# UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

SECURITIES INVESTOR PROTECTION CORPORATION,

Plaintiff-Applicant,

v.

BERNARD L. MADOFF INVESTMENT SECURITIES LLC,

Defendant.

In re:

BERNARD L. MADOFF,

Debtor.

Adv. Pro. No. 08-01789 (SMB)

SIPA LIQUIDATION

(Substantively Consolidated)

# DECLARATION OF VINEET SEHGAL IN SUPPORT OF THE TRUSTEE'S MOTION AFFIRMING TREATMENT OF PROFIT WITHDRAWAL TRANSACTIONS

- I, Vineet Sehgal, pursuant to 28 U.S.C. § 1746, declare as follows:
- 1. I am a Managing Director at AlixPartners LLP ("AlixPartners"), a consultant to, and claims agent for, Irving H. Picard as trustee (the "Trustee") for the substantively consolidated liquidation of the business of Bernard L. Madoff Investment Securities ("BLMIS") and Bernard L. Madoff ("Madoff") (collectively, the "Debtor").
- 2. In December 2008, AlixPartners was retained by the Trustee as the Trustee's claims agent. As the claims agent, AlixPartners was responsible for both mailing the notice of the liquidation and claim forms to potential claimants and causing the notice of the liquidation to be published. AlixPartners has also been responsible for processing all claims submitted to the Trustee and assisting the Trustee in reviewing each customer claim filed to determine whether the asserted claim amount agrees with the "net equity" for that account. In addition, as the accountants for the BLMIS estate, AlixPartners has assisted and continues to assist the Trustee in

accounting for the assets of the BLMIS estate, including the cash and cash equivalents available to the Trustee.

- 3. I have been actively involved in the liquidation of BLMIS and the claims process since December 2008 and have personal knowledge of the matters set forth herein. At the Trustee's direction, my colleagues at AlixPartners and I have reviewed and books and records of BLMIS.
- 4. I submit this declaration based upon the information and knowledge acquired during the course of my retention and in support of the Trustee's Motion Affirming Treatment of Profit Withdrawal Transactions.
- 5. Attached hereto as Exhibit 1 to this declaration is a listing of all accounts with a filed customer claim that are either directly or indirectly impacted by Profit Withdrawal Transactions. Also indicated on this list is whether an objection was filed to the Trustee's determination of that claim.
- 6. Attached hereto as Exhibit 2 to this declaration is a true copy of the customer agreement for BLMIS account 1R0041.
- 7. Attached hereto as Exhibit 3 to this declaration is a true copy of the customer agreement for BLMIS account 1C1012.

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8. Attached hereto as Exhibit 4 to this declaration is a true copy of the customer agreement for BLMIS account 1K0030.

Pursuant to 28 U.S.C. § 1746, I declare under penalty of perjury that the foregoing is true and correct.

Dated: July 14, 2015 New York, New York

> /s/ Vineet Sehgal Vineet Sehgal Managing Director AlixPartners, LLP, 909 Third Avenue, New York, NY 10022

# EXHIBIT 1 TO DECLARATION OF VINEET SEHGAL

No.	BLMIS Account No.	BLMIS Account Name	Direct or Indirect PW Account	Adv. Pro. No.	Objection ECF Number	PW Objection Raised
1	1A0001	AHT PARTNERS	Direct	10-04298		
2	1A0015	EILEEN ALPERN	Direct			
3	1A0017	GERTRUDE ALPERN	Direct			
4	1A0019	LEONARD ALPERN	Direct			
5	1A0024	GERTRUDE ALPERN TSTEE, ALPERN REV FAMILY TST DATED	Direct			
6	1A0030	8/13/2003 STEVEN J ANDELMAN & SUSAN R ANDELMAN J/T WROS	Direct		2453	
7	1A0030	ALBERT ANGEL	Direct		2311	
8	1A0044	PATRICE M AULD	Direct	10-04343	5172	
9	1B0022	AARON BLECKER	Direct		961	Yes
10	1B0039	EDWARD BLUMENFELD	Direct	10-04730		
11	1B0042	SUSAN BLUMENFELD	Direct	10-04730	3027	
12	1B0060	JENNIE BRETT	Direct		2515, 2795	
13	1B0073	ELBERT R BROWN TRUSTEE U/T/D 12/29/88	Direct	10-05398	2288, 3122	
14	1B0078	VIOLA BROWN TRUSTEE U/T/D 12/29/88	Direct	10-05398	2343, 3121	
15	1B0090	TRUST F/B/O BRAD BLUMENFELD	Direct	10-04730	3419	
16 17	1B0091 1C1011	TRUST F/B/O DAVID BLUMENFELD DEBBIE LYNN LINDENBAUM	Direct Direct	10-04730	732 2879	
18	1C1011	JOYCE CERTILMAN	Direct	10-04356	3244	
19	1C1012	MORTON L CERTILMAN & JOYCE CERTILMAN J/T WROS	Direct	10-04356	2477, 3089	
		EMILY CHAIS 1983 TRUST AL ANGEL & MARK CHAIS TRUSTEE 4			, 5007	
20	1C1026	ROCKY WAY MARK HUGH CHAIS 1983 TRUST AL ANGEL & MARK CHAIS	Direct	09-01172		
21	1C1033	TRUSTEE 4 ROCKY WAY	Direct	09-01172		
22 23	1C1075 1D0001	E MARSHALL COMORA  CORNERSTONE CAPITAL INC C/O DAVID PULVER	Direct Direct	10-05413	2322	+
24	1D0001 1D0006	JULES DAVIS	Direct	10-03413	2322	
25	1D0008	MYRA DAVIS AND NANCY LAVIN J/T WROS	Direct			
26	1D0023	SANDI ARLENE DUART & FREDERICK T DUART TIC	Direct			
27	1E0104	KATINA EKSTROM C/O RICHARD M EKSTROM	Direct			
28	1E0110	MARION ELLIS THE ELLIS FAMILY ACCT	Direct			
29	1E0111	BARBARA ENGEL	Direct		2693	
30	1F0027	KENNETH S FITZPATRICK & MARGARET FITZPATRICK JT WROS	Direct			
31	1F0039	MICHAEL FRENCHMAN & LAURIE FRENCHMAN J/T WROS	Direct			
32	1G0023	ROBERT GETTINGER	Direct		2747	
33	1G0024	SCOTT GETTINGER CLARK GETTINGER ROBERT GETTINGER AS TRUSTEE	Direct	10-05046		
34	1G0036	MURRAY GOLD AS TRUSTEE U/A/D 08/08/90	Direct		2865	
35	1G0053	SONDRA H GOODKIND	Direct			
36	1H0022	BEN HELLER	Direct	10-04367	1082	
37	110010	JOHN IPPOLITO AND JODIE IPPOLITO J/T WROS	Direct			
38	1J0020	MADELEINE FAUSTIN JOSEPH	Direct		2419	
39	1J0024	JA SPECIAL LTD PARTNERSHIP C/O DECISIONS INC	Direct	09-01197	884	
40	1K0001	ESTATE OF ELIZABETH KAHN C/O JEAN KAHN	Direct	10-04960	2292	
41	1K0003	JEAN KAHN	Direct	10-05429	2293	
42	1K0030	RITA KING MARJORIE KLASKIN	Direct			
43 44	1K0033 1K0042	MARJORIE KLASKIN SHEILA KOLODNY	Direct Direct		2823	+
45	1K0042	KATHY KOMMIT	Direct		2023	
46	1K0051	GLORIA KONIGSBERG	Direct		3076	
47	1K0052	GLORIA KONIGSBERG I/T/F JEFFREY KONIGSBERG	Direct		3006	
48	1K0053	GLORIA KONIGSBERG I/T/F STEPHEN R KONIGSBERG	Direct		3007	
49	1K0086	TRUST F/B/O ELIZABETH KAHN U/L/W/T IRVING B KAHN,DECEASED C/O GOLDEN & MANDEL	Direct			
50	1KW014	IRIS J KATZ C/O STERLING EQUITIES	Direct	10-05287	2332	
51	1KW024	SAUL B KATZ	Direct	10-05287	3142	
52	1KW067	FRED WILPON	Direct	10-05287	2331	
53	1L0021	NOEL LEVINE #2 TROONE MANAGEMENT INC	Direct			
54	1L0043	MURRAY GOLD AS TRUSTEE FOR LILLIAN LOVETT	Direct		1	
55	1L0049	AL LOWIT OR ANNA LOWIT JT WROS	Direct			
56	1L0051	SCOTT W LOWIT	Direct	10.04246	1	
57	1L0053	LUCKY COMPANY C/O HENDLER & GERSTEN LLC	Direct	10-04346	+	+
58	1M0002	JOHN MACCABEE & SHERRY MORSE MACCABEE LIVING TRUST DATED 1/24/97	Direct	10-05407	2989, 3550	
59	1M0014	ELIZABETH KLASKIN MAGSAMEN	Direct	10.05110	2205 2222	<del>                                     </del>
60	1M0043	MISCORK CORP #1	Direct	10-05119	2286, 3099	
61	1M0044	MISCORK CORP #2 RETIREMENT PLAN	Direct		2527	
62	1P0007	KAZA PASERMAN AND ASCHER PASERMAN J/T WROS	Direct		+	+
63	1P0008	ROSALYN PATT 21 RABUZKY ST	Direct	I	1	1

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No.	BLMIS Account No.	BLMIS Account Name	Direct or Indirect PW Account	Adv. Pro. No.	Objection ECF Number	PW Objection Raised
64	1P0021	JEFFRY M PICOWER	Direct	09-01197		
65	1P0028	JUDITH PISETZNER	Direct			
66	1P0032	JOYCE PRIGERSON	Direct			
67	1R0011	ALBERT REAVEN	Direct		2273	Yes
68	1R0012	RICHARD W REAVEN LAWRENCE REAVEN LINDA REAVEN & RUTH	Direct		2273	Yes
		REAVEN TRUST				103
69	1R0041	AMY ROTH	Direct	10-05132	2316, 3118	
70	1R0045	BENMAR FAMILY LP C/O BENJAMIN ROTH	Direct	10.05015	2318	
71	1R0050	JONATHAN ROTH BENMAR FAMILY LP C/O BENJAMIN ROTH 1225	Direct	10-05017	2317, 3119	
72 73	1R0055 1R0063	RICHARD G ROTH	Direct Direct	10-05284		
74	1R0114	IDA ROAMER FREDERICK ROAMER ITF SUSAN DANDARAW & ROBERT ROAMER	Direct			
75	1RU015	NICHOLAS FUSCO OR ANTHONY FUSCO J/T WROS	Direct		952	
76	1RU025	ANN CASALOTTI AND MICHAEL CASALOTTI J/T WROS	Direct		702	
77	1RU028	MR GUIDO PARENTE	Direct			
78	1RU032	MAX BLINKOFF	Direct			
79	1S0044	ELAINE SCHNEIDER DR STEVEN SCHNEIDER DEBRA SCHNEIDER J/T WROS	Direct			
80	1S0048	BARRY A SCHWARTZ	Direct			
81	1S0073	SUSAN & MICHAEL SHAPIRO MEMORIAL FOUNDATION	Direct		2727	
82	1S0141	EMILY S STARR	Direct	10-05107		
83	1S0145	LAURA J STARR	Direct	10-05107		
84	1SH001	LILYAN BERKOWITZ REVOCABLE TST DATED 11/3/95	Direct	10-05388	3165	
85	1SH003	ANDREW N JAFFE TRUST U/D/T DTD 5/12/75 AS AMENDED	Direct		3153, 3538	
86	1SH005	ELLEN S JAFFE 2003 TRUST U/D/T DTD 5/8/2003 AS AMENDED	Direct		3157, 3541	
87	1SH006	MICHAEL S JAFFE 1989 TRUST U/D/T DTD 8/24/89 AS AMENDED	Direct		3173	
88	1SH007	MICHAEL S JAFFE TRUST U/D/T DTD 9/25/71 AS AMENDED	Direct		3172	
89	1SH009	STEVEN C JAFFE 1989 TRUST U/D/T DTD 8/24/89 AS AMENDED	Direct		3179	
90 91	1SH010 1SH016	STEVEN C JAFFE TRUST U/D/T DTD 9/25/71 AS AMENDED JENNIFER SEGAL HERMAN 1985 TRUST DATED 4/16/85	Direct Direct		3178 3162	
91	13010	JENNIFER SEGAL HERWAN 1983 TRUST DATED 4/10/83	Direct		3102	
92	1SH017	JENNIFER SEGAL HERMAN TRUST U/D/T DTD 5/1/67 AS AMENDED JONATHAN M SEGAL 1989 TRUST U/D/T DTD 3/9/89 AS AMENDED T	Direct		3160, 3539	
93	1SH018	SEGAL TSTEE	Direct		2907	
94	1SH019	JONATHAN M SEGAL TRUST U/D/T DTD 12/1/70 AS AMENDED  RHONDA SHAPIRO ZINNER 1993 TST U/D/T DATED 7/7/93 AS	Direct		3163	
95 96	1SH020 1SH021	AMENDED THOMAS H SEGAL 1994 TRUST SPECIAL	Direct Direct	10-05373	3174	
90 97	1SH021	SHAPIRO FAMILY LTD PARTNERSHIP C/O RUTH SHAPIRO	Direct	10-03373		
98	1SH025	CARL SHAPIRO & RUTH SHAPIRO FAMILY FOUNDATION	Direct		3154	
99	1SH026	CARL SHAPIRO TRUST U/D/T 4/9/03	Direct		3156, 3537	
100	1SH031	LINDA SHAPIRO FAMILY TRUST DATED 12/08/76 LINDA WAINTRUP TRUSTEE	Direct		3166	
101	1SH032	RUTH SHAPIRO TRUST U/D/T 4/9/03	Direct		3176, 3542	
102	1SH034	KIMBERLY L STRAUSS 1988 TRUST LINDA WAINTRUP TRUSTEE	Direct		2764	
103	1SH035	KIMBERLY STRAUSS 2006 IRREVOCABLE TRUST	Direct		2908	
104	1SH036	LINDA SHAPIRO WAINTRUP 1992 TRUST U/D/T DTD 3/11/92 AS AMENDED	Direct		3167, 3540	
105	1SH039	SAMANTHA L STRAUSS 1985 TRUST LINDA WAINTRUP TRUSTEE	Direct		2904	
106	1SH040	SAMANTHA L STRAUSS 2003 IRREVOCABLE TRUST	Direct		2905	1
107	1SH041	WELLESLEY CAPITAL MANAGEMENT	Direct		3180	1
108	1SH059	ANDREW N JAFFE 1993 IRREV TST U/D/T DTD 6/11/93 AS AMENDED	Direct		2903	
109	1SH139	L SHAPIRO FAMILY TRUST LINDA WAINTRUP TRUSTEE	Direct		2155	
110	1SH143	CARL SHAPIRO TRUST U/D/T 4/9/03 RUTH SHAPIRO TRUST U/D/T 4/9/03	Direct Direct		3155 3177	-
111 112	1SH144 1SH145	ELLEN S JAFFE 2003 TRUST U/D/T DTD 5/8/2003 AS AMENDED	Direct		3158	+
113	1SH146	RHONDA SHAPIRO ZINNER TST 1993 TRUST U/D/T DATED 7/7/93 AS AMENDED	Direct		3175	
114	1SH147	LINDA SHAPIRO WAINTRUP 1992 TRUST U/D/T DTD 3/11/92 AS AMENDED	Direct		3169	
115	1SH160	ANDREW JAFFE TRUST U/D/T DTD 5/12/75 AS AMENDED	Direct		1	
116	1SH161	MICHEAL S JAFFE TRUST U/D/T DTD 9/25/71 AS AMENDED	Direct			
117	1SH162	STEVEN C JAFFE TRUST U/D/T DTD 9/25/71 AS AMENDED	Direct			
118	1SH163	JENNIFER SEGAL HERMAN 1985 TRUST DATED 4/16/85	Direct		3161	
-10	1011103	VELICIE DE OLIE TERMINET 1700 TROUT DITTED 7/10/03		1	2201	i

