor by reason of the SIPA Bar Date. Claimant hereby expressly reserves the right to amend and/or supplement this Proof of Claim at any time and in any manner, including, without limitation to assert claims for interest, fees, penalties, charges, attorneys fees and expenses accrued before or after the Filing Date. Claimant further reserves the right to file additional proofs of claim or applications for allowance of administrative expenses or other priority status in this or any other proceeding arising from or related to the claims described herein, including for treatment as provided in section 503(b) of the Bankruptcy Code.

9. In executing and filing this Proof of Claim, Claimant does not waive any obligation owed to Claimant by BLMIS or any past, present or future breaches of agreements by BLMIS. Claimant further does not waive, and this Proof of Claim shall not be deemed or construed to waive, any claims or right to assert any claims, or preserve any remedies, Claimant has against BLMIS or its affiliates, whether arising from or related to transactions described herein or otherwise. Claimant believes such claims are substantial and reserves all of its rights and remedies in connection therewith. In particular, and without

limitation, Claimant reserves all of his rights with respect to all claims for fraudulent inducement against the Debtor concerning the Claimant's investment of \$5,000,000 in Madoff Securities International Ltd.

10. The filing of this Proof of Claim is not and shall not be deemed or construed as: (a) a waiver or release of Claimant's rights against any person, entity, or property; (b) a consent by Claimant to the jurisdiction of this Court or any other court with respect to proceedings, if any, commenced in any case against or otherwise involving Claimant; (c) a waiver or release of Claimant's right to trial by jury in this Court or any other court in any proceeding as to any and all matters so triable herein, whether or not the same be designated legal or private rights or in any case, controversy, or proceeding related hereto, notwithstanding the designation or not of such matters as "core proceedings" pursuant to 28 U.S.C. § 157(b)(2), and whether such jury trial right is pursuant to statute or the United States Constitution; (d) a consent by Claimant to a jury trial in this Court or any other court in any proceeding as to any and all matters so triable herein or in any case, controversy, or proceeding related hereto, pursuant to 28 U.S.C. § 157(e) or otherwise; (e) a waiver or release of Claimant's right to have any and all final orders in any and all non-core matters or proceedings entered only after *de novo* review by a United States District Court Judge; (f) a waiver of the right to move to withdraw the reference with respect to the subject matter of this Proof of Claim, any objection thereto or other proceeding which may be commenced in this case against or otherwise involving Claimant; or (g) an election of remedies.

11. All notices regarding this Proof of Claim should be sent to: Mark Madoff, [REDACTED] with copies to Paul, Weiss,

Rifkind, Wharton & Garrison LLP, 1285 Avenue of the Americas, New York, New York
10019-6064, Telephone: (212) 373-3000, Attention: Martin Flumenbaum.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY
INFORMATION AND BELIEF.



Mark Madoff

Exhibit A

Exhibit N

Exhibit B

Form W-2 Wages and Tax Statement 2008

Employer's name, address and ZIP code
 Bernard L Masdorff Investment Securities LLC
 885 Third Avenue
 New York, NY 10022

Employer's name, address and ZIP code
 Mark Masdorff

State: **NY** Federal agency: **982850.00**

Department of the Treasury—Internal Revenue Service

COPIES
 To Be Filed with Employee's FEDERAL Tax Return, and to be used in subsequent years to file a return for the year.

1 Unemployment compensation: **982850.00**

2 Social Security wages: **102000.00**

3 Medicare wages and tips: **1008150.00**

4 Social Security benefits: **6324.00**

5 Medicare tax withheld: **14818.18**

6 Advance EIC payment

7 Social Security tax: **15500.00**

8 Medicare tax: **7500.01**

9 State income tax: **38226.00**

10 Local income tax: **NYC**

11 Federal income tax: **38226.00**

12 Local income tax: **NYC**

13 State income tax: **72062.21**

14 Local income tax: **NYC**

15 State unemployment tax: **982850.00**

16 Local unemployment tax: **38226.00**

17 Total wages, tips and other compensation: **982850.00**

18 Total federal income tax: **38226.00**

19 Total state and local income tax: **109928.21**

20 Total tax withheld: **38226.00**

21 Total amount paid for tax: **14818.18**

22 Total amount paid for Social Security tax: **15500.00**

23 Total amount paid for Medicare tax: **7500.01**

24 Total amount paid for state and local income tax: **109928.21**

25 Total amount paid for advance EIC payment

26 Total amount paid for other taxes: **NYC**

Form W-2 Wages and Tax Statement 2008

Employer's name, address and ZIP code
 Bernard L Masdorff Investment Securities LLC
 885 Third Avenue
 New York, NY 10022