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No.	BLMIS Account No.	BLMIS Account Name	Direct or Indirect PW Account	Adv. Pro. No.	Objection ECF Number	PW Objection Raised
119	1SH164	JENNIFER SEGAL HERMAN TRUST U/D/T DATED 5/1/67 AMENDED	Direct			
120	1SH165	JONATHAN M SEGAL TRUST U/D/T DTD 12/1/70 AS AMENDED	Direct			
121	1SH181	SHAPIRO FAMILY LTD PARTNERSHIP C/O RUTH SHAPIRO	Direct			
122	1W0014	CECILE WESTPHAL	Direct			
123	1W0035	JEFFREY WOODRUFF MICHAEL WOODRUFF JEREMY SHOR & ELIZABETH SHOR	Direct			
124	1W0039	BONNIE T WEBSTER	Direct		1843	Yes
125	1ZA822	HMC ASSOCIATES C/O HAROLD J COHEN	Direct			
126 127	1A0033 1A0034	ALBERT D ANGEL & CAROLE A ANGEL J/T WROS  CAROLE ANGEL	Indirect Indirect		2311 2898	
128	1A0054 1A0058	ASCOT PARTNERS LP	Indirect	09-01182	2898	
129	1A0064	MEGHAN M AULD UGMA PATRICE AULD AS CUSTODIAN	Indirect	05-01102		
130	1A0065	ELIZABETH C AULD UGMA PATRICE AULD AS CUSTODIAN	Indirect			
131	1A0066	OLIVIA G AULD UGMA PATRICE AULD AS CUSTODIAN	Indirect			
132	1A0067	AMANDA ALPERN TRUST DTD 4/12/08	Indirect		2363	
133	1A0082	SHUSAKU ARAKAWA & MADELINE GINS ARAKAWA J/T WROS	Indirect			
134	1A0083	ACCU PLAN EMPLOYEES' PROFIT SHARING TRUST	Indirect		2352, 2387	
135	1A0084	LEONARD ALPERN	Indirect	10.04222	2522	1
136	1A0085	LEWIS ALPERN & JANE ALPERN J/T WROS	Indirect	10-04308	2532	
137	1A0088	MINETTE ALPERN TST	Indirect			
138	1A0089	LINDA ANNE ABBIT & JEFFREY BRIAN ABBIT TRUSTEES THE ABBIT FAMILY TRUST 9/7/90	Indirect	10-04647	2929	
139	1A0090	JONATHAN ALPERN JOAN ALPERN JT WROS	Indirect			
140	1A0091	MADELINE GINS ARAKAWA & SHUSAKU ARAKAWA J/T WROS	Indirect	10-04827		
141	1A0096	ALBERT ANGEL	Indirect	10-05060	2311	
142	1A0099	ALBERT D ANGEL & CAROLE A ANGEL J/T WROS	Indirect	10-05060	2311	
143 144	1A0100 1A0101	CAROLE ANGEL DOMINICK ALBANESE TRUST ACCT B	Indirect Indirect	10-05060	2311 735	
145	1A0101	STEPHANIE ANDELMAN STEVEN ANDELMAN J/T WROS	Indirect	10-04884	2525	
146	1A0106	EILEEN ALPERN	Indirect	10 0 100 1	2020	
147	1A0110	MICHAEL P ALBERT 1998 TRUST	Indirect			
148	1A0137	ARTICLE THIRD TRUST U/W MARTIN J JOEL JR DECEASED C/O SYLVIA JOEL	Indirect	10-04291		
149	1A0141	MARTIN STEINBERG CHARITABLE TRUST (DOMESTIC PORTION) C/O PAUL MILLER AJC	Indirect	11-01549		
150	1A0155	ALPERN FAMILY BYPASS TRUST C/O GERTRUDE ALPERN	Indirect			
151	1A0157	ERIC ANGEL	Indirect			
152	1A0158	DAVID ANGEL	Indirect			
153	1A0159	ROBERT ANGEL	Indirect			
154	1A0162	JONATHAN ALPERN TRUST LEWIS S ALPERN TRUSTEE	Indirect	10.04720	2026	
155 156	1B0038 1B0063	DAVID BLUMENFELD C/O BLUMENFELD DEVELOPMENT GRP FLORENCE BRINLING	Indirect Indirect	10-04730	3026	
157	1B0081	BULL MARKET FUND F/K/A BLUMENFELD EMPLOYEES C/O	Indirect	10-04730	3097	
158	1B0106	BLUMENFELD DEV GROUP LTD SUSAN BLUMENFELD	Indirect	10-04730	3029	
159	1B0100	SUSAN BLUMENFELD INTERIORS LTD PROFIT SHARING PLAN &	Indirect	10-04730	3029	
		TRUST II				
160	1B0128	VIOLA BROWN TRUSTEE U/T/D 12/29/88	Indirect	10-05398	2.120	+
161 162	1B0139	THE DOUGLAS G BROWN REVOCABLE TRUST	Indirect		2439 2146	+
162	1B0140 1B0142	ELIZABETH HARRIS BROWN ELBERT R BROWN TRUSTEE UNDER TRUST DTD 12/29/88	Indirect Indirect	10-05398	2140	
164	1B0142	WILLIAM W BAKER & SHARON I BAKER J/T WROS	Indirect	10 00070	+	
165	1B0148	BRAD A BLUMENFELD	Indirect	10-04730		
166	1B0149	DAVID BLUMENFELD	Indirect	10-04730		
167	1B0150	BRADERMAK EQUITIES LTD C/O FELDMAN WOOD PRODUCTS CO ATTN: FREDERIC Z KONIGSBERG	Indirect	10-04394	2856	
168	1B0154	LAWRENCE I BROWN AND BARBARA BROWN J/T WROS	Indirect		2845	
169	1B0155	HOWARD W BLAKESLEE REV TRUST U/A/D 6/11/98	Indirect	10-04722		
170	1B0156	AARON BLECKER REV TRUST U/A/D 3/15/07	Indirect		2383	Yes
171	1B0157	ARTHUR BLECKER & SOFIE BLECKER J/T WROS	Indirect		3072	
172	1B0158	JOAN BLOOMGARDEN & CHARLES I BLOOMGARDEN J/T WROS	Indirect			
173	1B0160	EDWARD BLUMENFELD	Indirect	10-04730	3418	<del> </del>
174	1B0162	GERALD BLUMENTHAL MD CHARLES BLOOMGARDEN MD PC & BERNARD GORDON RETIREMENT TST	Indirect			
175	1B0163	FLORENCE BRINLING AND/OR JOHN BRINLING	Indirect		+	+
	0100			1	i .	1

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	BLMIS		Direct or Indirect		Objection ECE	PW Objection
No.	Account No.	BLMIS Account Name	Direct or Indirect PW Account	Adv. Pro. No.	Objection ECF Number	PW Objection Raised
176	1B0164	LINDA A ABBIT TRUSTEE SURVIVORS TRUST UNDER BRODSKY FAMILY TRUST 1/9/02	Indirect		2368, 2427	
177	1B0165	LINDA ABBIT TRUSTEE EXEMPTION TRUST UNDER BRODSKY FAMILY TRUST DTD 1/9/05	Indirect		2367, 2426	
178	1B0166	NTC & CO. FBO GERALD BLUMENTHAL (43067)	Indirect	10-04582	2366	
179	1B0168	HERBERT BARBANEL & ALICE BARBANEL J/T WROS	Indirect	10-04321	1081	
180	1B0169	EDWARD BLUMENFELD ET AL	Indirect	10-04730	2000	
181	1B0170	BRAD BLUMENFELD	Indirect	10-04730	2990	
182 183	1B0175 1B0176	ANTHONY BARBATO JOSEPHINE BARBATO J/T WROS MICHAEL A BELLINI AND JUDITH BELLINI J/T WROS	Indirect Indirect	10-04841	2294	+
184	1B0170	JANE BRANDT REVOCABLE LIVING TRUST	Indirect	10-04641	2294	
185	1B0183	BONYOR TRUST	Indirect			
186	1B0185	BLUM, GROSSMAN AND SLOOFMAN	Indirect			
187	1B0187	FRANCES BLUM AND DANIEL JACOBS TIC	Indirect	10-04455		
188	1B0190	NTC & CO. FBO NORMAN J BLUM (111188)	Indirect	10-04846		
189	1B0192	JENNIE BRETT	Indirect		2794	
190	1B0195	DEBRA BROWN	Indirect		2771	
191	1B0196	DAVID BELOSA & BARI BELOSA J/T WROS	Indirect	10.0100	2789	
192	1B0197	HARRIET BERGMAN	Indirect	10-04982	2388	
193	1B0201	NORMAN J BLUM LIVING TRUST JUNE BONYOR REVOCABLE TRUST RESTATED UA DTD 5/22/00 JUNE	Indirect	10-04846		
194	1B0230	BONYOR, DARYL BONYOR	Indirect	10-04819		
195	1B0251	JOEL A BLUM KERRY E BLUM JT WROS	Indirect	10-04846		
196	1B0256	MARTIN STEINBERG CHARITABLE TRUST (ISRAELI PORTION) C/O PAUL MILLER AJC	Indirect	11-01549		
197	1B0258	AMY JOEL	Indirect	10-04299	2947	
198	1B0271	SUSAN BLUMENFELD GST TRUST	Indirect	10-04730	2991	
199	1B0272	LEONARD BERGMAN CREDIT SHELTER TRUST FBO HARRIET BERGMAN STEVEN BERGMAN TRUSTEE	Indirect	10-04982	2451	
200	1B0284	THE EDWARD & SUSAN BLUMENFELD CHARITABLE LEAD TRUST	Indirect	10-04730	3028	
201	1C0015	MELVIN MARDER	Indirect	10-04618		
202	1C0046	NYU HOSPITAL FOR JOINT DISEASES ATTN: RICHARD BAUM	Indirect			
203	1C0048	COLUMBIA UNIVERSITY C/O THE TRUSTEES OF COLUMBIA UNIV IN THE CITY OF NEW YORK	Indirect		3056	
204	1C1006	CAPITAL GROWTH COMPANY C/O DECISIONS INC	Indirect	09-01197		
205	1C1040	WM FREDERICK CHAIS 1983 TST WILLIAM & MARK CHAIS TRUSTEE 4 ROCKY WAY	Indirect	09-01172		
206	1C1095	COHEN POOLED ASSET C/O 61 ASSOCIATES ATTN: FRANK NALEPKA	Indirect	10-04371		
207	1C1204	MADELINE CELIA CHAIS 1992 TRUST	Indirect	09-01172	2749	
208	1C1212	CHLOE FRANCIS CHAIS 1994 TRUST	Indirect	09-01172	2928, 2984	
209	1C1215	1994 TRUST FOR THE CHILDREN OF STANLEY AND PAMELA CHAIS AL ANGEL & MARK CHAIS TRUSTEE	Indirect	09-01172	2752	
210	1C1227	JONATHAN WOLF CHAIS TRUST WILLIAM CHAIS, MARK CHAIS & EMILY CHAIS LOW TRUSTEES	Indirect	09-01172	2925	
211	1C1229	CHALEK ASSOCIATES LLC C/O MORTON CHALEK	Indirect	10-04680	2881	
212	1C1230	BRENDA H GURITZKY TSTEE TST B U/W OF GEORGE H HURWITZ	Indirect	10-04288		
213	1C1231	CLAIRE COVNER REVOCABLE LIVING TRUST	Indirect			
214	1C1232	ARCHITECTURAL BODY RESEARCH FOUNDATION INC SPEC C/O ARAKAWA AND MADELINE GINS	Indirect	10-05092		
215	1C1233	SANDRA CARROLL WALTER DAVIS J/T WROS	Indirect			
216	1C1234	ARCHITECTURAL BODY RESEARCH FOUNDATION INC #2	Indirect	10-05092		
217	1C1239	PATRICE ELLEN CERTILMAN	Indirect			1
218	1C1242	ALYSSA BETH CERTILMAN  POSE MARIE CHALEV THEE ET POSE MARIE CHALEV EAM TST	Indirect			
219	1C1244	ROSE MARIE CHALEK TTEE F/T ROSE MARIE CHALEK FAM TST	Indirect	10.05202	2470 2501	
220	1C1251	LESLIE SHAPIRO CITRON KENNETH CITRON J/T WROS	Indirect	10-05383	2479, 2591	1
221	1C1252 1C1254	TRAVIS CHAMBERS AND JEAN CHAMBERS J/T WROS	Indirect			
222 223	1C1254 1C1255	ANNE COMORA REVOCABLE TRUST  E MARSHALL COMORA	Indirect Indirect		561	1
224	1C1256	ROBERT A COMORA	Indirect		501	+
225	1C1258	LAURA E GUGGENHEIMER COLE	Indirect	10-04882	2744	†
		THE 1996 TST FOR THE CHILDREN OF PAMELA & STANLEY CHAIS				
226	1C1270	AL ANGEL & MARK CHAIS TRUSTEE	Indirect	09-01172	2934	
227	1C1271	TALI CHAIS 1997 TRUST	Indirect	09-01172	2922, 2987	
228	1C1283	FRANCIS CHARAT	Indirect			
229	1C1284	ARI CHAIS, 1999 TRUST	Indirect	09-01172	2923, 2982	
230	1C1288	CENTURY INVESTMENT SECURITIES INC	Indirect		5167	

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	DI MIG		D: 4 7 1: 4		Olt d EGE	DW Objection
No.	BLMIS Account No.	BLMIS Account Name	Direct or Indirect PW Account	Adv. Pro. No.	Objection ECF Number	PW Objection Raised
231	1C1289	JUSTIN ROBERT CHASALOW 1999 TRUST C/O STANLEY CHAIS	Indirect	09-01172	2926, 2985	
232	1C1290	RACHEL ALLISON CHASALOW 1999 TRUST C/O STALEY CHAIS	Indirect	09-01172	2927, 2986	
233	1C1291	BENJAMIN PAUL CHASALOW 1999 TRUST C/O STANLEY CHAIS	Indirect	09-01172	2924, 2983	
234	1CM017	SHEILA N BANDMAN	Indirect			
235	1CM018	BERNICE BAROFSKY ARTICLE 5 TST HERBERT P SYDNEY AND NORMAN BAROFSKY TRUSTEES	Indirect			
236	1CM044	EPSTEIN FAM TST UWO D EPSTEIN M B EPSTEIN R L EPSTEIN S I JACOBS D EPSTEIN TSTEES	Indirect	10-05396		
237	1CM045	DAVID EPSTEIN	Indirect	10-05396		
238	1CM048	ROBERT L EPSTEIN	Indirect			
239	1CM050	SUSAN I EPSTEIN JACOBS DAVID EPSTEIN P O A	Indirect			
240	1CM062	MARY FREDA FLAX	Indirect			
241 242	1CM064 1CM068	RIVA LYNETTE FLAX  JAY GAINES & SHERRY GAINES JT TEN	Indirect Indirect	10-05301	2779	
243	1CM086	JEAN N HELLER	Indirect	10-03301	2119	
244	1CM093	JANET JAFFIN REVOCABLE TRUST	Indirect	09-01305	2815	
245	1CM094	JAKAL ASSOCIATES C/O ALAN MANDELBAUM	Indirect	0, 01500	2010	
246	1CM121	MADELINE LUTSKY REV TST U/A/D 2/19/99 PMB #101	Indirect		2083	
247	1CM122	RUTH MADOFF	Indirect			
248	1CM143	ROSE LERNER PARKER REVOCABLE TRUST DTD 8/20/03	Indirect			
249	1CM145	KOPEL PARNES AS TRUSTEE KOPEL PARNES REVOCABLE LIVING TRUST 12/3/07	Indirect			
250	1CM148	BART F PEPITONE GEORGE PEPITONE JT WROS	Indirect			
251	1CM155	DON H RIMSKY	Indirect		2244	
252	1CM157	LEE C RIMSKY & CATHY C RIMSKY JT WROS	Indirect			
253 254	1CM173 1CM177	JILL SIMON RUTH K SONKING	Indirect Indirect			
255	1CM189	WILKER FAMILY INVESTMENT CLUB PARTNERSHIP	Indirect			
256	1CM190	MARVIN I WINSTON MD PC RETIREMENT TRUST	Indirect			
257	1CM249	MARTIN STRYKER	Indirect			
258	1CM327	SUSAN AXELROD	Indirect	10-05066		
259	1CM334	LAURA J WEILL	Indirect		2183	
260	1CM411	NTC & CO. FBO DR ROBERT F GOLD (47211)	Indirect	10-04670		
261	1CM429	WILLIAM J MANDELBAUM GLENDA MANDELBAUM TIC	Indirect	10-05095		
262	1CM464	SUSAN SCHEMEN FRADIN TRUSTEE REV AGREE OF TST DTD 5/23/2000 SUSAN SCHEMEN FRADIN SETTLOR	Indirect	10-04525	4077	
263	1CM487	SANDRA WINSTON	Indirect	10.04012		
264 265	1CM495 1CM504	PHYLLIS S MANKO MARGERY D KATZ	Indirect Indirect	10-04913		
266	1CM535	RICHARD BLOCH & BARBARA BLOCH J/T WROS	Indirect			
267	1CM635	RUTH WASSERMAN PARTNERSHIP C/O HENRY SCHWARTZBERG	Indirect			
268	1CM640	MARILYN COHN MARCIA COHN & KAREN ROSENBAUM PARTNERSHIP	Indirect	09-01305		
269	1CM682	BETH FELDMAN	Indirect			
270	1CM806	EVELYN BEREZIN WILENITZ	Indirect	10-04995	2422	
271	1CM837	TRUST U/ART FOURTH O/W/O ISRAEL WILENITZ EVELYN BEREZIN	Indirect	10-04995	2878	
272	1CM848	EUGENE B DIAMOND GST EXEMPT RESD TST FBO BETH & BETSY FELDMAN, BETH FELDMAN TRUSTEE	Indirect			
273	1CM849	EUGENE B DIAMOND GST EXPT RESD TST FBO MARGERY & ERICA KATZ MARGERY DIAMOND KATZ TRUSTEE	Indirect			
274	1CM916	MANDELBAUM FAMILY LLC BRUCE FIXELLE MANAGER	Indirect			1
275	1D0040	DO STAY INC	Indirect	10-05398	2820	
276	1D0042	JULES DAVIS TSTEE JULES DAVIS TST DTD 11/11/98	Indirect			
277	1D0043	MYRA DAVIS TRUST DATED 11/11/98 EDWARD ROTHBLATT SUC TRUSTEE	Indirect	10-05387		
278	1D0048	KENNETH DINNERSTEIN & SUSAN DINNERSTEIN J/T WROS	Indirect			
279	1D0049	SANDI A DUART REV TRUST FREDERICK T DUART REV TRUST TIC	Indirect			
280	1D0050	KARL DROBITSKY	Indirect		2786	
281	1D0051	JACOB DAVIS & MARILYN DAVIS TRUSTEE U/T/A BY JACOB DAVIS	Indirect		2358	1
282	1D0064	6/27/94 ROBERT L DENERSTEIN	Indirect		2480	1
282	1D0064 1D0065	ALEXANDER P DENERSTEIN	Indirect		2400	
284	1D0068	MARIE D'ALLESSANDRO	Indirect			†
285	1D0070	CARMEN DELL'OREFICE	Indirect	10-04842		

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No.	BLMIS Account No.	BLMIS Account Name	Direct or Indirect PW Account	Adv. Pro. No.	Objection ECF Number	PW Objection Raised
286	1E0135	CHARLES ELLERIN IRRV GIFT GIVING TRUST C/O ROBERT M GRIFFITH TRUSTEE	Indirect	10-05219		
287	1E0137	NTC & CO. FBO WILLIAM EVENCHICK (44882)	Indirect			
288	1E0138	ELLIS FAMILY PARTNERSHIP	Indirect			
289	1E0141	ELLIS FAMILY PARTNERSHIP	Indirect			
290	1E0143	BARBARA ENGEL	Indirect		2353	
291	1E0146	EVANS INVESTMENT CLUB	Indirect			
292	1E0149	WILLIAM EVENCHICK RESTATED REV TST DTD 1/21/97 RITA J DUHL SUCC TSTEE	Indirect			
293	1E0152	KARL EISENHAUER & WANDA EISENHAUER J/T WROS	Indirect		856	
294	1E0161	ELLERIN PARTNERSHIP LTD CHARLES ELLERIN REV TST DTD 7/21/01 GENERAL PARTNER	Indirect	10-04398		
295	1EM043	NATHAN COHEN TRUST	Indirect	10-04850		
296	1EM060	FINE K/S TRUST RICHARD K LUBIN TRUSTEE	Indirect	10-04820		
297	1EM109	ROBERT KUNIN & JULIEANN KUNIN JT WROS	Indirect			
298	1EM203	SLOANE TRUST DTD 12/29/98 LYNN SLOANE, SCOTT SLOAN CO- TSTEES	Indirect			
299	1EM212	ESTATE OF ARNOLD M SOSKIN NANCY LURIE, RICK SOSKIN CO- PERSONAL REPRESENTATIVES	Indirect	10-04505		
300	1EM240	LIBBY FAMILY PARTNERSHIP STUART A LIBBY GENERAL PTNR	Indirect			
301	1EM266	DR DAVID B COHEN	Indirect			
302	1EM267	JONATHAN R COHEN	Indirect			
303	1EM485	ELIOT L BERNSTEIN MARITAL TST	Indirect			
304	1F0020	MILES & SHIRLEY FITERMAN CHARITABLE FOUNDATION	Indirect	10-05067		
305	1F0026	JAMES J FITZPATRICK AND ROSEMARIE FITZPATRICK J/T WROS	Indirect		2504	
306	1F0057	ROBIN S. FRIEHLING	Indirect	10-05389		
307	1F0064	MURRAY B FELTON REVOCABLE TRUST DTD 11/12/04	Indirect	10-04510		
308	1F0071	NTC & CO. FBO HOWARD L FRUCHT (99235)	Indirect		1802	
309	1F0077	FAIRWAY PARTNERSHIP FKA FITERMAN FAMILY PTNRSHIP	Indirect			
310	1F0087	THE DORIS FELTON FAMILY TRUST WILLIAM D FELTON TRUSTEE C/O MURRAY FELTON	Indirect	10-04510		
311	1F0092	FRIEDA FRESHMAN TRUSTEE WALTER FRESHMAN TRUST "A" 12/31/92	Indirect	10-05026	2810	
312	1F0093	FRIEDA FRESHMAN TRUSTEE FRIEDA FRESHMAN REV TRUST 12/31/92	Indirect	10-05026	2809	
313	1F0097	BETH FRENCHMAN-GELLMAN	Indirect			
314	1F0104	STEVEN FRENCHMAN	Indirect			
315	1F0106	HOWARD L FRUCHT AND CAROLYN FRUCHT REV TRUST	Indirect		2695	
316	1F0108	J STANLEY FURMAN & JERI A FURMAN T I C	Indirect			
317	1F0109	MICHAEL FRENCHMAN & LAURIE FRENCHMAN J/T WROS	Indirect	10-04290		
318	1F0112	JOAN L FISHER	Indirect	10-05285		
319	1F0113	BARBARA FELDMAN AKA BARBARA FLOOD	Indirect			
320	1F0116	CAROL FISHER	Indirect		2369	
321	1F0118	JACQUELYNN J FITZPATRICK JAMES FITZPATRICK KENNETH FITZPATRICK J/T WROS	Indirect		2873, 2897	
322	1F0119	JAMES J FITZPATRICK AND ROSEMARIE FITZPATRICK J/T WROS	Indirect		2503	
323	1F0120	KENNETH S FITZPATRICK & MARGARET FITZPATRICK JT WROS	Indirect		2506	
324	1F0121	WILLIAM C FITZPATRICK & CAROL FITZPATRICK J/T WROS	Indirect		2492	
325	1F0123	ADELE FOX TRUSTEE UDT 3/5/90 F/B/O ADELE FOX	Indirect		3498	
326	1F0124	FRIEHLING & HOROWITZ CPA PC EMPLOYEES PROFIT SHARING PLAN	Indirect			
327	1F0127	BOB M FINKIN JACQUELINE FINKIN STEVEN FINKIN T.I.C	Indirect			
328	1F0128	FLB FOUNDATION LTD C/O BOB FINKIN	Indirect			
329	1F0167	MILES AND SHIRLEY FITERMAN ENDOWMENT FUND FOR DIGESTIVE DISEASES	Indirect	10-04432		
330	1F0190	FAIRWAY PARTNERSHIP II	Indirect	10-04337		
331	1F0198	SHIRLEY FITERMAN	Indirect	10-04337		
332	1F0200	MILES Q FITERMAN NON-EXEMPT MARITAL TRUST C/O TOWERS MANAGEMENT CO	Indirect	10-04337		
333	1F0218	JOAN L FISHER PARTNERS C/O BERNARD L MADOFF & PETER B MADOFF	Indirect	10-05285		
334	1FN052	SG HAMBROS BANK & TRUST BAHAMAS LIMITED PO BOX N-7788	Indirect	10-04392		
335	1FR070	ARIEL FUND LTD C/O GABRIEL CAPITAL CORP	Indirect	09-01182		
336	1FR116	SOUTHEY INTERNATIONAL LTD C/O ROBERT EDMONDS RESIDENCIA LANGLADA 3A	Indirect	10-05445		
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No.	BLMIS Account No.	BLMIS Account Name	Direct or Indirect PW Account	Adv. Pro. No.	Objection ECF Number	PW Objection
337	1G0035	ELEANOR S GOLD AS TRUSTEE U/A/D 08/08/90	Indirect		2864	
338	1G0097	THE GLASS FAMILY 1993 REV TST MORTON GLASS TRUSTEE	Indirect			
39	1G0115	ALAYNA ROSE GOULD TRUST SUSAN GOULD AS TRUSTEE	Indirect			
340	1G0222	PATI H GERBER TRUSTEE PATI H GERBER TST DTD 12/4/97	Indirect	10-04480	1000	
341	1G0229	ALLAN R HURWITZ REVOCABLE TST	Indirect	10-04319	1089	
342 343	1G0235 1G0236	RONALD P GURITZKY GURITZKY FAMILY PARTNERSHIP LP "S"	Indirect Indirect	10-04318 10-04288	2797 2187, 2798	+
344 344	1G0237	GLORIA GOLDEN AND SANFORD GOLDEN T I C BERKLEY	Indirect	10-04288	2167, 2796	
		APARTMENTS GURITZKY FAMILY PARTNERSHIP LP "B"	Y., 11	10.04200	2799	
45 46	1G0238 1G0239	DANA GURITZKY	Indirect Indirect	10-04288 10-04316	2799	+
47	1G0239	PATI H GERBER & BRIAN GERBER TRUSTEE OSCAR L GERBER	Indirect	10-04310	2190	1
48	1G0247	BRIAN H GERBER	Indirect	10-04634	2344	
49	1G0250	DARYL GERBER STOKOLS TRUST DATED 12/14/98	Indirect	10-05033	-	
50	1G0252	PATI H GERBER & BRIAN GERBER TRUSTEE OSCAR L GERBER	Indirect	10-04480		
51	1G0253	PATI H GERBER MARITAL DED TST ULWT OSCAR L GERBER 8/9/80 P H GERBER BRIAN GERBER TTEES	Indirect	10-04480		
52	1G0254	NAOMI GORDON AND ROGER GORDON TIC	Indirect		2502	
53	1G0259	NTC & CO. FBO ROBERT S GETTINGER 111356	Indirect		2745	
54	1G0262	GENE MICHAEL GOLDSTEIN	Indirect			
55	1G0263	GLENN STUART GOLDSTEIN & JOANNE T GOLDSTEIN J/T WROS	Indirect		2524	
56	1G0264	MICHAEL GOLDSTEIN C/O TREZZA MANAGEMENT	Indirect	10-04482	2401	+
57	1G0268	ELEANOR S GOLD AS TRUSTEE U/A/D 08/08/90	Indirect	10 01102	2866	
558	1G0269	MURRAY GOLD TST FBO GOLD CHILDREN NATHANIEL R GOLD TSTEE	Indirect	10-05314	2434	
59	1G0270	GOLD INVESTMENT CLUB	Indirect	10-05314	2457	_
60	1G0270	HOWARD J GLASS	Indirect	10-03314	2512	Yes
61	1G0275	JUDITH GETHERS TSTEE GETHERS FAMILY TRUST OR PETER GETHERS OR JANIS DONNAUD	Indirect			
62	1G0278	MONTE GHERTLER	Indirect	10-04635		_
63	1G0279	MONTE ALAN GHERTLER	Indirect	10-04635		
64	1G0280	HILLARY JENNER GHERTLER	Indirect	10-04635		
65	1G0298	PATI H GERBER LTD	Indirect	10-04480		
66	1G0315	MAYNARD GOLDSTEIN TERRY CORBIN, MARC A GOLDSTEIN TTEES INTER VIVOS GRANTOR TST	Indirect			
67	1G0321	GABRIEL CAPITAL LP	Indirect	09-01182		
68	1G0327	PAUL A GOLDBERG CAREN GOLDBERG JT/WROS	Indirect			
69	1G0338	NTC & CO. FBO ROBERT GROSSMAN (028298)	Indirect			
70	1G0339	SUSAN GROSSMAN	Indirect			
71	1G0341	JO-ANN GIRSCHICK REVOCABLE LIVING TRUST	Indirect			
72	1G0376	GREENHOOD, KAYE & FRIEDMAN C/O JILL GREENHOOD	Indirect			
73 74	1G0384 1G0393	NTC & CO. FBO BERNARD GORDON (108011) HOPE A GELLER	Indirect Indirect			+
75	1H0064	EDWARD J HARROLD LIVING TRUST DTD 5/14/98 MARYLAND	Indirect			
		MASONIC HOMES		10.04015		<del> </del>
76 77	1H0086 1H0087	BRANDI M HURWITZ ANDREW HELLER OR MARGARET HELLER J/T WROS	Indirect Indirect	10-04315		
78	1H0088	HURWITZ GRANDCHILDREN TST #2-B FOR BRANDI M HURWITZ	Indirect	10-04315		
79	1H0089	HURWITZ GRANDCHILDREN TST #2-B FOR MICHAEL B HURWITZ	Indirect	10-04326		
80	1H0090	HELAINE HURWITZ REVOCABLE TRUST	Indirect	10-04838		+
81	1H0090	IRVING HURWITZ REVOCABLE TRUST	Indirect	10-04872		+
82	1H0091	BARBARA HARRIS	Indirect	-5 5 .5/2	2753	+
83	1H0093	ALLAN R HURWITZ	Indirect	10-04319	2803	1
84	1H0094	ALLAN R HURWITZ & BARBARA J HURWITZ J/T WROS	Indirect	10-04319	2805	
85	1H0097	ALLAN R HURWITZ TRUSTEE TRUST 'A' U/W G HURWITZ	Indirect	10-04326	920, 3134	_
86	1H0099	BARBARA KOTLIKOFF HARMAN RIVERMERE ALGER COURT APT 4B		10-05130	2443	
87	1H0100	MR HARRY J HARMAN	Indirect			
88 89	1H0103 1H0105	ELAINE HERSHMAN & JERALD HERSHMAN JT/WROS  DORIS HOROWITZ AS TRUSTEE U/A/D 10/4/89	Indirect Indirect	10.05405		+
89 90	1H0105 1H0107	IRWIN KENNETH HOROWITZ	Indirect	10-05405		+
90 91	1H0107 1H0112	NMS BUSINESS ENTERPRISES LLC C/O ROBERT HARMATZ	Indirect			+
92	1H0112	FRED HARMATZ	Indirect			+
93	1H0114	ROBERT A HARMATZ	Indirect		2526	+
	1H0115	FREDERICK P HELLER	Indirect		-	1
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No.	BLMIS	BLMIS Account Name	Direct or Indirect	Adv. Pro. No.	Objection ECF	PW Objection
	Account No.		PW Account	Auv. Fro. No.	Number	Raised
396	1H0118	ESTATE OF EVELYN HERSHSON C/O MICHAEL H JAHRMARKT	Indirect			
397	1H0119	ESTATE OF EVELYN J HERSHSON C/O MICHAEL H JAHRMARKT	Indirect			
398	1H0120	STEPHEN HERSON REV TRUST U/A DTD 11/15/04	Indirect			
399	1H0122	DIANE HOCHMAN	Indirect			
400	1H0129	THE ESTATE OF TOBY HARMAN LAURENCE M HARMAN AND ROBERT HARMAN AS CO EXECUTORS	Indirect			
401	1H0130	THE TOBY HARMAN TRUST ROBERT E HARMAN AND LAURENCE M	Indirect			
402	1H0138	HARMAN CO-TRUSTEES MICHAEL BRENT HURWITZ	Indirect	10-04326	3660, 3764	+
403	1H0145	JEROME HOROWITZ TRUSTEE U/A/D 10/4/1989	Indirect	10 0 1520	2000, 270.	
404	1H0150	ROBERT E HARMAN	Indirect			
405	1H0151	THE ESTATE OF HARRY J HARMAN	Indirect			
406	1H0161	THE HARNICK BROTHERS PARTNERSHIP C/O GARY HARNICK	Indirect	10-05157	1855	
407	1H0166	BENJAMIN T HELLER IRREVOCABLE TRUST	Indirect	00.01107	2772	
408 409	1J0004 1J0008	J F PARTNERSHIP C/O DECISIONS INC JLN PARTNERSHIP C/O DECISIONS INC	Indirect Indirect	09-01197 09-01197	2948 2950	+
410	1J0032	ARTHUR J JACKS AND LINDY C JACKS J/T WROS	Indirect	05-01157	2730	
411	1J0032	SYLVIA JOEL	Indirect	10-04291	2919	1
412	1J0034	MAGGIE FAUSTIN	Indirect		2842	<u> </u>
413	1J0036	NTC & CO. FBO SYLVIA ANN JOEL (111285)	Indirect	10-04291	2470	
414	1J0057	ARTICLE FOURTH TRUST U/W MARTIN J JOEL JR DECEASED C/O SYLVIA JOEL	Indirect	10-04291	2335	
415	1K0060	THE KOSTIN CO C/O EDWARD B KOSTIN	Indirect	10-04950	3151	
416	1K0076	SHAWN KOLODNY & ANDREW KOLODNY J/T WROS	Indirect			
417	1K0096	TRUST UNDER ARTICLE FOURTH U/W/O ROBERT E KLUFER C/O ALYSE KLUFER	Indirect	10-04309		
418	1K0098	JUDITH KONIGSBERG	Indirect		2853	
419	1K0103	JEFFREY KOMMIT	Indirect	10-04553		
420	1K0106	KONIGSBERG WOLF & CO PC 401-K PLAN #2 P KONIGSBERG R KONIGSBERG TSTE	Indirect		2857	
421	1K0107	JEFFREY NEIL KONIGSBERG C/O PAUL J KONIGSBERG	Indirect		2852	
422 423	1K0109 1K0110	MARC KONIGSBERG STEPHEN ROSS KONIGSBERG C/O PAUL KONIGSBERG	Indirect Indirect		2854 2855	
424	1K0110	IVI KIMMEL	Indirect		2633	
425	1K0112	NTC & CO. FBO ALYSE JOEL KLUFER (111648)	Indirect	10-04295		
426	1K0116	KUGEL & KUGEL PARTNERSHIP KUGEL MARTIN GEN PTR	Indirect			
427	1K0118	DAVID SHAPIRO NOMINEE 4	Indirect	10-04305	2722	
428	1K0119	LAURA P KAPLAN C/O DAVID SHAPIRO	Indirect			
429	1K0121	LAURENCE KAYE TRUSTEE EVELYN KAYE TRUST FBO JUDITH KNIGHT ET AL	Indirect			
430	1K0122	LAURENCE KAYE SUSAN KAYE TSTEES	Indirect	10.0455	2813	
431	1K0124	STEVEN B KAYE & SANDRA PHILLIPS KAYE J/T WROS	Indirect	10-04756		
432 433	1K0126 1K0130	ESTATE OF HY KIRSCH C/O JILL GREENHOOD GINA KOGER	Indirect Indirect			
434	1K0131	KENT ASSOCIATES C/O ROBERT BERKOWICZ	Indirect			
435	1K0132	SHEILA KOLODNY	Indirect	10-05425	2440	
436	1K0133	SHAWN KOLODNY & ANDREW KOLODNY J/T WROS	Indirect		2466	
437	1K0139	RUTH LAURA KLASKIN	Indirect			
438	1K0154	RICHARD B KOMMIT REVOCABLE TRUST	Indirect	10-05019	20.47	
439 440	1K0175 1K0199	FREDERIC KONIGSBERG SUSAN KONIGSBERG JT WROS #2 DIANE KOONES	Indirect Indirect	10-04394	2847	+
441	1K0199	PAUL KAYE	Indirect		2447	1
442	1K0206	SAULIUS KAJOTA	Indirect		645	
443	1KW004	ARTHUR FRIEDMAN & RUTH FRIEDMAN J/T WROS	Indirect	10-05287	2827	
444	1KW013	DAYLE KATZ	Indirect	10-05287		
445	1KW019	MICHAEL KATZ	Indirect	10-05287		1
446	1KW027	SAUL & IRIS KATZ FAMILY FDN ATTN: MR STANLEY BAUMBLATT UJA FEDERATION	Indirect			
447	1KW044	L THOMAS OSTERMAN	Indirect	10-05287	2330	1
448 449	1KW076 1KW077	JEFFREY S WILPON & VALERIE WILPON JT TENANTS HIDTH A WILPON C/O STEPLING FOLUTIES	Indirect	10-05287 10-05287	2329	1
449 450	1KW077 1KW081	JUDITH A WILPON C/O STERLING EQUITIES RICHARD A WILPON & DEBRA WILPON J/T WROS	Indirect Indirect	10-05287	2329	+
451	1KW156	STERLING 15C LLC	Indirect	10-05287	2326	+
452	1KW198	RED VALLEY PARTNERS	Indirect	10-05287	2994	1
453	1KW201	DAVID M KATZ	Indirect	10-05287	2759	
454	1KW206	THE WILPON FAMILY 1997 DESCENDANT'S TRUST C/O STERLING EQUITIES	Indirect	10-05287		
455	1KW209	DANIEL WILPON RICHARD A WILPON AS CUSTODIAN	Indirect	10-05287		<u> </u>
456	1KW242	SAUL B KATZ FAMILY TRUST	Indirect	10-05287		