Employer's name, address and ZIP code
 Mark Masdorff

State: **NY** Federal agency: **982850.00**

Department of the Treasury—Internal Revenue Service

COPIES
 To Be Filed with Employee's STATE, CITY or Local INCOME TAX RETURN.

1 Unemployment compensation: **982850.00**

2 Social Security wages: **102000.00**

3 Medicare wages and tips: **1008150.00**

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9 State income tax: **38226.00**

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13 State income tax: **72062.21**

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21 Total amount paid for tax: **14818.18**

22 Total amount paid for Social Security tax: **15500.00**

23 Total amount paid for Medicare tax: **7500.01**

24 Total amount paid for state and local income tax: **109928.21**

25 Total amount paid for advance EIC payment

26 Total amount paid for other taxes: **NYC**

Form W-2 Wages and Tax Statement 2008

Employer's name, address and ZIP code
 Bernard L Masdorff Investment Securities LLC
 885 Third Avenue
 New York, NY 10022

Employer's name, address and ZIP code
 Mark Masdorff

State: **NY** Federal agency: **982850.00**

Department of the Treasury—Internal Revenue Service

COPIES
 To Be Filed with Employee's STATE, CITY or Local INCOME TAX RETURN.

1 Unemployment compensation: **982850.00**

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12 Local income tax: **NYC**

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22 Total amount paid for Social Security tax: **15500.00**

23 Total amount paid for Medicare tax: **7500.01**

24 Total amount paid for state and local income tax: **109928.21**

25 Total amount paid for advance EIC payment

26 Total amount paid for other taxes: **NYC**

MADOFF FAMILY DEFENDANTS' BLMIS CUSTOMER CLAIMS

<u>BLMIS Account Number</u>	<u>BLMIS Account Name</u>	<u>Claim Filed By</u>	<u>Date Claim Filed</u>	<u>Claim Number (s)</u>	<u>Status of Claim</u>	<u>Date Objection Filed</u>
1F0218	JOAN L FISHER PARTNERS C/O BERNARD L MADOFF & PETER B MADOFF	Trust U/A VI and VII of Will of Gladys C. Luria f/b/o Joan L. Fisher, Peter Madoff, Trustee, as partner of Joan L. Fisher Partners	3/5/2009	006387, 006388	Denied	12/3/2010
1L0121	ESTATE OF GLADYS C LURIA BERNARD L MADOFF & PETER B MADOFF CO-EXECUTORS	Estate of Gladys C. Luria, Peter B. Madoff, Executor	3/5/2009	006389	Denied	4/26/1010
1S0426	THE R.D.S. TRUST SHANA DIANE SKOLLER AND ROGER MADOFF TRUSTEES	For Account of the R.D.S. c/o Shana Madoff Swanson & c/o Paul Konigsberg	6/30/2009	013420	Deemed Determined	N/A
1M0107	ANDREW MADOFF	Andrew Madoff	7/2/2009	015306, 015383	Deemed Determined	N/A
1M0107	ANDREW MADOFF	Andrew Madoff	7/6/2009	070065	Deemed Determined	N/A
1M0108	ANDREW MADOFF & DEBORAH MADOFF J/T WROS	Andrew Madoff (and Deborah Madoff)	7/2/2009	015382	Deemed Determined	N/A
1M0108	ANDREW MADOFF & DEBORAH MADOFF J/T WROS	Andrew Madoff (and Deborah Madoff)	7/6/2009	070066	Deemed Determined	N/A
1M0110	SHANA MADOFF FBO TST PAUL KONIGSBERG TSTEE	Shana Madoff Swanson f/b/o TST Paul Konigsberg TSTEE	6/30/2009	015430	Deemed Determined	N/A
1-01603-1-5	SHANA MADOFF	Shana Madoff Swanson	6/30/2009	015431	Undetermined	N/A