# BLMIS Direct a 28 no. 1-789-5 mb. s who feel 20662 laim Filed 07/14/15 Entered 07/14/15 20:30:00 Exhibit 1 Pg 10 of 14

No.	BLMIS Account No.	BLMIS Account Name	Direct or Indirect PW Account	Adv. Pro. No.	Objection ECF Number	PW Objection Raised
457	1KW260	FRED WILPON FAMILY TRUST	Indirect	10-05287	- 1,000	
458	1KW263	MARVIN B TEPPER	Indirect	10-05287		
459	1KW302	RUTH FRIEDMAN	Indirect	10-05287		
460	1KW304	MC CLAIN CHILDREN 1999 TRUST	Indirect			
461	1KW305	VALERIE AND JEFFREY S WILPON FOUNDATION	Indirect	10-05287		
462	1KW307	DEBRA WILPON	Indirect	10-05287	2396	
463	1KW309	DAN KNOPF HEATHER KNOPF JT TEN C/O STERLING EQUITIES	Indirect	10-05287		
464	1KW314	STERLING THIRTY VENTURE LLC B	Indirect	10-05287	2325	
465	1KW336	SAUL B KATZ BRIAN HAHN JR TIC	Indirect	10-05287	2828	
466	1KW344	ROBERT W CALLELY SAUL B KATZ TIC	Indirect	10.05205	2020	
467	1KW358	STERLING 20 LLC	Indirect	10-05287	2829	
468	1KW372	ESTATE OF LEONARD J SCHREIER C/O SCHULTE ROTH & ZABEL KIM BAPTISTE ESQ	Indirect	10-05287	2763	
469	1KW384	L THOMAS OSTERMAN AND JILL PUPKE TIC	Indirect	10-05287		
470	1KW389	SCOTT WILPON 2000 TRUST RICHARD WILPON TRUSTEE	Indirect	10-05287		
471	1KW390	JESSICA WILPON 2000 TRUST RICHARD WILPON TRUSTEE	Indirect	10-05287		
472	1KW396	DEYVA ARTHUR	Indirect	10-05287		
473	1KW400	GOGLIA CHILDREN 2000 TRUST	Indirect			+
474 475	1KW401 1KW402	FABIO GOGLIA LAUREN GOGLIA JT/WROS	Indirect	10.05297		
475 476	1KW402 1KW403	STERLING 10 LLC STERLING EQUITIES RICHARD A WILPON ANITA M TAPPY T.I.C	Indirect Indirect	10-05287 10-05287		
477	1KW403	MICHAEL MARKS C/O STERLING EQUITIES	Indirect	10-03287		
477	1KW417	,			2216	
478 479	1KW433	ROBERT CALLELY STERLING TWENTY FIVE LLC	Indirect Indirect	10-05287	2210	
480	1KW455	STERLING TWENTY FIVE LLC STERLING TRACING LLC STERLING EQUITIES ARTHUR FRIEDMAN	Indirect	10-05287		
481	1KW458	NATALIE KATZ O'BRIEN AND BRENDAN O'BRIEN JT WROS	Indirect	10-05287		
482	1KW458	BRADOO-MOOMOO LLC C/O STERLING EQUITIES	Indirect	10-05287	+	
483	1L0035	CAROLE LIPKIN	Indirect	10-03287	3090	
484	1L0036	IRWIN LIPKIN	Indirect	10-04218	2250, 2276	
485	1L0092	ERIC LIPKIN	Indirect	10-04218	2403	
486	1L0093	MARC LIPKIN	Indirect	10-04218	2404	
487	1L0094	RUSSELL LIPKIN KAREN YOKOMIZO-LIPKIN J/T WROS	Indirect	10-04218	2874	
488	1L0098	NAOMI LOVE TRUST RUTH LOVE TRUSTEE	Indirect			
489	1L0108	ESTATE OF RICHARD A LURIA DAVID RICHMAN AND JAY ROSEN EXECUTORS	Indirect		3035, 3036	
490	1L0111	HARRIETTE LEVINE C/O TROON MANAGEMENT	Indirect			
491	1L0112	CAROL LIEBERBAUM	Indirect			
492	1L0113	ROBERT C LUKER FAMILY PARTNERSHIP	Indirect	10-05105	2291	
493	1L0114	DEBBIE LYNN LINDENBAUM	Indirect			
494	1L0121	ESTATE OF GLADYS C LURIA BERNARD L MADOFF & PETER B MADOFF CO-EXECUTORS	Indirect	10-05283		
495	1L0128	LAWRENCE FAMILY TRUST GEORGE LAWRENCE & THERESA LAWRENCE TIC TSTEES	Indirect		2444	
496	1L0129	MURRAY GOLD AS TRUSTEE FOR LILLIAN LOVETT	Indirect			
497	1L0130	ANNA LOWIT	Indirect			
498	1L0133	ROGER LEFFT	Indirect			
499	1L0134	ELIZABETH LEFFT	Indirect	10.04400		
500	1L0135 1L0144	LUCKY COMPANY ACCT A C/O HENDLER & GERSTEN LLC  JACOB AKA JACK LIEBLEIN &BELLE LIEBLEIN TRUSTORS &/OR	Indirect	10-04490		
501		TSTEES THE LIEBLEIN FAM TR DTD 4/1/90	Indirect	10-04073		
502	1L0146	CAREN LOW	Indirect		1942	
503	1L0147	FRIEDA LOW	Indirect	10-04862	2003	
504	1L0148	GARY LOW	Indirect		1943	
505	1L0149	ROBERT K LOW	Indirect	10-05035	2004	
506	1L0152	JACK LOKIEC	Indirect	10.04210		
507 508	1L0205 1L0214	KAREN LIPKIN UGMA FBO GREGORY TSUYOSHI LIPKIN DEVON SABRINA LIPKIN UGMA/NJ ERIKA LIPKIN CUSTODIAN	Indirect Indirect	10-04218 10-04218		
					2170	
509 510	1L0218 1L0306	MATTHEW LIEBMAN C/O PHYLLIS POLAND, CUSTODIAN SYDNEY ADDISON LIPKIN UGMA/NJ ERIKA LIPKIN CUSTODIAN	Indirect Indirect	10-04218	2178	
511	1L0309	GST SEPARATE TRUST FBO SHARON LOHSE U/T/A/D 5/29/02 MRS	Indirect		2245	
512	1L0315	SHARON LOHSE CYNTHIA LIEBERBAUM ROBERT GREENBERGER, AND JOHN	Indirect			
513		MACABEE TIC  CHAPLOTTE AVALIBRIN LIGMA INLEDIKA LIBRIN CUSTODIAN		10.04218		
J13	1L0319	CHARLOTTE AVA LIPKIN UGMA/NJ ERIKA LIPKIN CUSTODIAN	Indirect	10-04218		

			Direct or Indirect	-4		
No.	BLMIS Account No.	BLMIS Account Name	Direct or Indirect PW Account	Adv. Pro. No.	Objection ECF Number	PW Objection Raised
514	1L0323	AMY LURIA PARTNERS LLC C/O BERNARD L MADOFF & PETER B MADOFF	Indirect	10-03222	3030	
515	1L0324	ROBERT LURIA PARTNERS C/O BERNARD L MADOFF & PETER B MADOFF	Indirect	10-03223	2791	
516	1M0021	MARDEN FAMILY TRUST TWO NORTH BREAKERS ROW	Indirect			
517	1M0045	MISCORK CORP #3 RETIREMENT PLAN R S GETTINGER VOLUNTARY	Indirect		2832	
518	1M0064	GABRIELLE Z MARDEN UGMA JAMES P MARDEN AS CUSTODIAN	Indirect			
519	1M0065	ALEXANDRA K MARDEN REV TRUST	Indirect			
520	1M0089	THE MARDEN FAMILY FOUNDATION INC C/O JAMES P MARDEN	Indirect	10-05397	5175	
521	1M0098	SHAWN MATHIAS MARISOL MATHIAS J/T WROS	Indirect		1757	
522	1M0100	MICHAEL MATHIAS & STACEY MATHIAS J/T WROS	Indirect	10-04824	2963	
523	1M0101	RONA MAST	Indirect		2437	
524	1M0104	SHERRY K MCKENZIE & ROBERT MCKENZIE J/T WROS	Indirect	10.04706	2220	
525 526	1M0105 1M0106	EDWIN MICHALOVE ALAN R MOSKIN	Indirect Indirect	10-04786	2338	
		THE GERALD MARKS AND FRANCES W MARKS REVOCABLE LIVING				
527 528	1M0111 1M0113	TRUST UTD 9/20/07 ROSLYN MANDEL	Indirect Indirect			
528 529	1M0115	GIGI FAMILY LTD PARTNERSHIP	Indirect		1154	
530	1M0117	ERIC J METZGER TRUSTEE OF THE ERIC J METZGER LIVING TRUST	Indirect	10-04729	1134	
531	1M0123	HOWARD M MILLER	Indirect		2371	
532	1M0124	MMRN ASSOCIATES C/O MALCOM SAGE	Indirect		3585	
533	1M0187	PHILIP E MILLER STEVEN A MILLER TIC	Indirect		2370	
534	1M0246	MSM INVESTMENT GROUP LLC C/O STEVEN FITERMAN	Indirect	10-04337		
535	1N0012	NTC & CO. FBO ROBERT NYSTROM (98968)	Indirect	10-04399		
536	1N0013	JULIET NIERENBERG	Indirect		3831	
537	1N0017	RICHARD NARBY EVE NARBY JT/WROS	Indirect		2476	
538 539	1N0022 1N0032	EDMUND A NAHAS GEORGE NIERENBERG & RHONA SILVERBUSH J/T WROS	Indirect Indirect		2476 3818	
540	1P0023	JEFFRY M PICOWER SPECIAL CO C/O DECISIONS INC	Indirect	09-01197	2952	
541	1P0024	THE PICOWER FOUNDATION	Indirect	09-01197	2732	
542	1P0038	PHYLLIS A POLAND	Indirect		2180	
543	1P0079	JOYCE PRIGERSON	Indirect			
544	1P0099	NTC & CO. FBO DORIS M PEARLMAN (116443)	Indirect	10-04504		
545	1P0101	PISETZNER FAMILY LIMITED PARTNERSHIP	Indirect	10-05097		
546	1P0105	LAUREL PAYMER	Indirect		1026	
547 548	1R0062 1R0090	NTC & CO. FBO RICHARD G ROTH (86860)  RADOSH PARTNERS RADOSH BURNETT H GEN PTNR	Indirect Indirect	10-05073	2826	
		THE BENJAMIN W ROTH IRREV TRUST 5/12/93 AMY ROTH AND		10-03073		
549	1R0091	RICHARD G ROTH AS TRUSTEES	Indirect		2441	
550	1R0123	BARBARA ROTH & MARK ROTH J/T WROS	Indirect	10-04324	2977	
551	1R0125	ALLEN ROSS	Indirect	10.05124	1803, 1804	1
552 553	1R0133 1R0134	THERESA R RYAN LAWRENCE J RYAN TRUSTEES U/D/T 11/20/91 DANIEL RYAN	Indirect Indirect	10-05124	2836 3002	
554	1R0137	SYLVIA ROSENBLATT	Indirect		3002	
555	1R0139	ALBERT REAVEN LIVING TRUST DATED 5/8/01	Indirect		2273	Yes
556	1R0140	RICHARD W REAVEN LAWRENCE REAVEN LINDA REAVEN & RUTH REAVEN TRUST	Indirect			
557	1R0149	MAX ROSE OR LEE ROSE TOD BELLA ROSE HORNIKER	Indirect			
558	1R0150	ALAN ROSENBERG	Indirect			
559	1R0155	IAN ROTH	Indirect			
560	1R0157	NTC & CO. FBO JONATHAN ROTH (86857)	Indirect		2270	-
561	1R0159	LAWRENCE ROTH & JEANNETTE ROTH TIC	Indirect		2378	+
562 563	1R0161 1R0162	NEIL ROTH CAROLE RUBIN IRWIN SALBE & STEVE SALBE JT WROS	Indirect Indirect			+
564	1R0102	LAWRENCE J.RYAN BY-PASS TRUST UNDER DECLARATION OF TST DTD NOV 20,1991 THERESA R. RYAN	Indirect	10-05124	3084, 3093	
565	1R0181	HARRIET RUBIN SOLE TTEE OR HER SUCCESSORS IN TRUST,	Indirect		2583	
566	1R0197	UNDER THE HR LIVING TRUST DTD 1/31/2001 BRYANT ROTH	Indirect		2491	+
567	1R0197 1R0217	BRIAN ROSS	Indirect		1997	+
568	1R0217	PETER ROTHENBERG	Indirect		-///	
569	1R0228	TAMAR ROTHENBERG	Indirect			
570	1RU041	ANTHONY FUSCO AND SANTA FUSCO J/T WROS	Indirect		1100	
571	1RU042	JANSE MAYA AND ISAAC MAYA JT WROS	Indirect			

No.	BLMIS Account No.	BLMIS Account Name	Direct or Indirect PW Account	Adv. Pro. No.	Objection ECF Number	PW Objection Raised	
572	1RU046	REINA HAFT OR JANSE MAYA	Indirect				
573	1RU047	GUIDO PARENTE & ESTERINA PARENTE J/T WROS	Indirect				
574	1S0183	SYLVAN ASSOCIATES L P DONALD R SHAPIRO GENL PARTNER	Indirect	10-04961			
575	1S0186	SCHAFLER FAM INVESTMENT FUND C/O CORTEC GROUP INC	Indirect				
576	1S0240	PAM B SCHAFLER CORTEC GROUP INC C/O STANLEY BARON	Indirect			<u> </u>	
577	1S0248	ROBERT E STADELMAN AND CRAIG J STADELMAN J/T WROS	Indirect				
578	1S0258	HOWARD SCHWARTZBERG	Indirect				
579	1S0260	SCHUPAK INVESTMENT C/O HOWARD M SCHUPAK, GEN PART	Indirect		2522		
580	1S0263	ROBERT W SMITH REV TRUST DTD 3/20/00	Indirect				
581	1S0266	THE LORI BETH SANDLER AND STEPHEN PATRICK RASH AB LIVING TRUST	Indirect				
582	1S0267	MAURICE SANDLER MD & GLORIA SANDLER REV LIV TRUST	Indirect	10-05104			
583	1S0268	SANDY SANDLER	Indirect	10-04800	2405		
584	1S0269	SCOTT ALAN SANDLER AND BROOKE M SKLAR J/T WROS	Indirect				
585	1S0285	JAY GOLDSTEIN & SUSAN GOLDSTEIN J/T WROS	Indirect				
586	1S0286	ESTATE OF THEODORE SCHWARTZ C/O TREZZA MANAGEMENT INC	Indirect				
587	1S0287	MRS SHIRLEY SOLOMON	Indirect				
588	1S0288	EUGENE STERN & ARLENE STERN J/T WROS	Indirect		2377		
589	1S0289	CRAIG STADELMAN & ALAINE STADELMAN J/T WROS	Indirect		01.5	<u> </u>	
590 591	1S0293 1S0295	TRUDY SCHLACHTER ADELE SHAPIRO	Indirect Indirect		915 2707		
592	1S0295	DAVID SHAPIRO	Indirect	10-04305	2711		
593	1S0297	DAVID SHAPIRO NOMINEE	Indirect	10-04328	2713, 2714	_	
594	1S0298	DAVID SHAPIRO NOMINEE #2	Indirect	10-04325	2715, 2775, 2777	1	
595	1S0299	DAVID SHAPIRO NOMINEE NOMINEE #3	Indirect	10-04314	2718		
596	1S0301	DEBORAH SHAPIRO	Indirect		2725		
597	1S0302	MILDRED SHAPIRO	Indirect				
598	1S0303	PAUL SHAPIRO	Indirect				
599	1S0305	NCA CAPITAL LLC C/O STANLEY SHAPIRO	Indirect	10.05202			
600 601	1S0306 1S0308	DAVID SHAPIRO THE MERLE HELENE SHULMAN TRUST	Indirect Indirect	10-05383			
602	1S0309	BARRY A SCHWARTZ	Indirect			<del> </del>	
603	1S0310	NTC & CO. FBO STEVEN SCHNEIDER (072361)	Indirect			+	
604	1S0311	BARBARA SCHWARZCHILD & JAY M BRILL TRUSTEE INDT DTD 12/30/88 BRILL TRUST	Indirect				
605	1S0312	ELAINE C SCHLESSBERG TRUSTEE ELAINE C SCHLESSBERG TRUST DATED 8/26/04	Indirect		793		
606	1S0313	NORMAN SCHLESSBERG TSTEE NORMAN SCHLESSBERG TRUST DTD 10/31/97	Indirect		2436		
607	1S0316	SAGE REALTY C/O LILLIAN SAGE	Indirect	10-04400	2297	<del> </del>	
608	1S0317	BARBARA SALBE IRWIN SALBE & JODI MARINOS JT WROS	Indirect	10-05226		1	
609	1S0321	ANNETTE L SCHNEIDER	Indirect				
610	1S0324	ROBERTA SCHWARTZ TRUST U/A/D 2/11/08	Indirect	10-04327	2875		
611	1S0325	CYNTHIA S SEGAL	Indirect				
612	1S0326	DAVID F SEGAL	Indirect		2.122	4	
613 614	1S0329 1S0334	TURBI SMILOW HOWARD STERN AND JAY STERN TIC C/O WERNER ZAROFF	Indirect Indirect		2432	+	
		SLOTNICK LLP THE ELAINE SCHNEIDER REV TST ELAINE SCHNEIDER TSTEE UAD					
615 616	1S0335 1S0336	5/4/98 SHELDON SEISSLER	Indirect Indirect		2438	-	
617	1S0337	NORMA SHAPIRO TRUSTEE NORMA SHAPIRO REV DEC TRUST	Indirect	10-04486	2253		
618	1S0338	U/A/D 9/16/08 TRUST U/W/O PHILIP L SHAPIRO APT 601 N	Indirect	10-04486	2375		
619	1S0339	DORIS SHOR	Indirect	10-04580			
620	1S0340	THE LEON SHOR REVOCABLE TRUST C/O DORIS SHOR	Indirect	10-04580			
621	1S0344	LINDA SILVER	Indirect			<u> </u>	
622	180346	DAVID SIMONDS EDWARD L SIMONDS TRUSTEE EDWARD L SIMONDS LIVING TRUST	Indirect		2405		
623	1S0347 1S0348	7/27/00 BROOKE SIMONDS	Indirect		2495 2496	Yes	
624 625	1S0348 1S0349	LAWRENCE SIMONDS	Indirect Indirect	10-04866	2395	168	
626	1S0349	SALLY K SIMONDS LIVING TRUST DTD JULY 27, 2000	Indirect	10-0-000	2459	1	
627	1S0353	LAURA ANN SMITH REVOCABLE TRUST	Indirect	10-05184		1	
628	1S0359	JANE E STOLLER 266 PENNINGTON	Indirect			<u> </u>	
629	1S0360	IRWIN SALBE ARLINE SALBE DAVID SALBE TIC	Indirect				

No.	BLMIS Account No.	BLMIS Account Name	Direct or Indirect PW Account	Adv. Pro. No.	Objection ECF Number	PW Objection Raised
630	1S0362	SONDOV CAPITAL INC	Indirect		1130	
631	1S0363	SCHIFF FAMILY HOLDINGS NEVADA LIMITED PARTNERSHIP	Indirect	10-04363		
632	1S0370	ROBERT B SEDGWICK AND NICHOLAS J SEDGWICK TIC	Indirect			
633	1S0373	ELIZABETH SHOR	Indirect			
634	1S0409	THE SCHLICHTER FOUNDATION BERNARD MADOFF PETER MADOFF TRUSTEES	Indirect			
635	1S0484	ESTATE OF LILLIAN B STEINBERG ELAINE PIKULIK AND BERNARD L MADOFF EXECUTORS	Indirect	10-04948		
636	1S0491	HAROLD STOLLER TRUST U/A DTD 3/19/03 KIMBERLY S STOLLER TSTEE	Indirect			
637	1S0493	SAMUELS FAMILY LTD PARTNERSHIP	Indirect	10-04297	2372	
638	1S0495	ANDREW ROSS SAMUELS	Indirect		2965	
639	1S0497	PATRICIA SAMUELS	Indirect	10-04297	2964	
640	1S0501	IRA SKLADER GAIL SKLADER JT WROS	Indirect			
641	1S0514	DOROTHY STERN	Indirect			
642	1S0516	ALVIN E SHULMAN POUROVER TRUST ALVIN E SHULMAN AS TRUSTEE APT #722	Indirect	10-04852		
643	1S0517	FLORENCE SHULMAN POUROVER TST FLORENCE W SHULMAN TRUSTEE APT #722	Indirect	10-04606		
644	1S0547	SAGE ASSOCIATES C/O LILLIAN SAGE	Indirect	10-04362	2945	
645	1S0560	DENA TAMARA SMITH IRREVOCABLE TRUST	Indirect	10 01302	-> 10	
646	1SH012	LILFAM LLC	Indirect	10-05388	3164	
647	1SH013	HAROLD S MILLER TRUST DTD 12/4/64 FBO ELAINE MILLER	Indirect	10-05388	3159	
648	1SH042	LILYAN AND ABRAHAM BERKOWITZ FAMILY LLC	Indirect	10-05388	2906	
649	1SH168	DANIEL I WAINTRUP	Indirect	10 03300	2225	
650	1SH169	GORDON MICHAEL BERKOWITZ REVOCABLE TRUST	Indirect	10-05388	2220	
651	1SH188	CARL SHAPIRO & RUTH SHAPIRO FAMILY FOUNDATION #2	Indirect	10 00000		
652	1SH189	LSW 2006 IRREVOCABLE TRUST	Indirect		3171	
653	1SH190	JAFFE FAMILY 2004 IRREVOCABLE TRUST	Indirect		2909	
654	1SH192	RSZ-JSH PARTNERSHIP	Indirect			
655	1T0004	DORON TAVLIN TRUST DORON A TAVLIN AND HARVEY KRAUSS ESQ TRUSTEES	Indirect	10-05312	2912	
656	1T0036	RYAN TAVLIN TRUST UA 10/31/96 DORON A TAVLIN AND HARVEY KRAUSS ESQ TRUSTEES	Indirect	10-05232	2913	
657	1T0039	MICHAEL TROKEL	Indirect			
658	1T0041	TRANSDUCTIONS INC SPECIAL C/O ARAKAWA & MADELINE GINS	Indirect			
659	1U0005	NTC & CO. FBO MAGNUS A UNFLAT FTC ACCT #909797 IRA	Indirect		2851	
660	1U0015	NTC & CO. FBO MAGNUS A UNFLAT (111445)	Indirect	10-04617	2851	
661	1U0017	GUNTHER UNFLAT & MARGARET UNFLAT J/T WROS  ELEANORE C UNFLAT OR MAGNUS A UNFLAT CO-TRUSTEES OF	Indirect	10-05420	2113	
662	1U0019	THE ELEANOR C UNFLAT GST SEPARATE TRUST FBO ROY VAN LANEN U/T/A/D 5/29/02 MR	Indirect	10-04617	2429	
663	1V0017	ROY VAN LANEN	Indirect		2247	
664	1V0018	GST TST FBO DELORES VAN LANEN U/T/A/D 5/29/02 MS DELORES VAN LANEN	Indirect		2246	
665	1V0019	VERDEWAY INVESTMENT PTNRS LLC C/O STEVEN FITERMAN	Indirect	10-04354		
666	1W0061	ARIEL WIENER TRUST CHARLES WIENER TRUSTEE	Indirect			
667	1W0062	CHELSEA WIENER TRUST CHARLES WIENER TRUSTEE	Indirect			
668	1W0068	JEFFREY HOWARD WOODRUFF	Indirect			
669	1W0070	LWT ASSOCIATES LLC ALLAN R HURWITZ MGR	Indirect			
670	1W0076	RAVEN C WILE THE SEASONS	Indirect		1995, 1996	
671 672	1W0084 1W0091	JANIS WEISS ALAN WINTERS & JANET WINTERS FAMILY PARTNERSHIP LTD	Indirect Indirect	10-04808	2340	
673	1W0091	ALAN J WINTERS PROFIT SHARING TRUST	Indirect		3600	
674	1W0095	JEFFREY WOODRUFF MICHAEL WOODRUFF JEREMY SHOR & ELIZABETH SHOR	Indirect			
675	1W0099	LINDA WOLF AND RITA WOLTIN TIC	Indirect		1	
676	1W0114	JAROD C WINTERS OR MARCI WINTERS TIC	Indirect			
677	1W0119	ROBERT WEINGARTEN REVOCABLE TRUST DTD 6/23/05	Indirect		1	
678	1W0141	JEFFREY S WILPON & VALERIE WILPON JT/WROS	Indirect	10-05287	1	
679	1Y0009	STEVEN YAVERS	Indirect			
680	1Z0014	SAM ZEMSKY	Indirect		2783	
681	1Z0015	SHIRLEY ZEMSKY REV TRUST DATED 12/27/95	Indirect			
682	1Z0016	MRS ANDREA CERTILMAN ZIEGLER	Indirect		3951	
683	1Z0018	GEOFFREY CRAIG ZEGER	Indirect			
684	1Z0019	RITA ZEGER	Indirect			
685	1Z0024	MAX ZANKEL FOUNDATION C/O BARRY A SCHWARTZ	Indirect			

# BLMIS Direct a 08 no 1-789-smb ts who feel 0662 laim Filed 07/14/15 Entered 07/14/15 20:30:00 Exhibit 1 Pg 14 of 14

No.	BLMIS Account No.	BLMIS Account Name	Direct or Indirect PW Account	Adv. Pro. No.	Objection ECF Number	PW Objection Raised	
686	1Z0031	HOWARD ZEMSKY FAMILY TRUST DATED 1/3/1997 HOWARD ZEMSKY TRUSTEE	Indirect				
687	1Z0037	EDWARD A ZRAICK JR, PATRICIA DELUCA, KAREN M RICH TIC C/O EDWARD A ZRAICK	2445				
688	1ZA052	MARILYN DAVIS & JACOB DAVIS TTEES UTA BY MARILYN DAVIS DTD 6/27/94	Indirect		2830		
689	1ZA077	FLORENCE BRINLING PROFIT SHARING PLAN	Indirect				
690	1ZA078	JOHN BRINLING PROFIT SHARING PLAN	Indirect				
691	1ZA111	STEVEN J ANDELMAN P O BOX 1044	Indirect	10-04884	2418		
692	1ZA112	SUSAN R ANDELMAN P O BOX 1044	Indirect	10-04916	2418		
693	1ZA213	MAX SCHWARTZ REVOCABLE TRUST 8/8/96 LINDA WEINTRAUB SUCC TSTEE	Indirect				
694	1ZA249	RICHARD B FELDER AND DEBORAH FELDER TIC	Indirect		3865		
695	1ZA364	DEBORAH KAYE	Indirect		2510		
696	1ZA365	BARBARA L LAIRD C/O ROGER WILLIAMS	Indirect		2474		
697	1ZA438	FREDERICK P HELLER	Indirect				
698	1ZA879	KENN JORDAN ASSOCIATES C/O FRANK AVELLINO	Indirect	10-05421			
699	1ZB046	GROSVENOR PARTNERS LTD C/O FRANK AVELLINO	Indirect	10-05421			
700	1ZB262	STRATTHAM C/O THOMAS G AVELLINO	Indirect	10-05421			
701	1ZB371	ROBERT S BLUM	Indirect				
702	1ZB459	ADELE SILVERMAN TRUSTEE ADELE SILVERMAN REV TRUST	Indirect		4079		
703	1ZB460	WILLIAM SILVERMAN REV TRUST ADELE SILVERMAN TRUSTEE	Indirect		4078		
704	1ZB496	JONATHAN SCHWARTZ AS TRUSTEE FBO NANCY M RIEHM	Indirect		2774		
705	1ZB497	SUSAN RYAN ELIZABETH AUGUSTYN T/I/C	Indirect				
706	1ZB509	ASTER ASSOCIATES FRANK AVELLINO, NANCY CARROLL AVELLINO GENERAL PARTNERS	Indirect	10-05421			
707	1ZB510	ST JAMES ASSOCIATES MICHAEL BIENES, DIANE BIENES GENERAL PARTNERS	Indirect	10-05421			

# EXHIBIT 2 TO DECLARATION OF VINEET SEHGAL



ROOH! 30

212 230-2424 800 334-1343 Telex 235130 Fax 212 486-8178

### **CUSTOMER AGREEMENT**

In consideration for you (the "Broker") opening or maintaining one or more accounts (the "Customer"), the Customer agrees to the terms and conditions contained in this Agreement. The heading of each provision of the Agreement is for descriptive purposes only and shall not be deemed to modify or qualify any of the rights or obligations set forth in each such provision. For purposes of this Agreement, "securities and other property" means, but is not limited to money, securities, financial instruments and commodities of every kind and nature and related contracts and options, except that the provisions of paragraph 19 herein (the arbitration clause) shall not apply to commodities accounts. This definition includes securities or other property currently or hereafter held, carried or maintained by you or by any of your affiliates, in your possession or control, or in the possession or control of any such affiliate, for any purpose, in and for any of my accounts now or hereafter opened, including any account in which I may have an interest.

### 1. APPLICABLE RULES AND REGULATIONS

All transactions in the Customer's Account shall be subject to the constitution, rules, regulations, customs and usages of the exchange or market, and its clearing house, if any, where the transactions are executed by the Broker or its agents, including its subsidiaries and affiliates. Also, where applicable, the transactions shall be subject (a) to the provisions of (1) the Securities Exchange Act of 1934, as amended, and (2) the Commodities Exchange Act, as amended; and (b) to the rules and regulations of (1) the Securities and Exchange Commission, (2) the Board of Governors of the Federal Reserve System and (3) the Commodities Futures Trading Commission.

# 2. AGREEMENT CONTAINS ENTIRE UNDERSTANDING/ASSIGNMENT

This Agreement contains the entire understanding between the Customer and the Broker concerning the subject matter of this Agreement. Customer may not assign The rights and obligations hereunder without first obtaining the prior written consent of the Broker.

# 3. SEVERABILITY

If any provision of this Agreement is held to be invalid, void or unenforceable by reason of any law, rule, administrative order or judicial decision, that determination shall not effect the validity of the remaining provisions of this Agreement.

## 4. WAIVER

Except as specifically permitted in this Agreement, no provision of this Agreement can be, nor be deemed to be, waived, altered, modified or amended unless such is agreed to in a writing signed by the broker.

# 5. DELIVERY OF SECURITIES

Without abrogating any of the Broker's rights under any other portion of this Agreement and subject to any indebtedness of the Customer to the Broker, the Customer is entitled, upon appropriate demand, to receive physical delivery of fully paid securities in the Customer's Account.

# 6. LIENS

All securities and other property of the Customer in any account in which the Customer has an interest shall be subject to a lien for the discharge of any and all indebtedness or any other obligation of the Customer to the Broker. All securities and other property of the Customer shall be held by the Broker as Security for the payment of any such obligations or indebtedness to the Broker in any Account that the Customer may have an interest, and the Broker subject to applicable law may, at any time and without prior notice to the Customer, use and/or transfer any or all securities and other property interchangeably in any Account(s) in which the Customer has an interest (except regulated commodity Accounts).

Affiliated with:

Madoff Securities International Ltd. 43 London Wall, London England EC2M 5TB.071-374 0891

### 7. INTEREST

Debit balances of the Account(s) of the Customer shall be charged with interest in accordance with the Broker's established custom, as disclosed to the Customer pursuant to the provisions of Rule 10b-16 of the Securities Exchange Act of 1934.

8. DISCLOSURES REGARDING LIQUIDATIONS AND COVERING POSITIONS THE CUSTOMER SHOULD CLEARLY UNDERSTAND THAT,
NOT WITHSTANDING A GENERAL POLICY OF GIVING CUSTOMERS NOTICE OF A MARGIN DEFICIENCY, THE BROKER IS
NOT OBLIGATED TO REQUEST ADDITIONAL MARGIN FROM THE CUSTOMER IN THE EVENT THE CUSTOMER'S ACCOUNT
FALLS BELOW MINIMUM MAINTENANCE REQUIREMENTS. MORE IMPORTANTLY, THERE MAY/WILL BE CIRCUMSTANCES
WHERE THE BROKER WILL LIQUIDATE SECURITIES AND/OR OTHER PROPERTY IN THE ACCOUNT WITHOUT NOTICE TO
THE CUSTOMER TO ENSURE THAT MINIMUM MAINTENANCE REQUIREMENTS ARE SATISFIED.

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9. LIQUIDATIONS AND COVERING POSITIONS THE BROKER SHALL HAVE THE RIGHT IN ACCORDANCE WITH ITS GENERAL POLICIES REGARDING MARGIN MAINTENANCE REQUIREMENTS TO REQUIRE ADDITIONAL COLLATERAL OR THE LIQUIDATION OF ANY SECURITIES AND OTHER PROPERTY WHENEVER IN BROKER'S DISCRETION IT CONSIDERS IT NECESSARY FOR ITS PROTECTION INCLUDING IN THE EVENT OF , BUT NOT LIMITED TO: THE FAILURE OF THE CUSTOMER TO PROMPTLY MEET ANY CALL FOR ADDITIONAL COLLATERAL; THE FILING OF A PETITION IN BANKRUPTCY BY OR AGAINST THE CUSTOMER: THE APPOINTMENT OF A RECEIVER IS FILED BY OR AGAINST CUSTOMER: AN ATTACHMENT IS LEVIED AGAINST ANY ACCOUNT OF THE CUSTOMER OR IN WHICH THE CUSTOMER HAS AN INTEREST OR; THE CUSTOMER'S DEATH. IN SUCH EVENT, THE BROKER IS AUTHORIZED TO SELL ANY AND ALL SECURITIES AND OTHER PROPERTY IN ANY ACCOUNT OF THE CUSTOMER WHETHER CARRIED INDIVIDUALLY OR JOINTLY WITH OTHERS, TO BUY ALL SECURITIES OR OTHER PROPERTY WHICH MAY BE SHORT IN SUCH ACCOUNT(S), TO CANCEL ANY OPEN ORDERS AND TO CLOSE ANY OR ALL OUTSTANDING CONTRACTS. ALL WITHOUT DEMAND FOR MARGIN OR ADDITIONAL MARGIN, OTHER NOTICE OF SALE OR PURCHASE, OR OTHER NOTICE OR ADVERTISEMENT EACH OF WHICH IS EXPRESSLY WAIVED BY THE CUSTOMER. ANY SUCH SALES OR PURCHASES MAY BE MADE AT BROKER'S DISCRETION ON ANY EXCHANGE OR OTHER MARKET WHERE SUCH BUSINESS IS USUALLY TRANSACTED OR AT PUBLIC AUCTION OR PRIVATE SALE, AND BROKER MAY BE THE PURCHASER FOR BROKER'S OWN ACCOUNT. IT IS UNDERSTOOD A PRIOR DEMAND, OR CALL, OR PRIOR NOTICE OF THE TIME AND PLACE OF SUCH SALE OR PURCHASE SHALL NOT BE CONSIDERED A WAIVER OF BROKER'S RIGHT TO SELL OR BUY WITHOUT DEMAND OR NOTICE AS HEREIN PROVIDED.

## 10. SATISFACTION OF INDEBTEDNESS

The Customer agrees to satisfy, upon demand, any indebtedness, and to pay any debit balance remaining when the Customer's Account is closed, either partially or totally. Customer Account(s) may not be closed without Broker first receiving all securities and other property for which the Account is short and all funds to pay in full for all securities and other property in which the Account(s) are long.

# 11. TRANSACTIONS AND SETTLEMENTS

All orders for the purchase or sale of securities and other property will be authorized by the Customer and executed with the understanding that an actual purchase or sale is intended and that it is the Customer's intention and obligation in every case to deliver certificates or commodities to cover any and all sales or to pay for any purchase upon the Broker's demand. If the Broker makes a short sale of any securities and other property at the Customer's direction or if the Customer fails to deliver to the Broker any securities and other property that the Broker has sold at the Customer's direction, the Broker is authorized to borrow the securities and other property necessary to enable the Broker to make delivery and the Customer agrees to be responsible for any cost or loss the Broker may incur, or the cost of obtaining the securities and other property if the Broker is unable to borrow it. The Broker is the Customer's agent to complete all such transactions and is authorized to make advances and expend monies as are required.

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### 12. SALES BY CUSTOMER

The Customer understands and agrees any order to sell "short" will be designated as such by the Customer, and that the Broker will mark the order as "short". All other sell orders will be for securities owned ("long"), at that time, by the Customer by placing the order the Customer affirms that he will deliver the securities on or before the settlement date.

### 13. BROKER AS AGENT

The customer understands that the Broker is acting as the Customer's agent, unless the Broker notifies the Customer, in writing before the settlement date for the transaction, that the Broker is acting as dealer for its own account or as agent for some other person.

## 14. CONFIRMATIONS AND STATEMENTS

Confirmations of transactions and statements for the Customer's Account(s) shall be binding upon the Customer if the Customer does not object, in writing, within ten days after receipt by the Customer. Notice or other communications including margin and maintenance calls delivered or mailed to the address given below shall, until the Broker has received notice in writing of a different address, be deemed to have been personally delivered to the Customer whether actually received or not.

# 15. SUCCESSORS

Customer hereby agrees that this Agreement and all the terms thereof shall be binding upon Customer's heirs, executors, administrators, personal representatives and assigns. This Agreement shall enure to the benefit of the Broker's present organization, and any successor organization, irrespective of any change or changes at any time in the personnel thereof, for any cause

### 16. CHOICE OF LAWS

THIS AGREEMENT SHALL BE DEEMED TO HAVE BEEN MADE IN THE STATE OF NEW YORK. AND SHALL BE CONSTRUED, AND THE RIGHTS AND LIABILITIES OF THE PARTIES DETERMINED, IN ACCORDANCE WITH THE LAWS OF THE STATE OF NEW YORK.

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# 17. CAPACITY TO CONTRACT, CUSTOMER AFFILIATION

By signing below, the Customer, represents that he/she is of legal age, and that he/she is not an employee of any exchange, or of any corporation of which any exchange owns a majority of the capital stock, or of a member of any exchange, or of a member firm or member corporation registered on any exchange, or of a bank, trust company, insurance company or of any corporation, firm or individual engaged in the business of dealing, either as broker or as principal, in securities, bills of exchange, acceptances or other forms of commercial paper, and that the Customer will promptly notify the Broker in writing if the Customer is now or becomes so employed. The Customer also represents that no one except the Customer has an interest in the account or accounts of the Customer with you.

## 18. ARBITRATION DISCLOSURES

- \* ARBITRATION IS FINAL AND BINDING ON THE PARTIES.
- \* THE PARTIES ARE WAIVING THEIR RIGHT TO SEEK REMEDIES IN COURT, INCLUDING THE RIGHT TO JURY TRIAL.
- \* PRE-ARBITRATION DISCOVERY IS GENERALLY MORE LIMITED THAN AND DIFFERENT FROM COURT PROCEEDINGS.
- \* THE ARBITRATORS AWARD IS NOT REQUIRED TO INCLUDE FACTUAL FINDINGS OR LEGAL REASONING AND ANY PARTY'S RIGHT TO APPEAL OR TO SEEK MODIFICATION OF RULINGS BY THE ARBITRATORS IS STRICTLY LIMITED.
- \* THE PANEL OF ARBITRATORS WILL TYPICALLY INCLUDE A MINORITY OF ARBITRATORS WHO WERE OR ARE AFFILIATED WITH THE SECURITIES INDUSTRY.

# 19. ARBITRATION

THE CUSTOMER AGREES, AND BY CARRYING AN ACCOUNT FOR THE CUSTOMER THE BROKER AGREES THAT ALL CONTROVERSIES WHICH MAY ARISE BETWEEN US CONCERNING ANY TRANSACTION OR THE CONSTRUCTION, PERFORMANCE, OR BREACH OF THIS OR ANY OTHER AGREEMENT BETWEEN US PERTAINING TO SECURITIES AND OTHER PROPERTY, WHETHER ENTERED INTO PRIOR, ON OR SUBSEQUENT TO THE DATE HEREOF, SHALL BE DETERMINED BY ARBITRATION UNDER THIS AGREEMENT SHALL BE CONDUCTED PURSUANT TO THE FEDERAL ARBITRATION ACT AND THE LAWS OF THE STATE DESIGNATED IN PARAGRAPH 18, BEFORE THE AMERICAN ARBITRATION ASSOCIATION, OR BEFORE THE NEW YORK STOCK EXCHANGE, INC. OR AN ARBITRATION FACILITY PROVIDED BY ANY OTHER EXCHANGE OF WHICH THE BROKER IS A MEMBER, OR THE NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC. OR THE MUNICIPAL SECURITIES RULE MAKING BOARD AND IN ACCORDANCE WITH THE RULES OBTAINING OF THE SELECTED ORGANIZATION. THE CUSTOMER MAY ELECT IN THE FIRST INSTANCE WHETHER ARBITRATION SHALL BE BY THE AMERICAN ARBITRATION ASSOCIATION, OR BY AN EXCHANGE OR SELF-REGULATORY ORGANIZATION OF WHICH THE BROKER IS A MEMBER, BUT IF THE CUSTOMER FAILS TO MAKE SUCH ELECTION, BY REGISTERED LETTER OR TELEGRAM ADDRESSED TO THE BROKER AT THE BROKER'S MAIN OFFICE, BEFORE THE EXPIRATION OF TEN DAYS AFTER RECEIPT OF A WRITTEN REQUEST FROM THE BROKER TO MAKE SUCH ELECTION, THEN THE BROKER MAY MAKE SUCH ELECTION, THE AWARD OF THE ARBITRATORS, OR OF THE MAJORITY OF THEM SHALL BE FINAL, AND JUDGMENT UPON THE AWARD RENDERED MAY BE ENTERED IN ANY COURT, STATE OR FEDERAL, HAVING JURISDICTION.

### 20. DISCLOSURES TO ISSUERS

Under rule 14b-1(c) of the Securities Exchange Act of 1934, we are required to disclose to an issuer the name, address, and securities position of our customers who are beneficial owners of that issuer's securities unless the customer objects. Therefore, please check one of the boxes below:

Yes, I do object to the disclosure of information.	
No, I do not object to the disclosure of such information.	
THIS AGREEMENT CONTAINS A PRE-DISPUTE ARBITRATION  (X)	(X)(Customer Signature/date)
(Customer Address)	1- ROO41- 7-0 (Account Number)
REDACTED _	

# EXHIBIT 3 TO DECLARATION OF VINEET SEHGAL

212 230-2424 800 334-1343 Fax 212 486-8178

#### CUSTOMER AGREEMENT

In consideration for you (the "Broker") opening or maintaining one or more accounts (the "Customer"), the Customer agrees to the terms and conditions contained in this Agreement. The heading of each provision of the Agreement is for descriptive purposes only and shall not be deemed to modify or qualify any of the rights or obligations set forth in each such provision. For purposes of this Agreement, "securities and other property" means, but is not limited to money, securities, financial instruments of every kind and nature and related contracts and options. This definition includes securities or other property currently or hereafter held, carried or maintained by you or by any of your affiliates, in your possession or control, or in the possession or control of any such affiliate, for any purpose, in and for any of my accounts now or hereafter opened, including any account in which I may have an interest.

# 1. APPLICABLE RULES AND REGULATIONS

All transactions in the Customer's Account shall be subject to the constitution, rules, regulations, customs and usages of the exchange or market, and its clearing house, if any, where the transactions are executed by the Broker or its agents, including its subsidiaries and affiliates. Also, where applicable, the transactions shall be subject (a) to the provisions of the Securities Exchange Act of 1934, as amended, and (b) to the rules and regulations of (1) the Securities and Exchange Commission and (2) the Board of Governors of the Federal Reserve System.

### 2. AGREEMENT CONTAINS ENTIRE UNDERSTANDING/ASSIGNMENT

This Agreement contains the entire understanding between the Customer and the Broker concerning the subject matter of this Agreement. Customer may not assign The rights and obligations hereunder without first obtaining the prior written consent of the Broker.

## 3. SEVERABILITY

If any provision of this Agreement is held to be invalid, void or unenforceable by reason of any law, rule, administrative order or judicial decision, that determination shall not effect the validity of the remaining provisions of this Agreement.

# 4. WAIVER

Except as specifically permitted in this Agreement, no provision of this Agreement can be, nor be deemed to be, waived, altered, modified or amended unless such is agreed to in a writing signed by the broker.

# 5. DELIVERY OF SECURITIES

Without abrogating any of the Broker's rights under any other portion of this Agreement and subject to any indebtedness of the Customer to the Broker, the Customer is entitled, upon appropriate demand, to receive physical delivery of fully paid securities in the Customer's Account.

# 6. SALES BY CUSTOMER

The Customer understands and agrees any order to sell "short" will be designated as such by the Customer, and that the Broker will mark the order as "short".

All other sell orders will be for securities owned ("long"), at that time, by the Customer by placing the order the Customer affirms that he will deliver the securities on or before the settlement date.

Affiliated with:
Madoff Securities International Limited
12 Berkeley Street, Mayfair, London W1J 8DT. Tel 020-7493 6222

# Pg 3 of 4

### 7. BROKER AS AGENT

The customer understands that the Broker is acting as the Customer's agent, unless the Broker notifies the Customer, in writing before the settlement date for the transaction, that the Broker is acting as dealer for its own account or as agent for some other person.

# 8. CONFIRMATIONS AND STATEMENTS

Confirmations of transactions and statements for the Customer's Account(s) shall be binding upon the Customer if the Customer does not object, in writing, within ten days after receipt by the Customer.

# 9. SUCCESSORS

Customer hereby agrees that this Agreement and all the terms thereof shall be binding upon Customer's heirs, executors, administrators, personal representatives and assigns. This Agreement shall ensure to the benefit of the Broker's present organization, and any successor organization, irrespective of any change or changes at any time in the personnel thereof, for any cause whatsoever.

# 10. CHOICE OF LAWS

THIS AGREEMENT SHALL BE DEEMED TO HAVE BEEN MADE IN THE STATE OF AND SHALL BE CONST								TRUED,	AND							
THE	RIGHTS	AND	LIABILITIES	OF	THE	PARTIES	DETERMINED,	IN	ACCORDANCE	WITH	THE	LAWS	OF	THE	STATE	OF

### 11. CAPACITY TO CONTRACT, CUSTOMER AFFILIATION

By signing below, the Customer, represents that he/she is of legal age, and that he/she is not an employee of any exchange, or of any corporation of which any exchange owns a majority of the capital stock, or of a member of any exchange, or of a member firm or member corporation registered on any exchange, or of a bank, trust company insurance company or of any corporation, firm or individual engaged in the business of dealing, either as broker or as principal, in securities, bills of exchange, acceptances or other forms of commercial paper, and that the Customer will promptly notify the Broker in writing if the Customer is now or becomes so employed. The Customer also represents that no one except the Customer has an interest in the account or accounts of the Customer with you.

# 12. ARBITRATION DISCLOSURES

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- \* PRE-ARBITRATION DISCOVERY IS GENERALLY MORE LIMITED THAN AND DIFFERENT FROM COURT PROCEEDINGS.
- \* THE ARBITRATORS AWARD IS NOT REQUIRED TO INCLUDE FACTUAL FINDINGS OR LEGAL REASONING AND ANY PARTY'S RIGHT TO APPEAL OR TO SEEK MODIFICATION OF RULINGS BY THE ARBITRATORS IS STRICTLY LIMITED.
- \* THE PANEL OF ARBITRATORS WILL TYPICALLY INCLUDE A MINORITY OF ARBITRATORS WHO WERE OR ARE AFFILIATED WITH THE SECURITIES INDUSTRY.



# 13. ARBITRATION are always ...

THE CUSTOMER AGREES, AND BY CARRYING AN ACCOUNT FOR THE CUSTOMER THE BROKER AGREES THAT ALL CONTROVERSIES WHICH MAY ARISE BETWEEN US CONCERNING ANY TRANSACTION OR THE CONSTRUCTION, PERFORMANCE, OR BREACH OF THIS OR ANY OTHER AGREEMENT BETWEEN US PERTAINING TO SECURITIES AND OTHER PROPERTY, WHETHER ENTERED INTO PRIOR, ON OR SUBSEQUENT TO THE DATE HEREOF, SHALL BE DETERMINED BY ARBITRATION UNDER THIS AGREEMENT SHALL BE CONDUCTED PURSUANT TO THE FEDERAL ARBITRATION ACT AND THE LAWS OF THE STATE DESIGNATED IN PARAGRAPH 10, BEFORE THE AMERICAN ARBITRATION ASSOCIATION, OR AN ARBITRATION FACILITY PROVIDED BY ANY EXCHANGE OF WHICH THE BROKER IS A MEMBER, OR THE NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC. AND IN ACCORDANCE WITH THE RULES PERTAINING TO THE SELECTED ORGANIZATION. THE CUSTOMER MAY ELECT IN THE FIRST INSTANCE WHETHER ARBITRATION SHALL BE BY THE AMERICAN ARBITRATION ASSOCIATION, OR BY AN EXCHANGE OR SELF-REGULATORY ORGANIZATION OF WHICH THE BROKER IS A MEMBER, BUT IF THE CUSTOMER FAILS TO MAKE SUCH ELECTION, BY REGISTERED LETTER ADDRESSED TO THE BROKER AT THE BROKER'S MAIN OFFICE, BEFORE THE EXPIRATION OF TEN DAYS AFTER RECEIPT OF A WRITTEN REQUEST FROM THE BROKER TO MAKE SUCH ELECTION, THEN THE BROKER MAY MAKE SUCH ELECTION, THE AWARD OF THE ARBITRATORS, OR OF THE MAJORITY OF THEM SHALL BE FINAL, AND JUDGMENT UPON THE AWARD RENDERED MAY BE ENTERED IN ANY COURT, STATE OR FEDERAL, HAVING JURISDICTION.

# 14. DISCLOSURES TO ISSUERS

\_\_\_\_ Yes, I do object to the disclosure of information.

Under rule 14b-1(c) of the Securities Exchange Act of 1934, we are required to disclose to an issuer the name, address, and securit	iles position of our customer	S
who are beneficial owners of that issuer's securities unless the customer objects. Therefore, please check one of the boxes below:		

No, I do not object to the disclosure of such information.	
THIS AGREEMENT CONTAINS A PRE-DISPUTE ARBITRATION CLA	USE AT PARAGRAPH 13.
(X)(Customer Signature/date)	(X) Guycl N. Celllun & (Eustomer signature/date)
REDACTED	101012-3
(Customer Address)	(Account Number)
REDACTED	

# EXHIBIT 4 TO DECLARATION OF VINEET SEHGAL

· Pg 2 of 5

BERNARD L. MADOFF
Investment Securities

885 Third Avenue New York, NY 10022-4834

Account # 1-16030-1-0 Rita King 212 230-2424 800 221-2242 • Telex 235130 Fax 212 486-8178

### **CUSTOMER AGREEMENT**

In consideration for you (the "Broker") opening or maintaining one or more accounts (the "Customer"), the Customer agrees to the terms and conditions contained in this Agreement. The heading of each provision of the Agreement is for descriptive purposes only and shall not be deemed to modify or qualify any of the rights or obligations set forth in each such provision. For purposes of this Agreement, "securities and other property" means, but is not limited to money, securities, financial instruments and commodities of every kind and nature and related contracts and options, except that the provisions of paragraph 19 herein (the arbitration clause) shall not apply to commodities accounts. This definition includes securities or other property currently or hereafter held, carried or maintained by you or by any of your affiliates, in your possession or control, or in the possession or control of any such affiliate, for any purpose, in and for any of my accounts now or hereafter opened, including any account in which I may have an interest.

### 1. APPLICABLE RULES AND REGULATIONS

All transactions in the Customer's Account shall be subject to the constitution, rules, regulations, customs and usages of the exchange or market, and its clearing house, if any, where the transactions are executed by the Broker or its agents, including its subsidiaries and affiliates. Also, where applicable, the transactions shall be subject (a) to the provisions of (1) the Securities Exchange Act of 1934, as amended, and (2) the Commodities Exchange Act, as amended; and (b) to the rules and regulations of (1) the Securities and Exchange Commission, (2) the Board of Governors of the Federal Reserve System and (3) the Commodities Futures Trading Commission.

### 2. AGREEMENT CONTAINS ENTIRE UNDERSTANDING/ASSIGNMENT

This Agreement contains the entire understanding between the Customer and the Broker concerning the subject matter of this Agreement. Customer may not assign The rights and obligations hereunder without first obtaining the prior written consent of the Broker.

# 3. SEVERABILITY

If any provision of this Agreement is held to be invalid, void or unenforceable by reason of any law, rule, administrative order or judicial decision, that determination shall not effect the validity of the remaining provisions of this Agreement.

# 4. WAIVER

Except as specifically permitted in this Agreement, no provision of this Agreement can be, nor be deemed to be, waived, altered, modified or amended unless such is agreed to in a writing signed by the broker.

# 5. DELIVERY OF SECURITIES

Without abrogating any of the Broker's rights under any other portion of this Agreement and subject to any indebtedness of the Customer to the Broker, the Customer is entitled, upon appropriate demand, to receive physical delivery of fully paid securities in the Customer's Account.

## 6. LIENS

All securities and other property of the Customer in any account in which the Customer has an interest shall be subject to a lien for the discharge of any and all indebtedness or any other obligation of the Customer to the Broker. All securities and other property of the Customer shall be held by the Broker as Security for the payment of any such obligations or indebtedness to the Broker in any Account that the Customer may have an interest, and the Broker subject to applicable law may, at any time and without prior notice to the Customer, use and/or transfer any or all securities and other property interchangeably in any Account(s) in which the Customer has an interest (except regulated commodity Accounts).

Affiliated with:

Madoff Securities International Ltd. 43 London Wall, London England EC2M 5TB.071-374 0891

# 7. INTEREST

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Debit balances of the Account(s) of the Customer shall be charged with interest in accordance with the Broker's established custom, as disclosed to the Customer pursuant to the provisions of Rule 10b-16 of the Securities Exchange Act of 1934.

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- 8. DISCLOSURES REGARDING LIQUIDATIONS AND COVERING POSITIONS THE CUSTOMER SHOULD CLEARLY UNDERSTAND THAT, NOT WITHSTANDING A GENERAL POLICY OF GIVING CUSTOMERS NOTICE OF A MARGIN DEFICIENCY, THE BROKER IS NOT OBLIGATED TO REQUEST ADDITIONAL MARGIN FROM THE CUSTOMER IN THE EVENT THE CUSTOMER'S ACCOUNT FALLS BELOW MINIMUM MAINTENANCE REQUIREMENTS. MORE IMPORTANTLY, THERE MAY/WILL BE CIRCUMSTANCES WHERE THE BROKER WILL LIQUIDATE SECURITIES AND/OR OTHER PROPERTY IN THE ACCOUNT WITHOUT NOTICE TO THE CUSTOMER TO ENSURE THAT MINIMUM MAINTENANCE REQUIREMENTS ARE SATISFIED.
- 9. LIQUIDATIONS AND COVERING POSITIONS THE BROKER SHALL HAVE THE RIGHT IN ACCORDANCE WITH ITS GENERAL POLICIES REGARDING MARGIN MAINTENANCE REQUIREMENTS TO REQUIRE ADDITIONAL COLLATERAL OR THE LIQUIDATION OF ANY SECURITIES AND OTHER PROPERTY WHENEVER IN BROKER'S DISCRETION IT CONSIDERS IT NECESSARY FOR ITS PROTECTION INCLUDING IN THE EVENT OF , BUT NOT LIMITED TO: THE FAILURE OF THE CUSTOMER TO PROMPTLY MEET ANY CALL FOR ADDITIONAL COLLATERAL; THE FILING OF A PETITION IN BANKRUPTCY BY OR AGAINST THE CUSTOMER; THE APPOINTMENT OF A RECEIVER IS FILED BY OR AGAINST CUSTOMER; AN ATTACHMENT IS LEVIED AGAINST ANY ACCOUNT OF THE CUSTOMER OR IN WHICH THE CUSTOMER HAS AN INTEREST OR; THE CUSTOMER'S DEATH. IN SUCH EVENT, THE BROKER IS AUTHORIZED TO SELL ANY AND ALL SECURITIES AND OTHER PROPERTY IN ANY ACCOUNT OF THE CUSTOMER WHETHER CARRIED INDIVIDUALLY OR JOINTLY WITH OTHERS, TO BUY ALL SECURITIES OR OTHER PROPERTY WHICH MAY BE SHORT IN SUCH ACCOUNT(S), TO CANCEL ANY OPEN ORDERS AND TO CLOSE ANY OR ALL OUTSTANDING CONTRACTS, ALL WITHOUT DEMAND FOR MARGIN OR ADDITIONAL MARGIN, OTHER NOTICE OF SALE OR PURCHASE, OR OTHER NOTICE OR ADVERTISEMENT EACH OF WHICH IS EXPRESSLY WAIVED BY THE CUSTOMER. ANY SUCH SALES OR PURCHASES MAY BE MADE AT BROKER'S DISCRETION ON ANY EXCHANGE OR OTHER MARKET WHERE SUCH BUSINESS IS USUALLY TRANSACTED OR AT PUBLIC AUCTION OR PRIVATE SALE, AND BROKER MAY BE THE PURCHASER FOR BROKER'S OWN ACCOUNT. IT IS UNDERSTOOD A PRIOR DEMAND, OR CALL, OR PRIOR NOTICE OF THE TIME AND PLACE OF SUCH SALE OR PURCHASE SHALL NOT BE CONSIDERED A WAIVER OF BROKER'S RIGHT TO SELL OR BUY WITHOUT DEMAND OR NOTICE AS HEREIN PROVIDED.

# 10. SATISFACTION OF INDEBTEDNESS

The Customer agrees to satisfy, upon demand, any indebtedness, and to pay any debit balance remaining when the Customer's Account is closed, either partially or totally. Customer Account(s) may not be closed without Broker first receiving all securities and other property for which the Account is short and all funds to pay in full for all securities and other property in which the Account(s) are long.

# 11. TRANSACTIONS AND SETTLEMENTS

All orders for the purchase or sale of securities and other property will be authorized by the Customer and executed with the understanding that an actual purchase or sale is intended and that it is the Customer's intention and obligation in every case to deliver certificates or commodities to cover any and all sales or to pay for any purchase upon the Broker's demand. If the Broker makes a short sale of any securities and other property at the Customer's direction or if the Customer fails to deliver to the Broker any securities and other property that the Broker has sold at the Customer's direction, the Broker is authorized to borrow the securities and other property necessary to enable the Broker to make delivery and the Customer agrees to be responsible for any cost or loss the Broker may incur, or the cost of obtaining the securities and other property if the Broker is unable to borrow it. The Broker is the Customer's agent to complete all such transactions and is authorized to make advances and expend monies as are required.



# 12. SALES BY CUSTOMER

The Customer understands and agrees any order to sell "short" will be designated as such by the Customer, and that the Broker will mark the order as "short". All other sell orders will be for securities owned ("long"), at that time, by the Customer by placing the order the Customer affirms that he will deliver the securities on or before the settlement date.

#### 13. BROKER AS AGENT

The customer understands that the Broker is acting as the Customer's agent, unless the Broker notifies the Customer, in writing before the settlement date for the transaction, that the Broker is acting as dealer for its own account or as agent for some other person.

### 14. CONFIRMATIONS AND STATEMENTS

Confirmations of transactions and statements for the Customer's Account(s) shall be binding upon the Customer if the Customer does not object, in writing, within ten days after receipt by the Customer. Notice or other communications including margin and maintenance calls delivered or mailed to the address given below shall, until the Broker has received notice in writing of a different address, be deemed to have been personally delivered to the Customer whether actually received or not.

#### 15. SUCCESSORS

Customer hereby agrees that this Agreement and all the terms thereof shall be binding upon Customer's heirs, executors, administrators, personal representatives and assigns. This Agreement shall enure to the benefit of the Broker's present organization, and any successor organization, irrespective of any change or changes at any time in the personnel thereof, for any cause whatsoever.

16. C	CHOICE OF LAWS	
	THIS AGREEMENT SHALL BE DEEMED TO HAVE BEEN MADE IN THE STATE OF _	AND SHALI
	BE CONSTRUED, AND THE RIGHTS AND LIABILITIES OF THE PARTIES DETERMIN	IED, IN ACCORDANCE WITH THE LAWS
	OF THE STATE OF	2-

# 17. CAPACITY TO CONTRACT, CUSTOMER AFFILIATION

By signing below, the Customer, represents that he/she is of legal age, and that he/she is not an employee of any exchange, or of any corporation of which any exchange owns a majority of the capital stock, or of a member of any exchange, or of a member firm or member corporation registered on any exchange, or of a bank, trust company, insurance company or of any corporation, firm or individual engaged in the business of dealing, either as broker or as principal, in securities, bills of exchange, acceptances or other forms of commercial paper, and that the Customer will promptly notify the Broker in writing if the Customer is now or becomes so employed. The Customer also represents that no one except the Customer has an interest in the account or accounts of the Customer with you.

# 18. ARBITRATION DISCLOSURES

- \* ARBITRATION IS FINAL AND BINDING ON THE PARTIES.
- \* THE PARTIES ARE WAIVING THEIR RIGHT TO SEEK REMEDIES IN COURT, INCLUDING THE RIGHT TO JURY TRIAL.
- \* PRE-ARBITRATION DISCOVERY IS GENERALLY MORE LIMITED THAN AND DIFFERENT FROM COURT PROCEEDINGS.
- \* THE ARBITRATORS AWARD IS NOT REQUIRED TO INCLUDE FACTUAL FINDINGS OR LEGAL REASONING AND ANY PARTY'S RIGHT TO APPEAL OR TO SEEK MODIFICATION OF RULINGS BY THE ARBITRATORS IS STRICTLY LIMITED.
- \* THE PANEL OF ARBITRATORS WILL TYPICALLY INCLUDE A MINORITY OF ARBITRATORS WHO WERE OR ARE AFFILIATED WITH THE SECURITIES INDUSTRY.

### 19. ARBITRATION

THE CUSTOMER AGREES, AND BY CARRYING AN ACCOUNT FOR THE CUSTOMER THE BROKER AGREES THAT ALL CONTROVERSIES WHICH MAY ARISE BETWEEN US CONCERNING ANY TRANSACTION OR THE CONSTRUCTION, PERFORMANCE, OR BREACH OF THIS OR ANY OTHER AGREEMENT BETWEEN US PERTAINING TO SECURITIES AND OTHER PROPERTY, WHETHER ENTERED INTO PRIOR, ON OR SUBSEQUENT TO THE DATE HEREOF, SHALL BE DETERMINED BY ARBITRATION UNDER THIS AGREEMENT SHALL BE CONDUCTED PURSUANT TO THE FEDERAL ARBITRATION ACT AND THE LAWS OF THE STATE DESIGNATED IN PARAGRAPH 18, BEFORE THE AMERICAN ARBITRATION ASSOCIATION, OR BEFORE THE NEW YORK STOCK EXCHANGE, INC. OR AN ARBITRATION FACILITY PROVIDED BY ANY OTHER EXCHANGE OF WHICH THE BROKER IS A MEMBER, OR THE NATIONAL ASSOCIATION OF SECURITIES DEALERS. INC. OR THE MUNICIPAL SECURITIES RULE MAKING BOARD AND IN ACCORDANCE WITH THE RULES OBTAINING OF THE SELECTED ORGANIZATION. THE CUSTOMER MAY ELECT IN THE FIRST INSTANCE WHETHER ARBITRATION SHALL BE BY THE AMERICAN ARBITRATION ASSOCIATION, OR BY AN EXCHANGE OR SELF-REGULATORY ORGANIZATION OF WHICH THE BROKER IS A MEMBER, BUT IF THE CUSTOMER FAILS TO MAKE SUCH ELECTION, BY REGISTERED LETTER OR TELEGRAM ADDRESSED TO THE BROKER AT THE BROKER'S MAIN OFFICE, BEFORE THE EXPIRATION OF TEN DAYS AFTER RECEIPT OF A WRITTEN REQUEST FROM THE BROKER TO MAKE SUCH ELECTION, THEN THE BROKER MAY MAKE SUCH ELECTION, THE AWARD OF THE ARBITRATORS, OR OF THE MAJORITY OF THEM SHALL BE FINAL, AND JUDGMENT UPON THE AWARD RENDERED MAY BE ENTERED IN ANY COURT, STATE OR FEDERAL, HAVING JURISDICTION.

# 20. DISCLOSURES TO ISSUERS

Under rule 14b-1(c) of the Securities Exchange Act of 1934, we are required to disclose to an issuer the name, address, and securities position of our customers who are beneficial owners of that issuer's securities unless the customer objects. Therefore, please check one of the boxes below:

Yes, I do object to the disclosure of information.	
No, I do not object to the disclosure of such information.	
THIS AGREEMENT CONTAINS A PRE-DISPUTE ARBITRATION	
x Reta King	x Retu King
(Customer Signature/date)  Redacted	(Customer Signature/date)
(Customer Address)	(Account Number)
Redacted	